

Date: November 10, 2008

Audience: Specialists, Market Makers, Order Sending Firms and Floor Brokers

Subject: NYSE Amex Mock Trading December 13, 2008

Highlights: Client Participation Mock Trading for FIX, mmDirect, ArcaDirect, ConvergEx and ArcaBook

Summary: On Saturday, December 13, 2008, mock trading has been scheduled for NYSE Amex Options; specialists, market makers, FIX clients and floor brokers.

Order Entry round trip (gateway through drop copy) testing will be available for FIX, mmDirect, and ArcaDirect clients. Test TASK files from 12/13/08 will be made available to clients for back office testing, upon request. Please note that these files will not be part of the Saturday live testing.

ConvergEx Mock Trading scripts will be available for Brokers trading via open outcry, including interaction with AMEX OBO staff and the AMEX matching engines.

Eligible tickers for the mock trading session are as follows:

- ABC, AMZN, BMY, ENZ, EVW, HWCC, NAT, PNC, STXS, TGT.
- Strikes out to March 2009 on tickers ZVV and M.TEST
- QQQQ— for testing the penny spread

Clients will be able to validate: mmDirect, FIX, ArcaDirect and ArcaBook; order flow through system including order entry, cancel, cancel/replace, and execution for all AMEX order types; Market Maker Risk Limitation; NYSE AMEX BBO quote output to OPRA; routing to simulated NBBO; ConvergEx functionality.

Contact Info: Any questions regarding connectivity may be directed to the Connectivity Help Desk at (888) 669-7739 or via email at connectivity@nyx.com. General inquiries may be directed to the Trade Desk at (877) 729-7291.

Please contact Kim Koppien kkoppien@nyx.com to request back office files.

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