



Notice of RROT Transfer

_____ (“Transferor”) has been granted ____ rights as a Remote Registered Options Trader (RROT). Under a written agreement Transferor has agreed to assign ____ rights to _____ for the 3-month trading period beginning November 1, 2008 and expiring January 31, 2009.

For the Transferor

Date: _____

Signature: _____

Name: _____

Position: _____

Firm: _____

Phone Number: _____

For the Transferee

Date: _____

Signature: _____

Name: _____

Position: _____

Firm: _____

Phone Number: _____

As a reminder, the Transferor may not retain any interest in the assigned rights (including, but not limited to, participation in the profits and losses), unless the Transferor has reported the joint-account arrangement with the Exchange, pursuant to Rule 360, and remains in compliance with Rules 361, 362 and 363.

Fax or deliver this signed form to: Membership & Registration, 86 Trinity Place, 12th Floor, NY, NY 10006, Attn: John Cunningham, @ (212) 306-1372.