



Member Activity

January 16, 2009

Termination of Permit Issuance(s)

(APO) Approved Person Organization

David Marks Jan. 15
LL Partners Inc.

New Permit Issuance(s)

Jason Flaherty Jan. 15
Broker
Buckley & Young LLC
Badge No. 23

Chris Tempesta Jan. 15
Broker
LL Partners Inc.
Badge No. 28

Organization Change(s)

ABS Brokerage Services, LLC Dec. 31
Address: 744 Broad Street, 23rd Floor, Newark,
NJ 07102
**(name changed to OES Brokerage Services,
LLC)**

AGS Specialists II LLC Jan. 13
Address: One World Financial Center, 200
Liberty Street, New York, NY 10281
George Reichhelm, (AM)
Andrew Schwarz, (AM)
@*Marc Liu, Trader/Specialist
(ceased as a member organization)

Proposed New Permit Issuance(s)

David Marks Jan. 22
Broker
Compass Professional Services East LLC

Jeffrey Lovett Jan. 22
Broker
GI Brokerage Corp and SNB Securities Inc.

Abhay Tewari Jan. 22
Specialist
Bluefin Trading LLC

Proposed Organization Change(s)

Direct Edge ECN LLC Jan. 22
Maple Merger Sub LLC (APO)

* Member
(AM) Allied Member
(AP) Approved Person