



Membership Seat Prices (in 000s)

(As of 9:30 A.M., February 2, 2007)

	BID	OFFER	LAST CONTRACTED
Regular	373	390	400
Options Principal	110	375	80
Limited Trading Permits	5	6.5	25

Includes only those sales arranged pursuant to bids and offers filed with the Membership and Registration Department between the hours of 9:30 a.m. – 4:00 p.m.

Seat market information may be obtained by calling toll-free (877) Amex Seat (877-263-9732). Seat prices are updated intraday on www.amextrader.com.

Membership Lease Prices

(As of 9:30 A.M., February 2, 2007)

The following leases were received:

Includes monthly rental rate information only with respect to Exchange-approved negotiated leases. Not reported are arms length leases or leases for a nominal consideration.

REGULAR

New		Renewal	
<u>Price</u>	<u>Term</u>	<u>Price</u>	<u>Term</u>
4 @ \$400	1 year	2 @ \$400	1 year
\$350	1 year	\$350	1 year
		\$325	1 year
		3 @ \$400	6 months
		\$400	3 months
		5 @ \$375	6 months
		4 @ \$350	6 months
		2 @ \$350	3 months
		\$340	4 months

OPTIONS PRINCIPAL

New		Renewal	
<u>Price</u>	<u>Term</u>	<u>Price</u>	<u>Term</u>
None		\$100	6 months

LIMITED TRADING PERMIT

New		Renewal	
<u>Price</u>	<u>Term</u>	<u>Price</u>	<u>Term</u>
None		None	

Month-End Membership Data
(As of January 31, 2007)

REGULAR

Last Completed Sales	\$365,000 – January 25, 2007
.....	\$375,000 – January 25, 2007
Pending Arranged Sales	2 @ \$400,000 – January 25, 2007
.....	\$350,000 – January 22, 2007
.....	\$350,000 – January 19, 2007
.....	\$380,000 – January 18, 2007
.....	2 @ \$375,000 – January 17, 2007
.....	\$380,000 – January 17, 2007
Closing Bid	\$365,000
Closing Offer	\$388,500
Average Price for the Month of January.....	\$346,026

OPTIONS PRINCIPAL

Last Completed Sale.....	\$80,000 – August 18, 2005
Pending Arranged Sales	None
Closing Bid	\$110,000
Closing Offer	\$375,000
Average Price for the Month of January.....	None

LIMITED TRADING PERMIT

Last Completed Sale.....	\$25,000 – June 20, 2003
Pending Arranged Sales	None
Closing Bid	\$5,000
Closing Offer	\$6,500
Average Price for the Month of January	None

Transfers of Membership

Termination of Special Transfer Agreement – Regular
(Effective January 26, 2007)

Steven Domenech* Heights Partners Inc. Badge No. 84	to	SCGG Partners Attn: Scott Goldstein 65 Broadway, 10 th Floor New York, NY 10006 Seat No. 281-R
(Effective January 31, 2007) Anthony Basenese* Geronimo LLC Badge No. 723-B	to	Robert J. Kallman Independent 6 Tatem Way Old Westbury, NY 11568 Seat No. 772-R
David J. Townsend Wolverine Trading LLC Badge No. 946-T	to	Bernard M. Dengler Independent 141 Coolidge Avenue Long Beach, NY 11561 Seat No. 126-R

(Effective February 1, 2007)

Jason L. Flaherty*
Amerivest Partners LLC
Badge No. 43

to **Matthew T. Charlton**
Independent
121 Golf Edge
Westfield, NJ 07090
Seat No. 77-R

Bronson Plocus*
AGS Specialists II, LLC
Badge No. 810-P

to **Richard Schwarzkopf**
Independent
3 Sheridan Square, #12D
New York, NY 10014-6828
Seat No. 589-R

Erik T. Shea
Kellogg Capital Group LLC
Badge No. 973-T

to **Roger P. Fenn, Sr.**
Independent
16 Westview Avenue
Shrewsbury, MA 01545
Seat No. 170-R

*Simultaneously transferring to another seat

**Currently a member on another seat

New Memberships

Sales

(Effective February 1, 2007)

Matthew T. Charlton
Independent

to **Merrill Lynch, Pierce, Fenner & Smith
Incorporated**
Attn: Robert Cunningham
222 Broadway, 16th Floor
New York, NY 10038
Seat No. 77-R

Morgan Stanley DW, Inc.

to **Merrill Lynch, Pierce, Fenner & Smith
Incorporated**
Attn: Robert Cunningham
222 Broadway, 16th Floor
New York, NY 10038
Seat No. 263-R

Richard Schwarzkopf
Independent

to **Merrill Lynch, Pierce, Fenner & Smith
Incorporated**
Attn: Robert Cunningham
222 Broadway, 16th Floor
New York, NY 10038
Seat No. 589-R

Nominee Authorization and Agreement – Regular

(Effective February 1, 2007)

Bear, Stearns & Co. Inc.

to **Bruce Geismar**
Off-Floor Trader
Bear, Stearns & Co., Inc.
2 Rector Street
New York, NY 10006
Seat No. 286-R

**Special Transfer Agreement – Regular
(Effective January 26, 2007)**

Robert D. Purcell
Independent

to

Anthony Basenese
Commission Broker
Divine Capital Markets LLC
39 Broadway, 36th Floor
New York, NY 10006
Seat No. 437-R
Badge No. 105

(Effective February 1, 2007)

G&B Miller Inc. Defined Benefit Pension Plan

to

Jason L. Flaherty
Broker
Amerivest Partners LLC
40 Wall Street, 45th Floor
New York, NY 10005
Seat No. 151-R
Badge No. 43

Dawn Kallman

Independent

to

Bronson Plocus
Specialist
AGS Specialists II, LLC
One World Financial Center, 26th Floor
New York, NY 10281
Seat No. 771-R
Badge No. 810-P

SROT TRANSFER

(Effective February 1, 2007)

Lessor: Frost Gamma Limited Partnership
Seat #s -250-R, 261-R, 276-R

to

Donn J. Stobierski
Off-Floor Trader
Citadel Derivatives Group LLC
131 S. Dearborn Street
Chicago, IL 60603

Current Members – Firm Status Change

(Effective January 2, 2007)

Anthony Milone
Fimat Preferred LLC

to

Anthony Milone
House Broker
Fimat USA, LLC
220 Bush Street, Suite 650
San Francisco, CA 94104
Seat No. 632-R
Badge No. 47

(Effective January 29, 2007)

Leonard Bullaro
Bullaro Securities Corp.

to

Leonard Bullaro
Trader
Bullaro Securities Corp. and
The Gaussian Group LLC
25-19 Steinway Street
Astoria, NY 11903
Seat No. 92-R
Badge No. 857-B

Allied Members

(Effective January 26, 2007)

Danielle F. Hughes

Chief Executive Officer
Divine Capital Markets LLC
39 Broadway, 36th Floor
New York, NY 10006

Michael C. Wallach

Chief Operations Officer
Divine Capital Markets LLC
39 Broadway, 36th Floor
New York, NY 10006

(Effective February 1, 2007)

Arthur Bagatta, Jr.

Managing Director
AGS Specialists II, LLC
One World Financial Center, 26th Floor
New York, NY 10281

William L. Dersch

Managing Member
FM Brokerage, L.L.C.
80 Chamber Street, #12C
New York, NY 10007

Andrew D. McOrmond

Managing Member
FM Brokerage, L.L.C.
80 Chamber Street, #12C
New York, NY 10007

Approved Persons

(Effective February 1, 2007)

Arthur Dantchik

Managing Director of General Partner
Susquehanna Securities
401 City Avenue, Suite 220
Bala Cynwyd, PA 19004

Joel K. Greenberg

Managing Director of General Partner
Susquehanna Securities
401 City Avenue, Suite 220
Bala Cynwyd, PA 19004

Jeffrey S. Yass

Managing Director of General Partner
Susquehanna Securities
401 City Avenue, Suite 220
Bala Cynwyd, PA 19004

Proposed Approved Person Organizations

(To Be Effective January 26, 2007)

Divine Holdings LLC
Sole Owner
Divine Capital Markets LLC
39 Broadway, 36th Floor
New York, NY 10006

(Effective February 1, 2007)

Divine Alternative Asset Management LLC
Under Common Control With
Divine Capital Markets LLC
39 Broadway, 36th Floor
New York, NY 10006

Zen Holdings, LLC

Under Common Control With
AGS Specialists II, LLC
One World Financial Center, 26th Floor
New York, NY 10281

Changes in Member Organizations

****AGS Specialists LLC**

Ceased to be registered as a Regular Member Organization, effective January 25, 2007.

****AGS Specialists II, LLC**

Arthur Bagatta, Jr. approved as an Allied Member, effective February 1, 2007

****Divine Capital Markets LLC**

Admitted as a Regular Member Organization, effective January 26, 2007.

(LLC Member does not signify “Exchange Member”)

Divine Holdings LLC, Owner and Approved Person Organization
Robert J. DiGennaro, Chief Compliance Officer
Danielle F. Hughes, Chief Executive Officer and Allied Member
Michael C. Wallach, Chief Operations Officer and Allied Member
Judigh R. Wernsing, Financial and Operations Principal
*Anthony Basenese, Broker

****FM Brokerage, L.L.C.**

William L. Dersch and Andrew D. McOrmond approved as Allied Members, effective February 1, 2007.

****Susquehanna Securities**

Arthur Dantchik, Joel K. Greenberg and Jeffrey S. Yass approved as Approved Persons, effective February 1, 2007.

Changes of Address

*John E. Alter, AGS Specialists II, LLC, One World Financial Center, 200 Liberty Street, 26th Floor, New York, NY 10281

*Michael L. Ashley, AGS Specialists II, LLC, One World Financial Center, 200 Liberty Street, 26th Floor, New York, NY 10281

*William G. Fallon, AGS Specialists II, LLC, One World Financial Center, 200 Liberty Street, 26th Floor, New York, NY 10281

*Sean J. Feeney, AGS Specialists II, LLC, One World Financial Center, 200 Liberty Street, 26th Floor, New York, NY 10281

*Michele M. Figueroa, AGS Specialists II, LLC, One World Financial Center, 200 Liberty Street, 26th Floor, New York, NY 10281

*Jarrett Finkelstein, AGS Specialists II, LLC, One World Financial Center, 200 Liberty Street, 26th Floor, New York, NY 10281

*Shaun Follo, AGS Specialists II, LLC, One World Financial Center, 200 Liberty Street, 26th Floor, New York, NY 10281
*Randy T. Garcia, AGS Specialists II, LLC, One World Financial Center, 200 Liberty Street, 26th Floor, New York, NY 10281
*S. Ryan Grimsley, AGS Specialists II, LLC, One World Financial Center, 200 Liberty Street, 26th Floor, New York, NY 10281
*Robert Hill, AGS Specialists II, LLC, One World Financial Center, 200 Liberty Street, 26th Floor, New York, NY 10281
*James R. Hyde, AGS Specialists II, LLC, One World Financial Center, 200 Liberty Street, 26th Floor, New York, NY 10281
*Gregory S. Keary, AGS Specialists II, LLC, One World Financial Center, 200 Liberty Street, 26th Floor, New York, NY 10281
*Kristina A. Liu, AGS Specialists II, LLC, One World Financial Center, 200 Liberty Street, 26th Floor, New York, NY 10281
*Marc J. Liu, AGS Specialists II, LLC, One World Financial Center, 200 Liberty Street, 26th Floor, New York, NY 10281
*Itay Livni, AGS Specialists II, LLC, One World Financial Center, 200 Liberty Street, 26th Floor, New York, NY 10281
*Christopher Matteo, AGS Specialists II, LLC, One World Financial Center, 200 Liberty Street, 26th Floor, New York, NY 10281
*Eric C. Mergenthaler, AGS Specialists II, LLC, One World Financial Center, 200 Liberty Street, 26th Floor, New York, NY 10281
*Robert F. Morrongiello, AGS Specialists II, LLC, One World Financial Center, 200 Liberty Street, 26th Floor, New York, NY 10281
@*Kevin R. O’Neill, AGS Specialists II, LLC and Gordon, Haskett Capital Corporation , One World Financial Center, 200 Liberty Street, 26th Floor, New York, NY 10281
*Michael Palmedo, AGS Specialists II, LLC, One World Financial Center, 200 Liberty Street, 26th Floor, New York, NY 10281
*Benjamin Phillips, AGS Specialists II, LLC, One World Financial Center, 200 Liberty Street, 26th Floor, New York, NY 10281
*Bronson Plocus, AGS Specialists II, LLC, One World Financial Center, 200 Liberty Street, 26th Floor, New York, NY 10281
*Brandon C. Purcell, AGS Specialists II, LLC, One World Financial Center, 200 Liberty Street, 26th Floor, New York, NY 10281
@*Andrew Schwarz, AGS Specialists II, LLC and AGS Specialist Partners, One World Financial Center, 200 Liberty Street, 26th Floor, New York, NY 10281
*Peter Schwarz, AGS Specialists II, LLC, One World Financial Center, 200 Liberty Street, 26th Floor, New York, NY 10281
*Charles C. Soteras, AGS Specialists II, LLC, One World Financial Center, 200 Liberty Street, 26th Floor, New York, NY 10281
*Edvin Yavarian, AGS Specialists II, LLC, One World Financial Center, 200 Liberty Street, 26th Floor, New York, NY 10281

* Regular Member
**Regular Member Organization
•Options Principal Member
••Options Principal Member Organization
†Associate Member
‡Associate Member Organization
#CBOE Member Organization
■NYSE Member Organization
□Limited Trading Permit Holder
□□Limited Trading Permit Holder Organization
@Also Employed By Another Firm
(§)Sole Proprietor ceased

Proposed Transfers of Membership

Termination of Special Transfer Agreement – Regular (To Be Effective February 2, 2007)

Paul A. Michaluk to **M. Robert Baldini**
RET Securities Corp. Independent

(To Be Effective at the Opening of Business February 5, 2007)

Ryan O’Connell* to **Noel Oellerich**
Kellogg Capital Group LLC Independent

(To Be Effective February 8, 2007)

Jeffrey Maas to **Sutton Partners**
Matrix Trading LLC

Paul Shust to **John J. McDonald, Jr.**
Bear Hunter Structured Products LLC Independent

*Simultaneously transferring to another seat

**Currently a member on another seat

New Memberships

Sales

(To Be Effective at the Opening of Business February 5, 2007)

Noel Oellerich to **CEF New York LLC**
Independent

(To Be Effective February 8, 2007)

Stanley M. Katz to **Jonathan Glaubach**
Independent

Prudential Equity Group, LLC to **Jonathan Glaubach**
Independent

Prudential Equity Group, LLC to **Merrill Lynch, Pierce, Fenner & Smith
Incorporation**

Special Transfer Agreement – Regular

(To Be Effective at the Opening of Business on February 5, 2007)

Roger P. Fenn, Sr. to **Ryan O’Connell**
Independent Specialist
Kellogg Capital Group LLC

(To Be Effective February 8, 2007)

Seth D. Halpern to **Arthur I. Steinberg**
Independent Trader of
Davisa Trading, LLC

Robert Kallman to **Christopher Glover**
Independent Specialist of
Bluefin Trading, LLC

John J. McDonald, Jr. to **Joseph Morelli**
Independent Specialist of
Bear Hunter Structured Products LLC

SROT TRANSFER

(To Be Effective February 8, 2007)

Robert Varkonyi to **Todd Hohman**
Independent Off-Floor Trader of
SLK-Hull Derivatives LLC

Current Members – Firm Status Change
(To Be Effective February 8, 2007)

Andre P. Chase Specialist Equitec New York, LLC & Equitec Specialists, LLC (Paris Securities Corporation – Lessor)	to	Andre P. Chase Specialist of Equitec New York, LLC & Equitec Specialists, LLC & Compass Professional Services East LLC
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Stephen J. Littman Broker Compass Professional Services LLC (Irving Yammer – Lessor)	to	Stephen J. Littman Broker of Compass Professional Services East LLC & Compass Professional Services LLC
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Proposed Allied Members

(To Be Effective February 8, 2007)

Daniel B. Asher
Member of
Compass Professional Services East LLC
111 W. Jackson, Suite 2000
Chicago, IL 60604

Fred O. Goldman
Chief Financial Officer of
Compass Professional Services East LLC
111 W. Jackson, Suite 2000
Chicago, IL 60604

Joseph Jogmen
Administrator/Compliance of
Compass Professional Services East LLC
111 W. Jackson, Suite 2000
Chicago, IL 60604

John P. LaRocque
Member of
Compass Professional Services East LLC
111 W. Jackson, Suite 2000
Chicago, IL 60604

David A. Proctor
Managing Director of
Jane Street Capital, LLC and
Jane Street Specialists, LLC and
Jane Street Trading, LLC
111 Broadway, Suite 2102
New York, NY 10006

William J. Shimanek
Member of
Compass Professional Services East LLC
111 W. Jackson, Suite 2000
Chicago, IL 60604

Yaron M. Minsky
Managing Director of
Jane Street Capital, LLC and
Jane Street Specialists, LLC and
Jane Street Trading, LLC
111 Broadway, Suite 2102
New York, NY 10006

Proposed Approved Person

(To Be Effective February 8, 2007)

Scott L. Bieber
Investing Member of
Davisa Trading, LLC
37 Prospect Drive
Chappaqua, NY 10514

Proposed Approved Person Organizations

(To Be Effective February 8, 2007)

Castlewood Securities, LLC
Compass Professional Services LLC
Dansu, Inc.
Equitec-Brown, LLC
Equitec-Furman, LLC
Equitec-Schwartz, LLC
Equitec Group, LLC
Equitec New York, LLC
Equitec Proprietary Markets, LLC
Equitec Premier, LLC
Equitec RS, LLC
Equitec Structured Products, LLC
Equitec Specialist, LLC
Equitec Trading, LLC
LaRocque Trading, Inc.
Under common control with
Compass Professional Services East LLC
111 W. Jackson, Suite 2000
Chicago, IL 60604

Bluebid Brokerage LLC
Under Common Control With
Blue Capital Group LLC
790 Estate Drive, Suite 250
Deerfield, IL 60015

Jane Street Holding II, LLC
Sole Member of
Jane Street Specialists, LLC
111 Broadway, Suite 2102
New York, NY 10006

Proposed Member Organizations

(To Be Effective February 8, 2007)

**** Compass Professional Services East LLC**
(To be admitted as a Regular Member Organization)
(“LLC Member” does not signify Exchange Member)
Address: 111 W. Jackson, Suite 2000, Chicago, IL 60604
John P. LaRocque, Member
Daniel B. Asher, Member
Fred O. Goldman, Chief Financial Officer
William J. Shimanek, Compliance Director
Joseph Jogmen, Administrator/Operations
*Andre Chase, Specialist
*Stephen J. Littman, Broker

****Davisa Trading, LLC**

(To be admitted as a Regular Member Organization)
(“LLC Member” does not signify Exchange Member)
Address: 37 Prospect Drive, Chappaqua, NY 10514
*Arthur I. Steinberg, Managing Member
Scott Bieber, Investing Member

Any information you may have which would be pertinent to consideration of the applicants by the Exchange should be promptly reported to the Membership and Registration Department at 212-306-1419.

Current Reports Made to the Exchange

Member’s attention is called to the fact that the following reports are made to the Exchange within the coming week:

	Due on or Before
1-RA (Members and Member Organizations Weekly report of transactions for accounts for the week ending Friday February 2, 2007)	Fri. Feb. 9
1-S (Weekly report of Friday, February 2, 2007)	Fri. Feb. 9
SEC Registration Fee - Member Organizations ASECC Division of NSCC Report of settled monthly sales for stocks, rights and warrants filed no later than 10:30a.m.	Fri. Feb. 9

Report – To NASD Amex Regulation Division - Financial Regulation Dept. Due on or Before

This request for reports is issued on behalf of the American Stock Exchange LLC (Amex) by the Financial Regulation Dept. of the NASD Amex Regulation Division pursuant to a grant of authority to the NASD. Accordingly, this constitutes a request for reports by the Amex.

Web FOCUS Report Part II Monthly - Member Organizations carrying customers and/or self-clearing are required to file by the 17th business day after month-end. Mon. Feb. 26

Web FOCUS Report Part IIA Monthly or Net Capital Computation - Member Organization and Sole Proprietor broker-dealers subject to SEC Rule 15c3-1 net capital requirements but not carrying customers and/or self-clearing are required to file by the 17th business day after month-end. Mon. Feb. 26

Equity Computation - Member Organizations subject to Exchange Rule 110 equity requirements **and exempt from SEC Rule 15c3-1 net capital requirements** are required to file by the 17th business day after month-end. **Amex market-makers in non-option products are subject to SEC Rule 15c3-1 net capital requirements and must file a Web FOCUS Report Part IIA or Net Capital Computation.** Mon. Feb. 26

Independent Audit Reports required by SEC Rule 17a-5 - Member Organizations and Sole Proprietor broker-dealers subject to independent audit requirements are required to file the reports by the 60th calendar day after their audit year-end. Those having a Dec. 31, 2006, independent audit must file by Mar. 1, 2007. Thu. Mar. 1

All terminating Amex-designated Member Organizations and Sole Proprietor broker-dealers are required to file an SEC Rule 17a-5(b) Web FOCUS Report Part II Withdrawal or IIA Withdrawal. Use the Web FOCUS Blank Forms tab to access the appropriate form.

Web FOCUS Users experiencing access problems with Web FOCUS should contact the **Gateway Call Center @ 301-869-6699** for assistance.

Please contact the NASD Amex Regulation Division - Financial Regulation Dept., One Liberty Plaza, 51st Floor – Robert Devine 212-858.4448 regarding Web FOCUS entitlement procedures, MO-14 statement, or MO-15 report. Other questions regarding financial reporting should be directed to your FRD examiner.

Please refer to Amex Notice REG 2006–55 dated Oct. 26, 2006, for additional information about filing reports with the Financial Regulation Dept. This Notice is posted on the following website at:

<http://www.amex.com/amextrader/tdrInfo/data/axNotices/2006/reg102606.html>.

Equities Mid-Month Short Interest Schedule 2007

Trade Date	Settlement Date	Report Due	Report Published
(Monday) February 12	(Thursday) February 15	(Tuesday) February 20 – 1:00 p.m. EST	(Thursday) February 22

Derivatives (End-of-Month) Short Interest Schedule 2007

Trade Date	Settlement Date	Report Due	Report Published
(Friday) January 26	(Wednesday) January 31	(Thursday) February 1 – 1:00 p.m. EST	(Monday) February 5

The List

This information can be found on the Web. In order to retrieve this information, go to <http://www.amextrader.com> and from the home page click the Daily List button. At the Daily List home page scroll down to Amex issues and select the view link for the current date. Should you have any questions please call (212) 306-2438.

The Following Securities Have Been Approved for Listing:

Challenger Energy Corp 40,786,230 shares of Common Stock, Without Par Value

Endeavour Silver Corp 55,579,396 shares of Common Stock, Without Par Value

Freedom Acquisition Holdings, Inc 47,000,000 shares of shares of Common Stock, Par Value \$.0001

Freedom Acquisition Holdings, Inc 51,500,000 Warrants, expiring December 28, 2011

Information Services Group, Inc. 28,125,000 Units

Merrill Lynch 2,000,000 shares of 12% Callable STRIDES Linked to Apple, Inc. maturing February 2, 2008

Merrill Lynch 3,500,000 shares of Strategic Return Notes Linked to the Industrial 15 Index maturing February 2, 2012

Merrill Lynch 8,700,000 shares of Accelerated Return Notes Linked to S&P 500 Index maturing April 7, 2008

NTR Acquisition Co 24,000,000 Units

ProShares Trust 300,000 shares of Ultra Oil & Gas ProShares

ProShares Trust 300,000 shares of UltraShort Oil & Gas ProShares

ProShares Trust 150,000 shares of UltraShort Technology ProShares

ProShares Trust 150,000 shares of Ultra Technology ProShares

ProShares Trust 150,000 shares of UltraShort Health Care ProShares
ProShares Trust 150,000 shares of Ultra Health Care ProShares
ProShares Trust 150,000 shares of UltraShort Consumer Services ProShares
ProShares Trust 150,000 shares of UltraShort Utilities ProShares
ProShares Trust 150,000 shares of UltraShort Industrials ProShares
ProShares Trust 225,000 shares of UltraShort Financials ProShares
ProShares Trust 225,000 shares of UltraShort Basic Materials ProShares
ProShares Trust 300,000 shares of UltraShort Real Estate ProShares
ProShares Trust 150,000 shares of UltraShort Semiconductors ProShares
ProShares Trust 150,000 shares of UltraShort Consumer Goods
ProShares Trust 150,000 shares of Ultra Consumer Services ProShares
ProShares Trust 150,000 shares of Ultra Consumer Goods ProShares
ProShares Trust 150,000 shares of Ultra Utilities ProShares
ProShares Trust 300,000 shares of Ultra Real Estate ProShares
ProShares Trust 150,000 shares of Ultra Ultra Semiconductors ProShares
ProShares Trust 150,000 shares of Ultra Industrials ProShares
ProShares Trust 225,000 shares of Ultra Financials ProShares
ProShares Trust 225,000 shares of Ultra Basic Materials ProShares
streetTRACKS Index Shares Funds 300,000 shares of SPDR FTSE/Macquarie Global Infrastructure 100
ETF

Additional & Substitution Listings:

AMDL, Inc., 313,000 Shares of Common Stock, \$0.001 Par Value
Dune Energy, Inc., 4,725,000 Shares of Common Stock, \$0.001 Par Value
Flotek Industries, Inc., 71,717 Shares of Common Stock, \$0.0001 Par Value
Idaho General Mines, Inc., 3,500,000 Shares of Common Stock, \$0.001 Par Value
International Royalty Corporation, 12,501,000 Shares of Common Stock, \$0.001 Par Value
JED Oil, Inc., 4,872,585 Shares of Common Stock, No Par Value
Lundin Mining Corporation, 96,299,170 Shares of Common Stock, No Par Value
MarkWest Hydrocarbon, Inc., 772,000 Shares of Common Stock, \$0.01 Par Value
Phoenix Footwear Group, Inc., 1,000,000 Shares of Common Stock, \$0.01 Par Value
PRB Energy, Inc., 1,250,000 Shares of Common Stock, \$0.001 Par Value
Pro-Pharmaceuticals, Inc., 24,279,766 Shares of Common Stock, \$0.001 Par Value

Samaritan Pharmaceuticals, Inc., 1,649,748 Shares of Common Stock, \$0.001 Par Value

Storm Cat Energy Corp., 15,841,880 Shares of Common Stock, No Par Value

Tengasco, Inc., 19,250 Shares of Common Stock, \$0.001 Par Value

ViRexx Medical Corp., 3,836,364 Shares of Common Stock, No Par Value

Vista Gold Corporation, 27,764,358 Shares of Common Stock, No Par Value

XFone, Inc., 517,242 Shares of Common Stock, \$0.001 Par Value

Corporate Actions and New Listings announced for January 26- February 2, 2007 can be viewed on the Issues Daily list homepage on amextrader.com; click on [Issues Daily List](#) to view the Issues Daily List.

Registered Interest

<u>Name of Company</u>	<u>Record Date</u> <u>(New York unless stated otherwise)</u>
Interest due December 1	
Wachovia Corporation (8.25% Newmont Mining Enhanced Yield Secs, due 12/1/06)	Nov. 16
Interest due December 12	
Wachovia Corporation (10.25% Valero Energy Corp. Enhanced Yield Securities, due 3/12/07)	Nov. 27
(14% Advanced Micro Devices Enhanced Yield Securities, due 3/12/07)	Nov. 27
Interest due December 15	
Bond Trust Products LLC (Bond Trust Products Trust Pass-Through Trust Certificates, due 6/15/2010)	Dec. 14
Stater Bros. Holdings Inc. (Floating Rate Notes, due 6/15/10)	Dec. 1
(8 1/8% Senior Notes, due 6/15/12)	Dec. 1
Wachovia Corporation (.25% Basket of Common Stocks Exchangeable Notes, due 12/15/10)	Nov. 30
Interest due December 22	
CIBC World Markets (Basket of 10 Large-Cap Stocks Principal Protected Notes, due 12/22/10)	Dec. 21
Interest due December 26	
CIBC World Markets (Ten Large-Cap U.S. Stocks MERITS, due 12/26/12)	Dec. 25
Interest due December 27	
Bank of America (1.25% Basket CYCLES Linked to a "70/30" Basket of Four Indices and 1 ETF, due 12/27/10)	Dec. 12

Interest due December 28

Bank of America (S&P 400 MidCap Index CYCLES, due 12/28/10)	Dec. 1
(1.5% Healthcare Stocks Capital Protected Equity Performance Link Securities., due 12/20/11)	Dec. 13

Interest due December 30

Morgan Stanley (2% 3M Co. Exchangeable Notes, due 12/30/10)	Dec. 15
(1.25% General Electric Exchangeable Notes, due 12/30/08)	Dec. 15
(1.5 % Wal-Mart Stores Exchangeable Notes, due 6/30/11)	Dec. 15

Interest due January 2

Hawk Corporation (8 3/4% Senior Notes, due 11/1/14)	Dec. 15
Wachovia Corporation (9% Halliburton Co. Enhanced Yield Secs, due 12/30/06)	Dec. 15
(13.5% Chesapeake Energy Enhanced Yield, due 12/30/06)	Dec. 15

Interest due January 7

Merrill Lynch & Co., Inc (.25% Wells Fargo & Co. Stock-Linked Notes –1/7/08)	Dec. 23
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Interest Due January 10

Wachovia Corporation (19.25% General Motors Enhanced Yield Secs, due 7/10/07)	Dec. 26
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Interest due January 15

CBS Corporation. (7.625% Senior Debentures-2016)	Jan. 1
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Interest due January 20

Wachovia Corporation (10% Consol Energy Inc. Enhanced Yield Securities, 4/20/07)	Jan. 5
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Interest due January 26

Bank of America (0.25% Nasdaq-100 Cash Settled Exchangeable Notes, due 1/26/10)	Jan. 11
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Interest due January 29

Bank of America (1% Healthcare Basket Index "CYCLES", due 1/28/11)	Jan. 1
(1.50% Basket CYCLES linked to an "80/20" Basket of Four Indices & 1 ETF, due 7/29/11)	Jan. 14

Interest due February 1

Chandler (USA), Inc. (8 3/4% Senior Debentures, due 7/1/14)	Jan. 15
Wachovia Corporation (13% Google Inc. Enhanced Yield Securities, due 2/1/07)	Jan. 17

Interest due February 14

CIBC World Markets (10 Large-Cap U.S. Stock Basket Yield Generator Notes, due 2/14/11)	Feb. 13
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Interest due February 15

Wachovia Corporation (11.25% Toll Brothers Enhanced Yield Secs, due 5/15/07)	Jan. 31
(9.375% Transocean Enhanced Yield Secs, due 5/15/07)	Jan. 31

Interest due February 22

Citigroup Global Markets Holdings, Inc.
(3% Pfizer Exchangeable Notes, due 8/22/08) Feb. 21

Interest due February 26

Bank of America
(1.25% SPX Index CYCLES, due 2/24/10) Feb. 9
(1.25% DJIA Index CYCLES, due 8/25/10) Feb. 10

Interest due March 1

Trans-Lux Corp.
(8.25% Limited Conv Sr Subordinated Notes, due 3/1/12) Feb. 15

Interest Due March 13

Citigroup Global Market Holdings (0.25% Basket of Tech
Stocks Cash Exchangeable Notes, due 09/13/07) Feb. 26

Interest due March 15

Stater Bros. Holdings Inc. (Floating Rate Notes, due 6/15/10) Mar. 1

Interest due March 20

Citigroup Global Market Holdings (Dow Jones EURO
STOXX 50 Index Principal-Protected Notes, due 12/20/07) Mar. 5

Interest due March 22

Goldman Sachs
(0.25% EMC Corp. Exchangeable Notes, due 3/22/07) Mar. 21

Interest due March 23

Bank of America
(1.625% Nikkei 225 Index CYCLES, due 3/23/10) Mar. 8

List of Bonds Dealt in “Flat”

Traded Flat from Listing Date

ABN AMRO	SHH.A (S&P 500 Structured Hybrid Equity link Secs-1/16/14)
American Corporate Accruals, Inc.	(Callable Principal Receipts-2024)
Bank of America Corp.	BOA.C (S&P 500 linked Notes-7/2/07)
Bank of America Corp.	BOA.D (Nasdaq-100 EAGLES-6/1/10)
Bank of America Corp.	BOA.E (S&P 500 EAGLES- 6/28/10)
Bank of America Corp.	BOA.F (Nikkei 225 6% Min Return linked Notes-6/24/10)
Bank of America Corp.	BOA.G (16 Energy Stk linked EAGLES-8/2/10)
Bank of America Corp.	BOA.H (Russell 2000 EAGLES-8/28/09)
Bank of America Corp.	BOA.I (DJIA Minimum Return EAGLES-9/25/09)
Bank of America Corp.	BOA.J (Nasdaq-100 EAGLES-10/29/10)
Bank of America Corp.	BOA.M (Nikkei 225 Return Linked Notes-6/28/10)
Bank of America Corp.	BOA.O (Russell 2000 EAGLES-1/28/11)
Bank of America Corp.	BOA.R (Nasdaq-100 EAGLES-3/24/09)
Bank of America Corp.	BOA.U (EAGLES linked to the DJIA-6/25/10)
Bank of America Corp.	BOA.W (Amex Exch Biotechnology Index EAGLES-8/28/09)
Bank of America Corp.	BOA.Z (Energy Stocks EAGLES-9/29/10)
Bank of America Corp.	BOR.A (S&P 500 EAGLES-10/29/10)
Bank of America Corp.	BOR.B (Nasdaq-100 Index EAGLES-11/23/10)
Bank of America Corp.	BOR.C (CBOE China Index EAGLES-11/24/10)
Bank of America Corp.	BOR.F (DJIA EAGLES-3/25/11)
Bank of America Corp.	BOR.I (Basket of 3 Indices Return Linked Notes-8/26/10)
Bank of America Corp.	BOR.J ("80/20" Basket of Four Indices Return Link Notes-6/27/11)

Bank of America Corp. Bank of America Corp.	BOR.K (S&P 500 EAGLES-7/29/10) BOR.L (Return link notes linked to a basket of two Indices-1/28/11)
Bank of America Corp. Bank of America Corp.	BOR.M (Index EAGLES Linked to the DJIA-8/26/10) BOR.N (Dow Jones EURO STOXX 50 Return Link Notes-8/25/11)
Bank of America Corp. Bank of America Corp. Bank of America Corp. Bank of America Corp. Bank of America Corp. Bank of America Corp. Bank of America Corp.	BOR.O (S&P 500 Index EAGLES-10/3/11) BOR.P (Amex Biotechnology Index EAGLES-10/28/11) BOR.Q (Basket of Three Indices Return Link Notes-10/27/11) BOR.R (Basket of Two Indices Return Link Notes-11/22/10) BOR.S (Basket of Five EAGLES-11/23/11) BOR.T (DJIA Index EAGLES -12/27/11) BOR.U (Basket of 3 Indices Return Link Notes-12/29/11)
Bear Stearns & Co. Inc. Bear Stearns & Co. Inc. Bear Stearns & Co. Inc. Bear Stearns & Co. Inc. Bear Stearns & Co. Inc.	BYF.A (U.S. Sector ETFs Principal Protect Notes-2/5/08) BYF.B (S&P 500 Principal Protect Notes-10/1/08) BYF.C (NASDAQ-100 Principal Protect Notes-12/22/09) BYF.D (DJIA Principal Protect Notes-3/23/11) BYF.F (Three Intl Indices Medium Term Notes-8/2/10)
Canadian Imperial Bank of Commerce Canadian Imperial Bank of Commerce Canadian Imperial Bank of Commerce Canadian Imperial Bank of Commerce Canadian Imperial Bank of Commerce Canadian Imperial Bank of Commerce Canadian Imperial Bank of Commerce Canadian Imperial Bank of Commerce Canadian Imperial Bank of Commerce Canadian Imperial Bank of Commerce Canadian Imperial Bank of Commerce Canadian Imperial Bank of Commerce Canadian Imperial Bank of Commerce Canadian Imperial Bank of Commerce Canadian Imperial Bank of Commerce	MRS.A (S&P 500 linked Notes-7/30/10) MRS.B (CIBC 10 U.S. Stks Optimizer Notes-1/30/09) MRS.C (CIBC 10 U.S. Stks Optimizer Notes-3/5/09) MRS.D (CIBC 10 U.S. Stks Optimizer Notes-3/31/09) MRS.E (CIBC 10 U.S. Stks Optimizer Notes-4/30/09) MRS.F (S&P 500 MERITS-6/30/11) MRS.G (CIBC 10 U.S. Stks Optimizer Notes-6/30/09) MRS.H (NASDAQ-100 MERITS-8/1/11) MRS.I (DJIA MERITS-8/31/11) MRS.J (S&P 500 Principal Protected Notes-3/31/09) MRS.K (DJIA MERITS-1/30/09) MRS.M (S&P 500 Index linked Notes-12/31/08) MRS.O (Global Indices Basket linked Notes-9/18/08) MRS.S (S&P 500 Principal Protected Notes-6/15/10) MRS.U (Global Basket of Three Equity Indices -7/29/10)
Citigroup Global Market Holdings Inc. Citigroup Global Market Holdings Inc.	NDG.A (Nasdaq-100 Principal Protect Notes-12/4/07) SCW.A (S&P 500 Principal Protect Notes-10/22/07)
Credit Suisse (USA), Inc. Credit Suisse (USA), Inc.	IPJ.A (S&P 500 Five Year Contingent Protect Secs-9/30/08) IPJ.B (S&P 500 Five Year Contingent Protect Secs-11/26/08)
Goldman Sachs Group, Inc. (The) Goldman Sachs Group, Inc. (The) Goldman Sachs Group, Inc. (The) Goldman Sachs Group, Inc. (The)	GSD.B (S&P 500 linked Notes-8/7/11) GSN.B (S&P 500 Medium Term Notes-2/28/13) GSN.C (S&P 500 Medium Term Notes-4/18/13) GSN.D (S&P 500 Medium Term Notes-5/30/13)
International Finance Corp. (The)	IFA.A (S&P 500 Principal Protect Notes-2/8/07)
JP Morgan Chase & Co. JP Morgan Chase & Co. JP Morgan Chase & Co. JP Morgan Chase & Co. JP Morgan Chase & Co. JP Morgan Chase & Co. JP Morgan Chase & Co. JP Morgan Chase & Co. JP Morgan Chase & Co. JP Morgan Chase & Co.	JPL.A (Consumer Price Index Secs-1/15/10) JPL.A (S&P 500 linked Notes-11/26/07) JPL.B (S&P 500 Market Participation Notes-3/12/08) JPL.C (Cap Qtr Observation Notes-9/22/08) JPL.D (S&P 500 Cap Qtr Observation Notes-10/30/08) JPL.E (S&P 500 Cap Qtr Observation Notes-1/21/09) JPL.F (S&P 500 Mkt Participation Notes-3/31/09) JPL.G (S&P 500 Cap Qtr Observation Notes-7/7/09) JPL.H (S&P 500 Cap Qtr Observation Notes-9/21/09) JPL.I (S&P 500 Principal Protect Notes-9/30/10)
Lehman Brothers Holdings Inc. Lehman Brothers Holdings Inc. Lehman Brothers Holdings Inc. Lehman Brothers Holdings Inc. Lehman Brothers Holdings Inc. Lehman Brothers Holdings Inc.	LBN.A (Dow Jones EUROSTOXX 50 Index-7/7/08) LBN.B (Dow Jones EURO STOXX 50 Index-7/29/08) LSQ.B (DJIA PrincipalPlus SUNS-8/5/07) LSQ.C (DJIA SUNS-4/29/10) LSQ.D (Nikkei 225 SUNS-6/10/10) PSZ.C (S&P 500 SUNS-8/5/08)

Lehman Brothers Holdings Inc.	PSZ.D (S&P 500 SUNS-9/27/07)
Lehman Brothers Holdings Inc.	PSZ.E (S&P 500 Callable SUNS-11/6/09)
Lehman Brothers Holdings Inc.	PSZ.F (DJ Global Titans Index SUNS-2/9/10)
Lehman Brothers Holdings Inc.	RLN.C (Nasdaq-100 Rebound RANGERS-5/20/07)
Lehman Brothers Holdings Inc.	RLN.D (Nasdaq-100 Rebound RANGERS-6/7/08)
Lehman Brothers Holdings Inc.	RLN.E (S&P 500 linked Notes-9/28/09)
Lehman Brothers Holdings Inc.	RLN.G (DJ STOXX 50 linked Notes-11/15/09)
Lehman Brothers Holdings Inc.	RLN.H (Russell 2000 Index linked Notes-12/23/09)
Lehman Brothers Holdings Inc.	RLN.I (S&P 500 Index-Plus Notes-3/3/10)
Merrill Lynch	COD.A (Coca-Cola Co Exchangeable Conv Secs-9/30/08)
Merrill Lynch	EXO.A (Exxon Mobile Exchangeable Conv Secs-10/3/08)
Morgan Stanley	BSN.A (3 stks Sr Exchangeable Debt-12/30/08)
Morgan Stanley	ITM.A (Intel Stk Participation Notes-12/30/10)
Morgan Stanley	JMS.A (S&P 500 Callable Notes-12/30/08)
Morgan Stanley	MRW.A (S&P 500 Mkt Participation Secs-12/30/10)
Morgan Stanley	MWT.A (Wal-Mart Stk Participation Notes-9/15/10)
PMA Capital Corporation	PMK (8.5% Monthly Income Sr Notes-6/15/18)
UBS AG	PPV.C (Russell 2000 Principal Protect Notes-4/30/09)
UBS AG	PPV.E (DJIA Principal Protect Notes-5/29/09)
UBS AG	PPV.G (S&P 500 Principal Protect Notes-11/7/07)
UBS AG	PPV.H (S&P 500 Principal Protect Notes-12/7/07)
UBS AG	PPV.I (U.S. Equity Indices Principal Protect Notes-10/7/11)
UBS AG	PPV.J (DJIA Principal Protect Notes-2/7/08)
UBS AG	PPV.K (S&P 500 Principal Protect Notes-9/8/10)
UBS AG	PPV.L (S&P 500 Principal Protect Notes-4/8/10)
UBS AG	PPV.M (S&P 500 Principal Protect Notes-10/7/09)
UBS AG	PPV.N (S&P 500 Principal Protect Notes-5/7/10)
UBS AG	PPV.O (S&P 500 Principal Protect Notes-6/7/10)
UBS AG	PPV.P (S&P 500 Principal Protect Notes-7/8/10)
UBS AG	PPV.Q (S&P 500 Principal Protect Notes-8/6/10)
UBS AG	PPV.R (S&P 500 Principal Protect Notes-9/8/09)
UBS AG	PPZ.A (S&P 500 Partial Protect Notes-11/05/07)
UBS AG	PPZ.B (S&P 500 Partial Principal Protect Notes-2/28/08)
UBS AG	PPZ.C (S&P 500 Partial Principal Protect Notes-11/5/10)
Wachovia Corporation	WDB.B (Nikkei 225 ASTROS-3/2/10)
Wachovia Corporation	WDB.D (S&P 500 linked to Trigger CAPITALS-12/8/08)
Wachovia Corporation	WDB.E (Global Basket of Indices ASTROS-2/2/10)
Wachovia Corporation	WDB.I (Global Equity Basket ASTROS-5/5/10)
Wachovia Corporation	WDB.M (Metals-China Basket ASTROS-1/28/09)
Wachovia Corporation	WDB.R (Nikkei 225 Index LUNARS-4/30/07)
Wachovia Corporation	WTY.A (4.5% U.S. Treasuries Principal Protect Notes-6/6/07)
Wells Fargo & Company	WFG.A (S&P 500 linked Notes-1/4/08)
Wells Fargo & Company	WFG.B (Nasdaq-100 linked Notes-1/4/08)
Wells Fargo & Company	WFG.C (Basket linked Notes-4/15/09)
Wells Fargo & Company	WFG.D (DJIA High Point Average linked Notes-5/5/10)
Wells Fargo & Company	WFG.F (S&P 500 Callable Notes-8/25/09)

Dividends

The ex-dividend dates have been established pursuant to the provisions of Rule 830 of the Board of Governors.

Attention is directed to the fact that stocks which are also dealt in on other exchanges may have different ex-dividend dates on such out-of-town exchanges since ex-dividend dates may vary in relationship to the delivery plan under which an exchange operates, and its proximity to the transfer office.

**NORMAL EX-DIVIDEND DATES FOR
RECORD DATES SPECIFIED**

<u>Record</u>	<u>Ex-Date</u>	<u>Record</u>	<u>Ex-Date</u>	<u>Record</u>	<u>Ex-Date</u>
Feb. 4	Jan. 31	Feb. 7	Feb. 5	Feb. 10	Feb. 7
Feb. 5	Feb. 1	Feb. 8	Feb. 6	Feb. 11	Feb. 7
Feb. 6	Feb. 2	Feb. 9	Feb. 7	Feb. 12	Feb. 8

Dividends announced for January 29- February 2, 2007 can be viewed on the Dividend Daily list homepage on amextrader.com; click on [Dividends Daily List](#) to view the Dividends Daily List.

Please call the Corporate Actions/Proxy Rulings Department at 212-306-2438 with any questions.

Meetings of Stockholders

Members should, insofar as possible without additional expense, transfer certificates of stock held in persons or firms over whom they have no control into their own names, or those of persons over whom they have control, before the record date for determining stockholders entitled to vote.

As a guide to Member Organizations in giving proxies pursuant to Rule 577 to vote stock held for the account of customers the following symbols are used in the list of meetings below:

- V = Preceding Record Date indicates Members MAY vote the entire proxy under the Ten Day Rule.
- X = Together with numbers or letters indicates that Members MAY NOT vote the designated matters without specific instructions from owners. Numbers or letters shown are those used in the PROXY FORM.
- X = With no other designation indicates that Members MAY NOT vote the entire proxy.
- NP = Indicates that proxies are not being solicited.

The following abbreviations are used for items not numbered or lettered in the proxy form:

- SP = Stockholder Proposal(s)
- OP = Option Plan
- STP = Stock Purchase Plan
- CP = Compensation Plan
- BY = By-Law Amendment
- CH = Charter Amendment
- MP = Merger Proposal


Where no symbol appears, proxy material has not yet been received.

<u>Name of Company</u>	<u>Record</u>	<u>Meeting</u>
Aberdeen Asia-Pacific Income Fund, Inc.	Jan. 16	A Mar. 8
Aberdeen Australia Equity Fund, Inc.	Jan. 16	A Mar. 8
Aberdeen Global Income Fund, Inc.	Jan. 16	A Mar. 8
* Acme United Corporation	Mar. 6	A Apr. 23
* Acquiror Technology Inc. Note: (4)	Jan. 16	S Feb. 15
ADDvantage Technologies Group	V Jan. 17	A Mar. 6
* AeroCentury Corp.	Mar. 7	A May 2
Allied Defense Group, Inc.	X-3 Dec. 20	A Feb. 15
AMCON Distributing Company	Feb. 21	A Apr. 17
American Bilrite, Inc.	Mar. 9	A May 8
Ascendia Brands, Inc.	X-3-4-5 Dec. 29	A Feb. 14
* Ascendia Brands, Inc. Note: (2)	Jan. 25	
Avalon Holdings Corporation	Mar. 2	A Apr. 27
Badger Meter, Inc.	Feb. 28	A Apr. 27
Bancroft Fund Ltd.	V Dec. 20	A Feb. 12
Barnwell Industries, Inc.	V Jan. 8	A Mar. 5
* Bexil Corporation	Mar. 16	A May 11

Callisto Pharmaceuticals, Inc.		Jan.	26	S	Mar.	2
Capital Properties, Inc.		Mar.	1	A	Apr.	24
CEL-SCI Corporation		Feb.	16	A		
* Central Fund of Canada Limited		Jan.	18	A	Feb.	26
* Central Securities Corporation		Jan.	26	a	Mar.	14
Chase Corporation	V	Nov.	30	A	Feb.	5
CKX Lands, Inc.		Feb.	23	A	Apr.	26
Consolidated-Tomoka Land Co.		Mar.	1	A	Apr.	25
Continuicare Corporation	X-2	Jan.	2	A	Feb.	7
* Credit Suisse High Yield Bond Fund	V	Dec.	22	A	Feb.	15
Cubic Corporation	V	Jan.	5	A	Feb.	20
Eaton Vance Limited						
Duration Income Fund	V	Dec.	18	A	Feb.	23
* Eaton Vance California						
Municipal Income Trust	V	Jan.	18	A	Mar.	23
* Eaton Vance Florida						
Municipal Income Trust	V	Jan.	18	A	Mar.	23
* Eaton Vance Massachusetts						
Municipal Income Trust	V	Jan.	18	A	Mar.	23
* Eaton Vance Michigan						
Municipal Income Trust	V	Jan.	18	A	Mar.	23
* Eaton Vance New Jersey						
Municipal Income Trust	V	Jan.	18	A	Mar.	23
* Eaton Vance New York						
Municipal Income Trust	V	Jan.	18	A	Mar.	23
* Eaton Vance Ohio						
Municipal Income Trust	V	Jan.	18	A	Mar.	23
* Eaton Vance Pennsylvania						
Municipal Income Trust	V	Jan.	18	A	Mar.	23
* Emerging Markets						
Telecommunications Fund, Inc.	V	Dec.	8	A	Feb.	15
Evergreen Managed Income Fund	V	Dec.	15	A	Feb.	16
* Five Star Quality Care, Inc.		Mar.	20	A	May	15
Flanigan's Enterprises, Inc.	V	Jan.	5	A	Feb.	23
Florida Public Utilities Company		Mar.	16	A	May	8
Galaxy Energy Corporation		Jan.	19	S		
General Employment Enterprises	X-2	Jan.	2	A	Feb.	26
General Finance Corporation		Feb.	9	S		
* Globix Corporation	V	Jan.	22	A	Feb.	27
* Gorman-Rupp Company (The)		Mar.	14	A	Apr.	26
Gouverneur Bancorp, Inc.	V	Dec.	27	A	Feb.	12
* HemoSense, Inc.	V	Jan.	19	A	Mar.	14
Hyperdynamics Corporation		Jan.	12	A	Mar.	6
IA Global, Inc.	Note: (2)	Dec.	4			
* Immtech Pharmaceuticals, Inc.	X-3	Jan.	17	A	Mar.	2
Intermediate Muni Fund, Inc.		Mar.	19	A		
Jennifer Convertibles, Inc.	X-3	Dec.	18	A	Feb.	6
LaPolla Industries, Inc.	Note: (2)	Jan.	23			
LSB Industries, Inc.		Jan.	23	S	Mar.	6
LSB Industries, Inc.		May	25	A	Jun.	28
Mercantile Bancorp, Inc.		Apr.	10	A	May	21
MicroIslet, Inc.		Dec.	26	S		
* Neuberger Berman CA Intermediate						
Municipal Fund Inc.		Feb.	21	A	Apr.	16
* Neuberger Berman Dividend						
Advantage Fund Inc.		Feb.	21	A	Apr.	16

*Neuberger Berman Income Opportunity Fund Inc.		Feb.	21		A	Apr.	16
*Neuberger Berman Intermediate Municipal Fund Inc.		Feb.	21		A	Apr.	16
*Neuberger Berman NY Intermediate Municipal Fund Inc.		Feb.	21		A	Apr.	16
*Neuberger Berman Real Estate Securities Income Fund Inc.		Feb.	21		A	Apr.	16
New Dragon Asia Corp.	Note: (2)	Dec.	19				
*New Gold Inc.		Mar.	26		A	May	3
Nuveen Insured New York Dividend Advantage Municipal Fund		Feb.	5		A	Apr.	4
Nuveen New York Dividend Advantage Municipal Fund 2		Feb.	5		A	Apr.	4
Nuveen Insured New York Tax-Free Advantage Municipal Fund		Feb.	5		A	Apr.	4
Nuveen Real Estate Income Fund		Feb.	5		A	Apr.	4
NVR, Inc.		Mar.	1		A	May	4
O2Diesel Corporation		Jan.	19		S	Mar.	14
*Panhandle Royalty Company	V	Jan.	18		A	Mar.	8
Peace Arch Entertainment Group Inc.		Jan.	8		A	Feb.	7
Pittsburgh & West Virginia Railroad		Mar.	16		A	May	17
*Proliance International, Inc.		Mar.	12		A	May	3
*Rentech, Inc.		Feb.	5		A	Mar.	22
*RMR Hospitality and Real Estate Fund	Note: (1) V	Dec.	11		A	Mar.	8
*RMR F.I.R.E. Fund		Feb.	9		A	May	8
*RMR Preferred Dividend Fund		Feb.	9		A	May	8
*RMR Real Estate Fund		Feb.	9		A	May	8
Seaboard Corporation		Mar.	5		A	Apr.	23
Simulations Plus, Inc.	X-3	Dec.	20		A	Feb.	23
Softbrands, Inc.	X-2	Dec.	21		A	Feb.	5
Star Maritime Acquisition Corp.	V	Dec.	29		A	Feb.	26
*Storm Cat Energy Corporation		Feb.	5		S	Mar.	16
Sunair Services Corporation	V	Jan.	5		A	Feb.	7
*Tandy Leather Factory, Inc.		Apr.	20		A	May	22
Tanzanian Royalty Exploration Corp.		Jan.	12		A	Feb.	26
*Taseko Mines Limited		Feb.	7		A	Mar.	15
*Tompkins Trustco, Inc.		Mar.	16		A		
Tutogen Medical, Inc.		Feb.	5		A	Mar.	19
*Tuxis Corporation		Mar.	16		A	May	14
*U.S. Gold Corporation	Note: (3)	Feb.	9		S		
Unitil Corporation		Feb.	14		A	Apr.	19
*Valley National Gases Incorporated	X	Jan.	22		S	Feb.	27
*VitaCube Systems Holdings	Note: (3)	Feb.	6		A	Mar.	7
*Xenonics Holdings, Inc.		Feb.	28		A	Apr.	12

- * Additions to or change from previous Bulletins.
- S Special Meeting.
- A Annual Meeting.
- Adj Adjourned Meeting.
- † In Lieu of Annual Meeting.
- (1) Common Shareholders may not vote proposal 1 on proxy card.
- (2) Record date is for “Information Statement” only, no solicitation involved.
- (3) Record date revised by the Company.
- (4) Ruling based on proxy card, Members May Not Vote on the Merger Proposal, Written Consent Proposal, Authorized Share Increase Proposal, and the Incentive Plan Proposal. Members May Vote on the Name Change Proposal, Fifth Article Proposal and Adjournment Proposal.



Geraldine M. Brindisi
Corporate Secretary