



Return Original Form by Mail or Messenger to:
 Shirley L. Thompson or Peter Fetiak
 Market Operations & Trading Floor Systems
 American Stock Exchange
 86 Trinity Place, New York, NY 10006
 Fax: 212/306-1051

REQUEST FOR ACCESS TO AMEX ORDER FILE (AOF)

ONLY AN AMEX REGULAR MEMBER FIRM OR ASSOCIATE MEMBER FIRM WITH AN ADDITIONAL ELECTRONIC ACCESS MEMBERSHIP MAY REQUEST ACCESS TO AOF. THIS REQUEST MUST BE SIGNED BY AN OFFICER OF THE REQUESTING FIRM AND THE ORIGINAL DELIVERED TO THE AMEX. IT IS ALSO THE MEMBER FIRM'S RESPONSIBILITY TO NOTIFY THE AMEX IF ANY INFORMATION PROVIDED BELOW CHANGES.

AMEX MEMBER FIRM: _____

CORRESPONDENT FIRM (CUSTOMER): _____

CONTACTS: For Notification of System Problems and System Changes/Enhancements

Name	E-Mail Address	Phone
1.		
2.		

FIRM MNEMONIC: _____

SERVICE BUREAU AND/OR CMS LINE I.D.:

CLEARING AGENT:*
 (For orders entered under the specified Firm Mnemonic)

Firm Name: _____

Symbol: _____ Number: _____

NOTE: This section must be completed in order for your request to be processed.

NAME & BADGE NUMBER OF MEMBER (NOT A CLERK) ON AMEX TRADING FLOOR WHO WILL REPRESENT YOUR ORDERS: **

Name: _____

AMEX MEMBER FIRM'S ORDER ROOM/TRADING DESK CONTACT:

Name: _____ Phone #: _____

E-Mail Address: _____

COMMISSION BILLS:

(Where commission bills for orders entered under specified Firm Mnemonic are to be directed.)

To The Attention Of: _____

Firm Name: _____

Mailing Address: _____

City, State, Zip Code: _____

Fax: _____ Phone: _____

SERVICE(S) REQUESTED: Equities Options Program Orders Non-Program Orders

REQUESTED BY -- OFFICER OF FIRM (Please print):

Print Name: _____ Title: _____

Signature: _____

Phone #: _____ Fax #: _____

Date: _____

E-Mail: _____

* If not self clearing, a letter of authorization from the specified clearing agent addressed to the Amex *must* be attached to this request.

** **In accordance with Amex Rule 724 – All firms routing orders through the Exchange's automated systems must designate a member(s) – not a clerk -- with a physical presence on the Amex Trading Floor to address questions that may arise pertaining to their orders or executions.**