



Membership Seat Prices (in 000s)

(As of 9:30 A.M., April 13, 2007)

	BID	OFFER	LAST CONTRACTED
Regular	325	370	325
Options Principal	285	None	320
Limited Trading Permits	None	6.5	25

Includes only those sales arranged pursuant to bids and offers filed with the Membership and Registration Department between the hours of 9:30 a.m. – 4:00 p.m.

Seat market information may be obtained by calling toll-free (877) Amex Seat (877-263-9732). Seat prices are updated intraday on www.amextrader.com.

Membership Lease Prices

(As of 9:30 A.M., April 13, 2007)

The following leases were received:

Includes monthly rental rate information only with respect to Exchange-approved negotiated leases. Not reported are arms length leases or leases for a nominal consideration.

REGULAR

<u>Price</u>	New	<u>Term</u>	<u>Price</u>	Renewal	<u>Term</u>
\$375		6 months	\$400		6 months
			3@ \$375		1 year

OPTIONS PRINCIPAL

<u>Price</u>	New	<u>Term</u>	<u>Price</u>	Renewal	<u>Term</u>
None			None		

LIMITED TRADING PERMIT

<u>Price</u>	New	<u>Term</u>	<u>Price</u>	Renewal	<u>Term</u>
None			None		

Transfers of Membership

Termination of Special Transfer Agreement – Regular (Effective April 10, 2007)

Scott J. Feder to **James F. Kelly**
XTF Market Making LLC Independent
Badge No. 611-F 178 Christine Hill Road
Darien, CT 06820
Seat No. 196-R

(Effective April 12, 2007)

Jonah M. Petchesky to **Scott H. Arenstein**
MVP Options Trading LLC Independent
Badge No. 972-P 312 Sweetbriar Court
Franklin Lakes, NJ 07417
Seat No. 127-R

Russell D. Rivera to **Ann J. Horowitz**
Goldman Sachs Execution & Clearing, L.P. Independent
Badge No. 501-D 1040 Park Avenue, Apt. 8E
New York, NY 10028
Seat No. 668-R

Victor D. Santiago to **Estate of Rose Grossman**
Heights Partners, Inc. c/o S. Jacob Grossman
Badge No. 72 13808 Via Vittoria
Delray Beach, FL 33446
Seat No. 12-R

Cancellation of Nominee Authorization and Agreement (Effective April 12, 2007)

Nicholas M. Santoiemma to **Goldman Sachs Execution & Clearing L.P.**
Goldman Sachs Execution & Clearing L.P. Attn: James Maiello
Badge No. 501-T 30 Hudson Street, 9th Floor
Jersey City, NJ 07302
Seat No. 29-R

**Simultaneously transferring to another seat*

***Currently a member on another seat*

New Memberships

Special Transfer Agreement – Regular (Effective April 12, 2007)

Estate of Rose Grossman to **Matthew D. Keyes**
House Broker
Heights Partners, Inc.
7 Hubert Street, #7A
New York, NY 10013
Seat No. 12-R
Badge No. 77

Richard Sorota
Independent

to

Andrew Mugica
Trader
Consolidated Trading LLC
175 West Jackson Blvd., Suite 490
Chicago, IL 60604
Seat No. 569-R
Badge No. 425-A

Current Members – Firm Status Change

Special Transfer Agreement – Regular
(Effective April 11, 2007)

Richard Macciola
AIM Securities Co.
(Dennis Poster – Lessor)

to

Richard Macciola
Specialist
AIM Securities Co. and
Aegis Specialist, LLC
666 Fifth Avenue, 8th Floor
New York, NY 10103
Seat No. 482-R
Badge No. 666-R

(Effective April 12, 2007)

William J. Gillman
RET Securities Inc.
(Vincent Martuscelli – Lessor)

to

William J. Gillman
Commission Broker
RET Securities Corp. and
Harvey Young Yurman Incorporated
4 Woodridge Avenue
Middletown, NJ 07748
Seat No. 630-R
Badge No. 60

John Suh
Kellogg Capital Group LLC
(Eleanor G. Frank – Lessor)
Badge No. 873-Z

to

John Suh
Trader
Gargoyle Strategic Investments, LLC
285 Grand Avenue, Bldg 3, 2nd Floor
Englewood, NJ 07631
Seat No. 379-R
Badge No. 577-S

Dwayne L. Taylor
DE Trading, LLC
(Stanley M. Katz – Lessor)
Badge No. 704-A

to

Dwayne L. Taylor
Trader
STEN Securities, LLC
355 South East End Avenue
Building 200
New York, NY 10280
Seat No. 754-R
Badge No. 754-T

Change in Member Organization

**MVP Options Trading LLC

Ceased to be registered as a Regular Member Organization with the transfer of *Jonah M. Petchesky (Badge #972-P), effective April 12, 2007.

* Regular Member
**Regular Member Organization
•Options Principal Member
••Options Principal Member Organization
†Associate Member
‡Associate Member Organization
#CBOE Member Organization
■NYSE Member Organization
□ Limited Trading Permit Holder
□□ Limited Trading Permit Holder Organization
@Also Employed By Another Firm
(\$)Sole Proprietor ceased

Proposed Transfers of Membership

Termination of Special Transfer Agreement – Regular (To Be Effective April 13, 2007)

Eugene F. Croddick, II* to **Albert Vacchiano**
Amerivest Partners, LLC Independent

(To Be Effective April 19, 2007)

Jason D. Gold to **Neil Vaccaro**
ATP Trading LLC Independent

Scott R. Grimsley* to **Anthony J. Boglioli**
AGS Specialists II, LLC Independent

(To Be Effective April 20, 2007)

John M. LeMark to **Feivel P. Gottlieb**
L&R Trading LLC Independent

**Simultaneously transferring to another seat*

***Currently a member on another seat*

New Memberships

Sales

Regular

(To Be Effective April 13, 2007)

Albert Vacchiano to **I.L.P. of NY LLC**
Independent

(To Be Effective April 18, 2007)

Gordon H. Hensley to **Adam Haber**
Independent Independent

(To Be Effective April 19, 2007)

Anthony J. Boglioli to **Nickolas Alleva**
Independent Independent

Nominal Transfer – Regular
(To Be Effective April 19, 2007)
PMS Partners

to **Scot McElheny**
Independent

Special Transfer Agreement – Regular
(To Be Effective April 13, 2007)
I.L.P. of NY LLC

to **Eugene F. Croddick, II**
Broker of
Amerivest Partners, LLC

(To Be Effective April 19, 2007)
James F. Kelly
Independent

to **Scott R. Grimsley**
Specialist/Trader of
AGS Specialists II, LLC

Neil Vaccaro
Independent

to **Biagio A. DiMartino**
Trader of
Brashear Trading LLC

Current Members – Firm Status Change

Special Transfer Agreement – Regular
(To Be Effective April 19, 2007)

Christopher Glover
Bluefin Trading, LLC
(Robert Kallman – Lessor)

to **Christopher Glover**
Trader/Specialist of
Bluefin Trading, LLC &
Bluefin Specialists, LLC

Michael Assante
Bluefin Trading, LLC
(Robert E. Koondel – Lessor)

to **Michael Assante**
Trader/Specialist of
Bluefin Trading, LLC &
Bluefin Specialists, LLC

Proposed Member Owner Organization

(To Be Effective April 13, 2007)
I.L.P. of NY LLC
c/o Camposto & Felicia
8017 New Utrecht Avenue
Brooklyn, NY 11214
Ian J. Banny, Member
Peter Iocolano, Member
Louis Sozio, Member

Proposed Associate Members

(To Be Effective April 16, 2007)
Philip A. Pendergraft
Executive Vice President of
Penson Financial Services Inc.
1700 Pacific Avenue Suite 1400
Dallas, TX 75201

(To Be Effective April 19, 2007)

Brian K. Hyndman
Vice President and Secretary of
Nasdaq Options Services LLC
165 Broadway
New York, NY 10006

Proposed Allied Members

(To Be Effective April 13, 2007)

Louis Sozio
Member
I.L.P. of NY LLC
c/o Camposto & Felicia
8017 New Utrecht Avenue
Brooklyn, NY 11214

Ian J. Banny
Member
I.L.P. of NY LLC
c/o Camposto & Felicia
8017 New Utrecht Avenue
Brooklyn, NY 11214

Peter Iocolano
Member
I.L.P. of NY LLC
c/o Camposto & Felicia
8017 New Utrecht Avenue
Brooklyn, NY 11214

(To Be Effective April 16, 2007)

Nicole C. Clark-Ake
Chief Compliance Officer of
Penson Financial Services Inc.
1700 Pacific Avenue Suite 1400
Dallas, TX 75201

David R. Henkel
Chief Financial Officer of
Penson Financial Services Inc.
1700 Pacific Avenue Suite 1400
Dallas, TX 75201

Philip A. Pendergraft
Executive Vice President of
Penson Financial Services Inc.
1700 Pacific Avenue Suite 1400
Dallas, TX 75201

Daniel P. Son
Vice Chairman of
Penson Financial Services Inc.
1700 Pacific Avenue Suite 1400
Dallas, TX 75201

Charles W. Yancey
Chief Executive Officer of
Penson Financial Services Inc.
1700 Pacific Avenue Suite 1400
Dallas, TX 75201

(To Be Effective April 19, 2007)

A. Manny Alicandro
Chief Compliance Officer of
Nasdaq Options Services LLC
165 Broadway
New York, NY 10006

Thomas R. Concannon
President of
Nasdaq Options Services LLC
165 Broadway
New York, NY 10006

Brian K. Hyndman
Vice President and Secretary of
Nasdaq Options Services LLC
165 Broadway
New York, NY 10006

Proposed Approved Person

(To Be Effective April 16, 2007)

Roger J. Engemoen, Jr.
Director of
Penson Financial Services Inc.
1700 Pacific Avenue Suite 1400
Dallas, TX 75201

Proposed Approved Person Organizations

(To Be Effective April 16, 2007)

SAI Holdings Inc.
Owner of
Penson Financial Services Inc.
1700 Pacific Avenue Suite 1400
Dallas, TX 75201

Nexa Technologies, Inc.
Penson Financial Futures, Inc.
Penson Financial Services Limited
Penson GHCO

SAH, Inc.
Under Common Control with
Penson Financial Services Inc.
1700 Pacific Avenue Suite 1400
Dallas, TX 75201

(To Be Effective April 19, 2007)

INET Holding Company LLC
Sole Shareholder of
Nasdaq Options Services LLC
165 Broadway
New York, NY 10006

Brut Inc.
Norway Acquisition LLC
Toll Associates LLC
Under Common Control with
Nasdaq Options Services LLC
165 Broadway
New York, NY 10006

Proposed Member Organizations

(To Be Effective April 16, 2007)

‡Penson Financial Services Inc.

To be admitted as an Associate Member Organization.
Address: 1700 Pacific Avenue, Suite 1400, Dallas, TX 75201
Nicole C. Clark-Ake, Chief Compliance Officer
Daniel P. Son, Vice Chairman
Roger J. Engemoen, Jr., Chairman/Director
David R. Henkel, Chief Financial Officer
†Philip A. Pendergraft, Executive Vice President
Charles W. Yancy, Chief Executive Officer/President
Joseph K. Gray, Director
William D. Gross, Director

(To Be Effective April 19, 2007)

‡Nasdaq Options Services LLC

To be admitted as an Associate Member Organization.
(“LLC Member” does not signify Exchange Member)
Address: 165 Broadway, New York, NY 10006
INET Holding Company LLC, Sole Shareholder
A. Manny Alicandro, Chief Compliance Officer
Christopher R. Concannon, President
†Brian K. Hyndman, Vice President and Secretary

Any information you may have which would be pertinent to consideration of the applicants by the Exchange should be promptly reported to the Membership and Registration Department at 212-306-1419.

Current Reports Made to the Exchange

Members attention is called to the fact that the following reports are made to the Exchange within the coming next week:

Report – To NASD Amex Regulation Division - Financial Regulation Dept. Due on or Before

This request for reports is issued on behalf of the American Stock Exchange LLC (Amex) by the Financial Regulation Dept. of the NASD Amex Regulation Division pursuant to a grant of authority to the NASD. Accordingly, this constitutes a request for reports by the Amex.

Web FOCUS Report Part II Quarterly- Member Organizations carrying customers and/or self-clearing are required to file FOCUS Report Part II Quarterly by the 17th business day after quarter-end. Wed. Apr. 25

Web FOCUS Report Part IIA Quarterly - Member Organizations and Sole Proprietor broker-dealers subject to the net capital rule but not carrying customers or self-clearing are required to file FOCUS Report Part IIA Quarterly by the 17th business day after quarter-end. Wed. Apr. 25

Web FOCUS Report Part IIA Quarterly Short - Member Organizations and Sole Proprietor broker-dealers exempt from the net capital rule are required to file FOCUS Report Part IIA Quarterly Short by the 17th business day after quarter-end. Wed. Apr. 25

MO-14 Statement of Specialist Profit and Loss - Specialist Units must file by the 17th business day after quarter-end. Wed. Apr. 25

MO-15 Report of Income by Specialty Security - Specialist Units must file by the 17th business day after quarter-end. Wed. Apr. 25

All terminating Amex-designated Member Organizations and Sole Proprietor broker-dealers are required to file an SEC Rule 17a-5(b) Web FOCUS Report Part II Withdrawal or IIA Withdrawal. Use the Web FOCUS Blank Forms tab to access the appropriate form.

Web FOCUS Users experiencing access problems with Web FOCUS should contact the **Gateway Call Center @ (301)-869-6699** for assistance.

Please contact the NASD Amex Regulation Division - Financial Regulation Dept., One Liberty Plaza, 51st Floor – Robert Devine (212)-858.4448 regarding Web FOCUS entitlement procedures, MO-14 statement, or MO-15 report. Other questions regarding financial reporting should be directed to your FRD examiner.

Please refer to Amex Notice REG 2006–55 dated Oct. 26, 2006, for additional information about filing reports with the Financial Regulation Dept. This Notice is posted on the webpage at:
<http://www.amex.com/amextrader/tdrInfo/data/axNotices/2006/reg102606.html>.

Equities Mid-Month Short Interest Schedule 2007

Trade Date	Settlement Date	Report Due	Report Published
(Tuesday) April 10	(Friday) April 13	(Tuesday) April 17 – 1:00 p.m. EST	(Thursday) April 19

Derivatives (End-of-Month) Short Interest Schedule 2007

Trade Date	Settlement Date	Report Due	Report Published
(Wednesday) April 25	(Monday) April 30	(Tuesday) May 1 – 1:00 p.m. EST	(Thursday) May 3

The List

This information can be found on the Web. In order to retrieve this information, go to <http://www.amextrader.com> and from the home page click the Daily List button. At the Daily List home page scroll down to Amex issues and select the view link for the current date. Should you have any questions please call (212) 306-2438.

The Following Securities Have Been Approved for Listing:

iShares Trust 200,000 iShares iBoxx \$ High Yield Corporate Bond Fund

Tailwind Financial Inc. 12,500,000 Units

Vanguard Bond Index Funds 100,000 Vanguard Intermediate-Term Bond ETF

Vanguard Bond Index Funds 100,000 Vanguard Long-Term Bond ETF

Vanguard Bond Index Funds 200,000 Vanguard Total Bond Market ETF

Vanguard Bond Index Funds 100,000 Vanguard Short-Term Bond ETF

Additional & Substitution Listings

Ableauctions.com, Inc., 11,764,700 Shares of Common Stock, \$0.001 Par Value

Cash Technologies, Inc., 1,664,134 Shares of Common Stock, \$0.01 Par Value

Endeavour Silver Corp., 250,000 Shares of Common Stock, No Par Value

Inovio Biomedical Corporation, 90,000 Shares of Common Stock, \$0.01 Par Value

MarkWest Energy Partners, L.P., 4,093,395 Shares of Common Stock, \$0.01 Par Value

O2 Diesel Corporation, 12,805,987 Shares of Common Stock, \$0.0001 Par Value

Transmeridian Exploration, Inc., 8,500,644 Shares of Common Stock, \$0.0006 Par Value

Tri-Valley Corporation, 12,000 Shares of Common Stock, \$0.001 Par Value

Uroplasty, Inc., 1,417,144 Shares of Common Stock, \$0.01 Par Value

Corporate Actions and New Listings announced for April 5 - April 13, 2007 can be viewed on the Issues Daily list homepage on amextrader.com; click on [Issues Daily List](#) to view the Issues Daily List.

Registered Interest

<u>Name of Company</u>	<u>Record Date</u> <u>(New York unless stated otherwise)</u>
Interest due January 2	
Hawk Corporation (8 3/4% Senior Notes, due 11/1/14)	Dec. 15
Wachovia Corporation	
(9% Halliburton Co. Enhanced Yield Secs, due 12/30/06)	Dec. 15
(13.5% Chesapeake Energy Enhanced Yield, due 12/30/06)	Dec. 15
Interest due January 7	
Merrill Lynch & Co., Inc	
(.25% Wells Fargo & Co. Stock-Linked Notes –1/7/08)	Dec. 23
Interest due January 10	
Wachovia Corporation	
(19.25% General Motors Enhanced Yield Secs, due 7/10/07)	Dec. 26
Interest due January 15	
CBS Corporation. (7.625% Senior Debentures-2016)	Jan. 1
Interest due January 20	
Wachovia Corporation	
(10% Consol Energy Inc. Enhanced Yield Securities, 4/20/07)	Jan. 5
Interest due January 26	
Bank of America (0.25% Nasdaq-100 Cash Settled Exchangeable Notes, due 1/26/10)	Jan. 11
Interest due January 29	
Bank of America	
(1% Healthcare Basket Index "CYCLES", due 1/28/11)	Jan. 1
(1.50% Basket CYCLES linked to an "80/20" Basket of Four Indices & 1 ETF, due 7/29/11)	Jan. 14
Interest due February 1	
Chandler (USA), Inc. (8 3/4% Senior Debentures, due 7/1/14)	Jan. 15
Wachovia Corporation	
(13% Google Inc. Enhanced Yield Securities, due 2/1/07)	Jan. 17
Interest due February 14	
CIBC World Markets (10 Large-Cap U.S. Stock Basket Yield Generator Notes, due 2/14/11)	Feb. 13

Interest due February 15

Wachovia Corporation
(11.25% Toll Brothers Enhanced Yield Secs, due 5/15/07) Jan. 31
(9.375% Transocean Enhanced Yield Secs, due 5/15/07) Jan. 31

Interest due February 22

Citigroup Global Markets Holdings, Inc.
(3% Pfizer Exchangeable Notes, due 8/22/08) Feb. 21

Interest due February 26

Bank of America
(1.25% SPX Index CYCLES, due 2/24/10) Feb. 9
(1.25% DJIA Index CYCLES, due 8/25/10) Feb. 10

Interest due March 1

Trans-Lux Corp.
(8.25% Limited Conv Sr Subordinated Notes, due 3/1/12) Feb. 15

Interest due March 3

Lehman Brothers
(2% Morgan Stanley link Notes, due 3/3/09) Feb. 16

Interest due March 5

Freedom Depository LLC/Amherst Securities (5.10% Cl A,
USAuto Series 2004-1, Freedom Certificates, due 3/3/211) Mar. 2

Interest due March 13

Citigroup Global Market Holdings (0.25% Basket of Tech
Stocks Cash Exchangeable Notes, due 09/13/07) Feb. 26

Interest due March 15

Stater Bros. Holdings Inc. (Floating Rate Notes, due 6/15/10) Mar. 1

Interest due March 20

Citigroup Global Market Holdings (Dow Jones EURO
STOXX 50 Index Principal-Protected Notes, due 12/20/07) Mar. 5

Interest due March 22

Goldman Sachs
(0.25% EMC Corp. Exchangeable Notes, due 3/22/07) Mar. 21

Interest due March 23

Bank of America
(1.625% Nikkei 225 Index CYCLES, due 3/23/10) Mar. 8

Interest due March 27

Bank of America
(1.25% "100/0" Basket of 4 Indices CYCLES, due 9/27/11) Mar. 12

Interest due April 2

CIBC World Markets Corp.
(10 Stock Basket Yield Generator Notes, due 3/11/11) Mar. 30
(CIBC Premium Yield Generator Notes, due 4/1/13) Mar. 30

Interest due April 10

Wachovia Corporation
(19.25% General Motors Enhanced Yield Secs, due 7/10/07) Mar. 26

Interest due April 13

ABN AMRO (Medium Term Notes,
Series A, Leveraged CPI Linked Securities, due 1/13/20) Mar. 29

Interest due April 20

Wachovia Corporation
(10% Consol Energy Inc. Enhanced Yield Securities, 4/20/07) Apr. 5

Interest due April 30

Bank of America
(1.75% Basket of 3 Indices CYCLES, due 4/30/09) Apr. 15
(1.75% S&P 500 CYCLES, due 4/28/11) Apr. 20

CIBC World Markets
(2% DJIA Principal Protected Notes, due 5/5/10) Apr. 27

Morgan Stanley
(2% Proctor & Gamble Exchangeable Notes, due 4/30/11) Apr. 15

Interest due May 15

Wachovia Corporation
(11.25% Toll Brothers Enhanced Yield Secs, due 5/15/07) Apr. 30
(9.375% Transocean Enhanced Yield Secs, due 5/15/07) Apr. 30

Interest due May 29

Bank of America
(1% Basket CYCLES linked to a 70/30
Basket of Four Indices & 1 ETF, due 5/27/10) May. 12
(1.5% S&P 500 Capital Protect Equity
Performance Notes, due 11/26/2010) May. 1

Merrill Lynch & Co., Inc. (1% McDonald's Convertible
Exchangeable Securities, due 5/28/09) May. 13

List of Bonds Dealt in “Flat”

Traded Flat from Listing Date

ABN AMRO	SHH.A (S&P 500 Structured Hybrid Equity link Secs-1/16/14) (Callable Principal Receipts-2024)
American Corporate Accruals, Inc.	
Bank of America Corp.	BOA.C (S&P 500 linked Notes-7/2/07)
Bank of America Corp.	BOA.D (Nasdaq-100 EAGLES-6/1/10)
Bank of America Corp.	BOA.E (S&P 500 EAGLES- 6/28/10)
Bank of America Corp.	BOA.F (Nikkei 225 6% Min Return linked Notes-6/24/10)
Bank of America Corp.	BOA.G (16 Energy Stk linked EAGLES-8/2/10)
Bank of America Corp.	BOA.H (Russell 2000 EAGLES-8/28/09)
Bank of America Corp.	BOA.I (DJIA Minimum Return EAGLES-9/25/09)
Bank of America Corp.	BOA.J (Nasdaq-100 EAGLES-10/29/10)
Bank of America Corp.	BOA.M (Nikkei 225 Return Linked Notes-6/28/10)
Bank of America Corp.	BOA.O (Russell 2000 EAGLES-1/28/11)
Bank of America Corp.	BOA.R (Nasdaq-100 EAGLES-3/24/09)
Bank of America Corp.	BOA.U (EAGLES linked to the DJIA-6/25/10)
Bank of America Corp.	BOA.W (Amex Exch Biotechnology Index EAGLES-8/28/09)
Bank of America Corp.	BOA.Z (Energy Stocks EAGLES-9/29/10)
Bank of America Corp.	BOR.A (S&P 500 EAGLES-10/29/10)
Bank of America Corp.	BOR.B (Nasdaq-100 Index EAGLES-11/23/10)
Bank of America Corp.	BOR.C (CBOE China Index EAGLES-11/24/10)
Bank of America Corp.	BOR.F (DJIA EAGLES-3/25/11)
Bank of America Corp.	BOR.I (Basket of 3 Indices Return Linked Notes-8/26/10)
Bank of America Corp.	BOR.J ("80/20" Basket of Four Indices Return Link Notes-6/27/11)
Bank of America Corp.	BOR.K (S&P 500 EAGLES-7/29/10)
Bank of America Corp.	BOR.L (Return link notes linked to a basket of two Indices-1/28/11)

Bank of America Corp. Bank of America Corp.	BOR.M (Index EAGLES Linked to the DJIA-8/26/10) BOR.N (Dow Jones EURO STOXX 50 Return Link Notes-8/25/11)
Bank of America Corp. Bank of America Corp. Bank of America Corp. Bank of America Corp. Bank of America Corp. Bank of America Corp. Bank of America Corp.	BOR.O (S&P 500 Index EAGLES-10/3/11) BOR.P (Amex Biotechnology Index EAGLES-10/28/11) BOR.Q (Basket of Three Indices Return Link Notes-10/27/11) BOR.R (Basket of Two Indices Return Link Notes-11/22/10) BOR.S (Basket of Five EAGLES-11/23/11) BOR.T (DJIA Index EAGLES -12/27/11) BOR.U (Basket of 3 Indices Return Link Notes-12/29/11)
Bear Stearns & Co. Inc. Bear Stearns & Co. Inc. Bear Stearns & Co. Inc. Bear Stearns & Co. Inc. Bear Stearns & Co. Inc.	BYF.A (U.S. Sector ETFs Principal Protect Notes-2/5/08) BYF.B (S&P 500 Principal Protect Notes-10/1/08) BYF.C (NASDAQ-100 Principal Protect Notes-12/22/09) BYF.D (DJIA Principal Protect Notes-3/23/11) BYF.F (Three Intl Indices Medium Term Notes-8/2/10)
Canadian Imperial Bank of Commerce Canadian Imperial Bank of Commerce Canadian Imperial Bank of Commerce Canadian Imperial Bank of Commerce Canadian Imperial Bank of Commerce Canadian Imperial Bank of Commerce Canadian Imperial Bank of Commerce Canadian Imperial Bank of Commerce Canadian Imperial Bank of Commerce Canadian Imperial Bank of Commerce Canadian Imperial Bank of Commerce Canadian Imperial Bank of Commerce Canadian Imperial Bank of Commerce Canadian Imperial Bank of Commerce Canadian Imperial Bank of Commerce	MRS.A (S&P 500 linked Notes-7/30/10) MRS.B (CIBC 10 U.S. Stks Optimizer Notes-1/30/09) MRS.C (CIBC 10 U.S. Stks Optimizer Notes-3/5/09) MRS.D (CIBC 10 U.S. Stks Optimizer Notes-3/31/09) MRS.E (CIBC 10 U.S. Stks Optimizer Notes-4/30/09) MRS.F (S&P 500 MERITS-6/30/11) MRS.G (CIBC 10 U.S. Stks Optimizer Notes-6/30/09) MRS.H (NASDAQ-100 MERITS-8/1/11) MRS.I (DJIA MERITS-8/31/11) MRS.J (S&P 500 Principal Protected Notes-3/31/09) MRS.K (DJIA MERITS-1/30/09) MRS.M (S&P 500 Index linked Notes-12/31/08) MRS.O (Global Indices Basket linked Notes-9/18/08) MRS.S (S&P 500 Principal Protected Notes-6/15/10) MRS.U (Global Basket of Three Equity Indices -7/29/10)
Citigroup Global Market Holdings Inc. Citigroup Global Market Holdings Inc.	NDG.A (Nasdaq-100 Principal Protect Notes-12/4/07) SCW.A (S&P 500 Principal Protect Notes-10/22/07)
Credit Suisse (USA), Inc. Credit Suisse (USA), Inc.	IPJ.A (S&P 500 Five Year Contingent Protect Secs-9/30/08) IPJ.B (S&P 500 Five Year Contingent Protect Secs-11/26/08)
Goldman Sachs Group, Inc. (The) Goldman Sachs Group, Inc. (The) Goldman Sachs Group, Inc. (The) Goldman Sachs Group, Inc. (The)	GSD.B (S&P 500 linked Notes-8/7/11) GSN.B (S&P 500 Medium Term Notes-2/28/13) GSN.C (S&P 500 Medium Term Notes-4/18/13) GSN.D (S&P 500 Medium Term Notes-5/30/13)
JP Morgan Chase & Co. JP Morgan Chase & Co. JP Morgan Chase & Co. JP Morgan Chase & Co. JP Morgan Chase & Co. JP Morgan Chase & Co. JP Morgan Chase & Co. JP Morgan Chase & Co. JP Morgan Chase & Co. JP Morgan Chase & Co.	JPL.A (Consumer Price Index Secs-1/15/10) JPL.A (S&P 500 linked Notes-11/26/07) JPL.B (S&P 500 Market Participation Notes-3/12/08) JPL.C (Cap Qtr Observation Notes-9/22/08) JPL.D (S&P 500 Cap Qtr Observation Notes-10/30/08) JPL.E (S&P 500 Cap Qtr Observation Notes-1/21/09) JPL.F (S&P 500 Mkt Participation Notes-3/31/09) JPL.G (S&P 500 Cap Qtr Observation Notes-7/7/09) JPL.H (S&P 500 Cap Qtr Observation Notes-9/21/09) JPL.I (S&P 500 Principal Protect Notes-9/30/10)
Lehman Brothers Holdings Inc. Lehman Brothers Holdings Inc. Lehman Brothers Holdings Inc. Lehman Brothers Holdings Inc. Lehman Brothers Holdings Inc. Lehman Brothers Holdings Inc. Lehman Brothers Holdings Inc. Lehman Brothers Holdings Inc. Lehman Brothers Holdings Inc. Lehman Brothers Holdings Inc. Lehman Brothers Holdings Inc. Lehman Brothers Holdings Inc. Lehman Brothers Holdings Inc.	LBN.A (Dow Jones EUROSTOXX 50 Index-7/7/08) LBN.B (Dow Jones EURO STOXX 50 Index-7/29/08) LSQ.B (DJIA PrincipalPlus SUNS-8/5/07) LSQ.C (DJIA SUNS-4/29/10) LSQ.D (Nikkei 225 SUNS-6/10/10) PSZ.C (S&P 500 SUNS-8/5/08) PSZ.D (S&P 500 SUNS-9/27/07) PSZ.E (S&P 500 Callable SUNS-11/6/09) PSZ.F (DJ Global Titans Index SUNS-2/9/10) RLN.C (Nasdaq-100 Rebound RANGERS-5/20/07) RLN.D (Nasdaq-100 Rebound RANGERS-6/7/08)

Lehman Brothers Holdings Inc.	RLN.E (S&P 500 linked Notes-9/28/09)
Lehman Brothers Holdings Inc.	RLN.G (DJ STOXX 50 linked Notes-11/15/09)
Lehman Brothers Holdings Inc.	RLN.H (Russell 2000 Index linked Notes-12/23/09)
Lehman Brothers Holdings Inc.	RLN.I (S&P 500 Index-Plus Notes-3/3/10)
Merrill Lynch	COD.A (Coca-Cola Co Exchangeable Conv Secs-9/30/08)
Merrill Lynch	EXO.A (Exxon Mobile Exchangeable Conv Secs-10/3/08)
Morgan Stanley	BSN.A (3 stks Sr Exchangeable Debt-12/30/08)
Morgan Stanley	ITM.A (Intel Stk Participation Notes-12/30/10)
Morgan Stanley	JMS.A (S&P 500 Callable Notes-12/30/08)
Morgan Stanley	MRW.A (S&P 500 Mkt Participation Secs-12/30/10)
Morgan Stanley	MWT.A (Wal-Mart Stk Participation Notes-9/15/10)
PMA Capital Corporation	PMK (8.5% Monthly Income Sr Notes-6/15/18)
UBS AG	PPV.C (Russell 2000 Principal Protect Notes-4/30/09)
UBS AG	PPV.E (DJIA Principal Protect Notes-5/29/09)
UBS AG	PPV.G (S&P 500 Principal Protect Notes-11/7/07)
UBS AG	PPV.H (S&P 500 Principal Protect Notes-12/7/07)
UBS AG	PPV.I (U.S. Equity Indices Principal Protect Notes-10/7/11)
UBS AG	PPV.J (DJIA Principal Protect Notes-2/7/08)
UBS AG	PPV.K (S&P 500 Principal Protect Notes-9/8/10)
UBS AG	PPV.L (S&P 500 Principal Protect Notes-4/8/10)
UBS AG	PPV.M (S&P 500 Principal Protect Notes-10/7/09)
UBS AG	PPV.N (S&P 500 Principal Protect Notes-5/7/10)
UBS AG	PPV.O (S&P 500 Principal Protect Notes-6/7/10)
UBS AG	PPV.P (S&P 500 Principal Protect Notes-7/8/10)
UBS AG	PPV.Q (S&P 500 Principal Protect Notes-8/6/10)
UBS.AG	PPV.R (S&P 500 Principal Protect Notes-9/8/09)
UBS AG	PPZ.A (S&P 500 Partial Protect Notes-11/05/07)
UBS AG	PPZ.B (S&P 500 Partial Principal Protect Notes-2/28/08)
UBS AG	PPZ.C (S&P 500 Partial Principal Protect Notes-11/5/10)
Wachovia Corporation	WDB.B (Nikkei 225 ASTROS-3/2/10)
Wachovia Corporation	WDB.D (S&P 500 linked to Trigger CAPITALS-12/8/08)
Wachovia Corporation	WDB.E (Global Basket of Indices ASTROS-2/2/10)
Wachovia Corporation	WDB.I (Global Equity Basket ASTROS-5/5/10)
Wachovia Corporation	WDB.M (Metals-China Basket ASTROS-1/28/09)
Wachovia Corporation	WDB.R (Nikkei 225 Index LUNARS-4/30/07)
Wachovia Corporation	WTY.A (4.5% U.S. Treasuries Principal Protect Notes-6/6/07)
Wells Fargo & Company	WFG.A (S&P 500 linked Notes-1/4/08)
Wells Fargo & Company	WFG.B (Nasdaq-100 linked Notes-1/4/08)
Wells Fargo & Company	WFG.C (Basket linked Notes-4/15/09)
Wells Fargo & Company	WFG.D (DJIA High Point Average linked Notes-5/5/10)
Wells Fargo & Company	WFG.F (S&P 500 Callable Notes-8/25/09)

Halted Issues

Transactions in the following securities have been halted for a period exceeding five trading days. Members and member organizations are reminded of the provisions of Exchange Rule 462(d)I when determining the value to place upon those securities for margin purposes.

Any purchase or sale of a security which has been halted by the Exchange may be subject to Federal regulation as well as the rules of the other market(s) where the purchase or sale is to take place.

Members are reminded that open orders on the books of the specialist for an issue which has been halted for 30 days are automatically canceled.

<u>Name of Company (Issue)</u>	<u>Date of Halt</u>
Bodisen Biotech Inc.	March 20, 2007
Cold Spring Capital Inc. (Warrants)	February 14, 2007
Enernorth Industries Inc.	February 9, 2007

a — Addition to previous Bulletin.

Dividends

Dividends announced for April 9, 2007 – April 13, 2007 can be viewed on the Dividend Daily list homepage on amextrader.com; click on [Dividends Daily List](#) to view the Dividends Daily List.

Please call the Corporate Actions/Proxy Rulings Department at 212-306-2438 with any questions.

Meetings of Stockholders

Members should, insofar as possible without additional expense, transfer certificates of stock held in persons or firms over whom they have no control into their own names, or those of persons over whom they have control, before the record date for determining stockholders entitled to vote.

As a guide to Member Organizations in giving proxies pursuant to Rule 577 to vote stock held for the account of customers the following symbols are used in the list of meetings below:

- V = Preceding Record Date indicates Members MAY vote the entire proxy under the Ten Day Rule.
- X = Together with numbers or letters indicates that Members MAY NOT vote the designated matters without specific instructions from owners. Numbers or letters shown are those used in the PROXY FORM.
- X = With no other designation indicates that Members MAY NOT vote the entire proxy.
- NP = Indicates that proxies are not being solicited.

The following abbreviations are used for items not numbered or lettered in the proxy form:

- SP = Stockholder Proposal(s)
- OP = Option Plan
- STP = Stock Purchase Plan
- CP = Compensation Plan
- BY = By-Law Amendment
- CH = Charter Amendment
- MP = Merger Proposal

Where no symbol appears, proxy material has not yet been received.

<u>Name of Company</u>	<u>Record</u>	<u>Meeting</u>
Ablest Inc.	Apr. 13	A May 30
Abraxas Petroleum Corporation	May 25	A Jun. 26
Acme United Corporation	X-2-3 Mar. 6	A Apr. 23
*Adams Resources & Energy, Inc.	V Apr. 2	A May 21
Adherex Technologies Inc. Note: (8)	X-3 Mar. 26	A Apr. 27
ADVENTRX Pharmaceuticals Inc.	Apr. 6	A May 23
AeroCentury Corp.	V Mar. 7	A May 2
AirNet Systems, Inc.	Apr. 27	A Jun. 6
Alpha Pro Tech, Ltd.	Apr. 18	A Jun. 4
*Alteon, Inc.	Apr. 16	A
AMCON Distributing Company	X-3-4 Feb. 21	A Apr. 17
*American Biltrite, Inc.	V Mar. 9	A May 8
American Community Properties Trust	Apr. 6	A Jun. 6
American Israeli Paper Mills Ltd.	Mar. 19	S Apr. 15
American Mortgage Acceptance Company	Apr. 13	A Jun. 12
American Spectrum Realty, Inc.	V Mar. 20	A May 8
AmReit, Inc.	Apr. 2	A May 30
*Antares Pharma Inc.	V Mar. 15	A May 10
Apex Silver Mines Ltd.	Apr. 19	A Jun. 7
Apogee Technology, Inc.	Apr. 2	A May 22
Apollo Gold Corporation Note: (4)	Apr. 16	A May 16
*Arizona Land Income Corporation	May 9	S Jun. 15

Arrhythmia Research Technology, Inc.		Apr.	2	A	May	11
Ascendia Brands, Inc. Note: (2)		Feb.	9			
Augusta Resource Corporation		Apr.	5	A	Jun.	1
Aurizon Mines Ltd. Note: (4)		Mar.	22	A	May	11
Aurora Oil & Gas Corporation	V	Mar.	13	A	May	18
Avalon Holdings Corporation	V	Mar.	2	A	Apr.	27
AXS-One Inc.		Apr.	3	A	May	23
*B&G Foods, Inc. Note: (9)	V	Mar.	23	A	May	8
Badger Meter, Inc.	X-2	Feb.	28	A	Apr.	27
Baker (Michael) Corporation	V	Mar.	5	A	Apr.	19
Bar Harbor Bankshares	V	Mar.	24	A	May	15
*Bell Industries, Inc.		Apr.	16	A	May	23
*Bexil Corporation Note: (5)	V	Mar.	15	A	May	11
Bio-Rad Laboratories, Inc.	X-3	Feb.	26	A	Apr.	24
BioSante Pharmaceuticals, Inc.		Apr.	17	A	Jun.	14
Birch Mountain Resources Ltd.		Apr.	20	A	May	31
Blair Corporation	X	Mar.	16	S	Apr.	24
Blonder Tongue Laboratories, Inc.		Mar.	30	A	May	23
British American Tobacco PLC		Mar.	20	A	Apr.	26
CabelTel International Corp. Note: (2)		Feb.	16			
Camden National Corporation	V	Mar.	15	A	May	1
Canadian Superior Energy, Inc. Note: (4)		Mar.	27	A	Apr.	27
*CanArgo Energy Corporation Note: (5)		Apr.	22	A	Jun.	5
Canterbury Park Holding Corporation		Apr.	13	A	Jun.	7
Canyon Resources Corporation		Apr.	12	A	May	21
Capital Properties, Inc.	V	Mar.	1	A	Apr.	24
*Cash Technologies, Inc.		Apr.	13	A	May	17
Castle (A.M.) & Co.	V	Mar.	9	A	Apr.	26
Cathay Merchant Group, Inc.		Mar.	9	A	May	7
Centerplate, Inc.		Apr.	10	A	May	23
Central Gold-Trust		Mar.	15	A	Apr.	26
*CET Services, Inc. Note: (1)		Apr.	16	A	May	23
*Cheniere Energy, Inc.	V	Mar.	27	A	May	25
Chicago Rivet & Machine Co.	V	Mar.	19	A	May	8
Chile Fund, Inc. (The)	V	Feb.	26	A	Apr.	26
Chromcraft Revington, Inc.		Mar.	16	A	May	9
CKX Lands, Inc.	V	Feb.	23	A	Apr.	26
Claude Resources, Inc. Note: (4)		Mar.	21	A	May	8
Clough Global Allocation Fund		May	23	A	Jul.	23
Clough Global Equity Fund		May	23	A	Jul.	23
Clough Global Opportunities Fund		May	23	A	Jul.	23
*Cold Spring Capital Inc.	X	Apr.	3	S	May	4
Communications Systems, Inc.		Mar.	30	A	May	22
Community Bankshares, Inc.		Mar.	30	A	May	31
Congoleum Corporation	V	Mar.	9	A	May	8
Consolidated-Tomoka Land Co.	V	Mar.	1	A	Apr.	25
Continental Materials Corporation		Apr.	25	A	May	23
Conversion Services International, Inc. Note: (2)		Mar.	1			
Core Molding Technologies, Inc.		Mar.	30	A	May	16
Cornerstone Strategic Value Fund	V	Feb.	16	A	Apr.	16
Cornerstone Total Return Fund	V	Feb.	16	A	Apr.	16
Corriente Resources Inc.		Apr.	19	A	May	24
*Cortex Pharmaceuticals, Inc.	X-2	Mar.	22	A	May	9
*Covad Communications Group, Inc.		Apr.	16	A		
*CPI Aerostructures, Inc.		Apr.	26	A	Jun.	12
*Credit Suisse Asset Management Income Fund, Inc.	V	Feb.	26	A	Apr.	26
Cross (A.T.) Company	V	Feb.	28	A	Apr.	26
*Darling International Inc.	V	Mar.	23	A	May	8
*Decorator Industries, Inc.	V	Apr.	2	A	May	15
Digital Angel Corporation		Mar.	21	A	May	10
Document Security Systems, Inc.	V	Mar.	16	A	May	3

Dreyfus Municipal Income, Inc. Note: (3)	Mar.	16		A	May	18
Dune Energy, Inc. Note: (2)	Mar.	5				
Eastern Company (The)	V	Feb.	23	A	Apr.	25
Eldorado Gold Corporation		Apr.	9	A	May	24
*Endeavour International Corp.	X-2	Mar.	26	A	May	24
Energy Income and Growth Fund	V	Feb.	12	A	Apr.	16
Energy Services Acquisition Corp.	V	Mar.	12	A	Apr.	23
ENGlobal Corporation		Apr.	16	A	Jun.	14
Entree Gold Inc.		Apr.	11	A	May	16
Environmental Power Corporation		Apr.	16	A	Jun.	12
Exeter Resource Corporation		Apr.	16	A	May	23
Expxress-1 Expedited Solutions, Inc.		May	1	A	Jun.	14
First Israeli Fund, Inc. (The)	V	Feb.	26	A	Apr.	26
First Trust Tax-Advantaged Preferred Income Fund	V	Feb.	12	A	Apr.	16
First Trust Value Line® 100 Fund		Feb.	16	S	May	17
Five Star Quality Care, Inc.		Mar.	20	A	May	15
*Florida Public Utilities Company	V	Mar.	16	A	May	8
Flotek Industries, Inc.		Apr.	5	A	May	18
Franklin Street Properties Corp.	V	Mar.	16	A	May	11
Fronteer Development Group Note: (4)		Mar.	25	A	May	2
*Full House RESORTS, Inc.		Apr.	20	A	May	31
*Gabelli Global Gold, Natural Resources & Income Trust (The)	V	Mar.	12	A	May	14
*Gabelli Global Utility & Income Trust (The)	V	Mar.	12	A	May	14
GAINSCO, INC.		Apr.	5	A	May	10
Galaxy Energy Corporation		Jan.	19	S		
Gammon Lake Resources, Inc.		Apr.	5	A	May	19
*Gasco Energy, Inc.	V	Apr.	5	A	May	15
*Gastar Exploration Ltd. Note: (4)		Apr.	27	A	Jun.	1
General Finance Corporation		Apr.	20	S	May	29
Glencairn Gold Corporation Note: (4)		Apr.	10	A	May	17
Gold Reserve Inc. Note: (4)		Apr.	30	A	Jun.	7
Golden Star Resources Ltd.		Apr.	9	A	May	9
Goldfield Corporation (The)		Apr.	13	A	May	31
Gorman-Rupp Company (The)	V	Mar.	14	A	Apr.	26
Graham Corporation		Jun.	1	A	Jul.	26
Green Plains Renewable Energy	X-2	Mar.	16	A	Apr.	19
*Grey Wolf, Inc.	X-2	Apr.	3	A	May	15
*Grupo Simec, S.A. De C.V. Note: (4)		Apr.	10	A	Apr.	30
Hanover Capital Mortgage Holdings, Inc.		Mar.	30	A	May	18
*Halozyme Therapeutics, Inc.	V	Apr.	4	A	May	15
Harken Energy Corporation		Apr.	6	A	Jun.	4
Hawk Corporation		Apr.	5	A	May	30
HearUSA, Inc.		Apr.	13	A	Jun.	11
Hooper Holmes, Inc.		Apr.	13	A	May	30
Hungarian Telephone and Cable Corp.		Apr.	5	A	May	24
IBT Bancorp, Inc.	V	Mar.	2	A	Apr.	17
*Idera Pharmaceuticals, Inc.		Apr.	17	A	Jun.	13
IGI, Inc.		Mar.	28	A	May	10
ILX Resorts Incorporated		Apr.	16	A	Jun.	20
*Imageware Systems, Inc. Note: (1)		Aug.	10	A	Sep.	19
Imperial Oil Limited		Mar.	2	A	May	1
*ING Clarion Global Real Estate Income Fund	V	Mar.	30	A	May	7
*ING Clarion Real Estate Income Fund	V	Mar.	30	A	May	7
Indonesia Fund, Inc. (The)	V	Feb.	26	A	Apr.	26
Inovio Biomedical Corp. Note: (8) X-3		Mar.	23	A	May	4
*Intelli-Check Inc.		May	2	A	Jun.	4

*Intelligent Systems Corporation	V	Apr.	6	A	May	24
Intermediate Muni Fund, Inc.		Mar.	19	A		
*InterSearch Group, Inc.	Note: (7)	Mar.	25	A	Apr.	25
IntriCon Corporation	X-2	Mar.	16	A	Apr.	25
*Inverness Medical Innovations, Inc.	X-2	Mar.	23	A	May	17
ISCO International, Inc.		Apr.	16	A	Jun.	8
*I-trax, Inc.	V	Mar.	21	A	May	2
J. Alexander's Corporation		Mar.	23	A	May	15
JED Oil Inc.	Note: (4)	Apr.	27	A	May	28
Kodiak Oil & Gas Corp.		Apr.	23	A	May	24
KSW Inc.	V	Apr.	3	A	May	8
LaPolla Industries, Inc.	Note: (10)	Jan.	23			
*LaPolla Industries, Inc.		Apr.	18	A	May	22
Latin America Equity Fund, Inc.	V	Feb.	26	A	Apr.	26
Lodgian, Inc.	X-2	Mar.	9	A	Apr.	24
LSB Industries, Inc.		Apr.	16	A	Jun.	14
Maine & Maritimes Corporation	V	Mar.	19	A	May	8
Markwest Hydrocarbon Inc.		Apr.	13	A	Jun.	13
Matritech, Inc.		Apr.	12	A	Jun.	8
Maui Land & Pineapple Company	V	Mar.	14	A	May	7
MAXXAM Inc.		Apr.	6	A	May	30
MCF Corporation		Apr.	20	A	Jun.	8
Mercantile Bancorp, Inc.		Apr.	10	A	May	21
Merrimac Industries, Inc.		Apr.	27	A	Jun.	20
Metalico, Inc.		Apr.	5	A	May	22
Metallica Resources, Inc.		Apr.	23	A	May	24
*Metrotek Technology, Inc.		Apr.	20	A	Jun.	7
Metropolitan Health Networks, Inc.		Apr.	13	A	Jun.	7
MHI Hospitality Corporation	V	Mar.	1	A	Apr.	27
MicroFinancial, Inc.		Apr.	11	A	May	16
MicroIslet, Inc.		Dec.	26	S		
Mid Penn Bancorp	V	Feb.	15	A	Apr.	24
MidSouth Bancorp, Inc.		Mar.	31	A	May	30
Midwest Air Group, Inc.		Apr.	9	A	May	23
Minefinders Corporation Ltd.		Mar.	21	A	May	9
Mission West Properties, Inc.		Mar.	23	A	May	17
*MPC Corporation		Apr.	17	A	Jun.	29
National HealthCare Corporation	V	Mar.	9	A	Apr.	24
*Natural Gas Services Group, Inc.		May	8	A	Jun.	19
Neuberger Berman CA Intermediate Municipal Fund Inc.	V	Feb.	21	A	Apr.	16
Neuberger Berman Dividend Advantage Fund Inc.	V	Feb.	21	A	Apr.	16
Neuberger Berman Income Opportunity Fund Inc.	V	Feb.	21	A	Apr.	16
Neuberger Berman Intermediate Municipal Fund Inc.	V	Feb.	21	A	Apr.	16
Neuberger Berman NY Intermediate Municipal Fund Inc.	V	Feb.	21	A	Apr.	16
Neuberger Berman Real Estate Securities Income Fund Inc.		Feb.	21	A		
Nevsun Resources Ltd.		Mar.	16	A	Apr.	25
New Dragon Asia Corp. Note: (2)		Dec.	19			
*New Dragon Asia Corp.		Apr.	18	A	May	21
New Gold Inc.		Mar.	26	A	May	3
North American Galvanizing & Coatings, Inc.	V	Mar.	15	A	May	15
North American Palladium, Ltd. Note: (4)		Apr.	18	A	May	23
Northgate Minerals Corporation Note: (4)		Mar.	5	A	May	4
NovaDel Pharma, Inc.		Apr.	20	A	Jun.	6
NovaGold Resources, Inc.		Mar.	26	A	May	1

NTN Buzztime, Inc.		May	1	A		
NTS Realty Holdings Limited Partnership		Apr.	30	A	Jun.	12
NVR, Inc.	V	Mar.	1	A	May	4
O2Diesel Corporation		Apr.	9	A		
Odyssey Marine Exploration, Inc.		Apr.	2	A	May	18
*ON2 Technologies, Inc.		Apr.	16	A		
Orchids Paper Products Company		May	1	A	Jun.	19
*Orion HealthCorp, Inc.	V	Mar.	27	A	May	9
Otelco, Inc.		Mar.	22	A	May	17
*Overhill Farms, Inc.		May	7	A	Jun.	12
Park National Corporation	V	Feb.	21	A	Apr.	16
Peapack-Gladstone Financial Corp.	V	Mar.	12	A	Apr.	24
Phoenix Footwear Group, Inc.		Apr.	20	A	May	31
Pinnacle Bancshares, Inc.		Apr.	11	A		
*Pinnacle Data Systems, Inc.	V	Mar.	12	A	May	8
*Pioneer Drilling Company		Jun.	8	A	Aug.	3
Pittsburgh & West Virginia Railroad		Mar.	16	A	May	17
PLC Systems, Inc.		Apr.	27	A	Jun.	13
PMC Commercial Trust		Apr.	16	A	Jun.	9
Presidential Realty Corporation		Apr.	19	A	Jun.	15
Proliance International, Inc.	V	Mar.	12	A	May	3
Pro-Pharmaceuticals, Inc.		Apr.	12	A	May	24
Providence and Worcester Rail Road Company	X-2	Mar.	2	A	Apr.	25
PS Business Parks, Inc.	V	Mar.	23	A	Apr.	30
*Psychemedics Corporation	V	Mar.	12	A	May	10
Putnam New York Investment Grade Municipal Trust	X	Feb.	15	S	May	15
QuadraMed Corporation		Apr.	9	A	Jun.	7
Quest Capital Corp. Note: (4)		Mar.	26	A	Apr.	30
Questcor Pharmaceuticals, Inc.	V	Mar.	15	A	May	11
Reading International, Inc.		Mar.	16	A	May	10
Ready Mix, Inc.		Apr.	4	A		
*RegeneRX Biopharmaceuticals Inc.		Apr.	13	A	Jun.	7
*RELW Wireless Corporation	X-2-3	Apr.	2	A	May	16
Rewards Network Inc.		Mar.	26	A	May	22
RMR Asia Pacific Real Estate Fund	X-3	Feb.	9	A	May	8
RMR F.I.R.E. Fund Note: (6)	X-3	Feb.	9	A	May	8
RMR Preferred Dividend Fund Note: (6)	X-3	Feb.	9	A	May	8
RMR Real Estate Fund Note: (6)	X-3	Feb.	9	A	May	8
*Rubicon Minerals Corporation Note: (4)		Mar.	30	A	May	14
Salisbury Bancorp, Inc.		Mar.	23	A	May	16
SCOLR Pharma, Inc.		Apr.	17	A	Jun.	7
Seaboard Corporation	V	Mar.	5	A	Apr.	23
Signalife, Inc. Note: (2)		Mar.	9			
SmartPros Ltd.		Apr.	19	A	Jun.	14
*Southwest Georgia Financial Corp.	V	Apr.	9	A	May	22
*Spark Networks plc		Apr.	18	A		
*Sulphco, Inc.		Apr.	30	A	Jun.	19
Superior Uniform Group, Inc.	V	Mar.	14	A	May	4
Supreme Industries, Inc.	X-2	Mar.	23	A	May	3
Tandy Leather Factory, Inc.		Apr.	20	A	May	22
Telephone and Data Systems, Inc.		Mar.	29	A	May	10
Tengasco, Inc.	V	Feb.	23	A	Apr.	30
Teton Energy Corporation	V	Mar.	29	A	May	3
TGC Industries, Inc.		Apr.	9	A	Jun.	5
Thai Capital Fund, Inc. (The)		Mar.	16	A	Jun.	4
*ThinkEngine Networks, Inc.	V	Mar.	22	A	May	10
*Tofutti Brands, Inc.		May	4	A	Jun.	7

Tompkins Trustco, Inc.		Mar.	16	A	May	14
TransGlobe Energy Corporation		Mar.	23	A	May	9
Transmeridian Exploration, Inc.		Apr.	2	A	May	17
Tuxis Corporation		Mar.	16	A	May	14
*Ultra Petroleum Corp.		May	7	A	Jun.	14
Union Bankshares, Inc.		Mar.	30	A	May	16
*United-Guardian, Inc.	V	Mar.	30	A	May	16
United States Cellular Corporation		Mar.	29	A	May	8
Unitil Corporation	V	Feb.	14	A	Apr.	19
*Universal Power Group, Inc.		Apr.	20	A	Jun.	18
*Uranex Energy Corporation		Apr.	23	A	Jun.	19
US Gold Corporation		Apr.	23	A	Jun.	12
UTEK Corporation		May	9	A	Jun.	15
*Valpey Fisher Corp.	V	Mar.	28	A	May	10
*Veri-Tek International Corp.		Apr.	27	A	Jun.	22
Vicon Industries, Inc.		Apr.	5	A	May	18
Virexx Medical Corp.		Mar.	19	A	May	3
*Vista Gold Corp.	V	Mar.	26	A	May	7
Vita Food Products, Inc.		Apr.	2	A	May	17
Watsco, Inc.		Apr.	10	A	May	25
Wellco Enterprises, Inc.		Mar.	15	S		
*Wellsford Real Properties, Inc.		Apr.	17	A	May	30
Wells-Gardner Electronics Corp.	V	Mar.	9	A	Apr.	24
Wesco Financial Corporation	V	Mar.	13	A	May	9
*Western Asset Intermediate Muni Fnd	V	Mar.	19	A	Apr.	27
Westmoreland Coal Company		May	2	A	Jun.	21
White River Capital, Inc.		Mar.	22	A	May	17
*WidePoint Corporation	Note: (11)	Apr.	27	A	Jun.	22
Wilber Corporation (The)	V	Mar.	12	A	Apr.	27
Winland Electronics, Inc.	V	Mar.	12	A	May	8
*Zion Oil & Gas, Inc.		Apr.	20	A	Jun.	19

* Additions to or change from previous Bulletins.

S Special Meeting.

A Annual Meeting.

Adj Adjourned Meeting.

† In Lieu of Annual Meeting.

(1) Record and meeting date revised by the Company.

(2) Record date is for "Information Statement" only, no solicitation involved.

(3) Only the Fund's Auction Preferred shareholders are entitled to vote at the May 18, 2007 meeting; no ruling will be posted by the Exchange.

(4) Meeting is both Annual and Special.

(5) Record date revised by the Company.

(6) May not vote proposal #1 on RMR F.I.R.E., RMR Preferred Dividend, and RMR Real Estate Fund proxy cards.

(7) Member organizations are reminded of the 15-day provision of Rule 576. Member organizations that have transmitted proxy material to beneficial holders on or before April 10, 2007, MAY VOTE the entire proxy form. Member organizations that have transmitted proxy material to beneficial holders on or after April 11, 2007, MAY NOT VOTE the entire proxy form.

(8) Ruling based on proxy card.

(9) Record date revised by the Exchange.

(10) Record date rescinded by the Company.

(11) Meeting date revised by the Exchange.



Geraldine M. Brindisi
Corporate Secretary