



Membership Seat Prices (in 000s)

(As of 9:30 A.M., May 11, 2007)

	BID	OFFER	LAST CONTRACTED
Regular	300	325	325
Options Principal	285	None	320
Limited Trading Permits	None	6.5	25

Includes only those sales arranged pursuant to bids and offers filed with the Membership and Registration Department between the hours of 9:30 a.m. – 4:00 p.m.

Seat market information may be obtained by calling toll-free (877) Amex Seat (877-263-9732). Seat prices are updated intraday on www.amextrader.com.

Membership Lease Prices

(As of 9:30 A.M., May 11, 2007)

The following leases were received:

Includes monthly rental rate information only with respect to Exchange-approved negotiated leases. Not reported are arms length leases or leases for a nominal consideration.

REGULAR

New		Renewal	
<u>Price</u>	<u>Term</u>	<u>Price</u>	<u>Term</u>
\$350	1 year	\$400	1 year
\$350	month-to-month	3 @ \$400	6 months
		\$375	1 year
		\$375	6 months
		\$360	6 months

OPTIONS PRINCIPAL

New		Renewal	
<u>Price</u>	<u>Term</u>	<u>Price</u>	<u>Term</u>
None		\$375	6 months

LIMITED TRADING PERMIT

New		Renewal	
<u>Price</u>	<u>Term</u>	<u>Price</u>	<u>Term</u>
None		None	

Transfers of Membership

Termination of Special Transfer Agreement – Regular (Effective May 4, 2007)

Samuel Wilson to **Piper Jaffray & Co.**
Kellogg Capital Group LLC and
Piper Jaffray & Co.
Badge No. 973-W
Attn: Michael Duffy
800 Nicollet Mall
Minneapolis, MN 55402-3804
Seat No. 337-R

(Effective May 10, 2007)

Maia Josebachvili to **Susquehanna Investment Group**
Susquehanna Investment Group and
Susquehanna Securities
Badge No. 840-J
Attn: Paul Onderdonk
40 Wall Street, 3rd Floor
New York, NY 10005
Seat No. 461-R

**Simultaneously transferring to another seat
**Currently a member on another seat*

New Memberships

Sale

Regular

(Effective May 4, 2007)

Scot McElheny to **Saul Gilinski**
Independent
Independent
20155 N.E. 38th Court, #3001
Aventura, FL 33180
Seat No. 256-R

Special Transfer Agreement – Regular
(Effective May 4, 2007)

Piper Jaffray & Co. to **Kaitlin McKernan**
Commission Broker
Kellogg Capital Group LLC and
Piper Jaffray & Co.
55 Broadway, 4th Floor
New York, NY 10006
Seat No. 337-R
Badge No. 973-C

(Effective May 10, 2007)

Global Options LLC to **Michael Rushton**
Trader
L&R Trading LLC
4 Washington Square Village, #12T
New York, NY 10012
Seat No. 538-R
Badge No. 854-M

Robert Moss
Independent

to

James Chu
Trader
Cutler Group LP
440 South LaSalle Street, Ste. 1124
Chicago, IL 60605
Seat No. 26-R
Badge No. 599-J

Robert L. Shanler
Independent

to

Jean-Bernard Burckett-St. Laurent
Trader
Bluefin Trading LLC and
Bluefin Specialists LLC
2 Rector Street
New York, NY 10006
Seat No. 576-R
Badge No. 789-S

Allied Member

(Effective May 10, 2007)

William P. Mulligan, Jr.

Member

Freedom Holding Group, LLC

40 Wall Street, 45th Floor

New York, NY 10005

Approved Person Organizations

(Effective May 10, 2007)

Freedom Holding Group, LLC

Sole Member

Amerivest Partners LLC

40 Wall Street, 45th Floor

New York, NY 10005

(Effective at the Opening of Business on May 11, 2007)

Electronic Specialist LLC

Under Common Control with

Bear Hunter Structured Products LLC

Bear Wagner Specialists LLC

HBH Specialists LLC

KV Execution Services LLC

40 Wall Street

New York, NY 10005

Electronic Specialist LLC

Under Common Control with

Bear Stearns & Co., Inc.

Bear Stearns Securities Corp.

115 South Jefferson Road

Whippany, NJ 07981

Electronic Specialist LLC
Under Common Control with
SIG Brokerage L.P.
Susquehanna Financial Group, LLP
Susquehanna Investment Group
Susquehanna Securities
401 City Lane Avenue
Bala Cynwyd, PA 19004

Electronic Specialist LLC
Under Common Control with
Credit Suisse Securities (USA) LLC
1 Madison Avenue, 9th Floor
New York, NY 10010

Electronic Specialist LLC
Under Common Control with
Swiss American Securities Inc.
12 East 49th Street
New York, NY 10017

Changes in Member Organizations

****Amerivest Partners LLC**

Freedom Holding Group admitted as Sole Member, William P. Mulligan, Jr. approved as an Allied Member; amendment effective May 10, 2007.

****Bear Hunter Structured Products LLC**

Electronic Specialist LLC approved as an Approved Person Organization, effective at the opening of business on May 11, 2007.

****■Bear, Stearns & Co., Inc.**

Electronic Specialist LLC approved as an Approved Person Organization, effective at the opening of business on May 11, 2007.

****■Bear, Stearns Securities Corp.**

Electronic Specialist LLC approved as an Approved Person Organization, effective at the opening of business on May 11, 2007.

****Bear Wagner Specialists LLC**

Electronic Specialist LLC approved as an Approved Person Organization, effective at the opening of business on May 11, 2007.

****■Credit Suisse Securities (USA) LLC**

Electronic Specialist LLC approved as an Approved Person Organization, effective at the opening of business on May 11, 2007.

****HBH Specialists LLC**

Electronic Specialist LLC approved as an Approved Person Organization, effective at the opening of business on May 11, 2007.

****■KV Execution Services LLC**

Electronic Specialist LLC approved as an Approved Person Organization, effective at the opening of business on May 11, 2007.

****■SIG Brokerage L.P.**

Electronic Specialist LLC approved as an Approved Person Organization, effective at the opening of business on May 11, 2007.

****■Susquehanna Financial Group, LLP**

Electronic Specialist LLC approved as an Approved Person Organization, effective at the opening of business on May 11, 2007.

****Susquehanna Investment Group**

Electronic Specialist LLC approved as an Approved Person Organization, effective at the opening of business on May 11, 2007.

****Susquehanna Securities**

Electronic Specialist LLC approved as an Approved Person Organization, effective at the opening of business on May 11, 2007.

****■Swiss American Securities Inc.**

Electronic Specialist LLC approved as an Approved Person Organization, effective at the opening of business on May 11, 2007.

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- * Regular Member
 - ** Regular Member Organization
 - Options Principal Member
 - Options Principal Member Organization
 - † Associate Member
 - †† Associate Member Organization
 - # CBOE Member Organization
 - NYSE Member Organization
 - Limited Trading Permit Holder
 - Limited Trading Permit Holder Organization
 - @ Also Employed By Another Firm
 - (\$) Sole Proprietor ceased
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Proposed Transfers of Membership

Termination of Special Transfer Agreement – Regular (To Be Effective May 11, 2007)

Laura A. Brand to **Louis G. Pailillo, Sr.**
Kellogg Capital Group LLC Independent

(To Be Effective May 15, 2007)

Ilya Gurevich to **Neil Vaccaro**
Bluefin Trading LLC and Independent
Bluefin Specialists LLC

(To Be Effective May 17, 2007)

David J. Adorney* to **Frost Gamma Limited Partnership**
Goldman Sachs Execution & Clearing L.P.

Jose A. Aponte to **Joseph V. Tramontana**
LL Partners, Inc. Independent

Feng Pan to **Patricia McNerney**
Jane Street Trading LLC and Independent
Jane Street Capital LLC

Cancellation of Nominee Authorization & Agreement (To Be Effective May 17, 2007)

Koji Takeuchi to **Daiwa Securities America Inc.**
Daiwa Securities America Inc.

Mary-Lou Mann to **John Jay Mann, Jr. & Co., Inc.**
John Jay Mann Jr. & Co. Inc. Seat No. 517-R

**Simultaneously transferring to another seat
**Currently a member on another seat*

New Memberships

Sale

Regular

(To Be Effective May 17, 2007)
John Jay Mann, Jr. & Co. Inc.

to **Herbert W. Hutman**
Independent

Patricia McNerney
Independent

to **Gary Glogower**
Independent

(To Be Effective May 18, 2007)
Moss Associates

to **DHS King Corp.**

Nominal Transfer – Regular
(To Be Effective May 17, 2007)
Daiwa Securities America Inc.

to **Takeaki Mori**
Off-Floor Trader of
Daiwa Securities America Inc.

Goldman Sachs Execution & Clearing L.P.

to **David J. Adorney**
Specialist of
Goldman Sachs Execution & Clearing L.P.

Special Transfer Agreement – Regular
(To Be Effective May 11, 2007)

Louis G. Pailillo, Sr.
Independent

to **Charles B. Saltzman**
Trader of
Kellogg Capital Group LLC

(To Be Effective May 17, 2007)
Stacy J. Glass
Independent

to **Seth B. Kanner**
Trader of
Tag Team Trading LLC

Dean B. Potashner
Independent

to **Michael G. Challen**
Trader of
Gray Whale Capital LLC

RROT Transfer
(To Be Effective May 17, 2007)

Lawrence Elow
Independent

to **Robert A. Wolfson**
Trader of
Golden Anchor Trading II LLC

Current Members – Firm Status Change

**Special Transfer Agreement – Regular
(To Be Effective May 17, 2007)**

Jason M. Farrell
Bluefin Trading, LLC
(Linda Chang – Lessor)

to

Jason M. Farrell
Trader/Specialist of
Bluefin Trading, LLC &
Bluefin Specialists, LLC

Timothy J. Murtha
Bluefin Trading, LLC
(Barbara Schwartz – Lessor)

to

Timothy J. Murtha
Trader/Specialist of
Bluefin Trading, LLC &
Bluefin Specialists, LLC
Seat No. 675-R

Proposed Associate Members

(To Be Effective May 17, 2007)

Francois A. Pages
Chief Executive Officer of
Calyon Securities (USA) Inc.
1301 Avenue of the Americas
New York, NY 10019

Philip A. Pendergraft
Executive Vice President of
Penson Financial Services Inc.
1700 Pacific Avenue Suite 1400
Dallas, TX 75201

Proposed Allied Members

(To Be Effective May 17, 2007)

Neil L. Citrone
Managing Member of
Tag Team Trading, LLC
88 Lexington Avenue, #18D
New York, NY 10016

Nicole C. Clark-Ake
Chief Compliance Officer of
Penson Financial Services Inc.
1700 Pacific Avenue Suite 1400
Dallas, TX 75201

Robert Farina
Managing Member of
Tag Team Trading, LLC
88 Lexington Avenue, #18D
New York, NY 10016

David R. Henkel
Chief Financial Officer of
Penson Financial Services Inc.
1700 Pacific Avenue Suite 1400
Dallas, TX 75201

George H Howard, III
Chief Compliance Officer & Secretary
Calyon Securities (USA) Inc.
1301 Avenue of the Americas
New York, NY 10019

Richard M. Jablonski
Chief Operating Officer &
Chief Administrative Officer of
Calyon Securities (USA) Inc.
1301 Avenue of the Americas
New York, NY 10019

Mark N. Maurino
Managing Member of
Tag Team Trading, LLC
88 Lexington Avenue, #18D
New York, NY 10016

Francois A. Pages
Chief Executive Officer of
Calyon Securities (USA) Inc.
1301 Avenue of the Americas
New York, NY 10019

Philip A. Pendergraft
Executive Vice President of
Penson Financial Services Inc.
1700 Pacific Avenue Suite 1400
Dallas, TX 75201

Arnold Sarabella
Chief Financial Officer of
Calyon Securities (USA) Inc.
1301 Avenue of the Americas
New York, NY 10019

Daniel P. Son
Vice Chairman of
Penson Financial Services Inc.
1700 Pacific Avenue Suite 1400
Dallas, TX 75201

Charles W. Yancey
Chief Executive Officer of
Penson Financial Services Inc.
1700 Pacific Avenue Suite 1400
Dallas, TX 75201

Proposed Approved Persons

(To Be Effective May 17, 2007)

Roger J. Engemoen, Jr.
Director of
Penson Financial Services Inc.
1700 Pacific Avenue Suite 1400
Dallas, TX 75201

Manmohan S. Kalra
Director of
Calyon Securities (USA) Inc.
1301 Avenue of the Americas
New York, NY 10019

Jean-Marc Moriani
Chairman of
Calyon Securities (USA) Inc.
1301 Avenue of the Americas
New York, NY 10019

Philippe J. Soustra
Director of
Calyon Securities (USA) Inc.
1301 Avenue of the Americas
New York, NY 10019

Proposed Approved Person Organizations

(To Be Effective May 17, 2007)

SAI Holdings Inc.
Owner of
Penson Financial Services Inc.
1700 Pacific Avenue Suite 1400
Dallas, TX 75201

Nexa Technologies, Inc.
Penson Financial Futures, Inc.
Penson Financial Services Limited
Penson GHCO
SAH, Inc.
Under Common Control with
Penson Financial Services Inc.
1700 Pacific Avenue Suite 1400
Dallas, TX 75201

Proposed Member Organizations

(To Be Effective May 17, 2007)

■#‡**Calyon Securities (USA) Inc.**
To be admitted as an Associate Member Organization.
Address: 1301 Avenue of the Americas, New York, NY 10019
George H Howard, III, Chief Compliance Officer & Secretary
Richard M. Jablonski, Chief Operating Officer, Chief Administrative Officer
Manmohan S. Kalra, Director
Jean-Marc Moriani, Chairman
†Francois A. Pages, Chief Executive Officer
Arnold Sarabella, Chief Financial Officer
Philippe J. Soustra, Director

****Gray Whale Capital LLC**
(To be admitted as a Regular Member Organization
(“**LLC Member**” does not signify Exchange Member)
Address: 61 West 70th Street, #3, New York, NY 10023
*Michael G. Challen, Managing Member

‡**Penson Financial Services Inc.**
To be admitted as an Associate Member Organization.
Address: 1700 Pacific Avenue, Suite 1400, Dallas, TX 75201
Nicole C. Clark-Ake, Chief Compliance Officer
Daniel P. Son, Vice Chairman
Roger J. Engemoen, Jr., Chairman/Director
David R. Henkel, Chief Financial Officer
†Philip A. Pendergraft, Executive Vice President
Charles W. Yancy, Chief Executive Officer/President
Joseph K. Gray, Director
William D. Gross, Director

****Tag Team Trading LLC**

(To be admitted as a Regular Member Organization
("LLC Member" does not signify Exchange Member)
Address: 88 Lexington Avenue, #18-D, New York, NY 10016
Neil L. Citrone, Managing Member
Robert Farina, Managing Member
Mark N. Maurino, Managing Member
*Seth B. Kanner, Trader

Any information you may have which would be pertinent to consideration of the applicants by the Exchange should be promptly reported to the Membership and Registration Department at 212-306-1419.

Current Reports Made to the Exchange

Members' attention is called to the fact that the following reports are made to the Exchange within the coming next week:

Report – To NASD Amex Regulation Division - Financial Regulation Dept. Due on or Before

This request for reports is issued on behalf of the American Stock Exchange LLC (Amex) by the Financial Regulation Dept. of the NASD Amex Regulation Division pursuant to a grant of authority to the NASD. Accordingly, this constitutes a request for reports by the Amex.

Web FOCUS Report Part II Monthly - Member Organizations carrying customers and/or self-clearing are required to file by the 17th business day after month-end. Wed. May 23

Web FOCUS Report Part IIA Monthly or Net Capital Computation - Member Organization and Sole Proprietor broker-dealers subject to SEC Rule 15c3-1 net capital requirements but not carrying customers and/or self-clearing are required to file by the 17th business day after month-end. Wed. May 23

Equity Computation - Member Organizations subject to Exchange Rule 110 equity requirements **and exempt from SEC Rule 15c3-1 net capital requirements** are required to file by the 17th business day after month-end. **Amex market-makers in non-option products are subject to SEC Rule 15c3-1 net capital requirements and must file a Web FOCUS Report Part IIA or Net Capital Computation.** Wed. May 23

All terminating Amex-designated Member Organizations and Sole Proprietor broker-dealers are required to file an SEC Rule 17a-5(b) Web FOCUS Report Part II Withdrawal or IIA Withdrawal. Use the Web FOCUS Blank Forms tab to access the appropriate form.

Web FOCUS Users experiencing access problems with Web FOCUS should contact the **Gateway Call Center @ 301-869-6699** for assistance.

Please contact the NASD Amex Regulation Division - Financial Regulation Dept., One Liberty Plaza, 51st Floor – Robert Devine 212-858-4448 regarding Web FOCUS entitlement procedures, MO-14 statement, or MO-15 report. Other questions regarding financial reporting should be directed to your FRD examiner.

Please refer to Amex Notice REG 2006–55 dated Oct. 26, 2006, for additional information about filing reports with the Financial Regulation Dept. This Notice is posted on the website at: <http://www.amex.com/amextrader/tdrInfo/data/axNotices/2006/reg102606.html>.

Equities Mid-Month Short Interest Schedule 2007

Trade Date	Settlement Date	Report Due	Report Published
(Thursday) May 10	(Tuesday) May 15	(Thursday) May 17 – 1:00 p.m. EST	(Monday) May 21

Derivatives (End-of-Month) Short Interest Schedule 2007

Trade Date	Settlement Date	Report Due	Report Published
(Friday) May 25	(Thursday) May 31	(Friday) June 1 – 1:00 p.m. EST	(Tuesday) June 5

The List

This information can be found on the Web. In order to retrieve this information, go to <http://www.amextrader.com> and from the home page click the Daily List button. At the Daily List home page scroll down to Amex issues and select the view link for the current date. Should you have any questions please call (212) 306-2438.

The Following Securities Have Been Approved for Listing:

- Allied Nevada Gold Corp 42,500,000 shares of Common Stock, Par Value \$.001
- Crosshair Exploration & Mining Corp 82,064,967 shares of Common Stock Without Par Value
- First Trust Exchange-Traded AlphaDEX Fund 100,000 First Trust Multi Cap Value AlphaDEX Fund
- First Trust Exchange-Traded AlphaDEX Fund 100,000 First Trust Multi Cap Growth AlphaDEX Fund
- First Trust Exchange-Traded AlphaDEX Fund 100,000 First Trust Large Cap Core AlphaDEX Fund
- First Trust Exchange-Traded AlphaDEX Fund 100,000 First Trust Mid Cap Core AlphaDEX Fund
- First Trust Exchange-Traded Fund 100,000 First Trust S&P REIT Index Fund
- First Trust Exchange-Traded AlphaDEX Fund 100,000 First Trust Large Cap Value Opportunities AlphaDEX Fund
- First Trust Exchange-Traded AlphaDEX Fund 100,000 First Trust Large Cap Growth Opportunities AlphaDEX Fund
- First Trust Exchange-Traded AlphaDEX Fund 100,000 First Trust Consumer Discretionary AlphaDEX Fund
- First Trust Exchange-Traded AlphaDEX Fund 100,000 First Trust Consumer Staples AlphaDEX Fund
- First Trust Exchange-Traded AlphaDEX Fund 100,000 First Trust Health Care AlphaDEX Fund
- First Trust Exchange-Traded AlphaDEX Fund 100,000 First Trust Technology AlphaDEX Fund
- First Trust Exchange-Traded AlphaDEX Fund 100,000 First Trust Energy AlphaDEX Fund
- First Trust Exchange-Traded AlphaDEX Fund 100,000 First Trust Financials AlphaDEX Fund
- First Trust Exchange-Traded AlphaDEX Fund 100,000 First Trust Industrials/Producer Durables AlphaDEX Fund
- First Trust Exchange-Traded AlphaDEX Fund 100,000 First Trust Utilities AlphaDEX Fund

First Trust Exchange-Traded AlphaDEX Fund 100,000 First Trust Materials AlphaDEX Fund

First Trust Exchange-Traded AlphaDEX Fund 100,000 First Trust Small Cap Core AlphaDEX Fund

Merrill Lynch 23,700,000 shares of Accelerated Return Notes Linked to Dow Jones EURO STOXX 50 Index, maturing July 10, 2008

Merrill Lynch 9,150,000 shares of Accelerated Return Bear Market Notes Linked to S&P 500 Index, maturing July 10, 2008

Merrill Lynch 2,440,000 shares of Strategic Return Notes Linked to the Select 10 Index, maturing May,10 2012

Orsus Xelent Technologies, Inc. 29,756,000 shares of Common Stock, Par Value \$.01

Additional & Substitution Listings

Almaden Minerals Ltd., 25,000 Shares of Common Stock, No Par Value

AMD, Inc., 2,649,792 Shares of Common Stock, \$0.001 Par Value

Arcadia Resources, Inc., 350,521 Shares of Common Stock, \$0.001 Par Value

BPZ Energy, Inc., 6,670,000 Shares of Common Stock, No Par Value

Callisto Pharmaceuticals, Inc., 80,000 Shares of Common Stock, \$0.0001 Par Value

Castle Brands, Inc., 4,963,249 Shares of Common Stock, \$0.01 Par Value

Gastar Exploration Ltd., 10,000,000 Shares of Common Stock, No Par Value

Inovio Biomedical Corporation, 750,000 Shares of Common Stock, \$0.01 Par Value

Peace Arch Entertainment Group, Inc., 277,406 Shares of Common Stock, No Par Value

TGC Industries, Inc., 787,387 Shares of Common Stock, \$0.01 Par Value

Transmeridian Exploration, Inc., 1,655,000 Shares of Common Stock, \$0.0006 Par Value

VendingData Corp., 1,150,000 Shares of Common Stock, \$0.01 Par Value

Corporate Actions and New Listings announced for May 4 – May 11, 2007 can be viewed on the Issues Daily list homepage on amextrader.com; click on [Issues Daily List](#) to view the Issues Daily List.

Registered Interest

<u>Name of Company</u>	<u>Record Date</u> <u>(New York unless stated otherwise)</u>
Interest due January 2	
Hawk Corporation (8 3/4% Senior Notes, due 11/1/14)	Dec. 15
Wachovia Corporation	
(9% Halliburton Co. Enhanced Yield Secs, due 12/30/06)	Dec. 15
(13.5% Chesapeake Energy Enhanced Yield, due 12/30/06)	Dec. 15

Interest due January 7

Merrill Lynch & Co., Inc
(.25% Wells Fargo & Co. Stock-Linked Notes –1/7/08) Dec. 23

Interest due January 10

Wachovia Corporation
(19.25% General Motors Enhanced Yield Secs, due 7/10/07) Dec. 26

Interest due January 15

CBS Corporation. (7.625% Senior Debentures-2016) Jan. 1

Interest due January 20

Wachovia Corporation
(10% Consol Energy Inc. Enhanced Yield Securities, 4/20/07) Jan. 5

Interest due January 26

Bank of America (0.25% Nasdaq-100 Cash Settled
Exchangeable Notes, due 1/26/10) Jan. 11

Interest due January 29

Bank of America
(1% Healthcare Basket Index "CYCLES", due 1/28/11) Jan. 1

(1.50% Basket CYCLES linked to an
"80/20" Basket of Four Indices & 1 ETF, due 7/29/11) Jan. 14

Interest due February 1

Chandler (USA), Inc. (8 ¾% Senior Debentures, due 7/1/14) Jan. 15

Wachovia Corporation
(13% Google Inc. Enhanced Yield Securities, due 2/1/07) Jan. 17

Interest due February 14

CIBC World Markets (10 Large-Cap U.S. Stock
Basket Yield Generator Notes, due 2/14/11) Feb. 13

Interest due February 15

Wachovia Corporation
(11.25% Toll Brothers Enhanced Yield Secs, due 5/15/07) Jan. 31
(9.375% Transocean Enhanced Yield Secs, due 5/15/07) Jan. 31

Interest due February 22

Citigroup Global Markets Holdings, Inc.
(3% Pfizer Exchangeable Notes, due 8/22/08) Feb. 21

Interest due February 26

Bank of America
(1.25% SPX Index CYCLES, due 2/24/10) Feb. 9
(1.25% DJIA Index CYCLES, due 8/25/10) Feb. 10

Interest due March 1

Trans-Lux Corp.
(8.25% Limited Conv Sr Subordinated Notes, due 3/1/12) Feb. 15

Interest due March 3

Lehman Brothers
(2% Morgan Stanley link Notes, due 3/3/09) Feb. 16

Interest due March 5

Freedom Depository LLC/Amherst Securities (5.10% Cl A,
USAuto Series 2004-1, Freedom Certificates, due 3/3/211) Mar. 2

Interest due March 13

Citigroup Global Market Holdings (0.25% Basket of Tech Stocks Cash Exchangeable Notes, due 09/13/07) Feb. 26

Interest due March 15

Stater Bros. Holdings Inc. (Floating Rate Notes, due 6/15/10) Mar. 1

Interest due March 20

Citigroup Global Market Holdings (Dow Jones EURO STOXX 50 Index Principal-Protected Notes, due 12/20/07) Mar. 5

Interest due March 22

Goldman Sachs
(0.25% EMC Corp. Exchangeable Notes, due 3/22/07) Mar. 21

Interest due March 23

Bank of America
(1.625% Nikkei 225 Index CYCLES, due 3/23/10) Mar. 8

Interest due March 27

Bank of America
(1.25% “100/0” Basket of 4 Indices CYCLES, due 9/27/11) Mar. 12

Interest due April 2

CIBC World Markets Corp.
(10 Stock Basket Yield Generator Notes, due 3/11/11) Mar. 30
(CIBC Premium Yield Generator Notes, due 4/1/13) Mar. 30

Interest due April 10

Wachovia Corporation
(19.25% General Motors Enhanced Yield Secs, due 7/10/07) Mar. 26

Interest due April 13

ABN AMRO (Medium Term Notes, Series A, Leveraged CPI Linked Securities, due 1/13/20) Mar. 29

Interest due April 20

Wachovia Corporation
(10% Consol Energy Inc. Enhanced Yield Securities, 4/20/07) Apr. 5

Interest due April 30

Bank of America
(1.75% Basket of 3 Indices CYCLES, due 4/30/09) Apr. 15
(1.75% S&P 500 CYCLES, due 4/28/11) Apr. 20

CIBC World Markets
(2% DJIA Principal Protected Notes, due 5/5/10) Apr. 27

Morgan Stanley
(2% Proctor & Gamble Exchangeable Notes, due 4/30/11) Apr. 15

Interest due May 14

CIBC World Markets (Ten Large-Cap U.S Stocks Yield Generator Notes, due 5/13/10) May 11

Interest due May 15

Wachovia Corporation
(11.25% Toll Brothers Enhanced Yield Secs, due 5/15/07) Apr. 30
(9.375% Transocean Enhanced Yield Secs, due 5/15/07) Apr. 30

Interest due May 22

CIBC World Markets (Premium Yield Generator Notes Linked to a Basket of Ten-Large Cap U.S. Stocks, due 5/22/08) May 21

Interest due May 29

Bank of America (1.5% S&P 500 Capital Protect Equity Performance Notes, due 11/26/2010)	May 1
(1% Basket CYCLES linked to a 70/30 Basket of Four Indices & 1 ETF, due 5/27/10)	May 12
Merrill Lynch & Co., Inc. (1% McDonald's Convertible Exchangeable Securities, due 5/28/09)	May 13
Allstate Life Global Funding (4.50% Secured Medium Term Notes, due 5/29/09)	May 14
Bear Stearns Companies, Inc. (1.5% S&P 500 Principal Protected Notes, due 11/30/09)	May 15

Interest due June 15

Bond Trust Products LLC (Bond Trust Products Trust Pass-Through Trust Certificates, due 6/15/2010)	Jun. 14
Stater Bros. Holdings Inc. (Floating Rate Notes, due 6/15/10)	Jun. 1
(8 1/8% Senior Notes, due 6/15/12)	Jun. 1

Interest due June 27

Bank of America (1.25% Basket CYCLES Linked to a "70/30" Basket of Four Indices and 1 ETF, due 12/27/10)	Jun. 12
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Interest due June 28

Bank of America (S&P 400 MidCap Index CYCLES, due 12/28/10)	Jun. 13
(1.5% Healthcare Stocks Capital Protected Equity Performance Link Securities., due 12/20/11)	Jun. 13

Interest due July 2

Morgan Stanley (2% 3M Co. Exchangeable Notes, due 12/30/10)	Jun. 15
(1.25% General Electric Exchangeable Notes, due 12/30/08)	Jun. 15
(1.5 % Wal-Mart Stores Exchangeable Notes, due 6/30/11)	Jun. 15
CIBC World Markets (10 Large-Cap U.S Stocks Yield Generator Notes, due 6/30/11)	Jun. 29

List of Bonds Dealt in "Flat"

Traded Flat from Listing Date

ABN AMRO	SHH.A (S&P 500 Structured Hybrid Equity link Secs-1/16/14)
American Corporate Accruals, Inc.	(Callable Principal Receipts-2024)
Bank of America Corp.	BOA.C (S&P 500 linked Notes-7/2/07)
Bank of America Corp.	BOA.D (Nasdaq-100 EAGLES-6/1/10)
Bank of America Corp.	BOA.E (S&P 500 EAGLES- 6/28/10)
Bank of America Corp.	BOA.F (Nikkei 225 6% Min Return linked Notes-6/24/10)
Bank of America Corp.	BOA.G (16 Energy Stk linked EAGLES-8/2/10)
Bank of America Corp.	BOA.H (Russell 2000 EAGLES-8/28/09)
Bank of America Corp.	BOA.I (DJIA Minimum Return EAGLES-9/25/09)
Bank of America Corp.	BOA.J (Nasdaq-100 EAGLES-10/29/10)

Bank of America Corp.	BOA.M (Nikkei 225 Return Linked Notes-6/28/10)
Bank of America Corp.	BOA.O (Russell 2000 EAGLES-1/28/11)
Bank of America Corp.	BOA.R (Nasdaq-100 EAGLES-3/24/09)
Bank of America Corp.	BOA.U (EAGLES linked to the DJIA-6/25/10)
Bank of America Corp.	BOA.W (Amex Exch Biotechnology Index EAGLES-8/28/09)
Bank of America Corp.	BOA.Z (Energy Stocks EAGLES-9/29/10)
Bank of America Corp.	BOR.A (S&P 500 EAGLES-10/29/10)
Bank of America Corp.	BOR.B (Nasdaq-100 Index EAGLES-11/23/10)
Bank of America Corp.	BOR.C (CBOE China Index EAGLES-11/24/10)
Bank of America Corp.	BOR.F (DJIA EAGLES-3/25/11)
Bank of America Corp.	BOR.I (Basket of 3 Indices Return Linked Notes-8/26/10)
Bank of America Corp.	BOR.J ("80/20" Basket of Four Indices Return Link Notes-6/27/11)
Bank of America Corp.	BOR.K (S&P 500 EAGLES-7/29/10)
Bank of America Corp.	BOR.L (Return link notes linked to a basket of two Indices-1/28/11)
Bank of America Corp.	BOR.M (Index EAGLES Linked to the DJIA-8/26/10)
Bank of America Corp.	BOR.N (Dow Jones EURO STOXX 50 Return Link Notes-8/25/11)
Bank of America Corp.	BOR.O (S&P 500 Index EAGLES-10/3/11)
Bank of America Corp.	BOR.P (Amex Biotechnology Index EAGLES-10/28/11)
Bank of America Corp.	BOR.Q (Basket of Three Indices Return Link Notes-10/27/11)
Bank of America Corp.	BOR.R (Basket of Two Indices Return Link Notes-11/22/10)
Bank of America Corp.	BOR.S (Basket of Five EAGLES-11/23/11)
Bank of America Corp.	BOR.T (DJIA Index EAGLES -12/27/11)
Bank of America Corp.	BOR.U (Basket of 3 Indices Return Link Notes-12/29/11)
Bear Stearns & Co. Inc.	BYF.A (U.S. Sector ETFs Principal Protect Notes-2/5/08)
Bear Stearns & Co. Inc.	BYF.B (S&P 500 Principal Protect Notes-10/1/08)
Bear Stearns & Co. Inc.	BYF.C (NASDAQ-100 Principal Protect Notes-12/22/09)
Bear Stearns & Co. Inc.	BYF.D (DJIA Principal Protect Notes-3/23/11)
Bear Stearns & Co. Inc.	BYF.F (Three Intl Indices Medium Term Notes-8/2/10)
Canadian Imperial Bank of Commerce	MRS.A (S&P 500 linked Notes-7/30/10)
Canadian Imperial Bank of Commerce	MRS.B (CIBC 10 U.S. Stks Optimizer Notes-1/30/09)
Canadian Imperial Bank of Commerce	MRS.C (CIBC 10 U.S. Stks Optimizer Notes-3/5/09)
Canadian Imperial Bank of Commerce	MRS.D (CIBC 10 U.S. Stks Optimizer Notes-3/31/09)
Canadian Imperial Bank of Commerce	MRS.E (CIBC 10 U.S. Stks Optimizer Notes-4/30/09)
Canadian Imperial Bank of Commerce	MRS.F (S&P 500 MERITS-6/30/11)
Canadian Imperial Bank of Commerce	MRS.G (CIBC 10 U.S. Stks Optimizer Notes-6/30/09)
Canadian Imperial Bank of Commerce	MRS.H (NASDAQ-100 MERITS-8/1/11)
Canadian Imperial Bank of Commerce	MRS.I (DJIA MERITS-8/31/11)
Canadian Imperial Bank of Commerce	MRS.J (S&P 500 Principal Protected Notes-3/31/09)
Canadian Imperial Bank of Commerce	MRS.K (DJIA MERITS-1/30/09)
Canadian Imperial Bank of Commerce	MRS.M (S&P 500 Index linked Notes-12/31/08)
Canadian Imperial Bank of Commerce	MRS.O (Global Indices Basket linked Notes-9/18/08)
Canadian Imperial Bank of Commerce	MRS.S (S&P 500 Principal Protected Notes-6/15/10)
Canadian Imperial Bank of Commerce	MRS.U (Global Basket of Three Equity Indices -7/29/10)
Citigroup Global Market Holdings Inc.	NDG.A (Nasdaq-100 Principal Protect Notes-12/4/07)
Citigroup Global Market Holdings Inc.	SCW.A (S&P 500 Principal Protect Notes-10/22/07)
Credit Suisse (USA), Inc.	IPJ.A (S&P 500 Five Year Contingent Protect Secs-9/30/08)
Credit Suisse (USA), Inc.	IPJ.B (S&P 500 Five Year Contingent Protect Secs-11/26/08)
Goldman Sachs Group, Inc. (The)	GSD.B (S&P 500 linked Notes-8/7/11)
Goldman Sachs Group, Inc. (The)	GSN.B (S&P 500 Medium Term Notes-2/28/13)
Goldman Sachs Group, Inc. (The)	GSN.C (S&P 500 Medium Term Notes-4/18/13)
Goldman Sachs Group, Inc. (The)	GSN.D (S&P 500 Medium Term Notes-5/30/13)
JP Morgan Chase & Co.	JPL.A (Consumer Price Index Secs-1/15/10)
JP Morgan Chase & Co.	JPL.A (S&P 500 linked Notes-11/26/07)
JP Morgan Chase & Co.	JPL.B (S&P 500 Market Participation Notes-3/12/08)
JP Morgan Chase & Co.	JPL.C (Cap Qtr Observation Notes-9/22/08)
JP Morgan Chase & Co.	JPL.D (S&P 500 Cap Qtr Observation Notes-10/30/08)
JP Morgan Chase & Co.	JPL.E (S&P 500 Cap Qtr Observation Notes-1/21/09)

JP Morgan Chase & Co.
JP Morgan Chase & Co.
JP Morgan Chase & Co.
JP Morgan Chase & Co.

JPL.F (S&P 500 Mkt Participation Notes-3/31/09)
JPL.G (S&P 500 Cap Qtr Observation Notes-7/7/09)
JPL.H (S&P 500 Cap Qtr Observation Notes-9/21/09)
JPL.I (S&P 500 Principal Protect Notes-9/30/10)

Lehman Brothers Holdings Inc.
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LBN.A (Dow Jones EUROSTOXX 50 Index-7/7/08)
LBN.B (Dow Jones EURO STOXX 50 Index-7/29/08)
LSQ.B (DJIA PrincipalPlus SUNS-8/5/07)
LSQ.C (DJIA SUNS-4/29/10)
LSQ.D (Nikkei 225 SUNS-6/10/10)
PSZ.C (S&P 500 SUNS-8/5/08)
PSZ.D (S&P 500 SUNS-9/27/07)
PSZ.E (S&P 500 Callable SUNS-11/6/09)
PSZ.F (DJ Global Titans Index SUNS-2/9/10)
RLN.C (Nasdaq-100 Rebound RANGERS-5/20/07)
RLN.D (Nasdaq-100 Rebound RANGERS-6/7/08)
RLN.E (S&P 500 linked Notes-9/28/09)
RLN.G (DJ STOXX 50 linked Notes-11/15/09)
RLN.H (Russell 2000 Index linked Notes-12/23/09)
RLN.I (S&P 500 Index-Plus Notes-3/3/10)

Merrill Lynch
Merrill Lynch

COD.A (Coca-Cola Co Exchangeable Conv Secs-9/30/08)
EXO.A (Exxon Mobile Exchangeable Conv Secs-10/3/08)

Morgan Stanley
Morgan Stanley
Morgan Stanley
Morgan Stanley
Morgan Stanley

BSN.A (3 stks Sr Exchangeable Debt-12/30/08)
ITM.A (Intel Stk Participation Notes-12/30/10)
JMS.A (S&P 500 Callable Notes-12/30/08)
MRW.A (S&P 500 Mkt Participation Secs-12/30/10)
MWT.A (Wal-Mart Stk Participation Notes-9/15/10)

PMA Capital Corporation

PMK (8.5% Monthly Income Sr Notes-6/15/18)

UBS AG
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PPV.C (Russell 2000 Principal Protect Notes-4/30/09)
PPV.E (DJIA Principal Protect Notes-5/29/09)
PPV.G (S&P 500 Principal Protect Notes-11/7/07)
PPV.H (S&P 500 Principal Protect Notes-12/7/07)
PPV.I (U.S. Equity Indices Principal Protect Notes-10/7/11)
PPV.J (DJIA Principal Protect Notes-2/7/08)
PPV.K (S&P 500 Principal Protect Notes-9/8/10)
PPV.L (S&P 500 Principal Protect Notes-4/8/10)
PPV.M (S&P 500 Principal Protect Notes-10/7/09)
PPV.N (S&P 500 Principal Protect Notes-5/7/10)
PPV.O (S&P 500 Principal Protect Notes-6/7/10)
PPV.P (S&P 500 Principal Protect Notes-7/8/10)
PPV.Q (S&P 500 Principal Protect Notes-8/6/10)
PPV.R (S&P 500 Principal Protect Notes-9/8/09)
PPZ.A (S&P 500 Partial Protect Notes-11/05/07)
PPZ.B (S&P 500 Partial Principal Protect Notes-2/28/08)
PPZ.C (S&P 500 Partial Principal Protect Notes-11/5/10)

Wachovia Corporation
Wachovia Corporation
Wachovia Corporation
Wachovia Corporation
Wachovia Corporation
Wachovia Corporation

WDB.B (Nikkei 225 ASTROS-3/2/10)
WDB.D (S&P 500 linked to Trigger CAPITALS-12/8/08)
WDB.E (Global Basket of Indices ASTROS-2/2/10)
WDB.I (Global Equity Basket ASTROS-5/5/10)
WDB.M (Metals-China Basket ASTROS-1/28/09)
WTY.A (4.5% U.S. Treasuries Principal Protect Notes-6/6/07)

Wells Fargo & Company
Wells Fargo & Company
Wells Fargo & Company
Wells Fargo & Company
Wells Fargo & Company

WFG.A (S&P 500 linked Notes-1/4/08)
WFG.B (Nasdaq-100 linked Notes-1/4/08)
WFG.C (Basket linked Notes-4/15/09)
WFG.D (DJIA High Point Average linked Notes-5/5/10)
WFG.F (S&P 500 Callable Notes-8/25/09)

Halted Issues

Transactions in the following securities have been halted for a period exceeding five trading days. Members and member organizations are reminded of the provisions of Exchange Rule 462(d)1 when determining the value to place upon those securities for margin purposes.

Any purchase or sale of a security which has been halted by the Exchange may be subject to Federal regulation as well as the rules of the other market(s) where the purchase or sale is to take place.

Members are reminded that open orders on the books of the specialist for an issue which has been halted for 30 days are automatically canceled.

<u>Name of Company (Issue)</u>	<u>Date of Halt</u>
Dyadic International	April 23, 2007
Enernorth Industries Inc.	February 9, 2007
Stonepath Group, Inc.	April 19, 2007

a — Addition to previous Bulletin.

Dividends

Dividends announced for May 7, 2007 – May 11, 2007 can be viewed on the Dividend Daily list homepage on amextrader.com; click on [Dividends Daily List](#) to view the Dividends Daily List.

Please call the Corporate Actions/Proxy Rulings Department at 212-306-2438 with any questions.

Meetings of Stockholders

Members should, insofar as possible without additional expense, transfer certificates of stock held in persons or firms over whom they have no control into their own names, or those of persons over whom they have control, before the record date for determining stockholders entitled to vote.

As a guide to Member Organizations in giving proxies pursuant to Rule 577 to vote stock held for the account of customers the following symbols are used in the list of meetings below:

- V = Preceding Record Date indicates Members MAY vote the entire proxy under the Ten Day Rule.
 - X = Together with numbers or letters indicates that Members MAY NOT vote the designated matters without specific instructions from owners. Numbers or letters shown are those used in the PROXY FORM.
 - X = With no other designation indicates that Members MAY NOT vote the entire proxy.
 - NP = Indicates that proxies are not being solicited.
- The following abbreviations are used for items not numbered or lettered in the proxy form:

SP = Stockholder Proposal(s)	BY = By-Law Amendment
OP = Option Plan	CH = Charter Amendment
STP = Stock Purchase Plan	MP = Merger Proposal
CP = Compensation Plan	

Where no symbol appears, proxy material has not yet been received.

<u>Name of Company</u>	<u>Record</u>	<u>Meeting</u>
Ablest Inc.	Apr. 24	A Jun. 7
Abraxas Petroleum Corporation	May 25	A Jun. 26
Adams Resources & Energy, Inc.	V Apr. 2	A May 21
ADVENTRX Pharmaceuticals Inc.	V Apr. 6	A May 23
AirNet Systems, Inc.	V Apr. 27	A Jun. 6
Alpha Pro Tech, Ltd.	V Apr. 18	A Jun. 4
Alteon, Inc.	Apr. 16	A
American CareSource Holdings Inc. Note: (8)	X-2 Apr. 26	A May 24
American Community Properties Tr	V Apr. 6	A Jun. 6

American Mortgage Acceptance Co.	V	Apr.	13	A	Jun.	12
American Oil & Gas Inc.	V	Apr.	30	A	May	31
American Shared Hospital Services	V	May	1	A	Jun.	14
AmReit, Inc.	V	Apr.	2	A	May	30
AMS Health Sciences, Inc.	V	Apr.	27	A	Jun.	18
Anooraq Resources Corporation		May	11	A	Jun.	20
Apex Silver Mines Ltd.	V	Apr.	19	A	Jun.	7
Apollo Gold Corp. Note: (4 & 8)	X-3	Apr.	16	A	May	16
Arizona Land Income Corporation		May	9	S	Jun.	15
Ascendia Brands, Inc. Note: (2)		Feb.	9			
Aspyra, Inc.	V	May	10	A	Jun.	25
Augusta Resource Corporation		Apr.	5	A	Jun.	1
Aurora Oil & Gas Corporation	V	Mar.	13	A	May	18
Axesstel, Inc.	V	Apr.	24	A	May	30
AXS-One Inc.	X-2	Apr.	3	A	May	23
Ballantyne of Omaha, Inc.	V	Mar.	30	A	May	23
Bar Harbor Bankshares	V	Mar.	24	A	May	15
Bell Industries, Inc.	X-2-3	Apr.	16	A	May	23
Beverly National Corporation	V	Apr.	11	A	May	22
BioSante Pharmaceuticals, Inc.	V	Apr.	17	A	Jun.	14
Birch Mountain Resources Ltd.		Apr.	20	A	May	31
Blonder Tongue Laboratories, Inc.	X-2	Mar.	30	A	May	23
CabelTel International Corp. Note: (2)		Feb.	16			
Cagle's, Incorporated		May	25	A	Jul.	13
CanArgo Energy Corporation	V	Apr.	23	A	Jun.	5
Canterbury Park Holding Corporation	V	Apr.	13	A	Jun.	7
Canyon Resources Corporation	X-3-4	Apr.	12	A	May	21
Cash Technologies, Inc.	X-2-3	Apr.	13	A	May	17
Cavalier Homes, Inc.	V	Mar.	26	A	May	22
Celsion Corporation	X-2-4	Apr.	30	A	Jun.	13
Centerplate, Inc.	V	Apr.	10	A	May	23
Cheniere Energy, Inc.	V	Mar.	27	A	May	25
Clough Global Allocation Fund		May	23	A	Jul.	23
Clough Global Equity Fund		May	23	A	Jul.	23
Clough Global Opportunities Fund		May	23	A	Jul.	23
Colonial CA Insured Muni Fund	X-1-2-3	Apr.	10	A	Jun.	22
*Colonial Insured Municipal Fnd Note: (6)		Apr.	10	A	Jun.	22
COMFORCE Corporation	V	Apr.	26	A	Jun.	12
Communications Systems, Inc.		Apr.	27	A	Jun.	14
Community Bankshares	X-2	Mar.	15	A	May	21
Continental Materials Corp.	V	Apr.	12	A	May	23
Conversion Services International, Inc. Note: (2)		Mar.	20			
Core Molding Technologies, Inc.	V	Mar.	30	A	May	16
Corriente Resources Inc.		Apr.	19	A	May	24
Covad Communications Group, Inc.	X-2	Apr.	16	A	Jun.	7
CPI Aerostructures, Inc.	V	Apr.	26	A	Jun.	12
Crystallex International Corp. Note: (4)		Apr.	23	A	Jun.	21
Cycle Country Accessories Corporation		May	7	A	Jun.	26
Decorator Industries, Inc.	V	Apr.	2	A	May	15
Digital Angel Corporation		Mar.	21	A		
*Diomed Holdings, Inc.	X-2	Apr.	11	A	May	22
Dreyfus Municipal Income, Inc. Note: (3)		Mar.	16	A	May	18
Dune Energy, Inc. Note: (2)		Apr.	25			
*DWS RREEF Real Estate Fund	V	Apr.	23	A	Jun.	7
*DWS RREEF Real Estate Fund II	V	Apr.	23	A	Jun.	7
Eaton Vance Insured California Municipal Bond Fund		May	14	A	Jul.	20
Eaton Vance Insured California Municipal Bond Fund II		May	14	A	Jul.	20
Eaton Vance Insured Florida Municipal Bond Fund		May	14	A	Jul.	20

Eaton Vance Insured Massachusetts Municipal Bond Fund		May	14	A	Jul.	20
Eaton Vance Insured Michigan Municipal Bond Fund		May	14	A	Jul.	20
Eaton Vance Insured Municipal Bond Fund		May	14	A	Jul.	20
Eaton Vance Insured Municipal Bond Fund II		May	14	A	Jul.	20
Eaton Vance Insured New Jersey Municipal Bond Fund		May	14	A	Jul.	20
Eaton Vance Insured New York Municipal Bond Fund		May	14	A	Jul.	20
Eaton Vance Insured New York Municipal Bond Fund II		May	14	A	Jul.	20
Eaton Vance Insured Ohio Municipal Bond Fund		May	14	A	Jul.	20
Eaton Vance Insured Pennsylvania Municipal Bond Fund		May	14	A	Jul.	20
Eldorado Gold Corporation		Apr.	9	A	May	24
Elite Pharmaceuticals, Inc.		May	15	A	Jun.	26
Empire Resources, Inc.	V	Apr.	26	A	Jun.	20
Endeavour International Corp.	X-2	Mar.	26	A	May	24
ENGlobal Corporation	X-2	Apr.	16	A	Jun.	14
Entree Gold Inc.		Apr.	11	A	May	16
Environmental Power Corporation		Apr.	16	A	Jun.	12
Exeter Resource Corporation		Apr.	16	A	May	23
Exprrss-1 Expedited Solutions, Inc.	X-3	May	1	A	Jun.	14
Federal Trust Corporation	V	Mar.	29	A	May	25
First Trust Value Line® 100 Fund	X	Feb.	16	S	May	17
Five Star Quality Care, Inc.	V	Mar.	20	A	May	15
Flexible Solutions International, Inc.		Apr.	27	A	Jun.	21
Flotek Industries, Inc.	X-2	Apr.	5	A	May	18
Full House Resorts, Inc.	V	Apr.	20	A	May	31
Fusion Telecommunications International, Inc.	X-1	Apr.	30	A	Jul.	12
Gabelli Global Gold, Natural Resources & Income Trust (The)	V	Mar.	12	A	May	14
Gabelli Global Utility & Income Trust (The)	V	Mar.	12	A	May	14
Galaxy Energy Corporation		Jan.	19	S		
Gammon Lake Resources, Inc.		May	3	A	Jun.	6
Gasco Energy, Inc.	V	Apr.	5	A	May	15
Gastar Exploration Ltd. Note: (4)	X-5	Apr.	27	A	Jun.	1
General Finance Corporation		Apr.	20	S	May	29
General Finance Corporation	X-2	May	10	A	Jun.	14
GeoPetro Resources Company	V	Apr.	27	A	Jun.	14
Glencairn Gold Corporation Note: (4)		Apr.	10	A	May	17
Gold Reserve Inc. Note: (4)		Apr.	30	A	Jun.	7
Goldfield Corporation (The)	V	Apr.	13	A	May	31
Graham Corporation		Jun.	1	A	Jul.	26
Great Basin Gold Ltd. Note: (4)		May	14	A	Jun.	19
Grey Wolf, Inc.	X-2	Apr.	3	A	May	15
GSE Systems Inc.	X-3	May	25	A	Jun.	13
Halifax Corporation		Jun.	8	A		
Halozyme Therrapeutics, Inc.	V	Apr.	4	A	May	15
*Hallwood Group Incorporated (The)		May	11	A	Jun.	20
Hanover Capital Mortgage Holdings	X-2	Mar.	30	A	May	18
Harken Energy Corporation	V	Apr.	6	A	Jun.	4
Hawaiian Holdings, Inc.	V	Apr.	16	A	May	30
Hawk Corporation	V	Apr.	5	A	May	30
HearUSA, Inc.	X-2	Apr.	13	A	Jun.	11
Hersha Hospitality Trust	V	Mar.	30	A	May	24

Hooper Holmes, Inc.	X-3	Apr.	13	A	May	30
Houston American Energy Corp.	V	Apr.	9	A	May	21
Hungarian Telephone and Cable Corp.	V	Apr.	5	A	May	24
IA Global, Inc.	X-4	May	29	A	Jun.	29
Idera Pharmaceuticals, Inc.	X-2	Apr.	17	A	Jun.	13
ILX Resorts Incorporated	V	Apr.	16	A	Jun.	20
Imageware Systems, Inc.		Aug.	10	A	Sep.	19
*Innsuites Hospitality Trust		May	29	A	Jul.	12
Intelli-Check Inc.	V	May	2	A	Jun.	4
Intelligent Systems Corporation	V	Apr.	6	A	May	24
*Interleukin Genetics, Inc.	V	Apr.	24	A	Jun.	12
Intermediate Muni Fund, Inc.		Mar.	19	A		
International Absorbents Inc.	V	Apr.	16	A	Jun.	7
Inverness Medical Innovations, Inc.	X-2	Mar.	23	A	May	17
iParty Corporation	V	Apr.	16	A	Jun.	6
ISCO International, Inc.	V	Apr.	16	A	Jun.	8
J. Alexander's Corporation	X-2	Mar.	23	A	May	15
*Javelin Pharmaceutical, Inc.		May	22	A	Jun.	26
Jazz Technologies, Inc.		May	3	A		
JED Oil Inc.	Note: (4)	Apr.	27	A	May	28
*Kitty Hawk, Inc.	Note: (1)	May	1	A		
Kodiak Oil & Gas Corp.	Note: (8) X-3	Apr.	23	A	May	24
KSW Inc.	V	Apr.	3	A	May	8
Ladenburg Thalmann Financial Services, Inc.		May	10	A		
LaPolla Industries, Inc.	X-2	Apr.	18	A	May	22
LSB Industries, Inc.	X-3	Apr.	16	A	Jun.	14
Lundin Mining Corporation	Note: (4)	Apr.	20	A	Jun.	18
Manhattan Pharmaceuticals Inc.	X-2	May	2	A	May	24
Markwest Hydrocarbon Inc.	V	Apr.	13	A	Jun.	13
Matritech, Inc.	X-2-3	Apr.	12	A	Jun.	8
MAXXAM Inc.	V	Apr.	6	A	May	30
MCF Corporation	X-2	Apr.	20	A	Jun.	8
Mercantile Bancorp, Inc.	V	Apr.	10	A	May	21
Merrimac Industries, Inc.	V	Apr.	27	A	Jun.	20
Metalico, Inc.	V	Apr.	5	A	May	22
Metallica Resources, Inc.		Apr.	23	A	May	24
Metrotek Technology, Inc.	V	Apr.	20	A	Jun.	11
Metropolitan Health Networks, Inc.	V	Apr.	13	A	Jun.	7
MicroFinancial, Inc.	V	Apr.	11	A	May	16
MicroIslet, Inc.		Dec.	26	S		
MidSouth Bancorp, Inc.	X-2	Mar.	31	A	May	30
Midwest Air Group, Inc.		May	11	A	Jun.	14
*Mines Management, Inc.	V	May	8	A	Jun.	15
Minrad International Inc.	V	Mar.	30	A	May	17
Mission West Properties, Inc.	V	Mar.	23	A	May	17
MPC Corporation	V	May	7	A	Jun.	29
National Lampoon, Inc.		May	18	A	Jun.	19
*Natural Gas Services Group, Inc.	V	May	8	A	Jun.	19
Nephros, Inc.	X-3-5	Apr.	27	A	May	22
Neuberger Berman Real Estate Securities Income Fund Inc.	Note: (5) X	Feb.	21	A	Jun.	6
New Dragon Asia Corp.	Note: (2)	Dec.	19			
New Dragon Asia Corp.	V	Apr.	18	A	May	21
North American Galvanizing & Coatings, Inc.	V	Mar.	15	A	May	15
North American Palladium, Ltd.	Note: (4)	Apr.	18	A	May	23
Northern Dynasty Minerals Ltd.		May	3	A	Jun.	7
NovaDel Pharma, Inc.	V	Apr.	20	A	Jun.	6
NovaGold Resources, Inc.		Apr.	30	A	May	31

NTN Buzztime, Inc.	V	May	1	A	Jun.	15
NTS Realty Holdings Limited Partnership	V	Apr.	30	A	Jun.	12
O2Diesel Corporation		May	29	A	Jul.	10
Odyssey Marine Exploration, Inc.	V	Apr.	2	A	May	18
ON2 Technologies, Inc.		Apr.	16	A		
Oragenics, Inc.	V	Apr.	12	A	May	23
Orchids Paper Products Company	V	May	1	A	Jun.	19
Orezone Resources, Inc.		Apr.	16	A	May	17
Otelco, Inc.	V	Mar.	22	A	May	17
*Overhill Farms, Inc.	V	May	7	A	Jun.	12
Peru Copper Inc.	Note: (4)	Apr.	10	A	May	18
Phoenix Footwear Group, Inc.	V	Apr.	20	A	May	31
Pinnacle Bancshares, Inc.	V	Apr.	11	A	May	23
Pioneer Drilling Company		Jun.	8	A	Aug.	3
Pittsburgh & West Virginia Railroad	V	Mar.	16	A	May	17
*PLC Systems, Inc.	V	Apr.	27	A	Jun.	13
PMC Commercial Trust	V	Apr.	16	A	Jun.	9
PRB Energy, Inc.	X-2	Apr.	20	A	May	31
PreMD Inc.	Note: (4)	Apr.	24	A	May	24
Presidential Realty Corporation	V	Apr.	19	A	Jun.	15
Pro-Pharmaceuticals, Inc.	X-3	Apr.	12	A	May	24
Putnam New York Investment Grade Municipal Trust	X	Feb.	15	S	May	15
Putnam New York Investment Grade Municipal Trust		Apr.	23	S	Jun.	22
Pyramid Oil Company	V	Apr.	30	A	Jun.	7
QuadraMed Corporation	X-2	Apr.	9	A	Jun.	7
Queenstake Resources Ltd.		Mar.	29	S	May	18
RAE Systems, Inc.	X-2	Apr.	23	A	Jun.	14
Ready Mix, Inc.	V	Apr.	4	A	Jun.	11
RegeneRX Biopharmaceuticals Inc.	V	Apr.	13	A	Jun.	7
RELM Wireless Corporation	X-2-3	Apr.	2	A	May	16
Rewards Network Inc.	V	Mar.	26	A	May	22
Rio Narcea Gold Mines, Ltd.		Apr.	27	A	May	30
*Riviera Holdings Corp.	Note: (9) X	Apr.	3	A	May	15
Rubicon Minerals Corporation	Note: (4)	Mar.	30	A	May	14
Salisbury Bancorp, Inc.	V	Mar.	23	A	May	16
SCOLR Pharma, Inc.	V	Apr.	17	A	Jun.	7
*Seabridge Gold Inc.		May	16	A	Jun.	27
Servotronics, Inc.		May	22	A	Jun.	29
Signalife, Inc.	Note: (2)	Mar.	9			
SL Industries, Inc.	V	Apr.	2	A	May	16
Smart Move, Inc.	V	Apr.	26	A	Jun.	12
SmartPros Ltd.	V	Apr.	19	A	Jun.	14
Solitario Resources Corporation	V	Apr.	25	A	Jun.	14
Southern Connecticut Bancorp, Inc.	V	May	2	A	Jun.	12
Southwest Georgia Financial Corp.	V	Apr.	9	A	May	22
Spark Networks plc	X	Apr.	18	A	Jun.	15
Storm Cat Energy Corporation		May	7	A	Jun.	21
Sulphco, Inc.		Apr.	30	A	Jun.	19
*Tag-It-Pacific, Inc.		Jun.	4	A	Jul.	31
Tandy Leather Factory, Inc.	X-2	Apr.	20	A	May	22
*Telephone & Data Systems Inc.		Jun.	8	A	Jul.	26
TGC Industries, Inc.	V	Apr.	9	A	Jun.	5
Thai Capital Fund, Inc. (The)	X-2	Mar.	16	A	Jun.	4
Think Partnership Inc.	V	Apr.	26	A	May	30
Tofutti Brands, Inc.		May	4	A	Jun.	7
Tompkins Trustco, Inc.	V	Mar.	16	A	May	14
*Transforma Acquisition Group Inc.	V	Apr.	27	A	May	30

Trans-Lux Corporation	V	Apr.	20	A	May	31	
Transmeridian Exploration, Inc.	V	Apr.	2	A	May	17	
Tucows Inc.	V	Apr.	30	A	Jun.	7	
Ultra Petroleum Corp.	Note: (8)	X-3	May	7	A	Jun.	14
Union Bankshares, Inc.	V	Mar.	30	A	May	16	
United-Guardian, Inc.	V	Mar.	30	A	May	16	
UMH Properties, Inc.		May	7	A	Jun.	14	
United Capital Corp.		May	21	A	Jun.	19	
Universal Power Group, Inc.	X-2	Apr.	20	A	Jun.	18	
Uranex Energy Corporation		Apr.	23	A	Jun.	19	
US Gold Corporation	V	Apr.	23	A	Jun.	12	
UTEK Corporation	V	May	9	A	Jun.	15	
* Van Kampen Advantage Municipal Income Trust II	V	Apr.	27	A	Jun.	22	
* Van Kampen Massachusetts Value Municipal Income Trust	V	Apr.	27	A	Jun.	22	
* Van Kampen Select Sector Municipal Trust	V	Apr.	27	A	Jun.	22	
Veri-Tek International Corp.	V	Apr.	27	A	Jun.	18	
Vicon Industries, Inc.	X-2	Apr.	5	A	May	18	
Virco Manufacturing Corp.		Apr.	27	A	Jun.	19	
Vita Food Products, Inc.	X-2-3-4	Apr.	2	A	May	17	
Watsco, Inc.	X-2	Apr.	10	A	May	25	
Wellsford Real Properties, Inc.	X-1-2	Apr.	17	A	May	30	
* Westmoreland Coal Company	Note: (7)	Jun.	4	A	Jul.	19	
White River Capital, Inc.	V	Mar.	22	A	May	17	
WidePoint Corporation	V	Apr.	27	A	Jun.	22	
* Wireless Xcessories Group Inc.	V	Apr.	30	A	Jun.	6	
Zion Oil & Gas, Inc.	V	Apr.	27	A	Jun.	19	

* Additions to or change from previous Bulletins.

S Special Meeting.

A Annual Meeting.

Adj Adjourned Meeting.

† In Lieu of Annual Meeting.

(1) Record date rescinded by the Company.

(2) Record date is for "Information Statement" only, no solicitation involved.

(3) Only the Fund's Auction Preferred shareholders are entitled to vote at the May 18, 2007 meeting; no ruling will be posted by the Exchange.

(4) Meeting is both Annual and Special.

(5) Meeting adjourned, by the Company, from May 2, 2007 to June 6, 2007. In addition to proxy material filed by the company, Western Investment LLC has filed soliciting proxies in opposition of the Board of Directors and to elect their own directors. Member organizations May Not Vote either proxy unless specific instructions have been received from such beneficial owners.

(6) Meeting and record dates rescinded. Issue called for liquidation.

(7) Record and meeting date revised by the Company.

(8) Ruling based on proxy card.

(9) Ruling revised by the Exchange. In addition to proxy material filed by the company, Flag Luxury Riv, LLC ("FLR"), Rivacq LLC ("Rivacq"), & RH1, LLC have filed soliciting proxies in opposition of the Board of Directors and to elect their own directors. Member organizations May Not Vote either proxy unless specific instructions have been received from such beneficial owners.



Geraldine M. Brindisi
 Corporate Secretary