



Notices

Suspension of Regular Member Organization

Effective April 2, 2007, and pursuant to Article V, Section 3 of the Exchange Constitution, the Exchange has summarily suspended member organization DE Trading LLC.

Exchange Closing

The American Stock Exchange will not be opened for business on Friday, April 6, 2007, in observance of Good Friday.

Regular Lessor – Deceased

Robert B. Levy*
April 1, 2007

*Gratuity Fund Participant

Membership Seat Prices (in 000s)

(As of 4:30 P.M., April 5, 2007)

	BID	OFFER	LAST CONTRACTED
Regular	315	375	325
Options Principal	285	None	320
Limited Trading Permits	5	6.5	25

Includes only those sales arranged pursuant to bids and offers filed with the Membership and Registration Department between the hours of 9:30 a.m.– 4:00 p.m.

Seat market information may be obtained by calling toll-free (877) Amex Seat (877-263-9732). Seat prices are updated intraday on www.amextrader.com.

Membership Seat Sales

Regular

(Since March 23, 2007)

\$325,000 – April 4, 2007

\$330,000 – April 4, 2007

\$345,000 – April 4, 2007

Membership Lease Prices

(As of 4:30 P.M., April 5, 2007)

The following leases were received:

Includes monthly rental rate information only with respect to Exchange-approved negotiated leases. Not reported are arms length leases or leases for a nominal consideration.

REGULAR

<u>New</u>		<u>Renewal</u>	
<u>Price</u>	<u>Term</u>	<u>Price</u>	<u>Term</u>
2 @ \$400	6 months	7@ \$400	1 year
\$250	6 months	5@ \$400	6 months
		2@ \$375	6 months
		\$350	1 year
		\$300	1 year

OPTIONS PRINCIPAL

<u>New</u>		<u>Renewal</u>	
<u>Price</u>	<u>Term</u>	<u>Price</u>	<u>Term</u>
None		None	

LIMITED TRADING PERMIT

<u>New</u>		<u>Renewal</u>	
<u>Price</u>	<u>Term</u>	<u>Price</u>	<u>Term</u>
None		None	

**Month-End Membership Data
(As of March 30, 2007)**

REGULAR

Last Completed Sales	\$362,000 – March 30, 2007
.....	\$380,000 – March 30, 2007
Pending Arranged Sales	\$375,000 – March 23, 2007
.....	\$400,000 – March 9, 2007
.....	\$395,000 – March 9, 2007
Closing Bid	\$345,000
Closing Offer	\$375,000
Average Price for the Month of March.....	\$390,583
Average Price for the First Quarter.....	\$371,655

OPTIONS PRINCIPAL

Last Completed Sale.....	\$320,000 – March 29, 2007
Pending Arranged Sales	None
Closing Bid	\$25,000
Closing Offer	None
Average Price for the Month of March.....	\$330,000
Average Price for the First Quarter.....	\$330,000

LIMITED TRADING PERMIT

Last Completed Sale.....	\$25,000 – June 20, 2003
Pending Arranged Sales	None
Closing Bid	\$5,000
Closing Offer	\$6,500
Average Price for the Month of March	None
Average Price for the First Quarter.....	None

Transfers of Membership

Termination of Special Transfer Agreement – Regular (Effective Marh 30, 2007)

Robert L. Doelp* to **Gregory A. Bell**
LL Partners Inc. Independent
Badge No. 28 45 Montgomery Place
Brooklyn, NY 11215
Seat No. 414-R

Michael K. Larison* to **Genie J. Howard**
Timber Hill LLC Independent
530 Park Avenue, #11J
New York, NY 10021
Seat No. 721-R

(Effective at the Opening of Business on April 2, 2007)

Jourdan Balkany to **Goldman Sachs & Co.**
Goldman Sachs Execution & Clearing L.P and Attn: Mark Dehnert
Goldman Sachs & Co. One New York Plaza, 50th Floor
New York, NY 10004
Badge No. 501-A Seat No. 558-R

Jon Bartner to **Lawrence Elow**
Fat Squirrel Trading Group LLC Independent
Badge No. 678-B P.O. Box 277
Bedford, NY 10506
Seat No. 467-R

Evan J. Cohen to **Joan M. Olson**
Investors' Capital LLC Independent
Badge No. 777-E P.O. Box 632
547 Center Road
Block Island, RI 02807
Seat No. 697-R

David Dana to **Philip Cardaci**
LaBranche Structured Products LLC Independent
Badge No. 751-U 10 Lanza Lane
Secaucus, NJ 07094
Seat No. 591-R

Mary Kong to **Robert Moss**
Bear Wagner Specialists LLC Independent
Badge No. 408-E 16 Butler Road
Scarsdale, NY 10583-1618
Seat No. 26-R

Ross J. Moore to **Estate of Michael Kory**
LaBranche Structured Products LLC Sidney W. Azriliant, Executor
Badge No. 750-R 36 West 44th Street, Suite 1000
New York, NY 10036
Seat No. 512-R

(Effective April 5, 2007)

Christopher Cornette*

Pactolus River LLC
Badge No. 234

to

Carmine Cornette

Independent
13 Westminster Drive
Colts Neck, NJ 07722
Seat No.94-R

Sean J. Grant

LaBranche Structured Products LLC
Badge No. 751-P

to

John Jay Mann Jr. & Co.

Attn: John Jay Mann
5000 Ocean Blvd., #1607
Lauderdale By The Beach, FL 33308
Seat No. 60-R

Joseph A. LaBabera

Independent
Badge No. 23

to

Alfred B. Abbate

Independent
65 Motley Avenue
Staten Island, NY 10314
Seat No. 473-R

Cancellation of Nominee Authorization & Agreement – Regular

(Effective Marh 30, 2007)

Maximillian Yarmouth**

Winged Fox L.L.C.
Badge No. 1107

to

Winged Fox L.L.C.

Attn: Maximillian Yarmouth
28 Dickinson Road
Basking Ridge, NJ 07920
Seat No. 599-R

**Simultaneously transferring to another seat*

***Currently a member on another seat*

New Memberships

Sales

Regular

(Effective March 30, 2007)

Gregory A. Bell

Independent

to

Charles Sorsby

Independent
676 N. Michigan, Suite 3140
Chicago, IL 60611
Seat No. 414-R

Winged Fox L.L.C.

to

Jeane M. Blumenthal

Independent
35 Sutton Place, #3E
New York, NY 10022
Seat No. 599-R

(Effective April 5, 2007)

Carmine Cornette

Independent

to

Charles Sorsby

Independent
676 N. Michigan, Suite 3140
Chicago, IL 60611
Seat No. 94-R

Estate of Ronnie Selbst	to	David E. Jones Independent 54 Archipelago Drive Newport Beach, CA 92657 Seat No. 548-R
Nominal Transfer – Regular (Effective at the Opening of Business April 2, 2007) Thomas Day Morgan Stanley DW, Inc.	to	Morgan Stanley & Co., Inc. Attn: Thomas Day 1585 Broadway, 11 th Floor New York, NY 10036 Seat No. 164-R
Special Transfer Agreement – Regular (Effective March 30, 2007) Charles Sorsby Independent	to	Robert L. Doelp Commission Broker LL Partners Inc. c/o Gettenberg Consulting 65 Broadway, Suite 1004 New York, NY 10006 Seat No. 414-R Badge No. 28
(Effective April 3, 2007) Eddie Hazan Independent	to	Derrick Whritenour Specialist LaBranche Structured Products LLC 33 Whitehall, 9 th Floor New York, NY 10004 Seat No. 327-R Badge No. 750-F
(Effective April 5, 2007) John Jay Mann Jr. & Co.	to	Daniel E. Atkins Specialist STR Specialists LLC 5 East 17 th Street, #7 New York, NY 10003 Seat No. 60-R Badge No. 928-D
Charles Sorsby Independent	to	Christopher Cornette Commission Broker Pactolus River LLC 226 East 70 th Street, #6F New York, NY 10021 Seat No. 94-R Badge No. 234

SROT Transfers

(Effective March 30, 2007)

Patricia M. Finegan

Independent

to

Michael K. Larison

Off-Floor Trader

Timber Hill LLC

1 Pickwick Plaza

Greenwich, CT 06830

Seat No. 180-R

Frost-Gamma Limited Partnership

Seat #s 507-R, 515-R, 578-R, 654-R, 757-R

to

Donn J. Stobierski

Off-Floor Trader

Citadel Derivatives Group LLC

131 S. Dearborn, 37th Floor

Chicago, IL 60603

Change in Member Organization

****■Morgan Stanley DW, Inc.**

Ceased as a regular member organization, effective at the opening of business on April 2, 2007. (merged with Morgan Stanley & Co. Incorporated)

-
- * Regular Member
 - ** Regular Member Organization
 - Options Principal Member
 - Options Principal Member Organization
 - † Associate Member
 - †† Associate Member Organization
 - # CBOE Member Organization
 - NYSE Member Organization
 - Limited Trading Permit Holder
 - Limited Trading Permit Holder Organization
 - @ Also Employed By Another Firm
 - (§) Sole Proprietor ceased

Proposed Transfers of Membership

Termination of Special Transfer Agreement – Regular

(To Be Effective April 10, 2007)

Scott J. Feder

XTF Market Making LLC

to

James F. Kelly

Independent

(To Be Effective April 12, 2007)

Jonah M. Petchesky

MVP Options Trading LLC

to

Scott H. Arenstein

Independent

Russell D. Rivera

Goldman Sachs Execution & Clearing, LP

to

Ann J. Horowitz

Independent

Victor D. Santiago

Heights Partners, Inc.

to

Estate of Rose Grossman

(To Be Effective April 13, 2007)

Eugene F. Croddick, II*

Amerivest Partners, LLC

to

Albert Vacchiano

Independent

**Cancellation of Nominee Authorization and Agreement
(To Be Effective April 12, 2007)**

Nicholas M. Santoiemma to **Goldman Sachs Execution & Clearing L.P.**
Goldman Sachs Execution & Clearing L.P.

**Simultaneously transferring to another seat*

***Currently a member on another seat*

New Memberships

Sales

Regular

(To Be Effective April 13, 2007)

Albert Vacchiano to **I.L.P. of NY LLC**
Independent

(To Be Effective April 18, 2007)

Gordon H. Hensley to **Adam Haber**
Independent Independent

Special Transfer Agreement – Regular

(To Be Effective April 12, 2007)

Estate of Rose Grossman to **Matthew D. Keyes**
House Broker of
Heights Partners, Inc.

Richard Sorota

Independent to **Andrew Mugica**
Trader of
Consolidated Trading LLC

(To Be Effective April 13, 2007)

I.L.P. of NY LLC to **Eugene F. Croddick, II**
Broker of
Amerivest Partners, LLC

Current Members – Firm Status Change

Special Transfer Agreement – Regular

(To Be Effective April 12, 2007)

William Gillman to **William Gillman**
RET Securities Inc. **Commission Broker of**
(Vincent Martuscelli – Lessor) RET Securities Corp. and Harvey Young Yurman
Incorporated

Richard Macciola

AIM Securities Co. to **Richard Macciola**
(Dennis Poster – Lessor) **Specialist of**
AIM Securities Co. & Aegis Specialist, LLC

John Suh

Kellogg Capital Group LLC to **John Suh**
(Eleanor G. Frank – Lessor) **Trader of**
Gargoyle Strategic Investments, LLC

Dwayne L. Taylor
DE Trading, LLC
(Stanley M. Katz – Lessor)

to

Dwayne Taylor
Trader of
STEN Securities, LLC

Proposed Member Owner Organization

(To Be Effective April 13, 2007)

I.L.P. of NY LLC

c/o Camposto & Felicia
8017 New Utrecht Avenue
Brooklyn, NY 11214
Ian J. Banny, Member
Peter Iocolano, Member
Louis Sozio, Member

Proposed Associate Member

(To Be Effective April 16, 2007)

Philip A. Pendergraft

Executive Vice President of
Penson Financial Services Inc.
1700 Pacific Avenue Suite 1400
Dallas, TX 75201

Proposed Allied Members

(To Be Effective April 13, 2007)

Louis Sozio

Member
I.L.P. of NY LLC
c/o Camposto & Felicia
8017 New Utrecht Avenue
Brooklyn, NY 11214

Ian J. Banny

Member
I.L.P. of NY LLC
c/o Camposto & Felicia
8017 New Utrecht Avenue
Brooklyn, NY 11214

Peter Iocolano

Member
I.L.P. of NY LLC
c/o Camposto & Felicia
8017 New Utrecht Avenue
Brooklyn, NY 11214

(To Be Effective April 16, 2007)

Nicole C. Clark-Ake

Chief Compliance Officer of
Penson Financial Services Inc.
1700 Pacific Avenue Suite 1400
Dallas, TX 75201

David R. Henkel
Chief Financial Officer of
Penson Financial Services Inc.
1700 Pacific Avenue Suite 1400
Dallas, TX 75201

Philip A. Pendergraft
Executive Vice President of
Penson Financial Services Inc.
1700 Pacific Avenue Suite 1400
Dallas, TX 75201

Daniel P. Son
Vice Chairman of
Penson Financial Services Inc.
1700 Pacific Avenue Suite 1400
Dallas, TX 75201

Charles W. Yancey
Chief Executive Officer of
Penson Financial Services Inc.
1700 Pacific Avenue Suite 1400
Dallas, TX 75201

Proposed Approved Person

(To Be Effective April 16, 2007)

Roger J. Engemoen, Jr.
Director of
Penson Financial Services Inc.
1700 Pacific Avenue Suite 1400
Dallas, TX 75201

Proposed Approved Person Organizations

(To Be Effective April 16, 2007)

SAI Holdings Inc.
Owner of
Penson Financial Services Inc.
1700 Pacific Avenue Suite 1400
Dallas, TX 75201

Nexa Technologies, Inc.
Penson Financial Futures, Inc.
Penson Financial Services Limited
Penson GHCO
SAH, Inc.
Under Common Control with
Penson Financial Services Inc.
1700 Pacific Avenue Suite 1400
Dallas, TX 75201

Proposed Member Organization

(To Be Effective April 16, 2007)

‡Penson Financial Services Inc.
To be admitted as an Associate Member Organization.
Address: 1700 Pacific Avenue, Suite 1400, Dallas, TX 75201
Nicole C. Clark-Ake, Chief Compliance Officer
Daniel P. Son, Vice Chairman
Roger J. Engemoen, Jr., Chairman/Director

David R. Henkel, Chief Financial Officer
†Philip A. Pendergraft, Executive Vice President
Charles W. Yancy, Chief Executive Officer/President
Joseph K. Gray, Director
William D. Gross, Director

Any information you may have which would be pertinent to consideration of the applicants by the Exchange should be promptly reported to the Membership and Registration Department at 212-306-1419.

Current Reports Made to the Exchange

Member's attention is called to the fact that the following reports are made to the Exchange within the coming week:

Report – To NASD Amex Regulation Division - Financial Regulation Dept. Due on or Before

This request for reports is issued on behalf of the American Stock Exchange LLC (Amex) by the Financial Regulation Dept. of the NASD Amex Regulation Division pursuant to a grant of authority to the NASD. Accordingly, this constitutes a request for reports by the Amex.

Web FOCUS Report Part II Quarterly- Member Organizations carrying customers and/or self-clearing are required to file FOCUS Report Part II Quarterly by the 17th business day after quarter-end. Wed. Apr. 25

Web FOCUS Report Part IIA Quarterly - Member Organizations and Sole Proprietor broker-dealers subject to the net capital rule but not carrying customers or self-clearing are required to file FOCUS Report Part IIA Quarterly by the 17th business day after quarter-end. Wed. Apr. 25

Web FOCUS Report Part IIA Quarterly Short - Member Organizations and Sole Proprietor broker-dealers exempt from the net capital rule are required to file FOCUS Report Part IIA Quarterly Short by the 17th business day after quarter-end. Wed. Apr. 25

MO-14 Statement of Specialist Profit and Loss - Specialist Units must file by the 17th business day after quarter-end. Wed. Apr. 25

MO-15 Report of Income by Specialty Security - Specialist Units must file by the 17th business day after quarter-end. Wed. Apr. 25

All terminating Amex-designated Member Organizations and Sole Proprietor broker-dealers are required to file an SEC Rule 17a-5(b) Web FOCUS Report Part II Withdrawal or IIA Withdrawal. Use the Web FOCUS Blank Forms tab to access the appropriate form.

Web FOCUS Users experiencing access problems with Web FOCUS should contact the **Gateway Call Center @ 301-869-6699** for assistance.

Please contact the NASD Amex Regulation Division - Financial Regulation Dept., One Liberty Plaza, 51st Floor – Robert Devine 212-858-4448 regarding Web FOCUS entitlement procedures, MO-14 statement, or MO-15 report. Other questions regarding financial reporting should be directed to your FRD examiner.

Please refer to Amex Notice REG 2006–55 dated Oct. 26, 2006, for additional information about filing reports with the Financial Regulation Dept. This Notice is posted on the website at: <http://www.amex.com/amextrader/tdrInfo/data/axNotices/2006/reg102606.html>.

Equities Mid-Month Short Interest Schedule 2007

Trade Date	Settlement Date	Report Due	Report Published
(Tuesday) April 10	(Friday) April 13	(Tuesday) April 17– 1:00 p.m. EST	(Thursday) April 19

Derivatives (End-of-Month) Short Interest Schedule 2007

Trade Date	Settlement Date	Report Due	Report Published
(Wednesday) April 25	(Monday) April 30	(Tuesday) May 1 – 1:00 p.m. EST	(Thursday) May 3

The List

This information can be found on the Web. In order to retrieve this information, go to <http://www.amextrader.com> and from the home page click the Daily List button. At the Daily List home page scroll down to Amex issues and select the view link for the current date. Should you have any questions please call (212) 306-2438.

The Following Securities Have Been Approved for Listing:

- Claymore Exchange-Traded Fund Trust 100,000 Claymore/BIR Leaders Small-Cap Core ETF
- Claymore Exchange-Traded Fund Trust 100,000 Claymore/BIR Leaders Mid-Cap Value ETF
- Claymore Exchange-Traded Fund Trust 100,000 Claymore/BIR Leaders 50 ETF
- Claymore Exchange-Traded Fund Trust 100,000 Claymore/Zacks Mid-Cap Core ETF
- Claymore Exchange-Traded Fund Trust 100,000 Claymore/Zacks Growth & Income Index ETF
- Claymore Exchange-Traded Fund Trust 100,000 Claymore/Ocean Tomo Growth Index ETF
- Claymore Exchange-Traded Fund Trust 100,000 Claymore/Great Companies Large-Cap Growth Index ETF
- Media & Entertainment Holdings, Inc. 27,150,000 shares of Common Stock, Par Value \$.0001
- Media & Entertainment Holdings, Inc. 13,650,000 Warrants expiring March 9, 2011
- Merrill Lynch 4,380,000 shares of Accelerated Return Notes Linked to S&P 500 Index, maturing April 18, 2008
- Merrill Lynch 4,340,000 shares of Accelerated Return Notes Linked to S&P MidCap 400 Index, maturing, April 4, 2008
- Merrill Lynch 9,570,000 shares of Accelerated Return Bear Market Notes Linked to PHLX Housing Sector Index, maturing April 18, 2008
- Oceanaut, Inc 55,062,500 shares of Common Stock, Par Value \$.0001
- Oceanaut, Inc 26,562,500 Warrants expiring March 1, 2012
- Symmetry Holdings Inc 51,979,167 shares of Common Stock, Par Value \$.001
- Symmetry Holdings Inc 25,729,167 Warrants expiring March 7, 2011

Additional & Substitution Listings:

- Callisto Pharmaceuticals, Inc., 18,320,612 Shares of Common Stock, \$0.0001 Par Value

Central Gold-Trust, 715,000 Units, No Par Value

Fronteer Development Group, Inc., 615,000 Shares of Common Stock, No Par Value

Manhattan Pharmaceuticals, Inc., 14,301,339 Shares of Common Stock, \$0.001 Par Value

Memry Corporation, 4,500,000 Shares of Common Stock, \$0.01 Par Value

Mountain Province Diamonds, Inc., 4,251,666 Shares of Common Stock, No Par Value

New Dragon Asia Corp., 6,000,000 Shares of Common Stock, \$0.0001 Par Value

StormCat Energy Corporation, 27,059,829 Shares of Common Stock, No Par Value

XELR8 Holdings, Inc., 6,600,000 Shares of Common Stock, \$0.001 Par Value

Corporate Actions and New Listings announced for March 30- April 5, 2007 can be viewed on the Issues Daily list homepage on amextrader.com; click on [Issues Daily List](#) to view the Issues Daily List.

Registered Interest

<u>Name of Company</u>	<u>Record Date</u> <u>(New York unless stated otherwise)</u>
Interest due January 2	
Hawk Corporation (8 3/4% Senior Notes, due 11/1/14)	Dec. 15
Wachovia Corporation	
(9% Halliburton Co. Enhanced Yield Secs, due 12/30/06)	Dec. 15
(13.5% Chesapeake Energy Enhanced Yield, due 12/30/06)	Dec. 15
Interest due January 7	
Merrill Lynch & Co., Inc	
(.25% Wells Fargo & Co. Stock-Linked Notes –1/7/08)	Dec. 23
Interest due January 10	
Wachovia Corporation	
(19.25% General Motors Enhanced Yield Secs, due 7/10/07)	Dec. 26
Interest due January 15	
CBS Corporation. (7.625% Senior Debentures-2016)	Jan. 1
Interest due January 20	
Wachovia Corporation	
(10% Consol Energy Inc. Enhanced Yield Securities, 4/20/07)	Jan. 5
Interest due January 26	
Bank of America (0.25% Nasdaq-100 Cash Settled Exchangeable Notes, due 1/26/10)	Jan. 11
Interest due January 29	
Bank of America	
(1% Healthcare Basket Index "CYCLES", due 1/28/11)	Jan. 1
(1.50% Basket CYCLES linked to an "80/20" Basket of Four Indices & 1 ETF, due 7/29/11)	Jan. 14

Interest due February 1

Chandler (USA), Inc. (8 ¾% Senior Debentures, due 7/1/14) Jan. 15

Wachovia Corporation

(13% Google Inc. Enhanced Yield Securities, due 2/1/07) Jan. 17

Interest due February 14

CIBC World Markets (10 Large-Cap U.S. Stock
Basket Yield Generator Notes, due 2/14/11) Feb. 13

Interest due February 15

Wachovia Corporation

(11.25% Toll Brothers Enhanced Yield Secs, due 5/15/07) Jan. 31

(9.375% Transocean Enhanced Yield Secs, due 5/15/07) Jan. 31

Interest due February 22

Citigroup Global Markets Holdings, Inc.

(3% Pfizer Exchangeable Notes, due 8/22/08) Feb. 21

Interest due February 26

Bank of America

(1.25% SPX Index CYCLES, due 2/24/10) Feb. 9

(1.25% DJIA Index CYCLES, due 8/25/10) Feb. 10

Interest due March 1

Trans-Lux Corp.

(8.25% Limited Conv Sr Subordinated Notes, due 3/1/12) Feb. 15

Interest due March 3

Lehman Brothers

(2% Morgan Stanley link Notes, due 3/3/09) Feb. 16

Interest due March 5

Freedom Depository LLC/Amherst Securities (5.10% Cl A,
USAuto Series 2004-1, Freedom Certificates, due 3/3/211) Mar. 2

Interest due March 13

Citigroup Global Market Holdings (0.25% Basket of Tech
Stocks Cash Exchangeable Notes, due 09/13/07) Feb. 26

Interest due March 15

Stater Bros. Holdings Inc. (Floating Rate Notes, due 6/15/10) Mar. 1

Interest due March 20

Citigroup Global Market Holdings (Dow Jones EURO
STOXX 50 Index Principal-Protected Notes, due 12/20/07) Mar. 5

Interest due March 22

Goldman Sachs

(0.25% EMC Corp. Exchangeable Notes, due 3/22/07) Mar. 21

Interest due March 23

Bank of America

(1.625% Nikkei 225 Index CYCLES, due 3/23/10) Mar. 8

Interest due March 27

Bank of America

(1.25% "100/0" Basket of 4 Indices CYCLES, due 9/27/11) Mar. 12

Interest due April 2

CIBC World Markets Corp.

(10 Stock Basket Yield Generator Notes, due 3/11/11) Mar. 30

(CIBC Premium Yield Generator Notes, due 4/1/13) Mar. 30

Interest due April 10

Wachovia Corporation
(19.25% General Motors Enhanced Yield Secs, due 7/10/07) Mar. 26

Interest due April 13
ABN AMRO (Medium Term Notes,
Series A, Leveraged CPI Linked Securities, due 1/13/20) Mar. 29

Interest due April 20

Wachovia Corporation
(10% Consol Energy Inc. Enhanced Yield Securities, 4/20/07) Apr. 5

Interest due April 30

Bank of America
(1.75% Basket of 3 Indices CYCLES, due 4/30/09) Apr. 15
(1.75% S&P 500 CYCLES, due 4/28/11) Apr. 20

CIBC World Markets
(2% DJIA Principal Protected Notes, due 5/5/10) Apr. 27

Morgan Stanley
(2% Proctor & Gamble Exchangeable Notes, due 4/30/11) Apr. 15

Interest due May 15

Wachovia Corporation
(11.25% Toll Brothers Enhanced Yield Secs, due 5/15/07) Apr. 30
(9.375% Transocean Enhanced Yield Secs, due 5/15/07) Apr. 30

Interest due May 29

Bank of America
(1% Basket CYCLES linked to a 70/30
Basket of Four Indices & 1 ETF, due 5/27/10) May. 12
(1.5% S&P 500 Capital Protect Equity
Performance Notes, due 11/26/2010) May. 1

Merrill Lynch & Co., Inc. (1% McDonald's Convertible
Exchangeable Securities, due 5/28/09) May. 13

List of Bonds Dealt in “Flat”

Traded Flat from Listing Date

ABN AMRO 1/16/14)	SHH.A (S&P 500 Structured Hybrid Equity link Secs-
American Corporate Accruals, Inc.	(Callable Principal Receipts-2024)
Bank of America Corp.	BOA.C (S&P 500 linked Notes-7/2/07)
Bank of America Corp.	BOA.D (Nasdaq-100 EAGLES-6/1/10)
Bank of America Corp.	BOA.E (S&P 500 EAGLES- 6/28/10)
Bank of America Corp.	BOA.F (Nikkei 225 6% Min Return linked Notes-6/24/10)
Bank of America Corp.	BOA.G (16 Energy Stk linked EAGLES-8/2/10)
Bank of America Corp.	BOA.H (Russell 2000 EAGLES-8/28/09)
Bank of America Corp.	BOA.I (DJIA Minimum Return EAGLES-9/25/09)
Bank of America Corp.	BOA.J (Nasdaq-100 EAGLES-10/29/10)
Bank of America Corp.	BOA.M (Nikkei 225 Return Linked Notes-6/28/10)
Bank of America Corp.	BOA.O (Russell 2000 EAGLES-1/28/11)
Bank of America Corp.	BOA.R (Nasdaq-100 EAGLES-3/24/09)
Bank of America Corp.	BOA.U (EAGLES linked to the DJIA-6/25/10)
Bank of America Corp.	BOA.W (Amex Exch Biotechnology Index EAGLES-8/28/09)
Bank of America Corp.	BOA.Z (Energy Stocks EAGLES-9/29/10)
Bank of America Corp.	BOR.A (S&P 500 EAGLES-10/29/10)

Bank of America Corp.	BOR.B (Nasdaq-100 Index EAGLES-11/23/10)
Bank of America Corp.	BOR.C (CBOE China Index EAGLES-11/24/10)
Bank of America Corp.	BOR.F (DJIA EAGLES-3/25/11)
Bank of America Corp.	BOR.I (Basket of 3 Indices Return Linked Notes-8/26/10)
Bank of America Corp.	BOR.J ("80/20" Basket of Four Indices Return Link Notes-6/27/11)
Bank of America Corp.	BOR.K (S&P 500 EAGLES-7/29/10)
Bank of America Corp.	BOR.L (Return link notes linked to a basket of two Indices-1/28/11)
Bank of America Corp.	BOR.M (Index EAGLES Linked to the DJIA-8/26/10)
Bank of America Corp.	BOR.N (Dow Jones EURO STOXX 50 Return Link Notes-8/25/11)
Bank of America Corp.	BOR.O (S&P 500 Index EAGLES-10/3/11)
Bank of America Corp.	BOR.P (Amex Biotechnology Index EAGLES-10/28/11)
Bank of America Corp.	BOR.Q (Basket of Three Indices Return Link Notes-10/27/11)
Bank of America Corp.	BOR.R (Basket of Two Indices Return Link Notes-11/22/10)
Bank of America Corp.	BOR.S (Basket of Five EAGLES-11/23/11)
Bank of America Corp.	BOR.T (DJIA Index EAGLES -12/27/11)
Bank of America Corp.	BOR.U (Basket of 3 Indices Return Link Notes-12/29/11)
Bear Stearns & Co. Inc.	BYF.A (U.S. Sector ETFs Principal Protect Notes-2/5/08)
Bear Stearns & Co. Inc.	BYF.B (S&P 500 Principal Protect Notes-10/1/08)
Bear Stearns & Co. Inc.	BYF.C (NASDAQ-100 Principal Protect Notes-12/22/09)
Bear Stearns & Co. Inc.	BYF.D (DJIA Principal Protect Notes-3/23/11)
Bear Stearns & Co. Inc.	BYF.F (Three Intl Indices Medium Term Notes-8/2/10)
Canadian Imperial Bank of Commerce	MRS.A (S&P 500 linked Notes-7/30/10)
Canadian Imperial Bank of Commerce	MRS.B (CIBC 10 U.S. Stks Optimizer Notes-1/30/09)
Canadian Imperial Bank of Commerce	MRS.C (CIBC 10 U.S. Stks Optimizer Notes-3/5/09)
Canadian Imperial Bank of Commerce	MRS.D (CIBC 10 U.S. Stks Optimizer Notes-3/31/09)
Canadian Imperial Bank of Commerce	MRS.E (CIBC 10 U.S. Stks Optimizer Notes-4/30/09)
Canadian Imperial Bank of Commerce	MRS.F (S&P 500 MERITS-6/30/11)
Canadian Imperial Bank of Commerce	MRS.G (CIBC 10 U.S. Stks Optimizer Notes-6/30/09)
Canadian Imperial Bank of Commerce	MRS.H (NASDAQ-100 MERITS-8/1/11)
Canadian Imperial Bank of Commerce	MRS.I (DJIA MERITS-8/31/11)
Canadian Imperial Bank of Commerce	MRS.J (S&P 500 Principal Protected Notes-3/31/09)
Canadian Imperial Bank of Commerce	MRS.K (DJIA MERITS-1/30/09)
Canadian Imperial Bank of Commerce	MRS.M (S&P 500 Index linked Notes-12/31/08)
Canadian Imperial Bank of Commerce	MRS.O (Global Indices Basket linked Notes-9/18/08)
Canadian Imperial Bank of Commerce	MRS.S (S&P 500 Principal Protected Notes-6/15/10)
Canadian Imperial Bank of Commerce	MRS.U (Global Basket of Three Equity Indices -7/29/10)
Citigroup Global Market Holdings Inc.	NDG.A (Nasdaq-100 Principal Protect Notes-12/4/07)
Citigroup Global Market Holdings Inc.	SCW.A (S&P 500 Principal Protect Notes-10/22/07)
Credit Suisse (USA), Inc.	IPJ.A (S&P 500 Five Year Contingent Protect Secs-9/30/08)
Credit Suisse (USA), Inc.	IPJ.B (S&P 500 Five Year Contingent Protect Secs-11/26/08)
Goldman Sachs Group, Inc. (The)	GSD.B (S&P 500 linked Notes-8/7/11)
Goldman Sachs Group, Inc. (The)	GSN.B (S&P 500 Medium Term Notes-2/28/13)
Goldman Sachs Group, Inc. (The)	GSN.C (S&P 500 Medium Term Notes-4/18/13)
Goldman Sachs Group, Inc. (The)	GSN.D (S&P 500 Medium Term Notes-5/30/13)
JP Morgan Chase & Co.	JPL.A (Consumer Price Index Secs-1/15/10)
JP Morgan Chase & Co.	JPL.A (S&P 500 linked Notes-11/26/07)
JP Morgan Chase & Co.	JPL.B (S&P 500 Market Participation Notes-3/12/08)
JP Morgan Chase & Co.	JPL.C (Cap Qtr Observation Notes-9/22/08)
JP Morgan Chase & Co.	JPL.D (S&P 500 Cap Qtr Observation Notes-10/30/08)
JP Morgan Chase & Co.	JPL.E (S&P 500 Cap Qtr Observation Notes-1/21/09)
JP Morgan Chase & Co.	JPL.F (S&P 500 Mkt Participation Notes-3/31/09)
JP Morgan Chase & Co.	JPL.G (S&P 500 Cap Qtr Observation Notes-7/7/09)
JP Morgan Chase & Co.	JPL.H (S&P 500 Cap Qtr Observation Notes-9/21/09)
JP Morgan Chase & Co.	JPL.I (S&P 500 Principal Protect Notes-9/30/10)
Lehman Brothers Holdings Inc.	LBN.A (Dow Jones EUROSTOXX 50 Index-7/7/08)
Lehman Brothers Holdings Inc.	LBN.B (Dow Jones EURO STOXX 50 Index-7/29/08)

Lehman Brothers Holdings Inc.	LSQ.B (DJIA PrincipalPlus SUNS-8/5/07)
Lehman Brothers Holdings Inc.	LSQ.C (DJIA SUNS-4/29/10)
Lehman Brothers Holdings Inc.	LSQ.D (Nikkei 225 SUNS-6/10/10)
Lehman Brothers Holdings Inc.	PSZ.C (S&P 500 SUNS-8/5/08)
Lehman Brothers Holdings Inc.	PSZ.D (S&P 500 SUNS-9/27/07)
Lehman Brothers Holdings Inc.	PSZ.E (S&P 500 Callable SUNS-11/6/09)
Lehman Brothers Holdings Inc.	PSZ.F (DJ Global Titans Index SUNS-2/9/10)
Lehman Brothers Holdings Inc.	RLN.C (Nasdaq-100 Rebound RANGERS-5/20/07)
Lehman Brothers Holdings Inc.	RLN.D (Nasdaq-100 Rebound RANGERS-6/7/08)
Lehman Brothers Holdings Inc.	RLN.E (S&P 500 linked Notes-9/28/09)
Lehman Brothers Holdings Inc.	RLN.G (DJ STOXX 50 linked Notes-11/15/09)
Lehman Brothers Holdings Inc.	RLN.H (Russell 2000 Index linked Notes-12/23/09)
Lehman Brothers Holdings Inc.	RLN.I (S&P 500 Index-Plus Notes-3/3/10)
Merrill Lynch	COD.A (Coca-Cola Co Exchangeable Conv Secs-9/30/08)
Merrill Lynch	EXO.A (Exxon Mobile Exchangeable Conv Secs-10/3/08)
Morgan Stanley	BSN.A (3 stks Sr Exchangeable Debt-12/30/08)
Morgan Stanley	ITM.A (Intel Stk Participation Notes-12/30/10)
Morgan Stanley	JMS.A (S&P 500 Callable Notes-12/30/08)
Morgan Stanley	MRW.A (S&P 500 Mkt Participation Secs-12/30/10)
Morgan Stanley	MWT.A (Wal-Mart Stk Participation Notes-9/15/10)
PMA Capital Corporation	PMK (8.5% Monthly Income Sr Notes-6/15/18)
UBS AG	PPV.C (Russell 2000 Principal Protect Notes-4/30/09)
UBS AG	PPV.E (DJIA Principal Protect Notes-5/29/09)
UBS AG	PPV.G (S&P 500 Principal Protect Notes-11/7/07)
UBS AG	PPV.H (S&P 500 Principal Protect Notes-12/7/07)
UBS AG	PPV.I (U.S. Equity Indices Principal Protect Notes-10/7/11)
UBS AG	PPV.J (DJIA Principal Protect Notes-2/7/08)
UBS AG	PPV.K (S&P 500 Principal Protect Notes-9/8/10)
UBS AG	PPV.L (S&P 500 Principal Protect Notes-4/8/10)
UBS AG	PPV.M (S&P 500 Principal Protect Notes-10/7/09)
UBS AG	PPV.N (S&P 500 Principal Protect Notes-5/7/10)
UBS AG	PPV.O (S&P 500 Principal Protect Notes-6/7/10)
UBS AG	PPV.P (S&P 500 Principal Protect Notes-7/8/10)
UBS AG	PPV.Q (S&P 500 Principal Protect Notes-8/6/10)
UBS.AG	PPV.R (S&P 500 Principal Protect Notes-9/8/09)
UBS AG	PPZ.A (S&P 500 Partial Protect Notes-11/05/07)
UBS AG	PPZ.B (S&P 500 Partial Principal Protect Notes-2/28/08)
UBS AG	PPZ.C (S&P 500 Partial Principal Protect Notes-11/5/10)
Wachovia Corporation	WDB.B (Nikkei 225 ASTROS-3/2/10)
Wachovia Corporation	WDB.D (S&P 500 linked to Trigger CAPITALS-12/8/08)
Wachovia Corporation	WDB.E (Global Basket of Indices ASTROS-2/2/10)
Wachovia Corporation	WDB.I (Global Equity Basket ASTROS-5/5/10)
Wachovia Corporation	WDB.M (Metals-China Basket ASTROS-1/28/09)
Wachovia Corporation	WDB.R (Nikkei 225 Index LUNARS-4/30/07)
Wachovia Corporation	WTY.A (4.5% U.S. Treasuries Principal Protect Notes-6/6/07)
Wells Fargo & Company	WFG.A (S&P 500 linked Notes-1/4/08)
Wells Fargo & Company	WFG.B (Nasdaq-100 linked Notes-1/4/08)
Wells Fargo & Company	WFG.C (Basket linked Notes-4/15/09)
Wells Fargo & Company	WFG.D (DJIA High Point Average linked Notes-5/5/10)
Wells Fargo & Company	WFG.F (S&P 500 Callable Notes-8/25/09)

Halted Issues

Transactions in the following securities have been halted for a period exceeding five trading days. Members and member organizations are reminded of the provisions of Exchange Rule 462(d)1 when determining the value to place upon those securities for margin purposes.

Any purchase or sale of a security which has been halted by the Exchange may be subject to Federal regulation as well as the rules of the other market(s) where the purchase or sale is to take place.

Members are reminded that open orders on the books of the specialist for an issue which has been halted for 30 days are automatically canceled.

<u>Name of Company (Issue)</u>	<u>Date of Halt</u>
Bodisen Biotech Inc.	March 20, 2007
Cold Spring Capital Inc. (Warrants)	February 14, 2007
Enernorth Industries Inc.	February 9, 2007

a — Addition to previous Bulletin.

Dividends

Dividends announced for April 2, 2007 –April 5, 2007 can be viewed on the Dividend Daily list homepage on amextrader.com; click on [Dividends Daily List](#) to view the Dividends Daily List.

Please call the Corporate Actions/Proxy Rulings Department at 212-306-2438 with any questions.

Meetings of Stockholders

Members should, insofar as possible without additional expense, transfer certificates of stock held in persons or firms over whom they have no control into their own names, or those of persons over whom they have control, before the record date for determining stockholders entitled to vote.

As a guide to Member Organizations in giving proxies pursuant to Rule 577 to vote stock held for the account of customers the following symbols are used in the list of meetings below:

- V = Preceding Record Date indicates Members MAY vote the entire proxy under the Ten Day Rule.
- X = Together with numbers or letters indicates that Members MAY NOT vote the designated matters without specific instructions from owners. Numbers or letters shown are those used in the PROXY FORM.
- X = With no other designation indicates that Members MAY NOT vote the entire proxy.
- NP = Indicates that proxies are not being solicited.

The following abbreviations are used for items not numbered or lettered in the proxy form:

- SP = Stockholder Proposal(s)
- OP = Option Plan
- STP = Stock Purchase Plan
- CP = Compensation Plan
- BY = By-Law Amendment
- CH = Charter Amendment
- MP = Merger Proposal

Where no symbol appears, proxy material has not yet been received.

<u>Name of Company</u>	<u>Record</u>	<u>Meeting</u>
Ablest Inc.	Apr. 13	A May 30
*Abraxas Petroleum Corporation Note: (1)	May 25	A Jun. 26
Acme United Corporation X-2-3	Mar. 6	A Apr. 23
Adams Resources & Energy, Inc.	Apr. 2	A May 21
*Adherex Technologies Inc. Note: (8) X-3	Mar. 26	A Apr. 27
ADVENTRX Pharmaceuticals Inc.	Apr. 6	A May 23
AeroCentury Corp. V	Mar. 7	A May 2
AirNet Systems, Inc.	Apr. 27	A Jun. 6
*Alpha Pro Tech, Ltd.	Apr. 18	A Jun. 4
AMCON Distributing Company X-3-4	Feb. 21	A Apr. 17
American Biltrite, Inc.	Mar. 9	A May 8
American Community Properties Trust	Apr. 6	A Jun. 6
American Israeli Paper Mills Ltd.	Mar. 19	S Apr. 15
American Mortgage Acceptance Company	Apr. 13	A Jun. 12
American Spectrum Realty, Inc. V	Mar. 20	A May 8

AmReit, Inc.		Apr.	2	A	May	30
Apex Silver Mines Ltd.		Apr.	19	A	Jun.	7
Apogee Technology, Inc.		Apr.	2	A	May	22
* Apollo Gold Corporation Note: (4)		Apr.	16	A	May	16
Arrhythmia Research Technology, Inc.		Apr.	2	A	May	11
Ascendia Brands, Inc. Note: (2)		Feb.	9			
* Augusta Resource Corporation Note: (7)		Apr.	5	A	Jun.	1
Aurizon Mines Ltd. Note: (4)		Mar.	22	A	May	11
* Aurora Oil & Gas Corporation	V	Mar.	13	A	May	18
* Austral Pacific Energy Ltd. Note: (5)		Mar.	9	A	Apr.	26
Avalon Holdings Corporation	V	Mar.	2	A	Apr.	27
AXS-One Inc.		Apr.	3	A	May	23
B&G Foods, Inc.		Apr.	6	A	May	8
Badger Meter, Inc.	X-2	Feb.	28	A	Apr.	27
Baker (Michael) Corporation	V	Mar.	5	A	Apr.	19
Bar Harbor Bankshares	V	Mar.	24	A	May	15
Bexil Corporation		Mar.	16	A	May	11
* Bio-Rad Laboratories, Inc.	X-3	Feb.	26	A	Apr.	24
BioSante Pharmaceuticals, Inc.		Apr.	17	A	Jun.	14
Birch Mountain Resources Ltd.		Apr.	20	A	May	31
Blair Corporation	X	Mar.	16	S	Apr.	24
Blonder Tongue Laboratories, Inc.		Mar.	30	A	May	23
British American Tobacco PLC		Mar.	20	A	Apr.	26
CabelTel International Corp. Note: (2)		Feb.	16			
Camden National Corporation	V	Mar.	15	A	May	1
Canadian Superior Energy, Inc. Note: (4)		Mar.	27	A	Apr.	27
CanArgo Energy Corporation		Apr.	2	A		
Canterbury Park Holding Corporation		Apr.	13	A	Jun.	7
Canyon Resources Corporation		Apr.	12	A	May	21
Capital Properties, Inc.	V	Mar.	1	A	Apr.	24
Castle (A.M.) & Co.	V	Mar.	9	A	Apr.	26
Cathay Merchant Group, Inc.		Mar.	9	A	May	7
Centerplate, Inc.		Apr.	10	A	May	23
Central Gold-Trust		Mar.	15	A	Apr.	26
CET Services, Inc.		Mar.	23	A	Apr.	27
Cheniere Energy, Inc.		Mar.	27	A	May	25
* Chicago Rivet & Machine Co.	V	Mar.	19	A	May	8
Chile Fund, Inc. (The)	V	Feb.	26	A	Apr.	26
Chromcraft Revington, Inc.		Mar.	16	A	May	9
CKX Lands, Inc.	V	Feb.	23	A	Apr.	26
Claude Resources, Inc. Note: (4)		Mar.	21	A	May	8
Clough Global Allocation Fund		May	23	A	Jul.	23
Clough Global Equity Fund		May	23	A	Jul.	23
Clough Global Opportunities Fund		May	23	A	Jul.	23
Coffee Holding Co., Inc.	V	Mar.	7	A	Apr.	12
Cold Spring Capital Inc.		Apr.	3	S	May	4
Communications Systems, Inc.		Mar.	30	A	May	22
Community Bankshares, Inc.		Mar.	30	A	May	31
* Congoleum Corporation	V	Mar.	9	A	May	8
Consolidated-Tomoka Land Co.	V	Mar.	1	A	Apr.	25
Continental Materials Corporation		Apr.	25	A	May	23
Conversion Services						
International, Inc. Note: (2)		Mar.	1			
Core Molding Technologies, Inc.		Mar.	30	A	May	16
Cornerstone Strategic Value Fund	V	Feb.	16	A	Apr.	16
Cornerstone Total Return Fund	V	Feb.	16	A	Apr.	16
* Corriente Resources Inc.		Apr.	19	A	May	24
Cortex Pharmaceuticals, Inc.		Mar.	22	A	May	9
Credit Suisse Asset						
Management Income Fund, Inc.		Feb.	26	A	Apr.	26
Cross (A.T.) Company	V	Feb.	28	A	Apr.	26
Darling International Inc.		Mar.	23	A	May	8
Decorator Industries, Inc.		Apr.	2	A	May	15

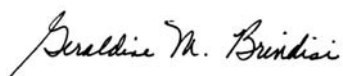
Digital Angel Corporation		Mar.	21	A	May	10
* Document Security Systems, Inc.	V	Mar.	16	A	May	3
Dreyfus Municipal Income, Inc. Note: (3)		Mar.	16	A	May	18
Dune Energy, Inc.	Note: (2)	Mar.	5			
Eastern Company (The)	V	Feb.	23	A	Apr.	25
Eldorado Gold Corporation		Apr.	9	A	May	24
Endeavour International Corporation		Mar.	26	A	May	24
Energy Income and Growth Fund	V	Feb.	12	A	Apr.	16
Energy Services Acquisition Corp.	V	Mar.	12	A	Apr.	23
ENGlobal Corporation		Apr.	16	A	Jun.	14
Entree Gold Inc.		Apr.	11	A	May	16
Environmental Power Corporation		Apr.	16	A	Jun.	12
Exeter Resource Corporation		Apr.	16	A	May	23
ExpXpress-1 Expedited Solutions, Inc.		May	1	A	Jun.	14
First Israeli Fund, Inc. (The)	V	Feb.	26	A	Apr.	26
First Trust Tax-Advantaged Preferred Income Fund	V	Feb.	12	A	Apr.	16
First Trust Value Line® 100 Fund		Feb.	16	S	May	17
Five Star Quality Care, Inc.		Mar.	20	A	May	15
Florida Public Utilities Company		Mar.	16	A	May	8
Flotek Industries, Inc.		Apr.	5	A	May	18
Franklin Street Properties Corp.	V	Mar.	16	A	May	11
Fronteer Development Group Note: (4)		Mar.	25	A	May	2
Gabelli Global Gold, Natural Resources & Income Trust (The)		Mar.	12	A	May	14
Gabelli Global Utility & Income Trust (The)		Mar.	12	A	May	14
GAINSCO, INC.		Apr.	5	A	May	10
Galaxy Energy Corporation		Jan.	19	S		
Gammon Lake Resources, Inc.		Apr.	5	A	May	19
Gasco Energy, Inc.		Apr.	5	A	May	15
* General Finance Corporation		Apr.	20	S	May	29
Glencairn Gold Corporation Note: (4)		Apr.	10	A	May	17
Gold Reserve Inc. Note: (4)		Apr.	30	A	Jun.	7
Golden Star Resources Ltd.		Apr.	9	A	May	9
Goldfield Corporation (The)		Apr.	13	A	May	31
Gorman-Rupp Company (The)	V	Mar.	14	A	Apr.	26
Graham Corporation		Jun.	1	A	Jul.	26
Green Plains Renewable Energy	X-2	Mar.	16	A	Apr.	19
Grey Wolf, Inc.		Apr.	3	A	May	15
Hanover Capital Mortgage Holdings, Inc.		Mar.	30	A	May	18
Harken Energy Corporation		Apr.	6	A	Jun.	4
Hawk Corporation		Apr.	5	A	May	30
HearUSA, Inc.		Apr.	13	A	Jun.	11
Hooper Holmes, Inc.		Apr.	13	A	May	30
Hungarian Telephone and Cable Corp.		Apr.	5	A	May	24
IBT Bancorp, Inc.	V	Mar.	2	A	Apr.	17
IGI, Inc.		Mar.	28	A	May	10
ILX Resorts Incorporated		Apr.	16	A	Jun.	20
Imageware Systems, Inc.		Apr.	5	A	May	15
Imperial Oil Limited		Mar.	2	A	May	1
ING Clarion Global Real Estate Income Fund		Mar.	30	A	May	7
ING Clarion Real Estate Income Fund		Mar.	30	A	May	7
Indonesia Fund, Inc. (The)	V	Feb.	26	A	Apr.	26
* Inovio Biomedical Corp. Note: (8) X-3		Mar.	23	A	May	4
Intelligent Systems Corporation		Apr.	6	A	May	24
Intermediate Muni Fund, Inc.		Mar.	19	A		
InterSearch Group, Inc.		Mar.	25	A	Apr.	25
IntriCon Corporation	X-2	Mar.	16	A	Apr.	25
Inverness Medical Innovations, Inc.		Mar.	23	A	May	17

ISCO International, Inc.		Apr.	16	A	Jun.	8
I-trax, Inc.		Mar.	21	A	May	2
J. Alexander's Corporation		Mar.	23	A	May	15
*JED Oil Inc.	Note: (4)	Apr.	27	A	May	28
*Kodiak Oil & Gas Corp.		Apr.	23	A	May	24
*KSW Inc.	V	Apr.	3	A	May	8
LaPolla Industries, Inc.	Note: (2)	Jan.	23			
Latin America Equity Fund, Inc.	V	Feb.	26	A	Apr.	26
Lodgian, Inc.	X-2	Mar.	9	A	Apr.	24
LSB Industries, Inc.		Apr.	16	A	Jun.	14
Maine & Maritimes Corporation	V	Mar.	19	A	May	8
*Markwest Hydrocarbon Inc.		Apr.	13	A	Jun.	13
Matritech, Inc.		Apr.	12	A	Jun.	8
Maui Land & Pineapple Company	V	Mar.	14	A	May	7
MAXXAM Inc.		Apr.	6	A	May	30
MCF Corporation		Apr.	20	A	Jun.	8
Mercantile Bancorp, Inc.		Apr.	10	A	May	21
Merrimac Industries, Inc.		Apr.	27	A	Jun.	20
Metalico, Inc.		Apr.	5	A	May	22
Metallica Resources, Inc.		Apr.	23	A	May	24
*Metropolitan Health Networks, Inc.		Apr.	13	A	Jun.	7
MHI Hospitality Corporation	V	Mar.	1	A	Apr.	27
MicroFinancial, Inc.		Apr.	11	A	May	16
MicroIslet, Inc.		Dec.	26	S		
Mid Penn Bancorp	V	Feb.	15	A	Apr.	24
MidSouth Bancorp, Inc.		Mar.	31	A	May	30
*Midwest Air Group, Inc.		Apr.	9	A	May	23
Minefinders Corporation Ltd.		Mar.	21	A	May	9
Mission West Properties, Inc.		Mar.	23	A	May	17
National HealthCare Corporation	V	Mar.	9	A	Apr.	24
Neuberger Berman CA Intermediate Municipal Fund Inc.	V	Feb.	21	A	Apr.	16
Neuberger Berman Dividend Advantage Fund Inc.	V	Feb.	21	A	Apr.	16
Neuberger Berman Income Opportunity Fund Inc.	V	Feb.	21	A	Apr.	16
Neuberger Berman Intermediate Municipal Fund Inc.	V	Feb.	21	A	Apr.	16
Neuberger Berman NY Intermediate Municipal Fund Inc.	V	Feb.	21	A	Apr.	16
Neuberger Berman Real Estate Securities Income Fund Inc.		Feb.	21	A		
Nevsun Resources Ltd.		Mar.	16	A	Apr.	25
New Dragon Asia Corp. Note: (2)		Dec.	19			
New Gold Inc.		Mar.	26	A	May	3
*North American Galvanizing & Coatings, Inc.	V	Mar.	15	A	May	15
*North American Palladium, Ltd. Note: (4)		Apr.	18	A	May	23
Northgate Minerals Corporation Note: (4)		Mar.	5	A	May	4
NovaDel Pharma, Inc.		Apr.	20	A	Jun.	6
NovaGold Resources, Inc.		Mar.	26	A	May	1
NTN Buzztime, Inc.		May	1	A		
NTS Realty Holdings Limited Partnership		Apr.	30	A	Jun.	12
NVR, Inc.	V	Mar.	1	A	May	4
O2Diesel Corporation		Apr.	9	A		
Odyssey Marine Exploration, Inc.		Apr.	2	A	May	18
Orchids Paper Products Company		May	1	A	Jun.	19
Orion HealthCorp, Inc.		Mar.	27	A	May	9
Otelco, Inc.		Mar.	22	A	May	17

Park National Corporation	V	Feb.	21	A	Apr.	16
Peapack-Gladstone Financial Corp.	V	Mar.	12	A	Apr.	24
Phoenix Footwear Group, Inc.		Apr.	20	A	May	31
Pinnacle Bancshares, Inc.		Apr.	11	A		
Pinnacle Data Systems, Inc.		Mar.	12	A	May	8
Pittsburgh & West Virginia Railroad		Mar.	16	A	May	17
*PLC Systems, Inc.		Apr.	27	A	Jun.	13
PMC Commercial Trust		Apr.	16	A	Jun.	9
Presidential Realty Corporation		Apr.	19	A	Jun.	15
*Proliance International, Inc.	V	Mar.	12	A	May	3
Pro-Pharmaceuticals, Inc.		Apr.	12	A	May	24
*Providence and Worcester Rail Road Company	X-2	Mar.	2	A	Apr.	25
*PS Business Parks, Inc.	V	Mar.	23	A	Apr.	30
Putnam New York Investment Grade Municipal Trust	X	Feb.	15	S	May	15
QuadraMed Corporation		Apr.	9	A	Jun.	7
Quest Capital Corp. Note: (4)		Mar.	26	A	Apr.	30
*Questcor Pharmaceuticals, Inc.	V	Mar.	15	A	May	11
Reading International, Inc.		Mar.	16	A	May	10
Ready Mix, Inc.		Apr.	4	A		
Reaves Utility Income Fund	V	Feb.	28	A	Apr.	12
RELM Wireless Corporation		Apr.	2	A	May	16
Rewards Network Inc.		Mar.	26	A	May	22
RMR Asia Pacific Real Estate Fund	X-3	Feb.	9	A	May	8
RMR F.I.R.E. Fund Note: (6)	X-3	Feb.	9	A	May	8
RMR Preferred Dividend Fund Note: (6)	X-3	Feb.	9	A	May	8
RMR Real Estate Fund Note: (6)	X-3	Feb.	9	A	May	8
*Salisbury Bancorp, Inc.		Mar.	23	A	May	16
SCOLR Pharma, Inc.		Apr.	17	A	Jun.	7
Seaboard Corporation	V	Mar.	5	A	Apr.	23
Signalife, Inc. Note: (2)		Mar.	9			
SmartPros Ltd.		Apr.	19	A	Jun.	14
*Southwest Georgia Financial Corporation		Apr.	9	A	May	22
Superior Uniform Group, Inc.	V	Mar.	14	A	May	4
*Supreme Industries, Inc.	X-2	Mar.	23	A	May	3
Tandy Leather Factory, Inc.		Apr.	20	A	May	22
Telephone and Data Systems, Inc.		Mar.	29	A	May	10
*Tengasco, Inc.	V	Feb.	23	A	Apr.	30
Teton Energy Corporation	V	Mar.	29	A	May	3
TGC Industries, Inc.		Apr.	9	A	Jun.	5
Thai Capital Fund, Inc. (The)		Mar.	16	A	Jun.	4
ThinkEngine Networks, Inc.		Mar.	22	A	May	10
Tompkins Trustco, Inc.		Mar.	16	A	May	14
TransGlobe Energy Corporation		Mar.	23	A	May	9
Transmeridian Exploration, Inc.		Apr.	2	A	May	17
Tuxis Corporation		Mar.	16	A	May	14
Union Bankshares, Inc.		Mar.	30	A	May	16
United States Cellular Corporation		Mar.	29	A	May	8
Unitil Corporation	V	Feb.	14	A	Apr.	19
US Gold Corporation		Apr.	23	A	Jun.	12
UTEK Corporation		May	9	A	Jun.	15
Valpey Fisher Corp.		Mar.	28	A	May	10
Vicon Industries, Inc.		Apr.	5	A	May	18
Virexx Medical Corp.		Mar.	19	A	May	3
Vista Gold Corp.		Mar.	26	A	May	7
Vita Food Products, Inc.		Apr.	2	A	May	17

Watsco, Inc.		Apr.	10	A	May	25
Wellco Enterprises, Inc.		Mar.	15	S		
Wells-Gardner Electronics Corp.	V	Mar.	9	A	Apr.	24
Wesco Financial Corporation	V	Mar.	13	A	May	9
* Westmoreland Coal Company Note: (1)		May	2	A	Jun.	21
White River Capital, Inc.		Mar.	22	A	May	17
* WidePoint Corporation		Apr.	27	A	May	4
Wilber Corporation (The)	V	Mar.	12	A	Apr.	27
* Winland Electronics, Inc.	V	Mar.	12	A	May	8
Xenonics Holdings, Inc.	V	Feb.	28	A	Apr.	12

- * Additions to or change from previous Bulletins.
- S Special Meeting.
- A Annual Meeting.
- Adj Adjourned Meeting.
- † In Lieu of Annual Meeting.
- (1) Record and meeting date revised by the Company.
- (2) Record date is for "Information Statement" only, no solicitation involved.
- (3) Only the Fund's Auction Preferred shareholders are entitled to vote at the May 18, 2007 meeting; no ruling will be posted by the Exchange.
- (4) Meeting is both Annual and Special.
- (5) Record and meeting date rescinded by the Company.
- (6) May not vote proposal #1 on RMR F.I.R.E., RMR Preferred Dividend, and RMR Real Estate Fund proxy cards.
- (7) Meeting date revised by the Company.
- (8) Ruling based on proxy card.



Geraldine M. Brindisi
Corporate Secretary