



**Notice**

The American Stock Exchange will not be opened for business on Monday, January 15, 2007, in observance of Martin Luther King, Jr. Day.

**Membership Seat Prices (in 000s)**

(As of 9:30 A.M., January 12, 2007)

	BID	OFFER	LAST CONTRACTED
Regular	350	375	365
Options Principal	110	375	80
Limited Trading Permits	5	6.5	25

Includes only those sales arranged pursuant to bids and offers filed with the Membership and Registration Department between the hours of 9:30 a.m. – 4:00 p.m.

Seat market information may be obtained by calling toll-free (877) Amex Seat (877-263-9732). Seat prices are updated intraday on [www.amextrader.com](http://www.amextrader.com).

**Membership Lease Prices**

(As of 9:30 A.M., January 12, 2007)

The following leases were received:

Includes monthly rental rate information only with respect to Exchange-approved negotiated leases. Not reported are arms length leases or leases for a nominal consideration.

**REGULAR**

New		Renewal	
<u>Price</u>	<u>Term</u>	<u>Price</u>	<u>Term</u>
\$400	1 year	\$500	1 year
\$375	1 year	\$450	1 year
\$350	1 year	\$350	1 year
\$400	6 months	\$200	1 year
\$375	6 months	\$375	6 months
2 @ \$350	6 months	\$367	6 months
		2 @ \$350	6 months
		2 @ \$300	6 months
		\$350	3 months

**OPTIONS PRINCIPAL**

New		Renewal	
<u>Price</u>	<u>Term</u>	<u>Price</u>	<u>Term</u>
None		None	

**LIMITED TRADING PERMIT**

<u>Price</u>	<b>New</b>	<u>Term</u>	<u>Price</u>	<b>Renewal</b>	<u>Term</u>
None			None		

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**Transfers of Membership**

**Termination of Special Transfer Agreement – Regular  
(Effective January 5, 2007)**

<b>Steven A. Abrams*</b> Wolverine Trading LLC Badge No. 946-A	<b>to</b>	<b>B.W. Worthington Associates, Inc. Retirement Plan</b> Attn: Biddle W. Worthington, Jr. 185 East 85 <sup>th</sup> Street New York, NY 10028 Seat No. 723-R
<b>Steven G. Beck*</b> STEN Securities L.L.C. Badge No. 754-B	<b>to</b>	<b>VLL Partners</b> Attn: Virginia Lopez 135 Jordan Avenue San Francisco, CA 94118-2565 Seat No. 824-R
<b>Gregory A. Bieber</b> MOG Capital L.L.C. Badge No. 596-B	<b>to</b>	<b>Stacy J. Glass</b> Independent 17813 Fieldbrook Circle Boca Raton, FL 33496 Seat No. 302-R
<b>Jon Krug</b> Wolverine Trading LLC Badge No. 946-Q	<b>to</b>	<b>S. Jacob Grossman</b> Independent 13808 Via Vittoria Delray Beach, FL 33446 Seat No. 452-R
<b>Caleb G. Roepe</b> Roepe Rosenfeld Trading L.L.C. Badge No. 903-G	<b>to</b>	<b>Jonathan Schwebel</b> Independent P.O. Box 1197 Cooper Station, NY 10276 Seat No. 703-R
<b>Ching Rose</b> Independent Badge No. 1190	<b>to</b>	<b>Biddle W. Worthington, Jr.</b> Independent 185 East 85 <sup>th</sup> Street New York, NY 10028 Seat No. 651-R
<b>Andrew Steyn</b> Bluefin Trading LLC Badge No. 789-H	<b>to</b>	<b>John Saer</b> Independent 3901 Houma Boulevard, Ste. 310 Metairie, LA 70006 Seat No. 331-R

**(Effective at the Opening of Business on January 8, 2007)**

**Christian Buck** to **Zanzibar Partners, L.P.**  
Husky Trading Attn: Melvin N. Lechner  
Badge No. 598-B 20320 Fairway Oaks Drive, #382  
Boca Raton, FL 33434  
Seat No. 99-R

**(Effective January 8, 2007)**

**William Gillman\*\*** to **Estate of Thomas J. Clare**  
RET Securities Corp. Attn: Laura Geller  
Badge No. 60 9747 Shore Road  
Brooklyn, NY 11209-7654  
Seat No. 181-R

**(Effective January 9, 2007)**

**Gene L. Cushman\*** to **Gordon H. Hensley**  
Amerivest Partners LLC Independent  
Badge No. 48 P.O. Box 304  
Hume, VA 33639  
Seat No. 144-R

**(Effective January 11, 2007)**

**Jeffrey Komsa** to **John D. Greene**  
Taylor Execution Service, LLC Independent  
Badge No. 114 191 Sigler Road  
Pine Plains, NY 12567  
Seat No. 637-R

**Andrew J. Serling\*** to **Virginia Lopez**  
Talking Horse L.L.C. Independent  
Badge No. 778-S 135 Jordan Avenue  
San Francisco, CA 94118-2565  
Seat No. 820-R

**Termination of Nominee Authorization and Agreement – Regular**

**(Effective January 5, 2007)**

**Michael S. Whitman\*** to **Stuyvesant Trading Group LLC**  
Stuyvesant Trading Group LLC 333 West End Avenue, #5A  
Badge No. 917 New York, NY 10023  
Seat No. 791-R

**(Effective at the Opening of Business on January 8, 2007)**

**James A. Facey, III** to **Wolverine Trading LLC**  
Wolverine Trading LLC Attn: Robert Bellick  
Badge No. 946-C 175 West Jackson, Ste. 200  
Chicago, IL 60604  
Seat No. 17-R

\*Simultaneously transferring to another seat

\*\*Currently a member on another seat

## New Memberships

### Sales

(Effective January 5, 2007)

<b>B.W. Worthington Associates Inc. Retirement Plan</b>	<b>to</b>	<b>Elaine Tuller</b> Independent 211 East 70 <sup>th</sup> Street, #22B New York, NY 10021 Seat No. 723-R
<b>Larkspur Securities Inc.</b>	<b>to</b>	<b>Merrill Lynch, Pierce, Fenner &amp; Smith Incorporated</b> Attn: Robert Cunningham 222 Broadway, 16 <sup>th</sup> Floor New York, NY 10038 Seat No. 129-R
<b>Larkspur Securities Inc.</b>	<b>to</b>	<b>Merrill Lynch, Pierce, Fenner &amp; Smith Incorporated</b> Attn: Robert Cunningham 222 Broadway, 16 <sup>th</sup> Floor New York, NY 10038 Seat No. 769-R
<b>Stuyvesant Trading Group LLC</b>	<b>to</b>	<b>Elisha Rothman</b> Independent 225 West 83 <sup>rd</sup> Street, #300 New York, NY 10024 Seat No. 791-R
<b>VLL Partners</b>	<b>to</b>	<b>Israel A. Englander</b> Independent 740 Park Avenue, #14B New York, NY 10021 Seat No. 824-R
<b>Robert Varkonyi</b> Independent	<b>to</b>	<b>Merrill Lynch, Pierce, Fenner &amp; Smith Incorporated</b> Attn: Robert Cunningham 222 Broadway, 16 <sup>th</sup> Floor New York, NY 10038 Seat No. 334-R
<b>Robert Varkonyi</b> Independent	<b>to</b>	<b>Merrill Lynch, Pierce, Fenner &amp; Smith Incorporated</b> Attn: Robert Cunningham 222 Broadway, 16 <sup>th</sup> Floor New York, NY 10038 Seat No. 338-R
(Effective January 8, 2007) <b>DMJ LLC</b>	<b>to</b>	<b>Michael A. Williams</b> Independent 12369 Homeport Drive Maurepas, LA 70449 Seat No. 533-R

**Estate of Thomas J. Clare** to **Israel A. Englander**  
Independent  
740 Park Avenue, #14B  
New York, NY 10021  
Seat No. 181-R

**Janney Montgomery Scott LLC** to **Michael A. Williams**  
Independent  
12369 Homeport Drive  
Maurepas, LA 70449  
Seat No. 194-R

**(Effective January 11, 2007)**  
**Mark A. Elkins** to **Allen R. Hochfelder**  
Independent  
Independent  
10 S. Riverside Place, #1500  
Chicago, IL 60606  
Seat No. 469-R

**Virginia Lopez** to **Alan Rechtschaffen**  
Independent  
Independent  
40 East 80<sup>th</sup> Street, #5A  
New York, NY 10021  
Seat No. 820-R

**Ciro J. Scotto** to **Netsak Capital LLC**  
Attn: Gwen J. Kasten  
63 Boathouse Lane  
Bayshore, NY 11706  
Seat No. 600-R

**Nominee Authorization and Agreement – Regular**  
**(Effective at the Opening of Business on January 8, 2007)**  
**Wolverine Trading LLC** to **Michael Abdelmaseeh**  
**Trader**  
Wolverine Trading LLC  
111 Broadway, 15<sup>th</sup> Floor  
New York, NY 10006  
Seat No. 17-R  
Badge No. 946-M

**Special Transfer Agreement – Regular**  
**(Effective January 5, 2007)**  
**Israel A. Englander** to **Steven G. Beck**  
Independent  
**Trader**  
STEN Securities L.L.C.  
355 South End Avenue  
Building 200, #9B  
New York, NY 10280  
Seat No. 824-R  
Badge No. 754-B

**S. Jacob Grossman**  
Independent

to

**Milton V. Roges**  
**Trader**  
Wolverine Trading LLC  
111 Broadway, 15<sup>th</sup> Floor  
New York, NY 10006  
Seat No. 452-R  
Badge No. 946-R

**Jalex L.P.**

to

**Phillip Meng**  
**Trader**  
Bluefin Trading LLC  
2 Rector Street  
New York, NY 10006  
Seat No. 6-R  
Badge No. 789-P

**Biddle W. Worthington, Jr.**  
Independent

to

**Steven A. Abrams**  
**Trader**  
Wolverine Trading LLC  
111 Broadway, 15<sup>th</sup> Floor  
New York, NY 10006  
Seat No. 651-R  
Badge No. 946-A

**(Effective at the Opening of Business on January 8, 2007)**  
**Zanzibar Partners, L.P.**

to

**Kirk S. Katzburg**  
**Commission Broker**  
Husky Trading  
1900 Market Street, #616  
Philadelphia, PA 19103  
Seat No. 99-R  
Badge No. 598-K

**(Effective January 8, 2007)**  
**Michael A. Williams**  
Independent

to

**Tarsha Sanders**  
**Trader**  
Jane Street Trading LLC,  
Jane Street Capital LLC and  
Jane Street Specialists LLC  
111 Broadway, 21<sup>st</sup> Floor  
New York, NY 10006  
Seat No. 13-R  
Badge No. 872-X

**(Effective January 9, 2007)**  
**Norma Gellman**  
Independent

to

**Gene L. Cushman**  
**House Broker**  
Amerivest Partners LLC  
40 Wall Street, 45<sup>th</sup> Floor  
New York, NY 10005  
Seat No. 545-R  
Badge No. 48

**(Effective January 11, 2007)**  
**Boca Partners**

**to Andrew J. Serling**  
**Trader**  
Talking Horse L.L.C.  
263 West End Avenue, #16D  
New York, NY 10023  
Seat No. 560-R  
Badge No. 778-S

**Use & Proceeds Agreement – Regular**  
**(Effective January 5, 2007)**

**Michael S. Whitman**  
Independent

**to Michael S. Whitman**  
**Trader/Specialist**  
Stuyvesant Trading Group LLC and  
The Gaussian Group LLC  
333 West End Avenue, #5A  
New York, NY 10023  
Seat No. 189-R  
Badge No. 917

**RROT TRANSFER – (not as previously printed)**  
**(Effective January 4, 2007)**

**Robert Graziano**  
Independent

**to Michael Wohlstadter**  
**Trader**  
Group One Trading L.P.  
2 Rector Street, Suite 1001  
New York, NY 10006  
Seat No. 282-R

**Current Member – Firm Status Change**

**Special Transfer Agreement – Regular**  
**(Effective January 11, 2007)**

**Mary Clark**  
**Broker**  
Progressive Securities Corp.  
(Virginia Ferrari – Lessor)  
Badge No. 54

**to Mary Clark**  
**Broker**  
FM Brokerage, LLC  
80 Chambers Street, Apt. 12C  
New York, NY 10007  
Seat No. 64-R  
Badge No. 858-M

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## **Member Owner Organization**

**(Effective January 11, 2007)**

**Netsak Capital LLC**  
63 Boathouse Lane  
Bayshore, NY 11706  
Gwen J. Kasten, Sole Managing Member and Allied Member

## Allied Member

(Effective January 11, 2007)

**Gwen J. Kasten**

Sole & Managing Member

Netsak Capital LLC

63 Boathouse Lane

Bayshore, NY 11706

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## Change in Member Organization

**\*\*Progressive Securities Corp.**

Ceased to be registered as a Regular Member Organization with the transfer of \*Mary Clark (Badge # 54), effective January 11, 2007.

- 
- \* Regular Member
  - \*\* Regular Member Organization
  - Options Principal Member
  - Options Principal Member Organization
  - † Associate Member
  - †† Associate Member Organization
  - # CBOE Member Organization
  - NYSE Member Organization
  - Limited Trading Permit Holder
  - Limited Trading Permit Holder Organization
  - @ Also Employed By Another Firm
  - (\$) Sole Proprietor ceased
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## Proposed Transfers of Membership

**Termination of Special Transfer Agreement – Regular**

**(To Be Effective at the Opening of Business on January 16, 2007)**

**Stuart H. Alpert\*** to **Yang Water Associates, LLC**  
On Point Executions, LLC

**David Anderson** to **Martin Rebhun**  
LaBranche Structured Products LLC Independent

**Lee C. Levy** to **Rosario DiStefano**  
HBH Specialists LLC Independent

**Brian M. Werner** to **Robert Ruberto**  
On Point Executions, LLC Independent

**(To Be Effective January 18, 2007)**

**Michael Lippoth\*** to **Richard S. Herman**  
Bear Wagner Specialists LLC Independent

**Daniel Magier** to **Larkspur Securities, Inc.**  
Toro Trading LLC

**Robert Milano** to **Citigroup Global Markets Inc.**  
Hamilton Executions LLC

**Timothy J. Murtha** to **Larkspur Securities, Inc.**  
Bluefin Trading LLC

**Wayne Wu\*\*** to **OC Partners, L.P.**  
Bear Wagner Specialists LLC and  
Bear Hunter Structured Products LLC

**Cancellation of Nominee Authorization and Agreement – Regular  
(To Be Effective January 18, 2007)**

**Richard R. Lindsey** to **Bear, Stearns & Co., Inc.**  
Bear, Stearns & Co., Inc.

\*Simultaneously transferring to another seat

\*\*Currently a member on another seat

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## **New Memberships**

### **Sales**

**(To Be Effective at the Opening of Business on January 16, 2007)**

**Martin Rebhun** to **FRMO Corp.**  
Independent

**Yang Water Associates, LLC** to **Giffords Capital Development, LLC**

**(To Be Effective January 18, 2007)**

**Richard S. Herman** to **Harvey Gralla**  
Independent Independent

**Prudential Equity Group LLC** to **Harvey Gralla**  
Independent Independent

### **Special Transfer Agreement – Regular**

**(To Be Effective at the Opening of Business on January 16, 2007)**

**FRMO Corp.** to **David Anderson**  
**Trader of**  
LaBranche Structured Products LLC

**Robert Ruberto** to **Stuart H. Alpert**  
Independent **Broker of**  
On Point Executions, LLC

**(To Be Effective January 18, 2007)**

**Jonathan Schwebel** to **Michael Lippoth**  
Independent **Specialist of**  
STR Specialists LLC

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## **Proposed Member Owner Organization**

**(To Be Effective at the Opening of Business on January 16, 2007)**

**FRMO Corp.**  
320 Manville Road  
Pleasantville, NY 10570  
Murray Stahl, Chairman  
Steven Bregman, President  
Peter Doyle, Secretary

## Proposed Allied Members

**(To Be Effective at the Opening of Business on January 16, 2007)**

**Steven Bregman**

President of  
FRMO Corp.  
320 Manville Road  
Pleasantville, NY 10570

**Peter Doyle**

Secretary of  
FRMO Corp.  
320 Manville Road  
Pleasantville, NY 10570

**Murray Stahl**

Chairman of  
FRMO Corp.  
320 Manville Road  
Pleasantville, NY 10570

**(To Be Effective January 18, 2007)**

**George Reichhelm**

Co-Managing Member of  
AGS Specialists II, LLC  
One World Financial Center, 26<sup>th</sup> Floor  
New York, NY 10281

**Andrew Schwarz**

Co-Managing Member of  
AGS Specialists II, LLC  
One World Financial Center, 26<sup>th</sup> Floor  
New York, NY 10281

**John S. Stafford, III**

Chief Executive Officer and President of  
Ronin Capital LLC  
230 S. LaSalle Street, 4<sup>th</sup> Floor  
Chicago, IL 60604

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## Proposed Approved Person Organizations

**(To Be Effective at the Opening of Business on January 16, 2007)**

**Horizon Asset Management**

Under Common Control With  
FRMO Corp.  
320 Manville Road  
Pleasantville, NY 10570

**(To Be Effective January 18, 2007)**

**AGS Specialist Partners, LLC**

Member of  
AGS Specialists II, LLC  
World Financial Center, 26<sup>th</sup> Floor  
New York, NY 10281

**Dart Executions, LLC**  
**Irish National Investments, Ltd.**  
**TPG Global, LLC**  
Under Common Control With  
AGS Specialists II, LLC  
World Financial Center, 26<sup>th</sup> Floor  
New York, NY 10281

**Reichhelm SJ Co.**  
**Schwarz Jr. Co. Inc.**  
Under Common Control With  
AGS Specialists II, LLC  
World Financial Center, 26<sup>th</sup> Floor  
New York, NY 10281

**Ronin Capital, LLC**  
Member of  
AGS Specialists II, LLC  
World Financial Center, 26<sup>th</sup> Floor  
New York, NY 10281

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### Proposed Member Organization

**(To Be Effective January 18, 2007)**  
**AGS Specialists II, LLC**  
(To be admitted as a Regular Member Organization)  
**(LLC Member does not signify “Exchange Member”)**  
Address: One World Financial Center, 26<sup>th</sup> Floor, New York, NY 10281  
Ronin Capital, LLC, Member  
AGS Specialist Partners, LLC, Member  
George Reichhelm, Co-Managing Member  
Andrew Schwarz, Co-Managing Member

**Any information you may have which would be pertinent to consideration of the applicants by the Exchange should be promptly reported to the Membership and Registration Department at 212-306-1419.**

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### Current Reports Made to the Exchange

Member’s attention is called to the fact that the following reports are made to the Exchange within the coming week:

	<b>Due on or Before</b>	
1-RA (Members and Member Organizations Weekly report of transactions for accounts for the week ending Friday January 12, 2007)	Fri.	Jan. 19
1-S (Weekly report of Friday, January 12, 2007)	Fri.	Jan. 19
SEC Registration Fee - Member Organizations ASECC Division of NSCC Report of settled monthly sales for stocks, rights and warrants filed no later than 10:30a.m.	Fri.	Feb. 9

**Report – To NASD Amex Regulation Division - Financial Regulation Dept. Due on or Before**

This request for reports is issued on behalf of the American Stock Exchange LLC (Amex) by the Financial Regulation Dept. of the NASD Amex Regulation Division pursuant to a grant of authority to the NASD. Accordingly, this constitutes a request for reports by the Amex.

Web FOCUS Report Part II Quarterly and Schedule I - Member Organizations carrying customers and/or self-clearing are required to file FOCUS Report Part II Quarterly and Schedule I by the 17th business day after quarter-end. Fri. Jan. 26

Web FOCUS Report Part IIA Quarterly and Schedule I - Member Organizations and Sole Proprietor broker-dealers subject to the net capital rule but not carrying customers and/or self-clearing are required to file FOCUS Report Part IIA Quarterly and Schedule I by the 17th business day after quarter-end. Fri. Jan. 26

Web FOCUS Report Part IIA Quarterly Short and Schedule I - Member Organizations and Sole Proprietor broker-dealers exempt from the net capital rule are required to file FOCUS Report Part IIA Quarterly Short and Schedule I by the 17th business day after quarter-end. Fri. Jan. 26

ITSFEA Forms 1 and 2 - Member Organizations and Sole Proprietor broker-dealers subject to Web FOCUS filing requirements must also file these Insider Trading and Securities Fraud Enforcement Act of 1988 hard copy reports by the 17th business day after year-end. Fri. Jan. 26

MO-14 Statement of Specialist Profit and Loss - Specialist Units must file by the 17th business day after quarter-end. Fri. Jan. 26

MO-15 Report of Income by Specialty Security - Specialist Units must file by the 17th business day after quarter-end. Fri. Jan. 26

Independent Audit Reports required by SEC Rule 17a-5 - Member Organizations and Sole Proprietor broker-dealers subject to independent audit requirements are required to file the reports by the 60th calendar day after their audit year-end. Those having a Dec. 31, 2006, independent audit must file by Mar. 1, 2007. Thu. Mar. 1

All terminating Amex-designated Member Organizations and Sole Proprietor broker-dealers are required to file an SEC Rule 17a-5(b) Web FOCUS Report Part II Withdrawal or IIA Withdrawal. Use the Web FOCUS Blank Forms tab to access the appropriate form.

Web FOCUS Users experiencing access problems with Web FOCUS should contact the **Gateway Call Center @ 301-869-6699** for assistance.

Please contact the NASD Amex Regulation Division - Financial Regulation Dept., One Liberty Plaza, 51<sup>st</sup> Floor – Robert Devine 212-858.4448 regarding Web FOCUS entitlement procedures, MO-14 statement, or MO-15 report. Other questions regarding financial reporting should be directed to your FRD examiner.

Please refer to Amex Notice REG 2006–55 dated Oct. 26, 2006, for additional information about filing reports with the Financial Regulation Dept. This Notice is posted on the website <http://www.amex.com/amextrader/tdrInfo/data/axNotices/2006/reg102606.html>.

### **Equities Mid-Month Short Interest Schedule 2007**

<b>Trade Date</b>	<b>Settlement Date</b>	<b>Report Due</b>	<b>Report Published</b>
(Tuesday) January 9	(Friday) January 12	(Wednesday) January 17 – 1:00 p.m. EST	(Friday) January 19

### **Derivatives (End-of-Month) Short Interest Schedule 2007**

<b>Trade Date</b>	<b>Settlement Date</b>	<b>Report Due</b>	<b>Report Published</b>
(Friday) January 26	(Wednesday) January 31	(Thursday) February 1 – 1:00 p.m. EST	(Monday) February 5

## The List

This information can be found on the Web. In order to retrieve this information, go to <http://www.amextrader.com> and from the home page click the Daily List button. At the Daily List home page scroll down to Amex issues and select the view link for the current date. Should you have any questions please call (212) 306-2438.

### The Following Securities Have Been Approved for Listing:

BPZ Energy, Inc. 69,365,869 shares of Common Stock, Without Par Value

### Additional & Substitution Listings:

Austral Pacific Energy Ltd., 2,500,000 Shares of Common Stock, No Par Value

Fusion Telecommunications International, Inc., 3,480,559 Shares of Common Stock, \$0.01 Par Value

ImageWare Systems, Inc., 2,642,021 Shares of Common Stock, \$0.10 Par Value

Peace Arch Entertainment Group, Inc., 39,907 Shares of Common Stock, No Par Value

Sunair Services Corporation, 73,529 Shares of Common Stock, \$0.10 Par Value

Tri-Valley Corporation, 200,000 Shares of Common Stock, \$0.001 Par Value

US Dataworks, Inc., 6,100,000 Shares of Common Stock, \$0.0001 Par Value

**Corporate Actions and New Listings announced for January 5- January 12, 2007 can be viewed on the Issues Daily list homepage on [amextrader.com](http://www.amextrader.com); click on [Issues Daily List](#) to view the Issues Daily List.**

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## Registered Interest

<u>Name of Company</u>	<u>Record Date</u> <u>(New York unless stated otherwise)</u>
<b>Interest Due November 1</b>	
Thermo Electron Corp. (3/14% Convertible Subordinated Debentures, due 11/1/07)	Oct. 15
Wachovia Corporation (9.75% Sunoco, Inc. Trigger CAPITALS, due 11/1/06)	Oct. 17
(13% Google Inc. Enhanced Yield Securities, due 2/1/07)	Oct. 17
<b>Interest due November 10</b>	
Merrill Lynch & Co., Inc. (0.25% Time Warner Inc. Callable & Exchangeable Stk link Notes, due 5/10/06)	Oct. 25
<b>Interest due November 15</b>	
Wachovia Corporation (9.375% Transocean Enhanced Yield Secs, due 5/15/07)	Oct. 31
(11.25% Toll Brothers Enhanced Yield Secs, due 5/15/07)	Oct. 31

**Interest due November 27**

Bank of America  
(1% Basket CYCLES linked to a 70/30  
Basket of Four Indices & 1 ETF, due 5/27/10) Nov. 12  
(1.5% S&P 500 Capital Protect Equity  
Performance Notes, due 11/26/2010) Nov. 1

**Interest due November 28**

Merrill Lynch & Co., Inc. (1% McDonald's Convertible  
Exchangeable Securities, due 5/28/09) Nov. 13  
  
Bear Stearns Companies, Inc.  
(1.5% S&P 500 Principal Protected Notes, due 11/30/09) Nov. 15

**Interest due November 29**

Allstate Life Global Funding  
(4.50% Secured Medium Term Notes, due 5/29/09) Nov. 14

**Interest due December 1**

Wachovia Corporation  
(8.25% Newmont Mining Enhanced Yield Secs, due 12/1/06) Nov. 16

**Interest due December 12**

Wachovia Corporation  
(10.25% Valero Energy Corp.  
Enhanced Yield Securities, due 3/12/07) Nov. 27  
(14% Advanced Micro Devices Enhanced Yield  
Securities, due 3/12/07) Nov. 27

**Interest due December 15**

Bond Trust Products LLC (Bond Trust Products Trust  
Pass-Through Trust Certificates, due 6/15/2010) Dec. 14

Stater Bros. Holdings Inc.  
(Floating Rate Notes, due 6/15/10) Dec. 1  
(8 1/8% Senior Notes, due 6/15/12) Dec. 1

Wachovia Corporation (.25% Basket of Common Stocks  
Exchangeable Notes, due 12/15/10) Nov. 30

**Interest due December 22**

CIBC World Markets (Basket of 10 Large-Cap Stocks  
Principal Protected Notes, due 12/22/10) Dec. 21

**Interest due December 26**

CIBC World Markets  
(Ten Large-Cap U.S. Stocks MERITS, due 12/26/12) Dec. 25

**Interest due December 27**

Bank of America (1.25% Basket CYCLES Linked to a  
"70/30" Basket of Four Indices and 1 ETF, due 12/27/10) Dec. 12

**Interest due December 28**

Bank of America  
(S&P 400 MidCap Index CYCLES, due 12/28/10) Dec. 1  
(1.5% Healthcare Stocks Capital Protected Equity  
Performance Link Securities., due 12/20/11) Dec. 13

**Interest due December 30**

Morgan Stanley (2% 3M Co. Exchangeable Notes, due 12/30/10)	Dec. 15
(1.25% General Electric Exchangeable Notes, due 12/30/08)	Dec. 15
(1.5 % Wal-Mart Stores Exchangeable Notes, due 6/30/11)	Dec. 15

**Interest due January 2**

Hawk Corporation (8 3/4% Senior Notes, due 11/1/14)	Dec. 15
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Wachovia Corporation (9% Halliburton Co. Enhanced Yield Secs, due 12/30/06)	Dec. 15
(13.5% Chesapeake Energy Enhanced Yield, due 12/30/06)	Dec. 15

**Interest due January 7**

Merrill Lynch & Co., Inc (.25% Wells Fargo & Co. Stock-Linked Notes –1/7/08)	Dec. 23
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**Interest Due January 10**

Wachovia Corporation (19.25% General Motors Enhanced Yield Secs, due 7/10/07)	Dec. 26
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**Interest due January 15**

CBS Corporation. (7.625% Senior Debentures-2016)	Jan. 1
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**Interest due January 20**

Wachovia Corporation (10% Consol Energy Inc. Enhanced Yield Securities, 4/20/07)	Jan. 5
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**Interest due January 26**

Bank of America (0.25% Nasdaq-100 Cash Settled Exchangeable Notes, due 1/26/10)	Jan. 11
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**Interest due January 29**

Bank of America (1% Healthcare Basket Index "CYCLES", due 1/28/11)	Jan. 1
(1.50% Basket CYCLES linked to an "80/20" Basket of Four Indices & 1 ETF, due 7/29/11)	Jan. 14

**Interest due February 1**

Chandler (USA), Inc. (8 3/4% Senior Debentures, due 7/1/14)	Jan. 15
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Wachovia Corporation (13% Google Inc. Enhanced Yield Securities, due 2/1/07)	Jan. 17
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**Interest due February 14**

CIBC World Markets (10 Large-Cap U.S. Stock Basket Yield Generator Notes, due 2/14/11)	Feb. 13
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**Interest due February 15**

Wachovia Corporation (11.25% Toll Brothers Enhanced Yield Secs, due 5/15/07)	Jan. 31
(9.375% Transocean Enhanced Yield Secs, due 5/15/07)	Jan. 31

**Interest due February 22**

Citigroup Global Markets Holdings, Inc. (3% Pfizer Exchangeable Notes, due 8/22/08)	Feb. 21
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**Interest due February 26**

Bank of America	
(1.25% SPX Index CYCLES, due 2/24/10)	Feb. 9
(1.25% DJIA Index CYCLES, due 8/25/10)	Feb. 10

**List of Bonds Dealt in “Flat”**

**Traded Flat from Listing Date**

ABN AMRO	SHH.A (S&P 500 Structured Hybrid Equity link Secs-1/16/14)
American Corporate Accruals, Inc.	(Callable Principal Receipts-2024)
Bank of America Corp.	BOA.C (S&P 500 linked Notes-7/2/07)
Bank of America Corp.	BOA.D (Nasdaq-100 EAGLES-6/1/10)
Bank of America Corp.	BOA.E (S&P 500 EAGLES- 6/28/10)
Bank of America Corp.	BOA.F (Nikkei 225 6% Min Return linked Notes-6/24/10)
Bank of America Corp.	BOA.G (16 Energy Stk linked EAGLES-8/2/10)
Bank of America Corp.	BOA.H (Russell 2000 EAGLES-8/28/09)
Bank of America Corp.	BOA.I (DJIA Minimum Return EAGLES-9/25/09)
Bank of America Corp.	BOA.J (Nasdaq-100 EAGLES-10/29/10)
Bank of America Corp.	BOA.M (Nikkei 225 Return Linked Notes-6/28/10)
Bank of America Corp.	BOA.O (Russell 2000 EAGLES-1/28/11)
Bank of America Corp.	BOA.R (Nasdaq-100 EAGLES-3/24/09)
Bank of America Corp.	BOA.U (EAGLES linked to the DJIA-6/25/10)
Bank of America Corp.	BOA.W (Amex Exch Biotechnology Index EAGLES-8/28/09)
Bank of America Corp.	BOA.Z (Energy Stocks EAGLES-9/29/10)
Bank of America Corp.	BOR.A (S&P 500 EAGLES-10/29/10)
Bank of America Corp.	BOR.B (Nasdaq-100 Index EAGLES-11/23/10)
Bank of America Corp.	BOR.C (CBOE China Index EAGLES-11/24/10)
Bank of America Corp.	BOR.F (DJIA EAGLES-3/25/11)
Bank of America Corp.	BOR.I (Basket of 3 Indices Return Linked Notes-8/26/10)
Bank of America Corp.	BOR.J ("80/20" Basket of Four Indices Return Link Notes-6/27/11)
Bank of America Corp.	BOR.K (S&P 500 EAGLES-7/29/10)
Bank of America Corp.	BOR.L (Return link notes linked to a basket of two Indices-1/28/11)
Bank of America Corp.	BOR.M (Index EAGLES Linked to the DJIA-8/26/10)
Bank of America Corp.	BOR.N (Dow Jones EURO STOXX 50 Return Link Notes-8/25/11)
Bank of America Corp.	BOR.O (S&P 500 Index EAGLES-10/3/11)
Bank of America Corp.	BOR.P (Amex Biotechnology Index EAGLES-10/28/11)
Bank of America Corp.	BOR.Q (Basket of Three Indices Return Link Notes-10/27/11)
Bank of America Corp.	BOR.R (Basket of Two Indices Return Link Notes-11/22/10)
Bank of America Corp.	BOR.S (Basket of Five EAGLES-11/23/11)
Bank of America Corp.	BOR.T (DJIA Index EAGLES -12/27/11)
Bank of America Corp.	BOR.U (Basket of 3 Indices Return Link Notes-12/29/11)
Bear Stearns & Co. Inc.	BYF.A (U.S. Sector ETFs Principal Protect Notes-2/5/08)
Bear Stearns & Co. Inc.	BYF.B (S&P 500 Principal Protect Notes-10/1/08)
Bear Stearns & Co. Inc.	BYF.C (NASDAQ-100 Principal Protect Notes-12/22/09)
Bear Stearns & Co. Inc.	BYF.D (DJIA Principal Protect Notes-3/23/11)
Bear Stearns & Co. Inc.	BYF.F (Three Intl Indices Medium Term Notes-8/2/10)
Canadian Imperial Bank of Commerce	MRS.A (S&P 500 linked Notes-7/30/10)
Canadian Imperial Bank of Commerce	MRS.B (CIBC 10 U.S. Stks Optimizer Notes-1/30/09)
Canadian Imperial Bank of Commerce	MRS.C (CIBC 10 U.S. Stks Optimizer Notes-3/5/09)
Canadian Imperial Bank of Commerce	MRS.D (CIBC 10 U.S. Stks Optimizer Notes-3/31/09)
Canadian Imperial Bank of Commerce	MRS.E (CIBC 10 U.S. Stks Optimizer Notes-4/30/09)
Canadian Imperial Bank of Commerce	MRS.F (S&P 500 MERITS-6/30/11)
Canadian Imperial Bank of Commerce	MRS.G (CIBC 10 U.S. Stks Optimizer Notes-6/30/09)
Canadian Imperial Bank of Commerce	MRS.H (NASDAQ-100 MERITS-8/1/11)
Canadian Imperial Bank of Commerce	MRS.I (DJIA MERITS-8/31/11)
Canadian Imperial Bank of Commerce	MRS.J (S&P 500 Principal Protected Notes-3/31/09)

Canadian Imperial Bank of Commerce	MRS.K (DJIA MERITS-1/30/09)
Canadian Imperial Bank of Commerce	MRS.M (S&P 500 Index linked Notes-12/31/08)
Canadian Imperial Bank of Commerce	MRS.O (Global Indices Basket linked Notes-9/18/08)
Canadian Imperial Bank of Commerce	MRS.S (S&P 500 Principal Protected Notes-6/15/10)
Canadian Imperial Bank of Commerce	MRS.U (Global Basket of Three Equity Indices -7/29/10)
Citigroup Global Market Holdings Inc.	NDG.A (Nasdaq-100 Principal Protect Notes-12/4/07)
Citigroup Global Market Holdings Inc.	SCW.A (S&P 500 Principal Protect Notes-10/22/07)
Credit Suisse (USA), Inc.	IPJ.A (S&P 500 Five Year Contingent Protect Secs-9/30/08)
Credit Suisse (USA), Inc.	IPJ.B (S&P 500 Five Year Contingent Protect Secs-11/26/08)
Goldman Sachs Group, Inc. (The)	GSD.B (S&P 500 linked Notes-8/7/11)
Goldman Sachs Group, Inc. (The)	GSN.B (S&P 500 Medium Term Notes-2/28/13)
Goldman Sachs Group, Inc. (The)	GSN.C (S&P 500 Medium Term Notes-4/18/13)
Goldman Sachs Group, Inc. (The)	GSN.D (S&P 500 Medium Term Notes-5/30/13)
International Finance Corp. (The)	IFA.A (S&P 500 Principal Protect Notes-2/8/07)
JP Morgan Chase & Co.	JPI.A (Consumer Price Index Secs-1/15/10)
JP Morgan Chase & Co.	JPL.A (S&P 500 linked Notes-11/26/07)
JP Morgan Chase & Co.	JPL.B (S&P 500 Market Participation Notes-3/12/08)
JP Morgan Chase & Co.	JPL.C (Cap Qtr Observation Notes-9/22/08)
JP Morgan Chase & Co.	JPL.D (S&P 500 Cap Qtr Observation Notes-10/30/08)
JP Morgan Chase & Co.	JPL.E (S&P 500 Cap Qtr Observation Notes-1/21/09)
JP Morgan Chase & Co.	JPL.F (S&P 500 Mkt Participation Notes-3/31/09)
JP Morgan Chase & Co.	JPL.G (S&P 500 Cap Qtr Observation Notes-7/7/09)
JP Morgan Chase & Co.	JPL.H (S&P 500 Cap Qtr Observation Notes-9/21/09)
JP Morgan Chase & Co.	JPL.I (S&P 500 Principal Protect Notes-9/30/10)
Lehman Brothers Holdings Inc.	LBN.A (Dow Jones EUROSTOXX 50 Index-7/7/08)
Lehman Brothers Holdings Inc.	LBN.B (Dow Jones EURO STOXX 50 Index-7/29/08)
Lehman Brothers Holdings Inc.	LSQ.B (DJIA PrincipalPlus SUNS-8/5/07)
Lehman Brothers Holdings Inc.	LSQ.C (DJIA SUNS-4/29/10)
Lehman Brothers Holdings Inc.	LSQ.D (Nikkei 225 SUNS-6/10/10)
Lehman Brothers Holdings Inc.	PSZ.C (S&P 500 SUNS-8/5/08)
Lehman Brothers Holdings Inc.	PSZ.D (S&P 500 SUNS-9/27/07)
Lehman Brothers Holdings Inc.	PSZ.E (S&P 500 Callable SUNS-11/6/09)
Lehman Brothers Holdings Inc.	PSZ.F (DJ Global Titans Index SUNS-2/9/10)
Lehman Brothers Holdings Inc.	RLN.C (Nasdaq-100 Rebound RANGERS-5/20/07)
Lehman Brothers Holdings Inc.	RLN.D (Nasdaq-100 Rebound RANGERS-6/7/08)
Lehman Brothers Holdings Inc.	RLN.E (S&P 500 linked Notes-9/28/09)
Lehman Brothers Holdings Inc.	RLN.G (DJ STOXX 50 linked Notes-11/15/09)
Lehman Brothers Holdings Inc.	RLN.H (Russell 2000 Index linked Notes-12/23/09)
Lehman Brothers Holdings Inc.	RLN.I (S&P 500 Index-Plus Notes-3/3/10)
Merrill Lynch	COD.A (Coca-Cola Co Exchangeable Conv Secs-9/30/08)
Merrill Lynch	EXO.A (Exxon Mobile Exchangeable Conv Secs-10/3/08)
Morgan Stanley	BSN.A (3 stks Sr Exchangeable Debt-12/30/08)
Morgan Stanley	ITM.A (Intel Stk Participation Notes-12/30/10)
Morgan Stanley	JMS.A (S&P 500 Callable Notes-12/30/08)
Morgan Stanley	MRW.A (S&P 500 Mkt Participation Secs-12/30/10)
Morgan Stanley	MWT.A (Wal-Mart Stk Participation Notes-9/15/10)
PMA Capital Corporation	PMK (8.5% Monthly Income Sr Notes-6/15/18)
UBS AG	PPV.C (Russell 2000 Principal Protect Notes-4/30/09)
UBS AG	PPV.E (DJIA Principal Protect Notes-5/29/09)
UBS AG	PPV.G (S&P 500 Principal Protect Notes-11/7/07)
UBS AG	PPV.H (S&P 500 Principal Protect Notes-12/7/07)
UBS AG	PPV.I (U.S. Equity Indices Principal Protect Notes-10/7/11)
UBS AG	PPV.J (DJIA Principal Protect Notes-2/7/08)
UBS AG	PPV.K (S&P 500 Principal Protect Notes-9/8/10)
UBS AG	PPV.L (S&P 500 Principal Protect Notes-4/8/10)
UBS AG	PPV.M (S&P 500 Principal Protect Notes-10/7/09)

UBS AG	PPV.N (S&P 500 Principal Protect Notes-5/7/10)
UBS AG	PPV.O (S&P 500 Principal Protect Notes-6/7/10)
UBS AG	PPV.P (S&P 500 Principal Protect Notes-7/8/10)
UBS AG	PPV.Q (S&P 500 Principal Protect Notes-8/6/10)
UBS.AG	PPV.R (S&P 500 Principal Protect Notes-9/8/09)
UBS AG	PPZ.A (S&P 500 Partial Protect Notes-11/05/07)
UBS AG	PPZ.B (S&P 500 Partial Principal Protect Notes-2/28/08)
UBS AG	PPZ.C (S&P 500 Partial Principal Protect Notes-11/5/10)
Wachovia Corporation	WDB.B (Nikkei 225 ASTROS-3/2/10)
Wachovia Corporation	WDB.D (S&P 500 linked to Trigger CAPITALS-12/8/08)
Wachovia Corporation	WDB.E (Global Basket of Indices ASTROS-2/2/10)
Wachovia Corporation	WDB.I (Global Equity Basket ASTROS-5/5/10)
Wachovia Corporation	WDB.M (Metals-China Basket ASTROS-1/28/09)
Wachovia Corporation	WDB.R (Nikkei 225 Index LUNARS-4/30/07)
Wachovia Corporation	WTY.A (4.5% U.S. Treasuries Principal Protect Notes-6/6/07)
Wells Fargo & Company	WFG.A (S&P 500 linked Notes-1/4/08)
Wells Fargo & Company	WFG.B (Nasdaq-100 linked Notes-1/4/08)
Wells Fargo & Company	WFG.C (Basket linked Notes-4/15/09)
Wells Fargo & Company	WFG.D (DJIA High Point Average linked Notes-5/5/10)
Wells Fargo & Company	WFG.F (S&P 500 Callable Notes-8/25/09)

## Dividends

The ex-dividend dates have been established pursuant to the provisions of Rule 830 of the Board of Governors.

Attention is directed to the fact that stocks which are also dealt in on other exchanges may have different ex-dividend dates on such out-of-town exchanges since ex-dividend dates may vary in relationship to the delivery plan under which an exchange operates, and its proximity to the transfer office.

### NORMAL EX-DIVIDEND DATES FOR RECORD DATES SPECIFIED

<u>Record</u>	<u>Ex-Date</u>	<u>Record</u>	<u>Ex-Date</u>	<u>Record</u>	<u>Ex-Date</u>
Jan. 15	Jan. 10	Jan. 18	Jan. 16	Jan. 21	Jan. 17
Jan. 16	Jan. 11	Jan. 19	Jan. 17	Jan. 22	Jan. 18
Jan. 17	Jan. 12	Jan. 20	Jan. 17	Jan. 23	Jan. 19

**Dividends announced for January 8-January 12, 2007 can be viewed on the Dividend Daily list homepage on [amextrader.com](http://amextrader.com); click on [Dividends Daily List](#) to view the Dividends Daily List.**

Please call the Corporate Actions/Proxy Rulings Department at 212-306-2438 with any questions.

## Meetings of Stockholders

Members should, insofar as possible without additional expense, transfer certificates of stock held in persons or firms over whom they have no control into their own names, or those of persons over whom they have control, before the record date for determining stockholders entitled to vote.

As a guide to Member Organizations in giving proxies pursuant to Rule 577 to vote stock held for the account of customers the following symbols are used in the list of meetings below:

- V = Preceding Record Date indicates Members MAY vote the entire proxy under the Ten Day Rule.  
X = Together with numbers or letters indicates that Members MAY NOT vote the designated matters without specific instructions from owners. Numbers or letters shown are those used in the PROXY FORM.  
X = With no other designation indicates that Members MAY NOT vote the entire proxy.  
NP = Indicates that proxies are not being solicited.  
The following abbreviations are used for items not numbered or lettered in the proxy form:  
SP = Stockholder Proposal(s)      BY = By-Law Amendment  
OP = Option Plan                      CH = Charter Amendment  
STP = Stock Purchase Plan          MP = Merger Proposal  
CP = Compensation Plan

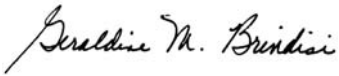
Where no symbol appears, proxy material has not yet been received.

<u>Name of Company</u>		<u>Record</u>		<u>Meeting</u>	
*Aberdeen Asia-Pacific Income Fund, Inc.		Jan.	16	A	Mar. 8
*Aberdeen Australia Equity Fund, Inc.		Jan.	16	A	Mar. 8
*Aberdeen Global Income Fund, Inc.		Jan.	16	A	Mar. 8
*Acquicor Technology, Inc.		Jan.	16	S	
*ADDvantage Technologies Group		Jan.	17	A	Mar. 6
*Allied Defense Group, Inc. Note: (3) X-3		Dec.	20	A	Feb. 15
Ascendia Brands, Inc. X-3-4-5		Dec.	29	A	Feb. 14
Bancroft Fund Ltd.	V	Dec.	20	A	Feb. 12
Barnwell Industries, Inc.		Jan.	8	A	Mar. 5
Chase Corporation	V	Nov.	30	A	Feb. 5
Commerce Energy Group, Inc.	V	Dec.	6	A	Jan. 25
Competitive Technologies, Inc. Note: (1)	X	Nov.	20	A	Jan. 16
Consolidated-Tomoka Land Co.		Mar.	1	A	Apr. 25
*Continuicare Corporation X-2		Jan.	2	A	Feb. 7
Credit Suisse High Yield Bond Fund		Dec.	22	A	Feb. 15
Cubic Corporation		Jan.	5	A	Feb. 20
Eagle Broadband, Inc.	X-2	Nov.	24	A	Jan. 23
*Eaton Vance Limited Duration Income Fund	V	Dec.	18	A	Feb. 23
Eaton Vance California Municipal Income Trust		Jan.	18	A	Mar. 23
Eaton Vance Florida Municipal Income Trust		Jan.	18	A	Mar. 23
Eaton Vance Massachusetts Municipal Income Trust		Jan.	18	A	Mar. 23
Eaton Vance Michigan Municipal Income Trust		Jan.	18	A	Mar. 23
Eaton Vance New Jersey Municipal Income Trust		Jan.	18	A	Mar. 23
Eaton Vance New York Municipal Income Trust		Jan.	18	A	Mar. 23
Eaton Vance Ohio Municipal Income Trust		Jan.	18	A	Mar. 23
Eaton Vance Pennsylvania Municipal Income Trust		Jan.	18	A	Mar. 23
Ecology and Environment, Inc.	V	Dec.	4	A	Jan. 18
Emerging Markets Telecommunications Fund, Inc.		Dec.	8	A	Feb. 15
Evergreen Managed Income Fund	V	Dec.	15	A	Feb. 16
Flanigan's Enterprises, Inc.		Jan.	5	A	Feb. 23
*Florida Public Utilities Company		Mar.	16	A	May 8

*Galaxy Energy Corporation	Note: (5)	Jan.	19	S	Jan.	29
General Employment Enterprises, Inc.		Jan.	2	A	Feb.	26
Globix Corporation		Jan.	22	A		
*Gouverneur Bancorp, Inc.	V	Dec.	27	A	Feb.	12
Hyperdynamics Corporation		Jan.	12	A	Mar.	6
IA Global, Inc.	Note: (2)	Dec.	4			
Immtech Pharmaceuticals, Inc.		Jan.	17	A	Mar.	2
Intermediate Muni Fund, Inc.		Mar.	19	A		
Jennifer Convertibles, Inc.	X-3	Dec.	18	A	Feb.	6
*Lannett Company, Inc.	X-3	Dec.	13	A	Jan.	24
*LSB Industries, Inc.		Jan.	23	S	Mar.	6
LSB Industries, Inc.		May	25	A	Jun.	28
*Merchants Group, Inc.	X	Dec.	20	S	Feb.	1
Meritage Hospitality Group	X	Dec.	14	S	Jan.	23
*MicroIslet, Inc.	Note: (4)	Dec.	26	S	Jan.	25
New Dragon Asia Corp.	Note: (2)	Dec.	19			
Northern Technologies, Inc.	X-2-3	Nov.	29	A	Jan.	23
NovaDel Pharma Inc.	V	Nov.	22	A	Jan.	16
Nuveen Insured New York Dividend						
Advantage Municipal Fund		Feb.	5	A	Apr.	4
Nuveen New York Dividend						
Advantage Municipal Fund 2		Feb.	5	A	Apr.	4
Nuveen Insured New York Tax-Free						
Advantage Municipal Fund		Feb.	5	A	Apr.	4
Nuveen Real Estate Income Fund		Feb.	5	A	Apr.	4
*Panhandle Royalty Company		Jan.	18	A	Mar.	8
Peace Arch Entertainment Group Inc.		Jan.	8	A	Feb.	7
*Pittsburgh & West Virginia Railroad		Mar.	16	A	May	17
RMR Hospitality and Real Estate Fund		Dec.	11	A	Mar.	8
Rotonics Manufacturing Inc.	X	Dec.	15	S	Jan.	26
SIFCO Industries, Inc.	V	Dec.	4	A	Jan.	30
*Simulations Plus, Inc.		Dec.	20	A	Feb.	23
Softbrands, Inc.	X-2	Dec.	21	A	Feb.	5
*Sunair Services Corporation	V	Jan.	5	A	Feb.	7
*Tarpon Industries, Inc.	X	Jan.	3	A	Feb.	2
Tech/Ops Sevcon, Inc.	V	Dec.	16	A	Jan.	23
Teche Holding Company	V	Dec.	11	A	Jan.	24
Viragen, Inc.	X-2	Dec.	18	A	Jan.	25
*VitaCube Systems Holdings	Note: (4)	Nov.	24	A	Jan.	26

\* Additions to or change from previous Bulletins.  
S Special Meeting.  
A Annual Meeting.  
Adj Adjourned Meeting.  
† In Lieu of Annual Meeting.

- (1) In addition to proxy material filed by the company, The Committee to Restore Stockholder Value of Competitive Technologies has filed soliciting proxies in opposition of the Board of Directors and to elect their own directors. Member organizations May Not Vote either proxy unless specific instructions have been received from such beneficial owners.
- (2) Record date is for “Information Statement” only, no solicitation involved.
- (3) Meeting date revised by the Company.
- (4) Meeting date postponed by the Company.
- (5) Record date revised by the Company, meeting date postponed.



Geraldine M. Brindisi  
Corporate Secretary