



FEE SCHEDULE[†]

NYSE AMEX MONTHLY TRADING PARTICIPANT FEES

<u>NYSE AMEX Trading Permits (ATPs)</u>	<u>86 Trinity Permit Holders</u>	<u>NYSE AMEX OX Rollout</u>
Market Maker	Waived	\$1,000 per trading permit
All Others (brokers, routing firms, clearing firms)	Waived	\$500

OTHER MONTHLY FLOOR TRADING FEES

<u>Fee Name</u>	<u>86 Trinity Permit Holders</u>	<u>NYSE AMEX OX Rollout</u>
Clerk / Access Fees	Waived	\$125
Floor Facility Fee	Waived	None
Technology Fee	Waived	None
Specialist Registration Fee	Waived	None

MONTHLY SPECIALISTS / DMM RIGHTS FEE*

<u>Avg Daily Industry Cust Contracts per Issue</u>	<u>86 Trinity Permit Holders</u>	<u>NYSE AMEX OX Rollout</u>
0 to 2,000	n.a.	\$150
2,001 to 5,000	n.a.	\$400
5,001 to 15,000	n.a.	\$750
15,001 to 100,000	n.a.	\$1,500
Over 100,000	n.a.	\$3,000

* charge to be split among DMMs and Specialists

MONTHLY VOLUME BASED CHARGES

<u>Execution Type</u>	<u>86 Trinity Permit Holders</u>	<u>NYSE AMEX OX Rollout</u>
Specialist	\$0.20	\$0.10
Directed Market Maker	\$0.20 to 0.23 plus discounts	\$0.15
Registered Market Maker	\$0.20	\$0.17
BD-Elect (cust, firm, away mm)	\$0.60	\$0.50
BD-Manual	\$0.26	\$0.26
Public Customer Equity Options	\$0.00	\$0.00
Public Customer (ETF and HOLDERS)	\$0.15	\$0.00
(QQQQ = \$0.00)		
Public Cust: MNX,NDX Index	\$0.22	\$0.00

[†] The NYSE AMEX OX rollout fees have not yet been filed with or approved by the SEC.

Public Cust: all other Index	\$0.45	\$0.00
Firm Facilitation	\$0.26	\$0.15
Cancellation Fee	\$1.00	None
Firm Cap	75,000	\$75,000
Floor Broker Fee	\$0.03 to \$0.05	None
Royalty Fees	Pass through account	Pricing differences between premium products and Equity / Index Options will be driven by this pass through account
Marketing Charges	\$0.75 on non pp and pp >\$3.00 price / \$0.35 all other pp; \$0.40 on manual > 1,000 contracts with pfo on contra.	\$0.65 non pp / \$0.25 pp on all electronic mm executions where cust is on contra.
Strategy Trades	\$100 /\$2,000 per trade with \$12,500 cap per month	\$750 per trade with \$25,000 cap per month

MONTHLY DEVICE AND FACILITY FEES

<u>Device / Service</u>	<u>86 Trinity Permit Holders</u>	<u>NYSE AMEX OX Rollout</u>
Floor Broker Hand Held	\$300	\$600
Market Maker Podia (assessed on each floor mm)	n.a.	\$90
Broker Booth Space	\$291 to \$583	\$150
Specialist Booth Space	Waived	None
Co-lo Cabinet Space	\$1,200	\$2,500**
Radio Paging Service		\$4.04 base / \$11.65 each additional channel

** Installation, wiring and power surcharges may apply

MONTHLY TELECOM FEES (existing AMEX charges apply during transition period)

	<u>NYSE AMEX OX Rollout</u>
Telephone Service: Toll call billed by Verizon plus a per call surcharge on	
Toll Calls <\$0.69	\$0.16
Toll Calls >\$0.69	\$0.26
Cellular Phones	
Phone and Headset	No Charge
Ongoing	\$20 plus cost of calling plan of users choice
Booth Telephone System	
Line Charge	\$33.33 per phone number
Single line phone jack and data	\$10.75

jack

Turrets
Market Data

Contracted directly with vendor
Contracted directly with vendor

SFTI FEES (existing AMEX charges apply during transition period)

NYSE AMEX OX Rollout

Connections to SFTI		OTPs / 3 rd party vendors***
Per 100 mbps edge router port	Recurring Monthly One Time	\$2,000 / \$2,000 \$4,000 / \$7,500
Per 1 Gbps edge router port	Recurring Monthly One Time	\$4,500 / \$4,500 \$6,000 / \$9,000
Per 10 Gbps edge router port	Recurring Monthly One Time	\$17,500 / \$17,500 \$20,000 / \$25,000
SFTI Cross Connect		
Ethernet 100 Mbps	Recurring Monthly One Time	\$600 \$1,500
Ethernet 1 Gbps	Recurring Monthly One Time	\$750 \$1,500

*** Add'l support and service charges may apply. Third party vendors may also be subject to add'l per customer charges.