



Membership Seat Prices (in 000s)

(As of 9:30 A.M., May 4, 2007)

	BID	OFFER	LAST CONTRACTED
Regular	300	340	325
Options Principal	285	None	320
Limited Trading Permits	None	6.5	25

Includes only those sales arranged pursuant to bids and offers filed with the Membership and Registration Department between the hours of 9:30 a.m. – 4:00 p.m.

Seat market information may be obtained by calling toll-free (877) Amex Seat (877-263-9732). Seat prices are updated intraday on www.amextrader.com.

Membership Seat Sales

Regular

(Since April 24, 2007)

\$325,000 – May 2, 2007

\$340,000 – May 1, 2007

Membership Lease Prices

(As of 9:30 A.M., May 4, 2007)

The following leases were received:

Includes monthly rental rate information only with respect to Exchange-approved negotiated leases. Not reported are arms length leases or leases for a nominal consideration.

REGULAR

<u>Price</u>	<u>New</u>	<u>Term</u>	<u>Price</u>	<u>Renewal</u>	<u>Term</u>
None			\$400		1 year
			\$400		6 months
			\$400		3 months
			2 @ \$375		1 year
			2 @ \$375		6 months
			2 @ \$350		6 months
			2 @ \$350		3 months
			\$300		6 months
			\$275		6 months
			\$250		6 months

OPTIONS PRINCIPAL

<u>Price</u>	New	<u>Term</u>	<u>Price</u>	Renewal	<u>Term</u>
None			\$375		5 months

LIMITED TRADING PERMIT

<u>Price</u>	New	<u>Term</u>	<u>Price</u>	Renewal	<u>Term</u>
None			None		

Month-End Membership Data
(As of April 30, 2007)

REGULAR

Last Completed Sale.....	\$330,000 – April 19, 2007
Pending Arranged Sales	\$340,000 – April 24, 2007
.....	\$339,000 – April 20, 2007
.....	\$325,000 – April 17, 2007
.....	\$345,000 – April 4, 2007
Closing Bid	\$310,000
Closing Offer	\$360,000
Average Price for the Month of April.....	\$365,000

OPTIONS PRINCIPAL

Last Completed Sale.....	\$320,000 – March 29, 2007
Pending Arranged Sales	None
Closing Bid	\$285,000
Closing Offer	None
Average Price for the Month of April.....	None

LIMITED TRADING PERMIT

Last Completed Sale.....	\$25,000 – June 20, 2003
Pending Arranged Sales	None
Closing Bid	None
Closing Offer	\$6,500
Average Price for the Month of April	None

Transfers of Membership

Termination of Special Transfer Agreement – Regular
(Effective at the Opening of Business on April 30, 2007)

Mitchell A. Greenwald
DC Trading Partners LLC
Badge No. 522-G

to

Dean B. Potashner
Independent
8 Military Hill Drive
Morristown, NJ 07960
Seat No. 209-R

(Effective April 30, 2007)

Angela Anderson
LaBranche Structured Products LLC
Badge No. 751-C

to

William E. Sexton
Independent
499 North Broadway
White Plains, NY 10603
Seat No. 520-R

David Anderson
LaBranche Structured Products LLC
Badge No. 750-D

to FRMO Corp.
Attn: Steven Bregman
470 Park Avenue, 4th Floor
New York, NY 10016
Seat No. 385-R

Thomas E. Heebner
Trinity Derivatives Group LLC
Badge No. 870-A

to ZeroPhobia.Com, G.P.
Attn: David Palmer
701 Lawrence Avenue
Westfield, NJ 07090
Seat No. 231-R

Daniel Macklowitz
Jane Street Trading LLC,
Jane Street Capital LLC and
Jane Street Specialists LLC
Badge No. 872-D

to John W. Lepardo, Sr.
Independent
82 Foxwood Road
Lakewood, NJ 08701
Seat No. 325-R

Oral H. McDonald
LaBranche Structured Products LLC
Badge No. 750-M

to Robert L. Shanler
Independent
7000 Island Boulevard, Unit 1209
Aventura, FL 33160
Seat No. 576-R

Jesus Rodriguez
LaBranche Structured Products LLC
Badge No. 751-R

to Anita L. Lovett
Independent
235 Vittorio Court
Park Ridge, NJ 07656
Seat No. 341-R

Elgin B. Weston
LaBranche Structured Products LLC
Badge No. 751-G

to Peter Orloff
Independent
227 Greenbush Road
Orangeburg, NY 10962
Seat No. 445-R

(Effective May 1, 2007)
Christopher Glover**
LaBranche Structured Products LLC
Badge No. 751-E

to Carol B. Mann
Employee of
John Jay Jr. & Company, Inc.
5000 North Ocean Blvd., #1607
Lauderdale by the Sea, FL 33308
Seat No. 598-R

Benedict Palmeri
Weiskopf, Silver & Company
Badge No. 875-P

to Daniel K. Weiskopf, II
Independent
230 Park Avenue, Suite 1550
New York, NY 10169
Seat No. 487-R

(Effective May 3, 2007)
Chad R. Basinger
Triple J. Trading Co. LLC
Badge No. 835-J

to **Jaime Roitman**
JR Investments & Co.
c/o Steven Altman
213 East Clinton Avenue
Tenafly, NJ 07670-2315
Seat No. 741-R

Kyle Boris
CTC L.L.C.
Badge No. 954-A

to **Rockhead General Partnership**
Attn: Mr. Thomas J. Quarry
1452 Village Greene Blvd.
Bensalem, PA 19020
Seat No. 237-R

Benjamin Phillips*
AGS Specialists II, LLC
Badge No. 811-M

to **George Reichhelm**
Independent
One World Financial Center
200 Liberty Plaza, 26th Floor
New York, NY 10281
Seat No. 746-R

**Simultaneously transferring to another seat*
***Currently a member on another seat*

New Memberships

Sales

Regular
(Effective May 3, 2007)

Genie J. Howard
Independent

to **ORAB, LLC**
Attn: Robert Rabinowitz
c/o JH Darbie & Co.
99 Wall Street, 6th Floor
New York, NY 10005
Seat No. 721-R

George Reichhelm
Independent

to **David Jones**
Independent
54 Archipelago Drive
Newport Beach, CA 92657
Seat No. 746-R

Special Transfer Agreement – Regular
(Effective May 3, 2007)

Grace C. Carter
Independent

to **Steven J. Orbuch**
Trader
Group One Trading L.P.
2 Rector Street, Suite 1001
New York, NY 10006
Seat No. 766-R
Badge No. 826-G

David Jones
Independent

to

Benjamin Phillips
Specialist
AGS Specialists II, LLC
One World Financial Center
200 Liberty Plaza, 26th Floor
New York, NY 10281
Seat No. 746-R
Badge No. 811-M

Member Owner Organization

(Effective May 3, 2007)

ORAB, LLC

c/o JH Darbie & Co.
99 Wall Street, 6th Floor
New York, NY 10005
Robert Rabinowitz, Managing Member

Allied Members

(Effective May 3, 2007)

Michael R. McCoy

Managing Member
FM Brokerage, L.L.C.
80 Chambers, Apt. 12C
New York, NY 10007

Robert Y. Rabinowitz

Managing Member
ORAB, LLC
c/o JH Darbie & Co.
99 Wall Street, 6th Floor
New York, NY 10005

Approved Person Organizations

(Effective May 3, 2007)

J.H. Darbie & Co. Inc.

Wolf A. Popper, Inc.

Under Common Control with
ORAB, LLC
c/o JH Darbie & Co.
99 Wall Street, 6th Floor
New York, NY 10005

Changes in Member Organizations

‡Fiduciary Financial Services Corp.

Name changed to Franklin Templeton Financial Services Corp., effective March 1, 2007.

****FM Brokerage LLC**

Michael R. McCoy approved as an Allied Member, effective May 3, 2007.

****Triple J. Trading LLC**

Ceased to be registered as a Regular Member Organization with the transfer of *Chad Basinger, (Badge #835-J), effective May 3, 2007.

Change of Address

†Joseph Cajigal, Franklin Templeton Financial Services Corp., 600 Fifth Avenue, New York, NY 10020

-
- * Regular Member
 - ** Regular Member Organization
 - Options Principal Member
 - Options Principal Member Organization
 - † Associate Member
 - †† Associate Member Organization
 - # CBOE Member Organization
 - NYSE Member Organization
 - Limited Trading Permit Holder
 - Limited Trading Permit Holder Organization
 - @ Also Employed By Another Firm
 - (\$) Sole Proprietor ceased
-

Proposed Transfers of Membership

Termination of Special Transfer Agreement – Regular

(To Be Effective May 4, 2007)

Samuel Wilson to **Piper Jaffray & Co.**
Kellogg Capital Group LLC and
Piper Jaffray & Co.

(To Be Effective May 10, 2007)

Maia Josebachvili to **Susquehanna Investment Group**
Susquehanna Investment Group and
Susquehanna Securities

(To Be Effective May 11, 2007)

Laura A. Brand to **Louis G. Pailillo, Sr.**
Kellogg Capital Group LLC Independent

(To Be Effective May 15, 2007)

Ilya Gurevich to **Neil Vaccaro**
Bluefin Trading LLC and Independent
Bluefin Specialists LLC

**Simultaneously transferring to another seat*

***Currently a member on another seat*

New Memberships

Sale

Regular

(To Be Effective May 4, 2007)

Scot McElheny to **Saul Gilinski**
Independent Independent

Special Transfer Agreement – Regular
(To Be Effective May 4, 2007)
Piper Jaffray & Co.

to **Kaitlin McKernan**
Commission Broker of
Kellogg Capital Group LLC and
Piper Jaffray & Co.

(To Be Effective May 10, 2007)
Global Options LLC

to **Michael Rushton**
Trader of
L&R Trading LLC

Robert Moss
Independent

to **James Chu**
Trader of
Cutler Group LP

Robert L. Shanler
Independent

to **Jean-Bernard Burckett-St. Laurent**
Trader of
Bluefin Trading LLC

(To Be Effective May 11, 2007)
Louis G. Pailillo, Sr.
Independent

to **Charles B. Saltzman**
Trader of
Kellogg Capital Group LLC

Proposed Associate Members

(To Be Effective May 7, 2007)
Francois A. Pages
Chief Executive Officer of
Calyon Securities (USA) Inc.
1301 Avenue of the Americas
New York, NY 10019

(To Be Effective May 10, 2007)
Philip A. Pendergraft
Executive Vice President of
Penson Financial Services Inc.
1700 Pacific Avenue Suite 1400
Dallas, TX 75201

Proposed Allied Members

(To Be Effective May 7, 2007)
George H Howard, III
Chief Compliance Officer & Secretary
Calyon Securities (USA) Inc.
1301 Avenue of the Americas
New York, NY 10019

Richard M. Jablonski
Chief Operating Officer &
Chief Administrative Officer
Calyon Securities (USA) Inc.
1301 Avenue of the Americas
New York, NY 10019

Francois A. Pages
Chief Executive Officer
Calyon Securities (USA) Inc.
1301 Avenue of the Americas
New York, NY 10019

Arnold Sarabella
Chief Financial Officer
Calyon Securities (USA) Inc.
1301 Avenue of the Americas
New York, NY 10019

(To Be Effective May 10, 2007)

Nicole C. Clark-Ake
Chief Compliance Officer of
Person Financial Services Inc.
1700 Pacific Avenue Suite 1400
Dallas, TX 75201

David R. Henkel
Chief Financial Officer of
Person Financial Services Inc.
1700 Pacific Avenue Suite 1400
Dallas, TX 75201

William P. Mulligan, Jr.
Member of
Freedom Holding Group, LLC
40 Wall Street, 45th Floor
New York, NY 10005

Philip A. Pendergraft
Executive Vice President of
Person Financial Services Inc.
1700 Pacific Avenue Suite 1400
Dallas, TX 75201

Daniel P. Son
Vice Chairman of
Person Financial Services Inc.
1700 Pacific Avenue Suite 1400
Dallas, TX 75201

Charles W. Yancey
Chief Executive Officer of
Person Financial Services Inc.
1700 Pacific Avenue Suite 1400
Dallas, TX 75201

Proposed Approved Persons

(To Be Effective May 7, 2007)

Manmohan S. Kalra
Director
Calyon Securities (USA) Inc.
1301 Avenue of the Americas
New York, NY 10019

Jean-Marc Moriani
Chairman
Calyon Securities (USA) Inc.
1301 Avenue of the Americas
New York, NY 10019

Philippe J. Soustra
Director
Calyon Securities (USA) Inc.
1301 Avenue of the Americas
New York, NY 10019

(To Be Effective May 10, 2007)

Roger J. Engemoen, Jr.
Director of
Penson Financial Services Inc.
1700 Pacific Avenue Suite 1400
Dallas, TX 75201

Proposed Approved Person Organizations

(To Be Effective May 10, 2007)

Freedom Holding Group, LLC
Sole Member of
Amerivest Partners LLC
40 Wall Street, 45th Floor
New York, NY 10005

SAI Holdings Inc.
Owner of
Penson Financial Services Inc.
1700 Pacific Avenue Suite 1400
Dallas, TX 75201

Nexa Technologies, Inc.
Penson Financial Futures, Inc.
Penson Financial Services Limited
Penson GHCO
SAH, Inc.
Under Common Control with
Penson Financial Services Inc.
1700 Pacific Avenue Suite 1400
Dallas, TX 75201

(To Be Effective at the Opening of Business on May 11, 2007)

Electronic Specialist LLC
Under Common Control with
Bear Hunter Structured Products LLC
Bear Wagner Specialists LLC
HBH Specialists LLC
KV Execution Services LLC
40 Wall Street
New York, NY 10005

Electronic Specialist LLC
Under Common Control with
Bear Stearns & Co., Inc.
Bear Stearns Securities Corp.
115 South Jefferson Road
Whippany, NJ 07981

Electronic Specialist LLC
Under Common Control with
SIG Brokerage L.P.
Susquehanna Financial Group, LLP
Susquehanna Investment Group
Susquehanna Securities
401 City Lane Avenue
Bala Cynwyd, PA 19004

Electronic Specialist LLC
Under Common Control with
Credit Suisse Securities (USA) LLC
1 Madison Avenue, 9th Floor
New York, NY 10010

Proposed Member Organizations

(To Be Effective May 7, 2007)

■#‡Calyon Securities (USA) Inc.

To be admitted as an Associate Member Organization.
Address: 1301 Avenue of the Americas, New York, NY 10019
George H Howard, III, Chief Compliance Officer & Secretary
Richard M. Jablonski, Chief Operating Officer, Chief Administrative Officer
Manmohan S. Kalra, Director
Jean-Marc Moriani, Chairman
†Francois A. Pages, Chief Executive Officer
Arnold Sarabella, Chief Financial Officer
Philippe J. Soustra, Director

(To Be Effective May 10, 2007)

‡Penson Financial Services Inc.

To be admitted as an Associate Member Organization.
Address: 1700 Pacific Avenue, Suite 1400, Dallas, TX 75201
Nicole C. Clark-Ake, Chief Compliance Officer
Daniel P. Son, Vice Chairman
Roger J. Engemoen, Jr., Chairman/Director
David R. Henkel, Chief Financial Officer
†Philip A. Pendergraft, Executive Vice President
Charles W. Yancy, Chief Executive Officer/President
Joseph K. Gray, Director
William D. Gross, Director

Any information you may have which would be pertinent to consideration of the applicants by the Exchange should be promptly reported to the Membership and Registration Department at 212-306-1419.

Current Reports Made to the Exchange

Members attention is called to the fact that the following reports are made to the Exchange within the coming next week:

Report – To NASD Amex Regulation Division - Financial Regulation Dept. Due on or Before
This request for reports is issued on behalf of the American Stock Exchange LLC (Amex) by the Financial Regulation Dept. of the NASD Amex Regulation Division pursuant to a grant of authority to the NASD. Accordingly, this constitutes a request for reports by the Amex.

Web FOCUS Report Part II Monthly - Member Organizations carrying customers and/or self-clearing are required to file by the 17th business day after month-end. Wed. May 23

Web FOCUS Report Part IIA Monthly or Net Capital Computation - Member Organization and Sole Proprietor broker-dealers subject to SEC Rule 15c3-1 net capital requirements but not carrying customers and/or self-clearing are required to file by the 17th business day after month-end. Wed. May 23

Equity Computation - Member Organizations subject to Exchange Rule 110 equity requirements **and exempt from SEC Rule 15c3-1 net capital requirements** are required to file by the 17th business day after month-end. **Amex market-makers in non-option products are subject to SEC Rule 15c3-1 net capital requirements and must file a Web FOCUS Report Part IIA or Net Capital Computation.** Wed. May 23

All terminating Amex-designated Member Organizations and Sole Proprietor broker-dealers are required to file an SEC Rule 17a-5(b) Web FOCUS Report Part II Withdrawal or IIA Withdrawal. Use the Web FOCUS Blank Forms tab to access the appropriate form.

Web FOCUS Users experiencing access problems with Web FOCUS should contact the **Gateway Call Center @ (301) 869-6699** for assistance.

Please contact the NASD Amex Regulation Division - Financial Regulation Dept., One Liberty Plaza, 51st Floor – Robert Devine (212) 858.4448 regarding Web FOCUS entitlement procedures, MO-14 statement, or MO-15 report. Other questions regarding financial reporting should be directed to your FRD examiner.

Please refer to Amex Notice REG 2006–55 dated Oct. 26, 2006, for additional information about filing reports with the Financial Regulation Dept. This Notice is posted on the following webpage at: <http://www.amex.com/amextrader/tdrInfo/data/axNotices/2006/reg102606.html>.

Equities Mid-Month Short Interest Schedule 2007

Trade Date	Settlement Date	Report Due	Report Published
(Thursday) May 10	(Tuesday) May 15	(Thursday) May 17 – 1:00 p.m. EST	(Monday) May 21

Derivatives (End-of-Month) Short Interest Schedule 2007

Trade Date	Settlement Date	Report Due	Report Published
(Friday) May 25	(Thursday) May 31	(Friday) June 1 – 1:00 p.m. EST	(Tuesday) June 5

The List

This information can be found on the Web. In order to retrieve this information, go to <http://www.amextrader.com> and from the home page click the Daily List button. At the Daily List home page scroll down to Amex issues and select the view link for the current date. Should you have any questions please call (212) 306-2438.

The Following Securities Have Been Approved for Listing:

Credit Suisse \$4,000,000 principal amount of 14.25% Reverse Convertible Notes Linked to Ford Motor Corporation. Maturing April 29, 2008

Dekania Corp 26,717,500 shares of Common Stock, Par Value \$.0001

Dekania Corp 12,825,000 Warrants expiring February 1, 2011

Ekspartfinans ASA 2,350,000 SHARES OF 11% Enhanced Yield Securities Linked to the Claymore YieldStream 20, maturing April 30, 2008

Merrill Lynch 7,850,000 shares of Accelerated Return Notes Linked to the MSCI EAFE Index, maturing July 9, 2008

Universal Insurance Holdings, Inc. 45,465,429 shares of Common Stock, Par Value \$.01

Additional & Substitution Listings:

Cel-Sci Corporation, 43,461,667 Shares of Common Stock, \$0.001 Par Value

Oilsands Quest, Inc., 15,822,000 Shares of Common Stock, \$0.001 Par Value

Titan Pharmaceuticals, Inc., 5,445,546 Shares of Common Stock, \$0.001 Par Value

Corporate Actions and New Listings announced for April 27 – May 4, 2007 can be viewed on the Issues Daily list homepage on amextrader.com; click on [Issues Daily List](#) to view the Issues Daily List.

Registered Interest

<u>Name of Company</u>	<u>Record Date</u> <u>(New York unless stated otherwise)</u>
Interest due January 2	
Hawk Corporation (8 3/4% Senior Notes, due 11/1/14)	Dec. 15
Wachovia Corporation	
(9% Halliburton Co. Enhanced Yield Secs, due 12/30/06)	Dec. 15
(13.5% Chesapeake Energy Enhanced Yield, due 12/30/06)	Dec. 15
Interest due January 7	
Merrill Lynch & Co., Inc	
(.25% Wells Fargo & Co. Stock-Linked Notes –1/7/08)	Dec. 23
Interest due January 10	
Wachovia Corporation	
(19.25% General Motors Enhanced Yield Secs, due 7/10/07)	Dec. 26
Interest due January 15	
CBS Corporation. (7.625% Senior Debentures-2016)	Jan. 1
Interest due January 20	
Wachovia Corporation	
(10% Consol Energy Inc. Enhanced Yield Securities, 4/20/07)	Jan. 5
Interest due January 26	
Bank of America (0.25% Nasdaq-100 Cash Settled Exchangeable Notes, due 1/26/10)	
	Jan. 11
Interest due January 29	
Bank of America	
(1% Healthcare Basket Index "CYCLES", due 1/28/11)	Jan. 1
(1.50% Basket CYCLES linked to an "80/20" Basket of Four Indices & 1 ETF, due 7/29/11)	Jan. 14

Interest due February 1

Chandler (USA), Inc. (8 ¾% Senior Debentures, due 7/1/14) Jan. 15

Wachovia Corporation
(13% Google Inc. Enhanced Yield Securities, due 2/1/07) Jan. 17

Interest due February 14

CIBC World Markets (10 Large-Cap U.S. Stock
Basket Yield Generator Notes, due 2/14/11) Feb. 13

Interest due February 15

Wachovia Corporation
(11.25% Toll Brothers Enhanced Yield Secs, due 5/15/07) Jan. 31
(9.375% Transocean Enhanced Yield Secs, due 5/15/07) Jan. 31

Interest due February 22

Citigroup Global Markets Holdings, Inc.
(3% Pfizer Exchangeable Notes, due 8/22/08) Feb. 21

Interest due February 26

Bank of America
(1.25% SPX Index CYCLES, due 2/24/10) Feb. 9
(1.25% DJIA Index CYCLES, due 8/25/10) Feb. 10

Interest due March 1

Trans-Lux Corp.
(8.25% Limited Conv Sr Subordinated Notes, due 3/1/12) Feb. 15

Interest due March 3

Lehman Brothers
(2% Morgan Stanley link Notes, due 3/3/09) Feb. 16

Interest due March 5

Freedom Depository LLC/Amherst Securities (5.10% Cl A,
USAuto Series 2004-1, Freedom Certificates, due 3/3/211) Mar. 2

Interest due March 13

Citigroup Global Market Holdings (0.25% Basket of Tech
Stocks Cash Exchangeable Notes, due 09/13/07) Feb. 26

Interest due March 15

Stater Bros. Holdings Inc. (Floating Rate Notes, due 6/15/10) Mar. 1

Interest due March 20

Citigroup Global Market Holdings (Dow Jones EURO
STOXX 50 Index Principal-Protected Notes, due 12/20/07) Mar. 5

Interest due March 22

Goldman Sachs
(0.25% EMC Corp. Exchangeable Notes, due 3/22/07) Mar. 21

Interest due March 23

Bank of America
(1.625% Nikkei 225 Index CYCLES, due 3/23/10) Mar. 8

Interest due March 27

Bank of America
(1.25% "100/0" Basket of 4 Indices CYCLES, due 9/27/11) Mar. 12

Interest due April 2

CIBC World Markets Corp.
(10 Stock Basket Yield Generator Notes, due 3/11/11) Mar. 30
(CIBC Premium Yield Generator Notes, due 4/1/13) Mar. 30

Interest due April 10

Wachovia Corporation
(19.25% General Motors Enhanced Yield Secs, due 7/10/07) Mar. 26

Interest due April 13

ABN AMRO (Medium Term Notes,
Series A, Leveraged CPI Linked Securities, due 1/13/20) Mar. 29

Interest due April 20

Wachovia Corporation
(10% Consol Energy Inc. Enhanced Yield Securities, 4/20/07) Apr. 5

Interest due April 30

Bank of America
(1.75% Basket of 3 Indices CYCLES, due 4/30/09) Apr. 15
(1.75% S&P 500 CYCLES, due 4/28/11) Apr. 20

CIBC World Markets
(2% DJIA Principal Protected Notes, due 5/5/10) Apr. 27

Morgan Stanley
(2% Proctor & Gamble Exchangeable Notes, due 4/30/11) Apr. 15

Interest due May 14

CIBC World Markets (Ten Large-Cap U.S Stocks Yield
Generator Notes, due 5/13/10) May 11

Interest due May 15

Wachovia Corporation
(11.25% Toll Brothers Enhanced Yield Secs, due 5/15/07) Apr. 30
(9.375% Transocean Enhanced Yield Secs, due 5/15/07) Apr. 30

Interest due May 22

CIBC World Markets (Premium Yield Generator Notes Linked
to a Basket of Ten-Large Cap U.S. Stocks, due 5/22/08) May. 21

Interest due May 29

Bank of America
(1.5% S&P 500 Capital Protect Equity
Performance Notes, due 11/26/2010) May. 1
(1% Basket CYCLES linked to a 70/30
Basket of Four Indices & 1 ETF, due 5/27/10) May. 12

Merrill Lynch & Co., Inc. (1% McDonald's Convertible
Exchangeable Securities, due 5/28/09) May. 13

Allstate Life Global Funding
(4.50% Secured Medium Term Notes, due 5/29/09) May. 14

Bear Stearns Companies, Inc.
(1.5% S&P 500 Principal Protected Notes, due 11/30/09) May. 15

Interest due June 15

Bond Trust Products LLC (Bond Trust Products Trust
Pass-Through Trust Certificates, due 6/15/2010) Jun. 14

Stater Bros. Holdings Inc.
(Floating Rate Notes, due 6/15/10) Jun. 1
(8 1/8% Senior Notes, due 6/15/12) Jun. 1

Interest due June 27

Bank of America (1.25% Basket CYCLES Linked to a
"70/30" Basket of Four Indices and 1 ETF, due 12/27/10) Jun. 12

Interest due June 28

Bank of America
(S&P 400 MidCap Index CYCLES, due 12/28/10) Jun. 13
(1.5% Healthcare Stocks Capital Protected Equity
Performance Link Securities., due 12/20/11) Jun. 13

Interest due July 2

Morgan Stanley
(2% 3M Co. Exchangeable Notes, due 12/30/10) Jun. 15
(1.25% General Electric Exchangeable Notes, due 12/30/08) Jun. 15
(1.5 % Wal-Mart Stores Exchangeable Notes, due 6/30/11) Jun. 15

CIBC World Markets (10 Large-Cap U.S Stocks Yield
Generator Notes, due 6/30/11) Jun. 29

List of Bonds Dealt in “Flat”

Traded Flat from Listing Date

ABN AMRO	SHH.A (S&P 500 Structured Hybrid Equity link Secs-1/16/14)
American Corporate Accruals, Inc.	(Callable Principal Receipts-2024)
Bank of America Corp.	BOA.C (S&P 500 linked Notes-7/2/07)
Bank of America Corp.	BOA.D (Nasdaq-100 EAGLES-6/1/10)
Bank of America Corp.	BOA.E (S&P 500 EAGLES- 6/28/10)
Bank of America Corp.	BOA.F (Nikkei 225 6% Min Return linked Notes-6/24/10)
Bank of America Corp.	BOA.G (16 Energy Stk linked EAGLES-8/2/10)
Bank of America Corp.	BOA.H (Russell 2000 EAGLES-8/28/09)
Bank of America Corp.	BOA.I (DJIA Minimum Return EAGLES-9/25/09)
Bank of America Corp.	BOA.J (Nasdaq-100 EAGLES-10/29/10)
Bank of America Corp.	BOA.M (Nikkei 225 Return Linked Notes-6/28/10)
Bank of America Corp.	BOA.O (Russell 2000 EAGLES-1/28/11)
Bank of America Corp.	BOA.R (Nasdaq-100 EAGLES-3/24/09)
Bank of America Corp.	BOA.U (EAGLES linked to the DJIA-6/25/10)
Bank of America Corp.	BOA.W (Amex Exch Biotechnology Index EAGLES-8/28/09)
Bank of America Corp.	BOA.Z (Energy Stocks EAGLES-9/29/10)
Bank of America Corp.	BOR.A (S&P 500 EAGLES-10/29/10)
Bank of America Corp.	BOR.B (Nasdaq-100 Index EAGLES-11/23/10)
Bank of America Corp.	BOR.C (CBOE China Index EAGLES-11/24/10)
Bank of America Corp.	BOR.F (DJIA EAGLES-3/25/11)
Bank of America Corp.	BOR.I (Basket of 3 Indices Return Linked Notes-8/26/10)
Bank of America Corp.	BOR.J ("80/20" Basket of Four Indices Return Link Notes-6/27/11)
Bank of America Corp.	BOR.K (S&P 500 EAGLES-7/29/10)
Bank of America Corp.	BOR.L (Return link notes linked to a basket of two Indices-1/28/11)
Bank of America Corp.	BOR.M (Index EAGLES Linked to the DJIA-8/26/10)
Bank of America Corp.	BOR.N (Dow Jones EURO STOXX 50 Return Link Notes-8/25/11)
Bank of America Corp.	BOR.O (S&P 500 Index EAGLES-10/3/11)
Bank of America Corp.	BOR.P (Amex Biotechnology Index EAGLES-10/28/11)
Bank of America Corp.	BOR.Q (Basket of Three Indices Return Link Notes-10/27/11)
Bank of America Corp.	BOR.R (Basket of Two Indices Return Link Notes-11/22/10)
Bank of America Corp.	BOR.S (Basket of Five EAGLES-11/23/11)
Bank of America Corp.	BOR.T (DJIA Index EAGLES -12/27/11)
Bank of America Corp.	BOR.U (Basket of 3 Indices Return Link Notes-12/29/11)

Bear Stearns & Co. Inc.
Bear Stearns & Co. Inc.
Bear Stearns & Co. Inc.
Bear Stearns & Co. Inc.
Bear Stearns & Co. Inc.

Canadian Imperial Bank of Commerce
Canadian Imperial Bank of Commerce
Canadian Imperial Bank of Commerce
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Canadian Imperial Bank of Commerce
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Canadian Imperial Bank of Commerce

Citigroup Global Market Holdings Inc.
Citigroup Global Market Holdings Inc.

Credit Suisse (USA), Inc.
Credit Suisse (USA), Inc.

Goldman Sachs Group, Inc. (The)
Goldman Sachs Group, Inc. (The)
Goldman Sachs Group, Inc. (The)
Goldman Sachs Group, Inc. (The)

JP Morgan Chase & Co.
JP Morgan Chase & Co.
JP Morgan Chase & Co.
JP Morgan Chase & Co.
JP Morgan Chase & Co.
JP Morgan Chase & Co.
JP Morgan Chase & Co.
JP Morgan Chase & Co.
JP Morgan Chase & Co.
JP Morgan Chase & Co.

Lehman Brothers Holdings Inc.
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Lehman Brothers Holdings Inc.
Lehman Brothers Holdings Inc.

Merrill Lynch
Merrill Lynch

Morgan Stanley
Morgan Stanley
Morgan Stanley

BYF.A (U.S. Sector ETFs Principal Protect Notes-2/5/08)
BYF.B (S&P 500 Principal Protect Notes-10/1/08)
BYF.C (NASDAQ-100 Principal Protect Notes-12/22/09)
BYF.D (DJIA Principal Protect Notes-3/23/11)
BYF.F (Three Intl Indices Medium Term Notes-8/2/10)

MRS.A (S&P 500 linked Notes-7/30/10)
MRS.B (CIBC 10 U.S. Stks Optimizer Notes-1/30/09)
MRS.C (CIBC 10 U.S. Stks Optimizer Notes-3/5/09)
MRS.D (CIBC 10 U.S. Stks Optimizer Notes-3/31/09)
MRS.E (CIBC 10 U.S. Stks Optimizer Notes-4/30/09)
MRS.F (S&P 500 MERITS-6/30/11)
MRS.G (CIBC 10 U.S. Stks Optimizer Notes-6/30/09)
MRS.H (NASDAQ-100 MERITS-8/1/11)
MRS.I (DJIA MERITS-8/31/11)
MRS.J (S&P 500 Principal Protected Notes-3/31/09)
MRS.K (DJIA MERITS-1/30/09)
MRS.M (S&P 500 Index linked Notes-12/31/08)
MRS.O (Global Indices Basket linked Notes-9/18/08)
MRS.S (S&P 500 Principal Protected Notes-6/15/10)
MRS.U (Global Basket of Three Equity Indices -7/29/10)

NDG.A (Nasdaq-100 Principal Protect Notes-12/4/07)
SCW.A (S&P 500 Principal Protect Notes-10/22/07)

IPJ.A (S&P 500 Five Year Contingent Protect Secs-9/30/08)
IPJ.B (S&P 500 Five Year Contingent Protect Secs-11/26/08)

GSD.B (S&P 500 linked Notes-8/7/11)
GSN.B (S&P 500 Medium Term Notes-2/28/13)
GSN.C (S&P 500 Medium Term Notes-4/18/13)
GSN.D (S&P 500 Medium Term Notes-5/30/13)

JPL.A (Consumer Price Index Secs-1/15/10)
JPL.A (S&P 500 linked Notes-11/26/07)
JPL.B (S&P 500 Market Participation Notes-3/12/08)
JPL.C (Cap Qtr Observation Notes-9/22/08)
JPL.D (S&P 500 Cap Qtr Observation Notes-10/30/08)
JPL.E (S&P 500 Cap Qtr Observation Notes-1/21/09)
JPL.F (S&P 500 Mkt Participation Notes-3/31/09)
JPL.G (S&P 500 Cap Qtr Observation Notes-7/7/09)
JPL.H (S&P 500 Cap Qtr Observation Notes-9/21/09)
JPL.I (S&P 500 Principal Protect Notes-9/30/10)

LBN.A (Dow Jones EUROSTOXX 50 Index-7/7/08)
LBN.B (Dow Jones EURO STOXX 50 Index-7/29/08)
LSQ.B (DJIA PrincipalPlus SUNS-8/5/07)
LSQ.C (DJIA SUNS-4/29/10)
LSQ.D (Nikkei 225 SUNS-6/10/10)
PSZ.C (S&P 500 SUNS-8/5/08)
PSZ.D (S&P 500 SUNS-9/27/07)
PSZ.E (S&P 500 Callable SUNS-11/6/09)
PSZ.F (DJ Global Titans Index SUNS-2/9/10)
RLN.C (Nasdaq-100 Rebound RANGERS-5/20/07)
RLN.D (Nasdaq-100 Rebound RANGERS-6/7/08)
RLN.E (S&P 500 linked Notes-9/28/09)
RLN.G (DJ STOXX 50 linked Notes-11/15/09)
RLN.H (Russell 2000 Index linked Notes-12/23/09)
RLN.I (S&P 500 Index-Plus Notes-3/3/10)

COD.A (Coca-Cola Co Exchangeable Conv Secs-9/30/08)
EXO.A (Exxon Mobile Exchangeable Conv Secs-10/3/08)

BSN.A (3 stks Sr Exchangeable Debt-12/30/08)
ITM.A (Intel Stk Participation Notes-12/30/10)
JMS.A (S&P 500 Callable Notes-12/30/08)

Morgan Stanley	MRW.A (S&P 500 Mkt Participation Secs-12/30/10)
Morgan Stanley	MWT.A (Wal-Mart Stk Participation Notes-9/15/10)
PMA Capital Corporation	PMK (8.5% Monthly Income Sr Notes-6/15/18)
UBS AG	PPV.C (Russell 2000 Principal Protect Notes-4/30/09)
UBS AG	PPV.E (DJIA Principal Protect Notes-5/29/09)
UBS AG	PPV.G (S&P 500 Principal Protect Notes-11/7/07)
UBS AG	PPV.H (S&P 500 Principal Protect Notes-12/7/07)
UBS AG	PPV.I (U.S. Equity Indices Principal Protect Notes-10/7/11)
UBS AG	PPV.J (DJIA Principal Protect Notes-2/7/08)
UBS AG	PPV.K (S&P 500 Principal Protect Notes-9/8/10)
UBS AG	PPV.L (S&P 500 Principal Protect Notes-4/8/10)
UBS AG	PPV.M (S&P 500 Principal Protect Notes-10/7/09)
UBS AG	PPV.N (S&P 500 Principal Protect Notes-5/7/10)
UBS AG	PPV.O (S&P 500 Principal Protect Notes-6/7/10)
UBS AG	PPV.P (S&P 500 Principal Protect Notes-7/8/10)
UBS AG	PPV.Q (S&P 500 Principal Protect Notes-8/6/10)
UBS AG	PPV.R (S&P 500 Principal Protect Notes-9/8/09)
UBS AG	PPZ.A (S&P 500 Partial Protect Notes-11/05/07)
UBS AG	PPZ.B (S&P 500 Partial Principal Protect Notes-2/28/08)
UBS AG	PPZ.C (S&P 500 Partial Principal Protect Notes-11/5/10)
Wachovia Corporation	WDB.B (Nikkei 225 ASTROS-3/2/10)
Wachovia Corporation	WDB.D (S&P 500 linked to Trigger CAPITALS-12/8/08)
Wachovia Corporation	WDB.E (Global Basket of Indices ASTROS-2/2/10)
Wachovia Corporation	WDB.I (Global Equity Basket ASTROS-5/5/10)
Wachovia Corporation	WDB.M (Metals-China Basket ASTROS-1/28/09)
Wachovia Corporation	WDB.R (Nikkei 225 Index LUNARS-4/30/07)
Wachovia Corporation	WTY.A (4.5% U.S. Treasuries Principal Protect Notes-6/6/07)
Wells Fargo & Company	WFG.A (S&P 500 linked Notes-1/4/08)
Wells Fargo & Company	WFG.B (Nasdaq-100 linked Notes-1/4/08)
Wells Fargo & Company	WFG.C (Basket linked Notes-4/15/09)
Wells Fargo & Company	WFG.D (DJIA High Point Average linked Notes-5/5/10)
Wells Fargo & Company	WFG.F (S&P 500 Callable Notes-8/25/09)

Halted Issues

Transactions in the following securities have been halted for a period exceeding five trading days. Members and member organizations are reminded of the provisions of Exchange Rule 462(d)1 when determining the value to place upon those securities for margin purposes.

Any purchase or sale of a security which has been halted by the Exchange may be subject to Federal regulation as well as the rules of the other market(s) where the purchase or sale is to take place.

Members are reminded that open orders on the books of the specialist for an issue which has been halted for 30 days are automatically canceled.

<u>Name of Company (Issue)</u>	<u>Date of Halt</u>
Cold Spring Capital Inc. (Warrants)	February 14, 2007
Dyadic International	April 23, 2007
Enernorth Industries Inc.	February 9, 2007
Stonepath Group, Inc.	April 19, 2007

a — Addition to previous Bulletin.

Dividends

Dividends announced for April 30, 2007 – May 4, 2007 can be viewed on the Dividend Daily list homepage on amextrader.com; click on [Dividends Daily List](#) to view the Dividends Daily List.

Please call the Corporate Actions/Proxy Rulings Department at 212-306-2438 with any questions.

Meetings of Stockholders

Members should, insofar as possible without additional expense, transfer certificates of stock held in persons or firms over whom they have no control into their own names, or those of persons over whom they have control, before the record date for determining stockholders entitled to vote.

As a guide to Member Organizations in giving proxies pursuant to Rule 577 to vote stock held for the account of customers the following symbols are used in the list of meetings below:

- V = Preceding Record Date indicates Members MAY vote the entire proxy under the Ten Day Rule.
- X = Together with numbers or letters indicates that Members MAY NOT vote the designated matters without specific instructions from owners. Numbers or letters shown are those used in the PROXY FORM.
- X = With no other designation indicates that Members MAY NOT vote the entire proxy.
- NP = Indicates that proxies are not being solicited.

The following abbreviations are used for items not numbered or lettered in the proxy form:

- SP = Stockholder Proposal(s)
- OP = Option Plan
- STP = Stock Purchase Plan
- CP = Compensation Plan
- BY = By-Law Amendment
- CH = Charter Amendment
- MP = Merger Proposal

Where no symbol appears, proxy material has not yet been received.

<u>Name of Company</u>	<u>Record</u>	<u>Meeting</u>
Ablest Inc.	Apr. 24	A Jun. 7
Abraxas Petroleum Corporation	May 25	A Jun. 26
Adams Resources & Energy, Inc.	V Apr. 2	A May 21
ADVENTRX Pharmaceuticals Inc.	V Apr. 6	A May 23
* AirNet Systems, Inc.	V Apr. 27	A Jun. 6
* Alpha Pro Tech, Ltd.	V Apr. 18	A Jun. 4
Alteon, Inc.	Apr. 16	A
American Biltrite, Inc.	V Mar. 9	A May 8
* American CareSource Holdings Inc. Note: (8)	X-2 Apr. 26	A May 24
American Community Properties Tr	V Apr. 6	A Jun. 6
American Mortgage Acceptance Co.	V Apr. 13	A Jun. 12
* American Oil & Gas Inc.	V Apr. 30	A May 31
* American Shared Hospital Services Note: (1)	V May 1	A Jun. 14
American Spectrum Realty, Inc.	V Mar. 20	A May 8
AmReit, Inc.	V Apr. 2	A May 30
* AMS Health Sciences, Inc.	V Apr. 27	A Jun. 18
Anooraq Resources Corporation	May 11	A Jun. 20
Antares Pharma Inc.	V Mar. 15	A May 10
* Apex Silver Mines Ltd.	V Apr. 19	A Jun. 7
Apollo Gold Corp. Note: (4 & 8)	X-3 Apr. 16	A May 16
Arizona Land Income Corporation	May 9	S Jun. 15
Arrhythmia Research Technology	X-2 Apr. 2	A May 11
Ascendia Brands, Inc. Note: (2)	Feb. 9	
* Aspyra, Inc.	V May 10	A Jun. 25
Augusta Resource Corporation	Apr. 5	A Jun. 1
Aurizon Mines Ltd. Note: (4)	Mar. 22	A May 11
Aurora Oil & Gas Corporation	V Mar. 13	A May 18
Axesstel, Inc.	V Apr. 24	A May 30
AXS-One Inc.	X-2 Apr. 3	A May 23

B&G Foods, Inc.	V	Mar.	23	A	May	8
Ballantyne of Omaha, Inc.	V	Mar.	30	A	May	23
Banro Corporation	Note: (4)	Apr.	4	A	May	8
Bar Harbor Bankshares	V	Mar.	24	A	May	15
Bell Industries, Inc.	X-2-3	Apr.	16	A	May	23
Beverly National Corporation	V	Apr.	11	A	May	22
Bexil Corporation	V	Mar.	15	A	May	11
*BioSante Pharmaceuticals, Inc.	V	Apr.	17	A	Jun.	14
Birch Mountain Resources Ltd.		Apr.	20	A	May	31
Blonder Tongue Laboratories, Inc.	X-2	Mar.	30	A	May	23
CabelTel International Corp.	Note: (2)	Feb.	16			
Cagle's, Incorporated		May	25	A	Jul.	13
*CanArgo Energy Corporation	V	Apr.	23	A	Jun.	5
*Canterbury Park Holding Corporation	V	Apr.	13	A	Jun.	7
Canyon Resources Corporation	X-3-4	Apr.	12	A	May	21
Cardero Resource Corp.		Apr.	3	A	May	8
*Cash Technologies, Inc.	X-2-3	Apr.	13	A	May	17
Cathay Merchant Group, Inc.	V	Mar.	9	A	May	7
Cavalier Homes, Inc.	V	Mar.	26	A	May	22
*Celsion Corporation	X-2-4	Apr.	30	A	Jun.	13
*Centerplate, Inc.	V	Apr.	10	A	May	23
CET Services, Inc.		Apr.	16	A	May	23
Cheniere Energy, Inc.	V	Mar.	27	A	May	25
Chicago Rivet & Machine Co.	V	Mar.	19	A	May	8
Chromcraft Revington, Inc.	X-2	Mar.	16	A	May	9
Claude Resources, Inc.	Note: (4)	Mar.	21	A	May	8
Clough Global Allocation Fund		May	23	A	Jul.	23
Clough Global Equity Fund		May	23	A	Jul.	23
Clough Global Opportunities Fund		May	23	A	Jul.	23
*Colonial CA Insured Muni Fund	X-1-2-3	Apr.	10	A	Jun.	22
Colonial Insured Municipal Fund		Apr.	10	A	Jun.	22
*COMFORCE Corporation	V	Apr.	26	A	Jun.	12
Communications Systems, Inc.		Apr.	27	A	Jun.	14
Community Bankshares	X-2	Mar.	15	A	May	21
Congoleum Corporation	V	Mar.	9	A	May	8
*Continental Materials Corp.	Note: (7)	V	Apr.	A	May	23
Conversion Services International, Inc.	Note: (1 & 2)	Mar.	20			
Core Molding Technologies, Inc.	V	Mar.	30	A	May	16
Corriente Resources Inc.		Apr.	19	A	May	24
Cortex Pharmaceuticals, Inc.	X-2	Mar.	22	A	May	9
*Covad Communications Group, Inc.	X-2	Apr.	16	A	Jun.	7
*CPI Aerostructures, Inc.	V	Apr.	26	A	Jun.	12
*Crystallex International Corp.	Note: (4)	Apr.	23	A	Jun.	21
*Cycle Country Accessories Corporation		May	7	A	Jun.	26
Darling International Inc.	V	Mar.	23	A	May	8
Decorator Industries, Inc.	V	Apr.	2	A	May	15
Digital Angel Corporation		Mar.	21	A		
Diomed Holdings, Inc.		Apr.	11	A	May	22
Dreyfus Municipal Income, Inc.	Note: (3)	Mar.	16	A	May	18
Dune Energy, Inc.	Note: (1 & 2)	Apr.	25			
DWS RREEF Real Estate Fund, Inc.		Apr.	23	A	Jun.	7
DWS RREEF Real Estate Fund II, Inc.		Apr.	23	A	Jun.	7
Eaton Vance Insured California Municipal Bond Fund		May	14	A	Jul.	20
Eaton Vance Insured California Municipal Bond Fund II		May	14	A	Jul.	20
Eaton Vance Insured Florida Municipal Bond Fund		May	14	A	Jul.	20
Eaton Vance Insured Massachusetts Municipal Bond Fund		May	14	A	Jul.	20

Eaton Vance Insured Michigan Municipal Bond Fund		May	14	A	Jul.	20
Eaton Vance Insured Municipal Bond Fund		May	14	A	Jul.	20
Eaton Vance Insured Municipal Bond Fund II		May	14	A	Jul.	20
Eaton Vance Insured New Jersey Municipal Bond Fund		May	14	A	Jul.	20
Eaton Vance Insured New York Municipal Bond Fund		May	14	A	Jul.	20
Eaton Vance Insured New York Municipal Bond Fund II		May	14	A	Jul.	20
Eaton Vance Insured Ohio Municipal Bond Fund		May	14	A	Jul.	20
Eaton Vance Insured Pennsylvania Municipal Bond Fund		May	14	A	Jul.	20
Eldorado Gold Corporation		Apr.	9	A	May	24
*Elite Pharmaceuticals, Inc.		May	15	A	Jun.	26
*Empire Resources, Inc.	V	Apr.	26	A	Jun.	20
Endeavour International Corp.	X-2	Mar.	26	A	May	24
ENGlobal Corporation	X-2	Apr.	16	A	Jun.	14
Entree Gold Inc.		Apr.	11	A	May	16
Environmental Power Corporation		Apr.	16	A	Jun.	12
Exeter Resource Corporation		Apr.	16	A	May	23
*Expres-1 Expedited Solutions, Inc.	X-3	May	1	A	Jun.	14
Federal Trust Corporation	V	Mar.	29	A	May	25
First Trust Tax Advantage Preferred Income Fund	V	Feb.	12	A	May	11
First Trust Value Line® 100 Fund	X	Feb.	16	S	May	17
Five Star Quality Care, Inc.	V	Mar.	20	A	May	15
*Flexible Solutions International, Inc.		Apr.	27	A	Jun.	21
Florida Public Utilities Company	V	Mar.	16	A	May	8
Flotek Industries, Inc.	X-2	Apr.	5	A	May	18
Franklin Street Properties Corp.	V	Mar.	16	A	May	11
*Full House Resorts, Inc.	V	Apr.	20	A	May	31
*Fusion Telecommunications International, Inc.	X-1	Apr.	30	A	Jul.	12
Gabelli Global Gold, Natural Resources & Income Trust (The)	V	Mar.	12	A	May	14
Gabelli Global Utility & Income Trust (The)	V	Mar.	12	A	May	14
GAINSCO, INC.	V	Apr.	5	A	May	10
Galaxy Energy Corporation		Jan.	19	S		
*Gammon Lake Resources, Inc. Note: (1)		May	3	A	Jun.	6
Gasco Energy, Inc.	V	Apr.	5	A	May	15
*Gastar Exploration Ltd. Note: (4)	X-5	Apr.	27	A	Jun.	1
General Finance Corporation		Apr.	20	S	May	29
*General Finance Corporation	X-2	May	10	A	Jun.	14
*GeoPetro Resources Company	V	Apr.	27	A	Jun.	14
Glencairn Gold Corporation Note: (4)		Apr.	10	A	May	17
Gold Reserve Inc. Note: (4)		Apr.	30	A	Jun.	7
Golden Star Resources Ltd. Note: (8)	X-3	Apr.	9	A	May	9
Goldfield Corporation (The)	V	Apr.	13	A	May	31
Graham Corporation		Jun.	1	A	Jul.	26
Great Basin Gold Ltd. Note: (4)		May	14	A	Jun.	19
Grey Wolf, Inc.	X-2	Apr.	3	A	May	15
*GSE Systems Inc.	X-3	May	25	A	Jun.	13
*Halifax Corporation		Jun.	8	A		
Halozyne Therapeutics, Inc.	V	Apr.	4	A	May	15
Hanover Capital Mortgage Holdings	X-2	Mar.	30	A	May	18
Harken Energy Corporation	V	Apr.	6	A	Jun.	4
*Hawaiian Holdings, Inc.	V	Apr.	16	A	May	30

Hawk Corporation	V	Apr.	5	A	May	30
*HearUSA, Inc.	X-2	Apr.	13	A	Jun.	11
Hersha Hospitality Trust	V	Mar.	30	A	May	24
*Hooper Holmes, Inc.	X-3	Apr.	13	A	May	30
Houston American Energy Corp.	V	Apr.	9	A	May	21
*Hungarian Telephone and Cable Corp.	V	Apr.	5	A	May	24
*IA Global, Inc. Note: (1)	X-4	May	29	A	Jun.	29
*Idera Pharmaceuticals, Inc.	X-2	Apr.	17	A	Jun.	13
IGI, Inc.	X-2-3	Mar.	28	A	May	10
ILX Resorts Incorporated	V	Apr.	16	A	Jun.	20
Imageware Systems, Inc.		Aug.	10	A	Sep.	19
Imperial Oil Limited		Mar.	2	A	May	1
ING Clarion Global Real Estate Income Fund	V	Mar.	30	A	May	7
ING Clarion Real Estate Income Fund	V	Mar.	30	A	May	7
*Intelli-Check Inc.	V	May	2	A	Jun.	4
Intelligent Systems Corporation	V	Apr.	6	A	May	24
Interleukin Genetics, Inc.		Apr.	24	A	Jun.	12
Intermediate Muni Fund, Inc.		Mar.	19	A		
*International Absorbents Inc.	V	Apr.	16	A	Jun.	7
Inverness Medical Innovations, Inc.	X-2	Mar.	23	A	May	17
iParty Corporation	V	Apr.	16	A	Jun.	6
*ISCO International, Inc.	V	Apr.	16	A	Jun.	8
I-trax, Inc.	V	Mar.	21	A	May	2
J. Alexander's Corporation	X-2	Mar.	23	A	May	15
Jazz Technologies, Inc.		May	3	A		
JED Oil Inc.	Note: (4)	Apr.	27	A	May	28
Kitty Hawk, Inc.		May	1	A		
*Kodiak Oil & Gas Corp. Note: (8)	X-3	Apr.	23	A	May	24
KSW Inc.	V	Apr.	3	A	May	8
*Ladenburg Thalmann Financial Services, Inc.		May	10	A		
LaPolla Industries, Inc.	X-2	Apr.	18	A	May	22
*LSB Industries, Inc.	X-3	Apr.	16	A	Jun.	14
Lundin Mining Corporation	Note: (4)	Apr.	20	A	Jun.	18
Maine & Maritimes Corporation	V	Mar.	19	A	May	8
*Manhattan Pharmaceuticals Inc.	X-2	May	2	A	May	24
Markwest Hydrocarbon Inc.	V	Apr.	13	A	Jun.	13
Matritech, Inc.	X-2-3	Apr.	12	A	Jun.	8
Maui Land & Pineapple Company	V	Mar.	14	A	May	7
*MAXXAM Inc.	V	Apr.	6	A	May	30
*MCF Corporation	X-2	Apr.	20	A	Jun.	8
Mercantile Bancorp, Inc.	V	Apr.	10	A	May	21
*Merrimac Industries, Inc.	V	Apr.	27	A	Jun.	20
Metalico, Inc.	V	Apr.	5	A	May	22
Metallica Resources, Inc.		Apr.	23	A	May	24
*Metretek Technology, Inc. Note: (9)	V	Apr.	20	A	Jun.	11
*Metropolitan Health Networks, Inc.	V	Apr.	13	A	Jun.	7
MicroFinancial, Inc.	V	Apr.	11	A	May	16
MicroIslet, Inc.		Dec.	26	S		
MidSouth Bancorp, Inc.	X-2	Mar.	31	A	May	30
Midwest Air Group, Inc.		May	11	A	Jun.	14
Minefinders Corporation Ltd.		Mar.	21	A	May	9
Mines Management, Inc.		May	8	A	Jun.	15
*Minrad International Inc.	V	Mar.	30	A	May	17
Mission West Properties, Inc.	V	Mar.	23	A	May	17
*MPC Corporation	Note: (1)	V	May	A	Jun.	29
National Lampoon, Inc.		May	18	A	Jun.	19
Natural Gas Services Group, Inc.		May	8	A	Jun.	19
*Nephros, Inc.	X-3-5	Apr.	27	A	May	22

*Neuberger Berman Real Estate Securities Income Fund Inc. Note: (5)	X	Feb.	21	A	Jun.	6
New Dragon Asia Corp. Note: (2)		Dec.	19			
*New Dragon Asia Corp.	V	Apr.	18	A	May	21
North American Galvanizing & Coatings, Inc.	V	Mar.	15	A	May	15
North American Palladium, Ltd. Note: (4)		Apr.	18	A	May	23
Northern Dynasty Minerals Ltd.		May	3	A	Jun.	7
*NovaDel Pharma, Inc.	V	Apr.	20	A	Jun.	6
NovaGold Resources, Inc.		Apr.	30	A	May	31
*NTN Buzztime, Inc.	V	May	1	A	Jun.	15
*NTS Realty Holdings Limited Partnership	V	Apr.	30	A	Jun.	12
*O2Diesel Corporation Note: (1)		May	29	A	Jul.	10
Odyssey Marine Exploration, Inc.	V	Apr.	2	A	May	18
ON2 Technologies, Inc.		Apr.	16	A		
*Oragenics, Inc.	V	Apr.	12	A	May	23
*Orchids Paper Products Company	V	May	1	A	Jun.	19
Orezone Resources, Inc.		Apr.	16	A	May	17
Orion HealthCorp, Inc.	V	Mar.	27	A	May	9
Otelco, Inc.	V	Mar.	22	A	May	17
Overhill Farms, Inc.		May	7	A	Jun.	12
Peru Copper Inc. Note: (4)		Apr.	10	A	May	18
*Phoenix Footwear Group, Inc.	V	Apr.	20	A	May	31
Pinnacle Bancshares, Inc.	V	Apr.	11	A	May	23
Pinnacle Data Systems, Inc.	V	Mar.	12	A	May	8
Pioneer Drilling Company		Jun.	8	A	Aug.	3
Pittsburgh & West Virginia Railroad	V	Mar.	16	A	May	17
PLC Systems, Inc.		Apr.	27	A	Jun.	13
*PMC Commercial Trust	V	Apr.	16	A	Jun.	9
PRB Energy, Inc.	X-2	Apr.	20	A	May	31
*PreMD Inc. Note: (4)		Apr.	24	A	May	24
*Presidential Realty Corporation	V	Apr.	19	A	Jun.	15
Pro-Pharmaceuticals, Inc.	X-3	Apr.	12	A	May	24
Psychemedics Corporation	V	Mar.	12	A	May	10
Putnam New York Investment Grade Municipal Trust	X	Feb.	15	S	May	15
Putnam New York Investment Grade Municipal Trust		Apr.	23	S	Jun.	22
*Pyramid Oil Company	V	Apr.	30	A	Jun.	7
QuadraMed Corporation	X-2	Apr.	9	A	Jun.	7
*Queenstake Resources Ltd.		Mar.	29	S	May	18
Questcor Pharmaceuticals, Inc.	V	Mar.	15	A	May	11
*RAE Systems, Inc.	X-2	Apr.	23	A	Jun.	14
Reading International, Inc.	V	Mar.	16	A	May	10
*Ready Mix, Inc.	V	Apr.	4	A	Jun.	11
RegeneRX Biopharmaceuticals Inc.	V	Apr.	13	A	Jun.	7
RELM Wireless Corporation	X-2-3	Apr.	2	A	May	16
Rewards Network Inc.	V	Mar.	26	A	May	22
Rio Narcea Gold Mines, Ltd.		Apr.	27	A	May	30
Riviera Holdings Corporation	V	Apr.	3	A	May	15
RMR Asia Pacific Real Estate Fund	X-3	Feb.	9	A	May	8
RMR F.I.R.E. Fund Note: (6)	X-3	Feb.	9	A	May	8
RMR Preferred Dividend Fund Note: (6)	X-3	Feb.	9	A	May	8
RMR Real Estate Fund Note: (6)	X-3	Feb.	9	A	May	8
Rubicon Minerals Corporation Note: (4)		Mar.	30	A	May	14
Salisbury Bancorp, Inc.	V	Mar.	23	A	May	16
*SCOLR Pharma, Inc.	V	Apr.	17	A	Jun.	7
Servotronics, Inc.		May	22	A	Jun.	29
Signalife, Inc. Note: (2)		Mar.	9			

SL Industries, Inc.	V	Apr.	2	A	May	16	
* Smart Move, Inc.	V	Apr.	26	A	Jun.	12	
SmartPros Ltd.	V	Apr.	19	A	Jun.	14	
* Solitario Resources Corporation	V	Apr.	25	A	Jun.	14	
Southern Connecticut Bancorp, Inc.	V	May	2	A	Jun.	12	
Southwest Georgia Financial Corp.	V	Apr.	9	A	May	22	
Spark Networks plc	X	Apr.	18	A	Jun.	15	
Storm Cat Energy Corporation		May	7	A	Jun.	21	
Sulphco, Inc.		Apr.	30	A	Jun.	19	
Tandy Leather Factory, Inc.	X-2	Apr.	20	A	May	22	
* TGC Industries, Inc.	V	Apr.	9	A	Jun.	5	
Thai Capital Fund, Inc. (The)	X-2	Mar.	16	A	Jun.	4	
* Think Partnership Inc.	V	Apr.	26	A	May	30	
ThinkEngine Networks, Inc.	V	Mar.	22	A	May	10	
Tofutti Brands, Inc.		May	4	A	Jun.	7	
Tompkins Trustco, Inc.	V	Mar.	16	A	May	14	
Transforma Acquisition Group Inc.		Apr.	27	A	May	30	
TransGlobe Energy Corporation		Mar.	23	A	May	9	
* Trans-Lux Corporation	V	Apr.	20	A	May	31	
Transmeridian Exploration, Inc.	V	Apr.	2	A	May	17	
* Tucows Inc.	V	Apr.	30	A	Jun.	7	
* Ultra Petroleum Corp.	Note: (8)	X-3	May	7	A	Jun.	14
Union Bankshares, Inc.		V	Mar.	30	A	May	16
United-Guardian, Inc.		V	Mar.	30	A	May	16
* UMH Properties, Inc.			May	7	A	Jun.	14
* United Capital Corp.			May	21	A	Jun.	19
United States Cellular Corporation		V	Mar.	29	A	May	8
* Universal Power Group, Inc.		X-2	Apr.	20	A	Jun.	18
Uranez Energy Corporation			Apr.	23	A	Jun.	19
* US Gold Corporation		V	Apr.	23	A	Jun.	12
* UTEK Corporation		V	May	9	A	Jun.	15
Valpey Fisher Corp.		V	Mar.	28	A	May	10
* Veri-Tek International Corp.	Note: (9)	V	Apr.	27	A	Jun.	18
* Vicon Industries, Inc.		X-2	Apr.	5	A	May	18
Virco Manufacturing Corp.			Apr.	27	A	Jun.	19
Vista Gold Corp.		V	Mar.	26	A	May	7
* Vita Food Products, Inc.		X-2-3-4	Apr.	2	A	May	17
* Watsco, Inc.		X-2	Apr.	10	A	May	25
Wellco Enterprises, Inc.		X-1	Mar.	15	S	May	9
* Wellsford Real Properties, Inc.		X-1-2	Apr.	17	A	May	30
Wesco Financial Corporation		V	Mar.	13	A	May	9
Westmoreland Coal Company			May	2	A	Jun.	21
White River Capital, Inc.		V	Mar.	22	A	May	17
* WidePoint Corporation		V	Apr.	27	A	Jun.	22
Winland Electronics, Inc.		V	Mar.	12	A	May	8
* Zion Oil & Gas, Inc.		V	Apr.	27	A	Jun.	19

* Additions to or change from previous Bulletins.

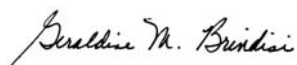
S Special Meeting.

A Annual Meeting.

Adj Adjourned Meeting.

† In Lieu of Annual Meeting.

- (1) Record date revised by the Company.
- (2) Record date is for “Information Statement” only, no solicitation involved.
- (3) Only the Fund’s Auction Preferred shareholders are entitled to vote at the May 18, 2007 meeting; no ruling will be posted by the Exchange.
- (4) Meeting is both Annual and Special.
- (5) Meeting adjourned, by the Company, from May 2, 2007 to June 6, 2007. In addition to proxy material filed by the company, Western Investment LLC has filed soliciting proxies in opposition of the Board of Directors and to elect their own directors. Member organizations May Not Vote either proxy unless specific instructions have been received from such beneficial owners.
- (6) May not vote proposal #1 on RMR F.I.R.E., RMR Preferred Dividend, and RMR Real Estate Fund proxy cards.
- (7) Record date revised by the Exchange.
- (8) Ruling based on proxy card.
- (9) Meeting date revised by the Company.



Geraldine M. Brindisi
Corporate Secretary