

# EQUITY OPTIONS



## Information Circular

#08-0163 Derivative Securities

Date **September 22, 2008**

To **Members, Member Organizations & Registered Option Principals**

From **Michael T. Bickford, Senior Vice-President**

Subject **Options Under SEC RELEASE NO. 34-58611 / September 21, 2008**

The Exchange wishes to identify those securities on which it trades options and are included in the aforementioned SEC Order prohibiting short sales.

<b>Symbol</b>	<b>Corpname</b>	<b>Amex Specialist</b>
ABK	AMBAC FINANCIAL GROUP INC	AGS Specialists, LLC
ACAS	American Capital, Ltd.	Susquehanna Securities
ACE	ACE LTD	Trinity Derivatives Group, LLC
ACGL	ARCH CAPITAL GROUP LTD NEW	Jane Street Specialists, LLC
ADS	Alliance Data Systems Corporation	AGS Specialists, LLC
ADVNB	ADVANTA CORP	Group One Trading, LP
AET	AETNA INC NEW	Trinity Derivatives Group, LLC
AF	ASTORIA FINANCIAL CORP	Taylor Executions/Timber Hill, LLC
AFL	A F L A C INC	Trinity Derivatives Group, LLC
AGII	ARGO GROUP INTL HLDGS LTD	Susquehanna Securities
AGO	ASSURED GUARANTY LTD	AGS Specialists, LLC
AGP	AMERIGROUP CORP	Susquehanna Securities
AIG	AMERICAN INTERNATIONAL GROUP	AGS Specialists, LLC
AIZ	ASSURANT INC	AGS Specialists, LLC
ALD	Allied Capital Corporation	AGS Specialists, LLC
ALL	ALLSTATE CORP	AGS Specialists, LLC
AMG	AFFILIATED MANAGERS GROUP INC	Taylor Executions/Timber Hill, LLC
AMP	AMERIPRISE FINANCIAL INC	AGS Specialists, LLC
AMTD	T D AMERITRADE HOLDING CORP	AGS Specialists, LLC
AOC	AON CORP	AGS Specialists, LLC
AXA	A X A UAP	Susquehanna Securities
AXP	American Express Company	AGS Specialists, LLC
BAC	BANK OF AMERICA CORP	AGS Specialists, LLC
BBT	B B & T CORP	AGS Specialists, LLC
BBV	Banco Bilbao Vizcaya SA	Group One Trading, LP
BEN	FRANKLIN RESOURCES INC	Susquehanna Securities
BK	BANK OF NEW YORK MELLON CORP	Susquehanna Securities
BKUNA	BANKUNITED FINANCIAL CORP	AGS Specialists, LLC
BX	BLACKSTONE GROUP L P	AGS Specialists, LLC

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C	CITIGROUP INC	AGS Specialists, LLC
CB	CHUBB CORP	Trinity Derivatives Group, LLC
CI	C I G N A CORP	AGS Specialists, LLC
CIT	CIT Group Inc.	AGS Specialists, LLC
CMA	COMERICA INC	AGS Specialists, LLC
CME	C M E GROUP INC	AGS Specialists, LLC
CNB	COLONIAL BANCGROUP INC	Susquehanna Securities
CNC	CENTENE CORP DEL	Susquehanna Securities
CNO	CONSECO INC	Susquehanna Securities
CORS	CORUS BANKSHARES INC	Susquehanna Securities
CVH	COVENTRY HEALTH CARE INC	Susquehanna Securities
DB	DEUTSCHE BANK AG	Susquehanna Securities
DFS	Discover Financial Services	AGS Specialists, LLC
DRL	Doral Financial Corporation	Trinity Derivatives Group, LLC
DSL	DOWNEY FINANCIAL CORP	Group One Trading, LP
ESRX	Express Scripts, Inc.	Group One Trading, LP
ETFC	E TRADE FINANCIAL CORP	AGS Specialists, LLC
FAF	FIRST AMERICAN CORP CALIF	Susquehanna Securities
FBP	FIRST BANCORP P R	Susquehanna Securities
FCSX	FCStone Group, Inc.	Group One Trading, LP
FED	FIRSTFED FINANCIAL CORP	AGS Specialists, LLC
FFH	FAIRFAX FINL HOLDINGS LTD	Jane Street Specialists, LLC
FHN	FIRST HORIZON NATIONAL CORP	Susquehanna Securities
FIG	FORTRESS INVESTMENT GROUP L L C	AGS Specialists, LLC
FII	FEDERATED INVESTORS INC PA	Susquehanna Securities
FITB	Fifth Third Bancorp	AGS Specialists, LLC
FMD	The First Marblehead Corporation	AGS Specialists, LLC
FNM	FEDERAL NATIONAL MORTGAGE ASSN	Susquehanna Securities
FRE	FEDERAL HOME LOAN MORTGAGE CORP	Trinity Derivatives Group, LLC
GFIG	G F I GROUP INC	AGS Specialists, LLC
GM	General Motors Corporation	Trinity Derivatives Group, LLC
GNW	GENWORTH FINANCIAL INC	AGS Specialists, LLC
GS	GOLDMAN SACHS GROUP INC	AGS Specialists, LLC
HCBK	HUDSON CITY BANCORP INC	AGS Specialists, LLC
HCC	H C C INSURANCE HOLDINGS INC	Group One Trading, LP
HIG	The Hartford Financial Services Group	AGS Specialists, LLC
HNT	HEALTH NET INC	Susquehanna Securities
HUM	HUMANA INC	Group One Trading, LP
IBKR	INTERACTIVE BROKERS GROUP INC	Susquehanna Securities
ICE	INTERCONTINENTALEXCHANGE INC	AGS Specialists, LLC
ING	I N G GROEP N V	Group One Trading, LP
IPCC	INFINITY PROPERTY & CASUALTY COR	Jane Street Specialists, LLC
IPCR	I P C HOLDINGS LTD	Jane Street Specialists, LLC
ITG	INVESTMENT TECHNOLOGY GP INC	Jane Street Specialists, LLC
JEF	JEFFERIES GROUP INC NEW	Susquehanna Securities
JPM	JPMORGAN CHASE & CO	Trinity Derivatives Group, LLC
JRJC	China Finance Online Co. Limited	Jane Street Specialists, LLC
KBW	KBW, Inc.	Group One Trading, LP
KEY	KEYCORP NEW	AGS Specialists, LLC

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L	LOEWS CORP	TTO Trading LLC
LAB	LABRANCHE & CO INC	AGS Specialists, LLC
LEH	LEHMAN BROTHERS HOLDINGS INC	AGS Specialists, LLC
LFC	CHINA LIFE INSURANCE CO LTD	AGS Specialists, LLC
LM	Legg Mason, Inc.	AGS Specialists, LLC
LNC	LINCOLN NATIONAL CORP IN	Trinity Derivatives Group, LLC
LUK	LEUCADIA NATIONAL CORP	Trinity Derivatives Group, LLC
MBI	M B I A INC	AGS Specialists, LLC
MCO	Moody's Corporation	AGS Specialists, LLC
MER	MERRILL LYNCH & CO INC	AGS Specialists, LLC
MF	M F GLOBAL LTD	AGS Specialists, LLC
MFC	MANULIFE FINANCIAL CORP	Group One Trading, LP
MI	MARSHALL & ILSLEY CORP NEW	Trinity Derivatives Group, LLC
MIG	MEADOWBROOK INSURANCE GROUP INC	Susquehanna Securities
MS	MORGAN STANLEY DEAN WITTER & CO	AGS Specialists, LLC
MTB	M&T Bank Corporation	AGS Specialists, LLC
MTG	M G I C INVESTMENT CORP WIS	Trinity Derivatives Group, LLC
MTU	MITSUBISHI UFJ FINANCIAL GP INC	Group One Trading, LP
NAL	NEWALLIANCE BANCSHARES INC	Susquehanna Securities
NCC	NATIONAL CITY CORP	Taylor Executions/Timber Hill, LLC
NDAQ	NASDAQ O M X GROUP INC	AGS Specialists, LLC
NITE	KNIGHT CAPITAL GROUP INC	AGS Specialists, LLC
NSH	NUSTAR G P HOLDINGS LLC	Susquehanna Securities
NTRS	NORTHERN TRUST CORP	Taylor Executions/Timber Hill, LLC
NYB	NEW YORK COMMUNITY BANCORP INC	AGS Specialists, LLC
NYX	N Y S E EURONEXT	Susquehanna Securities
OXPS	OPTIONSPRESS HOLDINGS INC	AGS Specialists, LLC
PBCT	PEOPLES UNITED FINANCIAL INC	Jane Street Specialists, LLC
PGR	PROGRESSIVE CORP OH	Taylor Executions/Timber Hill, LLC
PJC	PIPER JAFFRAY COMPANIES	Highland Securities Co.
PMI	P M I GROUP INC	AGS Specialists, LLC
PNC	P N C FINANCIAL SERVICES GRP INC	AGS Specialists, LLC
PRU	PRUDENTIAL FINANCIAL INC	Taylor Executions/Timber Hill, LLC
RAS	RAIT Financial Trust	Group One Trading, LP
RDN	RADIAN GROUP INC	Jane Street Specialists, LLC
RF	REGIONS FINANCIAL CORP NEW	Group One Trading, LP
RJF	RAYMOND JAMES FINANCIAL INC	Group One Trading, LP
RKH	REGIONAL BANK HOLDRS TRUST	AGS/STR/OTA
SCHW	SCHWAB CHARLES CORP NEW	Taylor Executions/Timber Hill, LLC
SIGI	SELECTIVE INSURANCE GROUP INC	Jane Street Specialists, LLC
SLM	S L M CORP	Trinity Derivatives Group, LLC
SOV	SOVEREIGN BANCORP INC	AGS Specialists, LLC
STI	SUNTRUST BANKS INC	Trinity Derivatives Group, LLC
STT	STATE STREET CORP	AGS Specialists, LLC
SWIM	thinkorswim Group Inc.	AGS Specialists, LLC
TCB	T C F FINANCIAL CORP	Trinity Derivatives Group, LLC
TFSL	TFS FINANCIAL CORP	Group One Trading, LP
TRAD	TradeStation Group Inc	Group One Trading, LP

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TROW	T ROWE PRICE GROUP INC	Susquehanna Securities
TRV	TRAVELERS COMPANIES INC	AGS Specialists, LLC
UB	UNIONBANCAL CORP	AGS Specialists, LLC
UBS	U B S AG	Trinity Derivatives Group, LLC
UBSI	UNITED BANKSHARES INC	Susquehanna Securities
UNH	UNITEDHEALTH GROUP INC	Trinity Derivatives Group, LLC
UNM	UNUM GROUP	AGS Specialists, LLC
USB	U S BANCORP DEL	Highland Securities Co.
WB	WACHOVIA CORP 2ND NEW	Taylor Executions/Timber Hill, LLC
WCG	WELLCARE HEALTH PLANS INC	Trinity Derivatives Group, LLC
WFC	WELLS FARGO & CO NEW	AGS Specialists, LLC
WLP	WELLPOINT INC	AGS Specialists, LLC
WM	WASHINGTON MUTUAL INC	Taylor Executions/Timber Hill, LLC
WPL	STEWART W P & CO LTD	Jane Street Specialists, LLC
WTNY	WHITNEY HOLDING CORP	Susquehanna Securities
XL	X L CAPITAL LTD	AGS Specialists, LLC
ZION	ZIONS BANCORP	AGS Specialists, LLC
ZNT	ZENITH NATIONAL INSURANCE CORP	Susquehanna Securities

Questions concerning this circular may be directed to the AMEX Derivative Securities Hotline: 800-THE-AMEX. Copies of this and other Information Circulars may be accessed on the AmexTrader.com Website.