



**Notice**

The American Stock Exchange will not be opened for business on Monday, February 19, 2007, in observance of President’s Day.

**Membership Seat Prices (in 000s)**

(As of 9:30 A.M., February 9, 2007)

	BID	OFFER	LAST CONTRACTED
Regular	405	425	425
Options Principal	300	440	80
Limited Trading Permits	5	6.5	25

Includes only those sales arranged pursuant to bids and offers filed with the Membership and Registration Department between the hours of 9:30 a.m. – 4:00 p.m.

Seat market information may be obtained by calling toll-free (877) Amex Seat (877-263-9732). Seat prices are updated intraday on [www.amextrader.com](http://www.amextrader.com).

**Membership Seat Sales**

**Regular**

(Since January 25, 2007)

- \$425,000 – February 8, 2007
- \$400,000 – February 6, 2007
- \$425,000 – February 6, 2007
- \$410,000 – February 6, 2007
- \$400,000 – February 5, 2007
- \$390,000 – February 2, 2007

**Membership Lease Prices**

(As of 9:30 A.M., February 9, 2007)

The following leases were received:

Includes monthly rental rate information only with respect to Exchange-approved negotiated leases. Not reported are arms length leases or leases for a nominal consideration.

**REGULAR**

<b>New</b>		<b>Renewal</b>	
<u>Price</u>	<u>Term</u>	<u>Price</u>	<u>Term</u>
\$375	6 months	\$400	6 months
\$350	6 months	\$400	3 months
		\$340	6 months
		\$300	1 year

## OPTIONS PRINCIPAL

<u>Price</u>	New	<u>Term</u>	<u>Price</u>	Renewal	<u>Term</u>
None			None		

## LIMITED TRADING PERMIT

<u>Price</u>	New	<u>Term</u>	<u>Price</u>	Renewal	<u>Term</u>
None			None		

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## Transfers of Membership

### Termination of Special Transfer Agreement – Regular (Effective February 2, 2007)

<b>Paul A. Michaluk</b> RET Securities Corp. Badge No. 62	to	<b>M. Robert Baldini</b> Independent One Mansfield Grove Road, Unit 203 East Haven, CT 06512 Seat No. 479-R
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### (Effective at the Opening of Business February 5, 2007)

<b>Ryan O’Connell*</b> Kellogg Capital Group LLC Badge No. 973-R	to	<b>Noel Oellerich</b> Independent 18 Seacrest Road Old Saybrook, CT 06475 Seat No. 175-R
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### (Effective February 8, 2007)

<b>Jeffrey Maas</b> Matrix Trading LLC Badge No. 1135	to	<b>Sutton Partners</b> Attn: Melvin N. Lechner 20320 Fairway Oaks Drive, #382 Boca Raton, FL 33434 Seat No. 444-R
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<b>Paul Shust</b> Bear Hunter Structured Products LLC Badge No. 408-T	to	<b>John J. McDonald, Jr.</b> Independent 294 Love Lane Wall, NJ 07719 Seat No. 380-R
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\*Simultaneously transferring to another seat

\*\*Currently a member on another seat

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## New Memberships

### Sales

#### (Effective at the Opening of Business February 5, 2007)

<b>Noel Oellerich</b> Independent	to	<b>CEF New York LLC</b> Attn: Ms. Jane Geekie 450 Park Avenue, Suite 1900 New York, NY 10022 Seat No. 175-R
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#### (Effective February 8, 2007)

<b>Stanley M. Katz</b> Independent	to	<b>Jonathan Glaubach</b> Independent 525 Woodmere Blvd. Woodmere, NY 11598 Seat No. 288-R
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**Prudential Equity Group, LLC**

to

**Jonathan Glaubach**  
Independent  
525 Woodmere Blvd.  
Woodmere, NY 11598  
Seat No. 406-R

**Special Transfer Agreement – Regular  
(Effective at the Opening of Business on February 5, 2007)**

**Roger P. Fenn, Sr.**  
Independent

to

**Ryan O’Connell**  
**Specialist**  
Kellogg Capital Group LLC  
55 Broadway, 4<sup>th</sup> Floor  
New York, NY 10006  
Seat No. 170-R  
Badge No. 973-R

**(Effective February 8, 2007)**

**Seth D. Halpern**  
Independent

to

**Arthur I. Steinberg**  
**Trader**  
Davisa Trading, LLC  
37 Prospect Drive  
Chappaqua, NY 10514  
Seat No. 235-R  
Badge No. 1032

**Robert Kallman**  
Independent

to

**Christopher Glover**  
**Trader**  
Bluefin Trading, LLC  
2 Rector Street  
New York, NY 10006  
Seat No. 772-R  
Badge No. 789-C

**John J. McDonald, Jr.**  
Independent

to

**Joseph Morelli**  
**Specialist**  
Bear Hunter Structured Products LLC  
40 Wall Street, 42<sup>nd</sup> Floor  
New York, NY 10005  
Seat No. 380-R  
Badge No. 408-M

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## Allied Members

**(Effective February 8, 2007)**

**Yaron M. Minsky**  
Managing Director  
Jane Street Capital, LLC and  
Jane Street Specialists, LLC and  
Jane Street Trading, LLC  
111 Broadway, Suite 2102  
New York, NY 10006

**David A. Proctor**  
Managing Director  
Jane Street Capital, LLC and  
Jane Street Specialists, LLC and  
Jane Street Trading, LLC  
111 Broadway, Suite 2102  
New York, NY 10006

## Approved Person

(Effective February 8, 2007)

**Scott L. Bieber**  
Investing Member  
Davisa Trading, LLC  
37 Prospect Drive  
Chappaqua, NY 10514

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## Approved Person Organizations

(Effective January 26, 2007)

**Divine Holdings LLC**  
Sole Owner  
Divine Capital Markets LLC  
39 Broadway, 36<sup>th</sup> Floor  
New York, NY 10006

(Effective February 1, 2007)

**Divine Alternative Asset Management LLC**  
Under Common Control With  
Divine Capital Markets LLC  
39 Broadway, 36<sup>th</sup> Floor  
New York, NY 10006

**Zen Holdings, LLC**

Under Common Control With  
AGS Specialists II, LLC  
One World Financial Center, 26<sup>th</sup> Floor  
New York, NY 10281

(Effective February 5, 2007)

**Harrison Trading Group LLC**  
Investing Member  
Sante Fe Trading LLC  
16 Elbert Place  
East Rockaway, NY 11518

(Effective February 8, 2007)

**Bluebid Brokerage LLC**  
Under Common Control With  
Blue Capital Group LLC  
790 Estate Drive, Suite 250  
Deerfield, IL 60015

**Jane Street Holding II, LLC**

Sole Member  
Jane Street Specialists, LLC  
111 Broadway, Suite 2102  
New York, NY 10006

## Changes in Member Organizations

### **\*\*Blue Capital Group LLC**

Bluebid Brokerage approved as an Approved Person Organization, effective February 8, 2007.

### **\*\*Davisa Trading, LLC**

(Admitted as a Regular Member Organization, effective February 8, 2007)

**(“LLC Member” does not signify Exchange Member)**

Address: 37 Prospect Drive, Chappaqua, NY 10514

\*Arthur I. Steinberg, Managing Member  
Scott Bieber, Investing Member and Approved Person

### **\*\*Jane Street Capital LLC**

Yaron M. Minsky and David A. Proctor approved as Allied Members, effective February 8, 2007

### **\*\*Jane Street Specialists, LLC**

Edgar Street Capital, LLC withdrew as Member and Keith Goggin, Robert Granieri, James Hansen, Nicholas Kokonas and Stuart Milsten, withdrew as Investing Members. Jane Street Holding II, LLC admitted as Sole Member and Approved Person Organization; Yaron M. Minsky and David A. Proctor approved as Allied Members; amendment effective February 8, 2007.

### **\*\*Jane Street Trading LLC**

Yaron M. Minsky and David A. Proctor approved as Allied Members, effective February 8, 2007

### **\*\*Matrix Trading LLC**

Ceased to be registered as a Regular Member Organization with the transfer of \*Jeffrey Maas (Badge #1135), effective February 8, 2007.

### **\*\* The Gaussian Group LLC**

\*Michael Whitman ceased to be an employee and @\*Leonard Bullaro became an employee, effective January 29, 2007.

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## Changes of Address

@\*Leonard Bullaro, Bullaro Securities Corp. and The Gaussian Group LLC, 25-19 Steinway Street, Astoria, NY 11103

\*Michael Whitman, Stuyvesant Trading Group LLC, 333 West End Avenue, Apartment 5A, New York, NY 10023

\*\*AGS Specialist Partners LLC, One World Financial Center, 200 Liberty Street, 26<sup>th</sup> Floor, New York, NY 10281

\*\*AGS Specialists II, LLC, One World Financial Center, 200 Liberty Street, 26<sup>th</sup> Floor, New York, NY 10281

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\* Regular Member

\*\*Regular Member Organization

•Options Principal Member

••Options Principal Member Organization

†Associate Member

‡Associate Member Organization

#CBOE Member Organization

■NYSE Member Organization

□ Limited Trading Permit Holder

□□ Limited Trading Permit Holder Organization

@Also Employed By Another Firm

(§)Sole Proprietor ceased

## Proposed Transfers of Membership

### Termination of Special Transfer Agreement – Regular (To Be Effective February 15, 2007)

<b>David Beth</b> D&D Securities, Inc.	to	<b>Barbara Schwartz</b> Independent
<b>James Chu</b> Susquehanna Investment Group and Susquehanna Securities	to	<b>Merrill Lynch Professional Clearing Corp.</b>
<b>Dermot W. Lynch</b> MOG Capital L.L.C.	to	<b>MOG Holdings LLC</b>
<b>Akash Patel</b> MOG Capital L.L.C.	to	<b>MOG Holdings LLC</b>
<b>Eugene J. Ragasa</b> MOG Capital L.L.C.	to	<b>MOG Holdings LLC</b>

### Termination of Special Transfer Agreement – OPM (To Be Effective February 15, 2007)

<b>Martin J. Handler**</b> Investors Capital LLC	to	<b>Larkspur Securities Inc.</b>
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\*Simultaneously transferring to another seat

\*\*Currently a member on another seat

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## New Memberships

### Sales

<b>(To Be Effective February 12, 2007)</b> <b>Prudential Equity Group, LLC</b>	to	<b>Merrill Lynch, Pierce, Fenner &amp; Smith Incorporated</b>
<b>(To Be Effective February 15, 2007)</b> <b>Prudential Equity Group, LLC</b>	to	<b>E. Roger Budny</b> Independent
<b>Special Transfer Agreement – Regular (To Be Effective February 15, 2007)</b> <b>Assunta S. DeSimone</b> Independent	to	<b>Raymond J. Ricci</b> <b>Trader of</b> Diamond Carter Trading LLC
<b>Merrill Lynch Professional Clearing Corp.</b>	to	<b>Isaac Taylor</b> <b>Trader of</b> Susquehanna Investment Group and Susquehanna Securities

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### SROT TRANSFER (To Be Effective February 15, 2007)

<b>Robert Varkonyi</b> Independent	to	<b>Todd Hohman</b> <b>Off-Floor Trader of</b> SLK-Hull Derivatives LLC
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**Current Members – Firm Status Change  
(To Be Effective February 15, 2007)**

<b>Andre P. Chase</b> <b>Specialist</b> Equitec New York, LLC & Equitec Specialists, LLC (Paris Securities Corporation – Lessor)	<b>to</b>	<b>Andre P. Chase</b> <b>Specialist of</b> Equitec New York, LLC & Equitec Specialists, LLC & Compass Professional Services East LLC
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<b>Stephen J. Littman</b> <b>Broker</b> Compass Professional Services LLC (Irving Yammer – Lessor)	<b>to</b>	<b>Stephen J. Littman</b> <b>Broker of</b> Compass Professional Services East LLC & Compass Professional Services LLC
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**Proposed Allied Members**

**(To Be Effective February 15, 2007)**

**Daniel B. Asher**  
Member of  
Compass Professional Services East LLC  
111 W. Jackson, Suite 2000  
Chicago, IL 60604

**Fred O. Goldman**  
Chief Financial Officer of  
Compass Professional Services East LLC  
111 W. Jackson, Suite 2000  
Chicago, IL 60604

**Joseph Jogmen**  
Administrator/Compliance of  
Compass Professional Services East LLC  
111 W. Jackson, Suite 2000  
Chicago, IL 60604

**John P. LaRocque**  
Member of  
Compass Professional Services East LLC  
111 W. Jackson, Suite 2000  
Chicago, IL 60604

**William J. Shimanek**  
Member of  
Compass Professional Services East LLC  
111 W. Jackson, Suite 2000  
Chicago, IL 60604

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**Proposed Approved Person Organizations**

**(To Be Effective February 15, 2007)**

Castlewood Securities, LLC  
Compass Professional Services LLC  
Dansu, Inc.  
Equitec-Brown, LLC  
Equitec-Furman, LLC  
Equitec-Schwartz, LLC  
Equitec Group, LLC  
Equitec New York, LLC  
Equitec Proprietary Markets, LLC  
Equitec Premier, LLC  
Equitec RS, LLC  
Equitec Structured Products, LLC

**Equitec Specialist, LLC**  
**Equitec Trading, LLC**  
**LaRocque Trading, Inc.**  
Under common control with  
Compass Professional Services East LLC  
111 W. Jackson, Suite 2000  
Chicago, IL 60604

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### **Proposed Member Organization**

**(To Be Effective February 15, 2007)**  
**\*\* Compass Professional Services East LLC**  
(To be admitted as a Regular Member Organization)  
**(“LLC Member” does not signify Exchange Member)**  
Address: 111 W. Jackson, Suite 2000, Chicago, IL 60604  
John P. LaRocque, Member  
Daniel B. Asher, Member  
Fred O. Goldman, Chief Financial Officer  
William J. Shimanek, Compliance Director  
Joseph Jogmen, Administrator/Operations  
\*Andre Chase, Specialist  
\*Stephen J. Littman, Broker

**Any information you may have which would be pertinent to consideration of the applicants by the Exchange should be promptly reported to the Membership and Registration Department at 212-306-1419.**

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### **Current Reports Made to the Exchange**

Member’s attention is called to the fact that the following reports are made to the Exchange within the coming week:

	<b>Due on or Before</b>
1-RA (Members and Member Organizations Weekly report of transactions for accounts for the week ending Friday February 9, 2007)	Fri. Feb. 16
1-S (Weekly report of Friday, February 9, 2007)	Fri. Feb. 16
SEC Registration Fee - Member Organizations ASECC Division of NSCC Report of settled monthly sales for stocks, rights and warrants filed no later than 10:30a.m.	Fri. Mar. 9

#### **Report – To NASD Amex Regulation Division - Financial Regulation Dept. Due on or Before**

This request for reports is issued on behalf of the American Stock Exchange LLC (Amex) by the Financial Regulation Dept. of the NASD Amex Regulation Division pursuant to a grant of authority to the NASD. Accordingly, this constitutes a request for reports by the Amex.

Web FOCUS Report Part II Monthly - Member Organizations carrying customers and/or self-clearing are required to file by the 17th business day after month-end. Mon. Feb. 26

Web FOCUS Report Part IIA Monthly or Net Capital Computation - Member Organization and Sole Proprietor broker-dealers subject to SEC Rule 15c3-1 net capital requirements but not carrying customers and/or self-clearing are required to file by the 17th business day after month-end. Mon. Feb. 26

Equity Computation - Member Organizations subject to Exchange Rule 110 equity requirements **and exempt from SEC Rule 15c3-1 net capital requirements** are required to file by the 17th business day after month-end. **Amex market-makers in non-option products are subject to SEC Rule 15c3-1 net capital requirements and must file a Web FOCUS Report Part IIA or Net Capital Computation.** Mon. Feb. 26

Independent Audit Reports required by SEC Rule 17a-5 - Member Organizations and Sole Proprietor broker-dealers subject to independent audit requirements are required to file the reports by the 60th calendar day after their audit year-end. Those having a Dec. 31, 2006, independent audit must file by Mar. 1, 2007. Thu. Mar. 1

All terminating Amex-designated Member Organizations and Sole Proprietor broker-dealers are required to file an SEC Rule 17a-5(b) Web FOCUS Report Part II Withdrawal or IIA Withdrawal. Use the Web FOCUS Blank Forms tab to access the appropriate form.

Web FOCUS Users experiencing access problems with Web FOCUS should contact the **Gateway Call Center @ 301-869-6699** for assistance.

Please contact the NASD Amex Regulation Division - Financial Regulation Dept., One Liberty Plaza, 51<sup>st</sup> Floor – Robert Devine 212-858.4448 regarding Web FOCUS entitlement procedures, MO-14 statement, or MO-15 report. Other questions regarding financial reporting should be directed to your FRD examiner.

Please refer to Amex Notice REG 2006–55 dated Oct. 26, 2006, for additional information about filing reports with the Financial Regulation Dept. This Notice is posted on the website at: <http://www.amex.com/amextrader/tdrInfo/data/axNotices/2006/reg102606.html>.

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### Equities Mid-Month Short Interest Schedule 2007

Trade Date	Settlement Date	Report Due	Report Published
(Monday) February 12	(Thursday) February 15	(Tuesday) February 20 – 1:00 p.m. EST	(Thursday) February 22

### Derivatives (End-of-Month) Short Interest Schedule 2007

Trade Date	Settlement Date	Report Due	Report Published
(Friday) February 23	(Wednesday) February 28	(Thursday) March 1 – 1:00 p.m. EST	(Monday) March 5

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### The List

This information can be found on the Web. In order to retrieve this information, go to <http://www.amextrader.com> and from the home page click the Daily List button. At the Daily List home page scroll down to Amex issues and select the view link for the current date. Should you have any questions please call (212) 306-2438.

#### The Following Securities Have Been Approved for Listing:

Dekania Corp 9,700,000 Units

Union Street Acquisition Corp 12,500,000 Units

#### Additional & Substitution Listings:

Cano Petroleum, Inc., 2,850,624 Shares of Common Stock, \$0.0001 Par Value

Celsion Corporation, 430,000 Shares of Common Stock, \$0.01 Par Value

Diomed Holdings, Inc., 24,618,363 Shares of Common Stock, \$0.001 Par Value

Empire Financial Holding Company, 2,220,761 Shares of Common Stock, \$0.01 Par Value

Grupo Simec, S.A.B. de C.V., 11,500,000 American Depositary Shares (ADS), No Par Value

IA Global, Inc., 43,750,000 Shares of Common Stock, \$0.01 Par Value

LSB Industries, Inc., 2,292,571 Shares of Common Stock, \$0.10 Par Value

Lundin Mining Corporation, 190,174,886 Shares of Common Stock, No Par Value

Pinnacle Data Systems, Inc., 1,037,167 Shares of Common Stock, No Par Value

Tanzanian Royalty Exploration Corporation, 56,467 Shares of Common Stock, No Par Value

Telkonet, Inc., 4,600,000 Shares of Common Stock, \$0.001 Par Value

Terremark Worldwide, Inc., 637,386 Shares of Common Stock, \$0.001 Par Value

Cano Petroleum, Inc., 2,850,624 Shares of Common Stock, \$0.0001 Par Value

Celsion Corporation, 430,000 Shares of Common Stock, \$0.01 Par Value

Diomed Holdings, Inc., 24,618,363 Shares of Common Stock, \$0.001 Par Value

Empire Financial Holding Company, 2,220,761 Shares of Common Stock, \$0.01 Par Value

Grupo Simec, S.A.B. de C.V., 11,500,000 American Depositary Shares (ADS), No Par Value

IA Global, Inc., 43,750,000 Shares of Common Stock, \$0.01 Par Value

LSB Industries, Inc., 2,292,571 Shares of Common Stock, \$0.10 Par Value

Lundin Mining Corporation, 190,174,886 Shares of Common Stock, No Par Value

Pinnacle Data Systems, Inc., 1,037,167 Shares of Common Stock, No Par Value

Tanzanian Royalty Exploration Corporation, 56,467 Shares of Common Stock, No Par Value

Telkonet, Inc., 4,600,000 Shares of Common Stock, \$0.001 Par Value

Terremark Worldwide, Inc., 637,386 Shares of Common Stock, \$0.001 Par Value

**Corporate Actions and New Listings announced for February 2- February 9, 2007 can be viewed on the Issues Daily list homepage on [amextrader.com](http://amextrader.com); click on [Issues Daily List](#) to view the Issues Daily List.**

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## **Registered Interest**

<b><u>Name of Company</u></b>	<b><u>Record Date</u></b> <b><u>(New York unless stated otherwise)</u></b>
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### **Interest due December 1**

Wachovia Corporation (8.25% Newmont Mining Enhanced Yield Secs, due 12/1/06)	Nov. 16
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**Interest due December 12**

Wachovia Corporation (10.25% Valero Energy Corp. Enhanced Yield Securities, due 3/12/07)	Nov. 27
(14% Advanced Micro Devices Enhanced Yield Securities, due 3/12/07)	Nov. 27

**Interest due December 15**

Bond Trust Products LLC (Bond Trust Products Trust Pass-Through Trust Certificates, due 6/15/2010)	Dec. 14
Stater Bros. Holdings Inc. (Floating Rate Notes, due 6/15/10)	Dec. 1
(8 1/8% Senior Notes, due 6/15/12)	Dec. 1

Wachovia Corporation (.25% Basket of Common Stocks Exchangeable Notes, due 12/15/10)	Nov. 30
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**Interest due December 22**

CIBC World Markets (Basket of 10 Large-Cap Stocks Principal Protected Notes, due 12/22/10)	Dec. 21
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**Interest due December 26**

CIBC World Markets (Ten Large-Cap U.S. Stocks MERITS, due 12/26/12)	Dec. 25
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**Interest due December 27**

Bank of America (1.25% Basket CYCLES Linked to a "70/30" Basket of Four Indices and 1 ETF, due 12/27/10)	Dec. 12
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**Interest due December 28**

Bank of America (S&P 400 MidCap Index CYCLES, due 12/28/10)	Dec. 1
(1.5% Healthcare Stocks Capital Protected Equity Performance Link Securities., due 12/20/11)	Dec. 13

**Interest due December 30**

Morgan Stanley (2% 3M Co. Exchangeable Notes, due 12/30/10)	Dec. 15
(1.25% General Electric Exchangeable Notes, due 12/30/08)	Dec. 15
(1.5 % Wal-Mart Stores Exchangeable Notes, due 6/30/11)	Dec. 15

**Interest due January 2**

Hawk Corporation (8 3/4% Senior Notes, due 11/1/14)	Dec. 15
Wachovia Corporation (9% Halliburton Co. Enhanced Yield Secs, due 12/30/06)	Dec. 15
(13.5% Chesapeake Energy Enhanced Yield, due 12/30/06)	Dec. 15

**Interest due January 7**

Merrill Lynch & Co., Inc (.25% Wells Fargo & Co. Stock-Linked Notes –1/7/08)	Dec. 23
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**Interest Due January 10**

Wachovia Corporation (19.25% General Motors Enhanced Yield Secs, due 7/10/07)	Dec. 26
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**Interest due January 15**

CBS Corporation. (7.625% Senior Debentures-2016) Jan. 1

**Interest due January 20**

Wachovia Corporation  
(10% Consol Energy Inc. Enhanced Yield Securities, 4/20/07) Jan. 5

**Interest due January 26**

Bank of America (0.25% Nasdaq-100 Cash Settled  
Exchangeable Notes, due 1/26/10) Jan. 11

**Interest due January 29**

Bank of America  
(1% Healthcare Basket Index "CYCLES", due 1/28/11) Jan. 1

(1.50% Basket CYCLES linked to an  
"80/20" Basket of Four Indices & 1 ETF, due 7/29/11) Jan. 14

**Interest due February 1**

Chandler (USA), Inc. (8 ¾% Senior Debentures, due 7/1/14) Jan. 15

Wachovia Corporation  
(13% Google Inc. Enhanced Yield Securities, due 2/1/07) Jan. 17

**Interest due February 14**

CIBC World Markets (10 Large-Cap U.S. Stock  
Basket Yield Generator Notes, due 2/14/11) Feb. 13

**Interest due February 15**

Wachovia Corporation  
(11.25% Toll Brothers Enhanced Yield Secs, due 5/15/07) Jan. 31  
(9.375% Transocean Enhanced Yield Secs, due 5/15/07) Jan. 31

**Interest due February 22**

Citigroup Global Markets Holdings, Inc.  
(3% Pfizer Exchangeable Notes, due 8/22/08) Feb. 21

**Interest due February 26**

Bank of America  
(1.25% SPX Index CYCLES, due 2/24/10) Feb. 9  
(1.25% DJIA Index CYCLES, due 8/25/10) Feb. 10

**Interest due March 1**

Trans-Lux Corp.  
(8.25% Limited Conv Sr Subordinated Notes, due 3/1/12) Feb. 15

**Interest Due March 5**

Freedom Depository LLC/Amherst Securities (5.10% CI A,  
USAuto Series 2004-1, Freedom Certificates, due 3/3/211) Mar. 2

**Interest Due March 13**

Citigroup Global Market Holdings (0.25% Basket of Tech  
Stocks Cash Exchangeable Notes, due 09/13/07) Feb. 26

**Interest due March 15**

Stater Bros. Holdings Inc. (Floating Rate Notes, due 6/15/10) Mar. 1

**Interest due March 20**

Citigroup Global Market Holdings (Dow Jones EURO  
STOXX 50 Index Principal-Protected Notes, due 12/20/07) Mar. 5

**Interest due March 22**

Goldman Sachs  
(0.25% EMC Corp. Exchangeable Notes, due 3/22/07) Mar. 21

**Interest due March 23**

Bank of America  
(1.625% Nikkei 225 Index CYCLES, due 3/23/10) Mar. 8

**List of Bonds Dealt in “Flat”**

**Traded Flat from Listing Date**

ABN AMRO	SHH.A (S&P 500 Structured Hybrid Equity link Secs-1/16/14)
American Corporate Accruals, Inc.	(Callable Principal Receipts-2024)
Bank of America Corp.	BOA.C (S&P 500 linked Notes-7/2/07)
Bank of America Corp.	BOA.D (Nasdaq-100 EAGLES-6/1/10)
Bank of America Corp.	BOA.E (S&P 500 EAGLES- 6/28/10)
Bank of America Corp.	BOA.F (Nikkei 225 6% Min Return linked Notes-6/24/10)
Bank of America Corp.	BOA.G (16 Energy Stk linked EAGLES-8/2/10)
Bank of America Corp.	BOA.H (Russell 2000 EAGLES-8/28/09)
Bank of America Corp.	BOA.I (DJIA Minimum Return EAGLES-9/25/09)
Bank of America Corp.	BOA.J (Nasdaq-100 EAGLES-10/29/10)
Bank of America Corp.	BOA.M (Nikkei 225 Return Linked Notes-6/28/10)
Bank of America Corp.	BOA.O (Russell 2000 EAGLES-1/28/11)
Bank of America Corp.	BOA.R (Nasdaq-100 EAGLES-3/24/09)
Bank of America Corp.	BOA.U (EAGLES linked to the DJIA-6/25/10)
Bank of America Corp.	BOA.W (Amex Exch Biotechnology Index EAGLES-8/28/09)
Bank of America Corp.	BOA.Z (Energy Stocks EAGLES-9/29/10)
Bank of America Corp.	BOR.A (S&P 500 EAGLES-10/29/10)
Bank of America Corp.	BOR.B (Nasdaq-100 Index EAGLES-11/23/10)
Bank of America Corp.	BOR.C (CBOE China Index EAGLES-11/24/10)
Bank of America Corp.	BOR.F (DJIA EAGLES-3/25/11)
Bank of America Corp.	BOR.I (Basket of 3 Indices Return Linked Notes-8/26/10)
Bank of America Corp.	BOR.J ("80/20" Basket of Four Indices Return Link Notes-6/27/11)
Bank of America Corp.	BOR.K (S&P 500 EAGLES-7/29/10)
Bank of America Corp.	BOR.L (Return link notes linked to a basket of two Indices-1/28/11)
Bank of America Corp.	BOR.M (Index EAGLES Linked to the DJIA-8/26/10)
Bank of America Corp.	BOR.N (Dow Jones EURO STOXX 50 Return Link Notes-8/25/11)
Bank of America Corp.	BOR.O (S&P 500 Index EAGLES-10/3/11)
Bank of America Corp.	BOR.P (Amex Biotechnology Index EAGLES-10/28/11)
Bank of America Corp.	BOR.Q (Basket of Three Indices Return Link Notes-10/27/11)
Bank of America Corp.	BOR.R (Basket of Two Indices Return Link Notes-11/22/10)
Bank of America Corp.	BOR.S (Basket of Five EAGLES-11/23/11)
Bank of America Corp.	BOR.T (DJIA Index EAGLES -12/27/11)
Bank of America Corp.	BOR.U (Basket of 3 Indices Return Link Notes-12/29/11)
Bear Stearns & Co. Inc.	BYF.A (U.S. Sector ETFs Principal Protect Notes-2/5/08)
Bear Stearns & Co. Inc.	BYF.B (S&P 500 Principal Protect Notes-10/1/08)
Bear Stearns & Co. Inc.	BYF.C (NASDAQ-100 Principal Protect Notes-12/22/09)
Bear Stearns & Co. Inc.	BYF.D (DJIA Principal Protect Notes-3/23/11)
Bear Stearns & Co. Inc.	BYF.F (Three Intl Indices Medium Term Notes-8/2/10)
Canadian Imperial Bank of Commerce	MRS.A (S&P 500 linked Notes-7/30/10)
Canadian Imperial Bank of Commerce	MRS.B (CIBC 10 U.S. Stks Optimizer Notes-1/30/09)
Canadian Imperial Bank of Commerce	MRS.C (CIBC 10 U.S. Stks Optimizer Notes-3/5/09)
Canadian Imperial Bank of Commerce	MRS.D (CIBC 10 U.S. Stks Optimizer Notes-3/31/09)
Canadian Imperial Bank of Commerce	MRS.E (CIBC 10 U.S. Stks Optimizer Notes-4/30/09)
Canadian Imperial Bank of Commerce	MRS.F (S&P 500 MERITS-6/30/11)

Canadian Imperial Bank of Commerce	MRS.G (CIBC 10 U.S. Stks Optimizer Notes-6/30/09)
Canadian Imperial Bank of Commerce	MRS.H (NASDAQ-100 MERITS-8/1/11)
Canadian Imperial Bank of Commerce	MRS.I (DJIA MERITS-8/31/11)
Canadian Imperial Bank of Commerce	MRS.J (S&P 500 Principal Protected Notes-3/31/09)
Canadian Imperial Bank of Commerce	MRS.K (DJIA MERITS-1/30/09)
Canadian Imperial Bank of Commerce	MRS.M (S&P 500 Index linked Notes-12/31/08)
Canadian Imperial Bank of Commerce	MRS.O (Global Indices Basket linked Notes-9/18/08)
Canadian Imperial Bank of Commerce	MRS.S (S&P 500 Principal Protected Notes-6/15/10)
Canadian Imperial Bank of Commerce	MRS.U (Global Basket of Three Equity Indices -7/29/10)
Citigroup Global Market Holdings Inc.	NDG.A (Nasdaq-100 Principal Protect Notes-12/4/07)
Citigroup Global Market Holdings Inc.	SCW.A (S&P 500 Principal Protect Notes-10/22/07)
Credit Suisse (USA), Inc.	IPJ.A (S&P 500 Five Year Contingent Protect Secs-9/30/08)
Credit Suisse (USA), Inc.	IPJ.B (S&P 500 Five Year Contingent Protect Secs-11/26/08)
Goldman Sachs Group, Inc. (The)	GSD.B (S&P 500 linked Notes-8/7/11)
Goldman Sachs Group, Inc. (The)	GSN.B (S&P 500 Medium Term Notes-2/28/13)
Goldman Sachs Group, Inc. (The)	GSN.C (S&P 500 Medium Term Notes-4/18/13)
Goldman Sachs Group, Inc. (The)	GSN.D (S&P 500 Medium Term Notes-5/30/13)
International Finance Corp. (The)	IFA.A (S&P 500 Principal Protect Notes-2/8/07)
JP Morgan Chase & Co.	JPL.A (Consumer Price Index Secs-1/15/10)
JP Morgan Chase & Co.	JPL.A (S&P 500 linked Notes-11/26/07)
JP Morgan Chase & Co.	JPL.B (S&P 500 Market Participation Notes-3/12/08)
JP Morgan Chase & Co.	JPL.C (Cap Qtr Observation Notes-9/22/08)
JP Morgan Chase & Co.	JPL.D (S&P 500 Cap Qtr Observation Notes-10/30/08)
JP Morgan Chase & Co.	JPL.E (S&P 500 Cap Qtr Observation Notes-1/21/09)
JP Morgan Chase & Co.	JPL.F (S&P 500 Mkt Participation Notes-3/31/09)
JP Morgan Chase & Co.	JPL.G (S&P 500 Cap Qtr Observation Notes-7/7/09)
JP Morgan Chase & Co.	JPL.H (S&P 500 Cap Qtr Observation Notes-9/21/09)
JP Morgan Chase & Co.	JPL.I (S&P 500 Principal Protect Notes-9/30/10)
Lehman Brothers Holdings Inc.	LBN.A (Dow Jones EUROSTOXX 50 Index-7/7/08)
Lehman Brothers Holdings Inc.	LBN.B (Dow Jones EURO STOXX 50 Index-7/29/08)
Lehman Brothers Holdings Inc.	LSQ.B (DJIA PrincipalPlus SUNS-8/5/07)
Lehman Brothers Holdings Inc.	LSQ.C (DJIA SUNS-4/29/10)
Lehman Brothers Holdings Inc.	LSQ.D (Nikkei 225 SUNS-6/10/10)
Lehman Brothers Holdings Inc.	PSZ.C (S&P 500 SUNS-8/5/08)
Lehman Brothers Holdings Inc.	PSZ.D (S&P 500 SUNS-9/27/07)
Lehman Brothers Holdings Inc.	PSZ.E (S&P 500 Callable SUNS-11/6/09)
Lehman Brothers Holdings Inc.	PSZ.F (DJ Global Titans Index SUNS-2/9/10)
Lehman Brothers Holdings Inc.	RLN.C (Nasdaq-100 Rebound RANGERS-5/20/07)
Lehman Brothers Holdings Inc.	RLN.D (Nasdaq-100 Rebound RANGERS-6/7/08)
Lehman Brothers Holdings Inc.	RLN.E (S&P 500 linked Notes-9/28/09)
Lehman Brothers Holdings Inc.	RLN.G (DJ STOXX 50 linked Notes-11/15/09)
Lehman Brothers Holdings Inc.	RLN.H (Russell 2000 Index linked Notes-12/23/09)
Lehman Brothers Holdings Inc.	RLN.I (S&P 500 Index-Plus Notes-3/3/10)
Merrill Lynch	COD.A (Coca-Cola Co Exchangeable Conv Secs-9/30/08)
Merrill Lynch	EXO.A (Exxon Mobile Exchangeable Conv Secs-10/3/08)
Morgan Stanley	BSN.A (3 stks Sr Exchangeable Debt-12/30/08)
Morgan Stanley	ITM.A (Intel Stk Participation Notes-12/30/10)
Morgan Stanley	JMS.A (S&P 500 Callable Notes-12/30/08)
Morgan Stanley	MRW.A (S&P 500 Mkt Participation Secs-12/30/10)
Morgan Stanley	MWT.A (Wal-Mart Stk Participation Notes-9/15/10)
PMA Capital Corporation	PMK (8.5% Monthly Income Sr Notes-6/15/18)
UBS AG	PPV.C (Russell 2000 Principal Protect Notes-4/30/09)
UBS AG	PPV.E (DJIA Principal Protect Notes-5/29/09)
UBS AG	PPV.G (S&P 500 Principal Protect Notes-11/7/07)
UBS AG	PPV.H (S&P 500 Principal Protect Notes-12/7/07)
UBS AG	PPV.I (U.S. Equity Indices Principal Protect Notes-10/7/11)

UBS AG	PPV.J (DJIA Principal Protect Notes-2/7/08)
UBS AG	PPV.K (S&P 500 Principal Protect Notes-9/8/10)
UBS AG	PPV.L (S&P 500 Principal Protect Notes-4/8/10)
UBS AG	PPV.M (S&P 500 Principal Protect Notes-10/7/09)
UBS AG	PPV.N (S&P 500 Principal Protect Notes-5/7/10)
UBS AG	PPV.O (S&P 500 Principal Protect Notes-6/7/10)
UBS AG	PPV.P (S&P 500 Principal Protect Notes-7/8/10)
UBS AG	PPV.Q (S&P 500 Principal Protect Notes-8/6/10)
UBS.AG	PPV.R (S&P 500 Principal Protect Notes-9/8/09)
UBS AG	PPZ.A (S&P 500 Partial Protect Notes-11/05/07)
UBS AG	PPZ.B (S&P 500 Partial Principal Protect Notes-2/28/08)
UBS AG	PPZ.C (S&P 500 Partial Principal Protect Notes-11/5/10)
Wachovia Corporation	WDB.B (Nikkei 225 ASTROS-3/2/10)
Wachovia Corporation	WDB.D (S&P 500 linked to Trigger CAPITALS-12/8/08)
Wachovia Corporation	WDB.E (Global Basket of Indices ASTROS-2/2/10)
Wachovia Corporation	WDB.I (Global Equity Basket ASTROS-5/5/10)
Wachovia Corporation	WDB.M (Metals-China Basket ASTROS-1/28/09)
Wachovia Corporation	WDB.R (Nikkei 225 Index LUNARS-4/30/07)
Wachovia Corporation	WTY.A (4.5% U.S. Treasuries Principal Protect Notes-6/6/07)
Wells Fargo & Company	WFG.A (S&P 500 linked Notes-1/4/08)
Wells Fargo & Company	WFG.B (Nasdaq-100 linked Notes-1/4/08)
Wells Fargo & Company	WFG.C (Basket linked Notes-4/15/09)
Wells Fargo & Company	WFG.D (DJIA High Point Average linked Notes-5/5/10)
Wells Fargo & Company	WFG.F (S&P 500 Callable Notes-8/25/09)

**Dividends**

The ex-dividend dates have been established pursuant to the provisions of Rule 830 of the Board of Governors.

Attention is directed to the fact that stocks which are also dealt in on other exchanges may have different ex-dividend dates on such out-of-town exchanges since ex-dividend dates may vary in relationship to the delivery plan under which an exchange operates, and its proximity to the transfer office.

**NORMAL EX-DIVIDEND DATES FOR  
RECORD DATES SPECIFIED**

<u>Record</u>	<u>Ex-Date</u>	<u>Record</u>	<u>Ex-Date</u>	<u>Record</u>	<u>Ex-Date</u>
Feb. 11	Feb. 7	Feb. 14	Feb. 12	Feb. 17	Feb. 14
Feb. 12	Feb. 8	Feb. 15	Feb. 13	Feb. 18	Feb. 14
Feb. 13	Feb. 9	Feb. 16	Feb. 14	Feb. 19	Feb. 14

**Dividends announced for February 5 2007- February 9, 2007 can be viewed on the Dividend Daily list homepage on amextrader.com;** click on [Dividends Daily List](#) to view the Dividends Daily List.

Please call the Corporate Actions/Proxy Rulings Department at 212-306-2438 with any questions.

**Meetings of Stockholders**

Members should, insofar as possible without additional expense, transfer certificates of stock held in persons or firms over whom they have no control into their own names, or those of persons over whom they have control, before the record date for determining stockholders entitled to vote.

As a guide to Member Organizations in giving proxies pursuant to Rule 577 to vote stock held for the account of customers the following symbols are used in the list of meetings below:

- V = Preceding Record Date indicates Members MAY vote the entire proxy under the Ten Day Rule.  
X = Together with numbers or letters indicates that Members MAY NOT vote the designated matters without specific instructions from owners. Numbers or letters shown are those used in the PROXY FORM.  
X = With no other designation indicates that Members MAY NOT vote the entire proxy.  
NP = Indicates that proxies are not being solicited.  
The following abbreviations are used for items not numbered or lettered in the proxy form:  
SP = Stockholder Proposal(s)      BY = By-Law Amendment  
OP = Option Plan                      CH = Charter Amendment  
STP = Stock Purchase Plan          MP = Merger Proposal  
CP = Compensation Plan

Where no symbol appears, proxy material has not yet been received.

<u>Name of Company</u>	<u>Record</u>	<u>Meeting</u>
Aberdeen Asia-Pacific Income Fund, Inc.	Jan. 16	A Mar. 8
Aberdeen Australia Equity Fund, Inc.	Jan. 16	A Mar. 8
Aberdeen Global Income Fund, Inc.	Jan. 16	A Mar. 8
Acme United Corporation	Mar. 6	A Apr. 23
Acquicor Technology Inc. Note: (4)	Jan. 16	S Feb. 15
ADDvantage Technologies Group V	Jan. 17	A Mar. 6
AeroCentury Corp.	Mar. 7	A May 2
Allied Defense Group, Inc. X-3	Dec. 20	A Feb. 15
AMCON Distributing Company	Feb. 21	A Apr. 17
American Bilrite, Inc.	Mar. 9	A May 8
*Arizona Land Income Corporation	Feb. 8	S Mar. 14
Ascendia Brands, Inc. X-3-4-5	Dec. 29	A Feb. 14
Ascendia Brands, Inc. Note: (2)	Jan. 25	
Avalon Holdings Corporation	Mar. 2	A Apr. 27
Badger Meter, Inc.	Feb. 28	A Apr. 27
Bancroft Fund Ltd. V	Dec. 20	A Feb. 12
Barnwell Industries, Inc. V	Jan. 8	A Mar. 5
Bexil Corporation	Mar. 16	A May 11
*Callisto Pharmaceuticals, Inc. X-1	Jan. 26	S Mar. 2
*Camden National Corporation	Mar. 15	A May 1
Capital Properties, Inc.	Mar. 1	A Apr. 24
CEL-SCI Corporation	Feb. 16	A
Central Fund of Canada Limited	Jan. 18	A Feb. 26
*Central Securities Corporation V	Jan. 26	A Mar. 14
*Chicago Rivet & Machine Co.	Mar. 19	A May 8
CKX Lands, Inc.	Feb. 23	A Apr. 26
Consolidated-Tomoka Land Co.	Mar. 1	A Apr. 25
Credit Suisse High Yield Bond Fund V	Dec. 22	A Feb. 15
Cubic Corporation V	Jan. 5	A Feb. 20
*Eastern Company (The)	Feb. 23	A Apr. 25
Eaton Vance Limited		
Duration Income Fund V	Dec. 18	A Feb. 23
Eaton Vance California		
Municipal Income Trust V	Jan. 18	A Mar. 23
Eaton Vance Florida		
Municipal Income Trust V	Jan. 18	A Mar. 23
Eaton Vance Massachusetts		
Municipal Income Trust V	Jan. 18	A Mar. 23
Eaton Vance Michigan		
Municipal Income Trust V	Jan. 18	A Mar. 23
Eaton Vance New Jersey		
Municipal Income Trust V	Jan. 18	A Mar. 23
Eaton Vance New York		
Municipal Income Trust V	Jan. 18	A Mar. 23
Eaton Vance Ohio		
Municipal Income Trust V	Jan. 18	A Mar. 23

Eaton Vance Pennsylvania Municipal Income Trust	V	Jan.	18	A	Mar.	23
Emerging Markets Telecommunications Fund, Inc.	V	Dec.	8	A	Feb.	15
*Energy Income and Growth Fund		Feb.	12	A	Apr.	16
Evergreen Managed Income Fund	V	Dec.	15	A	Feb.	16
*First Trust Tax-Advantaged						
Preferred High Income Fund		Feb.	12	A	Apr.	16
*First Trust Value Line® 100 Fund		Feb.	16	S		
Five Star Quality Care, Inc.		Mar.	20	A	May	15
Flanigan's Enterprises, Inc.	V	Jan.	5	A	Feb.	23
Florida Public Utilities Company		Mar.	16	A	May	8
Galaxy Energy Corporation		Jan.	19	S		
General Employment Enterprises	X-2	Jan.	2	A	Feb.	26
General Finance Corporation		Feb.	9	S		
Globix Corporation	V	Jan.	22	A	Feb.	27
Gorman-Rupp Company (The)		Mar.	14	A	Apr.	26
Gouverneur Bancorp, Inc.	V	Dec.	27	A	Feb.	12
HemoSense, Inc.	V	Jan.	19	A	Mar.	14
*Hyperdynamics Corporation	V	Jan.	12	A	Mar.	6
Immtech Pharmaceuticals, Inc.	X-3	Jan.	17	A	Mar.	2
*Imperial Oil Limited		Mar.	2	A	May	1
Intermediate Muni Fund, Inc.		Mar.	19	A		
LaPolla Industries, Inc.	Note: (2)	Jan.	23			
*Lodgian, Inc.		Mar.	9	A	Apr.	24
*LSB Industries, Inc.	X	Jan.	23	S	Mar.	6
LSB Industries, Inc.		May	25	A	Jun.	28
*Matritech, Inc.		Feb.	27	S	Apr.	17
*Maui Land & Pineapple Company, Inc.		Mar.	5	A	May	7
Mercantile Bancorp, Inc.		Apr.	10	A	May	21
MicroIslet, Inc.		Dec.	26	S		
*Mid Penn Bancorp		Feb.	15	A	Apr.	24
Neuberger Berman CA Intermediate Municipal Fund Inc.		Feb.	21	A	Apr.	16
Neuberger Berman Dividend Advantage Fund Inc.		Feb.	21	A	Apr.	16
Neuberger Berman Income Opportunity Fund Inc.		Feb.	21	A	Apr.	16
Neuberger Berman Intermediate Municipal Fund Inc.		Feb.	21	A	Apr.	16
Neuberger Berman NY Intermediate Municipal Fund Inc.		Feb.	21	A	Apr.	16
Neuberger Berman Real Estate Securities Income Fund Inc.		Feb.	21	A	Apr.	16
New Gold Inc.		Mar.	26	A	May	3
Nuveen Insured New York Dividend Advantage Municipal Fund		Feb.	5	A	Apr.	4
Nuveen New York Dividend Advantage Municipal Fund 2		Feb.	5	A	Apr.	4
Nuveen Insured New York Tax-Free Advantage Municipal Fund		Feb.	5	A	Apr.	4
Nuveen Real Estate Income Fund		Feb.	5	A	Apr.	4
NVR, Inc.		Mar.	1	A	May	4
O2Diesel Corporation		Jan.	19	S	Mar.	14
Panhandle Royalty Company	V	Jan.	18	A	Mar.	8
Pittsburgh & West Virginia Railroad		Mar.	16	A	May	17

Proliance International, Inc.		Mar.	12		A	May	3
*Putnam California Investment Grade Municipal Trust		Feb.	15		S	Apr.	26
*Putnam California Investment Grade Municipal Trust		Feb.	15		S	May	15
*Putnam New York Investment Grade Municipal Trust		Feb.	15		S	May	15
Rentech, Inc.		Feb.	5		A	Mar.	22
RMR Hospitality and Real Estate Fund	Note: (1) V	Dec.	11		A	Mar.	8
RMR F.I.R.E. Fund		Feb.	9		A	May	8
RMR Preferred Dividend Fund		Feb.	9		A	May	8
RMR Real Estate Fund		Feb.	9		A	May	8
Seaboard Corporation		Mar.	5		A	Apr.	23
Simulations Plus, Inc.	X-3	Dec.	20		A	Feb.	23
Star Maritime Acquisition Corp.	V	Dec.	29		A	Feb.	26
Storm Cat Energy Corporation		Feb.	5		S	Mar.	16
Tandy Leather Factory, Inc.		Apr.	20		A	May	22
Tanzanian Royalty Exploration Corp.		Jan.	12		A	Feb.	26
Taseko Mines Limited		Feb.	7		A	Mar.	15
Tompkins Trustco, Inc.		Mar.	16		A		
Tutogen Medical, Inc.		Feb.	5		A	Mar.	19
Tuxis Corporation		Mar.	16		A	May	14
U.S. Gold Corporation		Feb.	9		S		
Unitil Corporation		Feb.	14		A	Apr.	19
Valley National Gases Incorporated	X	Jan.	22		S	Feb.	27
VitaCube Systems Holdings		Feb.	6		A	Mar.	7
*White River Capital, Inc.		Mar.	22		A	May	17
Xenonics Holdings, Inc.		Feb.	28		A	Apr.	12

\* Additions to or change from previous Bulletins.

S Special Meeting.

A Annual Meeting.

Adj Adjourned Meeting.

† In Lieu of Annual Meeting.

(1) Common Shareholders may not vote proposal 1 on proxy card.

(2) Record date is for "Information Statement" only, no solicitation involved.

(4) Ruling based on proxy card, Members May Not Vote on the Merger Proposal, Written Consent Proposal, Authorized Share Increase Proposal, and the Incentive Plan Proposal. Members May Vote on the Name Change Proposal, Fifth Article Proposal and Adjournment Proposal.



Geraldine M. Brindisi  
Corporate Secretary