



Acknowledgement of Gratuity Fund Non-eligibility –Individual

American Stock Exchange
86 Trinity Place
New York, New York 10006
Attn: Membership Services Department

Dear Sir or Madam:

In connection with my application to purchase an American Stock Exchange membership, I understand and acknowledge that under the Exchange Constitution and Rules I will not be eligible to be a Participant in the Exchange's Gratuity Fund. I also understand and acknowledge that I may have to pay a Gratuity Fund assessment if there is no lessee on the seat who is a Participant in the Gratuity Fund.

Date: _____

Signature: _____

Print Name: _____

Seat # _____
