



Member Activity

March 14, 2008

Notice

June Reich – Lessor (Deceased)

October 31, 2007

Membership Seat Prices

(As of 9:30 A.M., March 14, 2008)

Regular Seat Market

Bid: \$300,000

Offer: \$350,000

Last Sale: \$320,000 – March 13, 2008

Options Principal Seat Market

Bid: \$25,000

Offer: None

Last Sale: \$320,000 – March 9, 2007

Limited Trading Permit Market

Bid: None

Offer: \$6,500

Last Sale: \$25,000 – June 11, 2003

Includes only those sales arranged pursuant to bids and offers filed with the Membership and Registration Department between the hours of 9:30 a.m. – 4:00 p.m.

Seat market information may be obtained by calling toll-free (877) Amex Seat (877-263-9732). Seat prices are updated intraday on www.amextrader.com.

Membership Lease Prices

(As of 9:30 A.M., March 14, 2008)

Regular

New: \$350 3 months

Renewal: \$400 1 year
\$300 6 months

Options Principal

New: None

Renewal: None

Limited Trading Permit

New: None

Renewal: None

Includes monthly rental rate information only with respect to Exchange-approved negotiated leases. Not reported are arms length leases or leases for a nominal consideration.

Transfers of Membership

Terminations of Leases – Regular

(Lessee) **Robert DeSimone** Mar. 7
MOG Capital LLC
Badge No. 596-R

(Lessor) **John Jay Mann, Jr. & Co. Inc.**
Seat No. 711-R

(Lessee) **Andrew Garnock** Mar. 7
MOG Capital LLC
Badge No. 596-G

(Lessor) **M&K Partners G.P.**
Seat No. 778-R

(Lessee) **Michael Lippoth** Mar. 13
STR Specialists LLC
Badge No. 928-A

(Lessor) **Jonathan Schwebel**
Seat No. 703-R

New Memberships

Special Transfer Agreement – Regular

(Lessee) **Michael Costello** Mar. 13
Specialist
Kellogg Capital Group LLC
Badge No. 873-M

(Lessor) **Michael A. Williams**
Seat No. 194-R

(Lessee) **Kevin Spellman** Mar. 13
Specialist
STR Specialists LLC
Badge No. 928-K

(Lessor) **Jonathan Schwebel**
Seat No. 703-R

Address Change

‡**Gray Whale Capital LLC** Feb. 29
†**Michael Challen**
610 Anacapa Street, Suite E
Santa Barbara, CA 93101

Proposed Transfer of Memberships

Terminations of Leases – Regular

(Lessee) **Frank Cucuzza** Mar. 14
HBH Specialists LLC

(Lessor) **Barry Blank**
Seat No. 45-R

(Lessee) Sanford Gottesman HBH Specialists LLC	Mar. 14	Special Transfer Agreement – Regular	
(Lessor) Ronald Menello Seat No. 171-R		(Lessee) Vincent P. Cicco Trader Vista Capital LLC	Mar. 20
(Lessee) Joseph Morelli Bear Hunter Structured Products LLC	Mar. 14	(Lessor) James M. Doyle Seat No. 752-R	
(Lessor) John McDonald Seat No. 380-R		(Lessee) James Maund Specialist Goldman Sachs Execution & Clearing L.P.	Mar. 20
(Lessee) William J. Gillman RET Securities Corp. and Harvey Young Yurman Inc.	Mar. 20	(Lessor) Gloria Plotkin Seat No. 234-R	
(Lessor) Vincent Martuscelli Seat No. 630-R		(Lessee) Alex Turok Specialist Goldman Sachs Execution & Clearing L.P.	Mar. 20
(Lessee) Jeffrey Hipkins Bear Hunter Structured Products LLC and KV Execution Services LLC	Mar. 20	(Lessor) Debra Palmer Seat No. 685-R	
(Lessor) Alfred Vernieri Seat No. 627-R			
(Lessee) Jason Padilla Bear Hunter Structured Products LLC	Mar. 20	Current Member Firm Status Change	
(Lessor) Scott Hess Seat No. 561-R		(From) Robert L. Cunningham Merrill Lynch, Pierce, Fenner & Smith Inc. Broker	Mar. 14
(Lessee) Michael Pitruzzello Golden Anchor Trading II LLC	Mar. 20	(To) Merrill Lynch, Pierce, Fenner & Smith Inc. and Merrill Lynch Professional Clearing Corp.	
(Lessor) Jeffrey A. Wolfson Seat No. 38-R		(Lessor) Merrill Lynch Pierce, Fenner & Smith Inc. Seat No. 108-R	
Cancellation - Nominee Authorization & Agreement			
(Nominee) Carl DelliBovi Merrill Lynch, Pierce, Fenner & Smith Incorporated	Mar. 14	(From) Robert B. Fischer RET Securities Corp. and Raymond C. Forbes & Co. Inc. Broker	Mar. 17
(Owner) Merrill Lynch, Pierce, Fenner & Smith Incorporated Seat No. 133-R		(To) Raymond C. Forbes & Co. Inc. and J&A Securities Inc. Badge No. 61	
(Nominee) George J. Swindasz, Jr. Merrill Lynch, Pierce, Fenner & Smith Incorporated and Merrill Lynch Professional Clearing Corp.	Mar. 14	(Lessor) Robert B. Fischer Seat No. 183-R	
(Owner) Merrill Lynch, Pierce, Fenner & Smith Incorporated Seat No. 397-R			
Sale		Organization Changes	
Nominal Transfer - Regular		**HBH Specialists LLC	Mar. 14
(Purchaser) Gray Whale Capital LLC	Mar. 20	*Frank Cucuzza (Specialist)	
(Seller) Michael G. Challen Seat No. 216-R		*Sanford Gottesman (Specialist)	
(Purchaser) Gray Whale Capital LLC	Mar. 20	(Proposed to terminate)	
(Seller) Michael G. Challen Seat No. 437-R		**Harvey Young Yurman Inc.	Mar. 17
		Jennifer Castelano (AM)	
		Antoinette Harvey McCarthy (AM)	
		William Gillman (Broker)	
		(Proposed to terminate)	

**** J&A Securities Inc.** Mar. 17
 Jennifer Castelano (AM)
 Antoinette Harvey McCarthy (AM)
 @* Robert B. Fischer (Broker)
 (Proposed to be admitted)

****Bear Hunter Structured Products LLC** Mar. 20
 *Jeffrey Hipkins (Specialist)
 *Jason Padilla (Specialist)
 (Proposed to terminate)

‡Gray Whale Capital LLC Mar. 20
 Tyler Rameson (AM) to be admitted
 Elizabeth Challen (withdrawing Member)

****KV Execution Services LLC** Mar. 20
 *Jeffrey Hipkins (Specialist)
 (Proposed to terminate)

* Regular Member
 **Regular Member Organization
 •Options Principal Member
 ••Options Principal Member Organization
 †Associate Member
 ‡Associate Member Organization
 #CBOE Member Organization
 ■NYSE Member Organization
 □Limited Trading Permit Holder
 □□Limited Trading Permit Holder Organization
 @Also Employed By Another Firm
 (§)Sole Proprietor ceased
 (AM) Allied Member
 (AP) Approved Person
 (APO) Approved Person Organization

Any information you may have which would be pertinent to consideration of the applicants by the Exchange should be promptly reported to the Membership and Registration Department at 212-306-1419.