



**Notice**

The American Stock Exchange will not be open for business on Monday, May 28, 2007, in observance of Memorial Day.

**Membership Seat Prices (in 000s)**

(As of 9:30 A.M., May 18, 2007)

	BID	OFFER	LAST CONTRACTED
Regular	250	300	292
Options Principal	285	None	320
Limited Trading Permits	None	6.5	25

Includes only those sales arranged pursuant to bids and offers filed with the Membership and Registration Department between the hours of 9:30 a.m. – 4:00 p.m.

Seat market information may be obtained by calling toll-free (877) Amex Seat (877-263-9732). Seat prices are updated intraday on [www.amextrader.com](http://www.amextrader.com).

**Membership Seat Sales**

**Regular**

(Since May 2, 2007)

\$292,000 – May 14, 2007

\$300,000 – May 11, 2007

**Membership Lease Prices**

(As of 9:30 A.M., May 18, 2007)

The following leases were received:

Includes monthly rental rate information only with respect to Exchange-approved negotiated leases. Not reported are arms length leases or leases for a nominal consideration.

**REGULAR**

New		Renewal	
<u>Price</u>	<u>Term</u>	<u>Price</u>	<u>Term</u>
\$375	6 months	\$400	1 year
		2 @ \$350	6 months

**OPTIONS PRINCIPAL**

New		Renewal	
<u>Price</u>	<u>Term</u>	<u>Price</u>	<u>Term</u>
None		None	

**LIMITED TRADING PERMIT**

<u>Price</u>	<u>New</u>	<u>Term</u>	<u>Price</u>	<u>Renewal</u>	<u>Term</u>
None			None		

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**Transfers of Membership**

**Termination of Special Transfer Agreement – Regular  
(Effective May 11, 2007)**

<b>Laura A. Brand</b> Kellogg Capital Group LLC Badge No. 873-R	<b>to</b>	<b>Louis G. Pailillo, Sr.</b> Independent 9 Churchhill Court Morganville, NJ 07751 Seat No. 458-R
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**(Effective May 15, 2007)**

<b>Ilya Gurevich</b> Bluefin Trading LLC and Bluefin Specialists LLC Badge No. 789-G	<b>to</b>	<b>Neil Vaccaro</b> Independent 7 Whitwell Place Staten Island, NY 10304-1315 Seat No. 423-R
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**(Effective May 17, 2007)**

<b>Feng Pan</b> Jane Street Trading LLC and Jane Street Capital LLC Badge No. 872-E	<b>to</b>	<b>Patricia McNerney</b> Independent 86 West 33 <sup>rd</sup> Street, #305 Bayonne, NJ 07002 Seat No. 73-R
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**Cancellation of Nominee Authorization & Agreement  
(Effective May 17, 2007)**

<b>Koji Takeuchi</b> Daiwa Securities America Inc.	<b>to</b>	<b>Daiwa Securities America Inc.</b> Attn: Madeline Gair Financial Square 32 Old Slip New York, NY 10005-3538 Seat No. 653-R
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<b>Mary-Lou Mann</b> John Jay Mann Jr. & Co. Inc.	<b>to</b>	<b>John Jay Mann, Jr. &amp; Co., Inc.</b> Attn: John Jay Mann, Jr. 5000 North Ocean Blvd., #11607 Ft. Lauderdale, FL 33308 Seat No. 517-R
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*\*Simultaneously transferring to another seat  
\*\*Currently a member on another seat*

**New Memberships**

**Sale**

**Regular**

**(Effective May 17, 2007)**

**John Jay Mann, Jr. & Co. Inc.**

**to**

**Herbert W. Hutman**

Independent  
411 Harrison Avenue  
Highland Park, NJ 08904  
Seat No. 517-R

**Patricia McNerney**

Independent

**to**

**Gary Glogower**

Independent  
606 Howard Avenue  
West Hempstead, NY 11552  
Seat No. 73-R

**Nominal Transfer – Regular**

**(Effective May 17, 2007)**

**Daiwa Securities America Inc.**

**to**

**Takeaki Mori**

**Off-Floor Trader**  
Daiwa Securities America Inc.  
Financial Square  
32 Old Slip  
New York, NY 10005-3538  
Seat No. 653-R

**Goldman Sachs Execution & Clearing L.P.**

**to**

**David J. Adorney**

**Specialist**  
Goldman Sachs Execution & Clearing L.P.,  
120 Broadway  
New York, NY 10271  
Seat No. 29-R  
Badge No. 501-S

**Special Transfer Agreement – Regular**

**(Effective May 11, 2007)**

**Louis G. Pailillo, Sr.**

Independent

**to**

**Charles B. Saltzman**  
**Trader**

Kellogg Capital Group LLC  
55 Broadway, 4<sup>th</sup> Floor  
New York, NY 10006  
Seat No. 458-R  
Badge No. 873-Z

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**RROT Transfer**

**(Effective May 17, 2007)**

**Lawrence Elow**

Independent

**to**

**Robert A. Wolfson**  
**Trader**

Golden Anchor Trading II LLC  
2 Rector Street, 3<sup>rd</sup> Floor  
New York, NY 10006  
Seat No. 467-R

**Current Members – Firm Status Change**

**Special Transfer Agreement – Regular  
(Effective May 17, 2007)**

**Jason M. Farrell** to **Jason M. Farrell**  
Bluefin Trading, LLC **Trader/Specialist**  
(Linda Chang – Lessor) Bluefin Trading, LLC &  
Bluefin Specialists, LLC  
2 Rector Street  
New York, NY 10006  
Seat No. 644-R  
Badge No. 789-F

**Timothy J. Murtha** to **Timothy J. Murtha**  
Bluefin Trading, LLC **Trader/Specialist**  
(Barbara Schwartz – Lessor) Bluefin Trading, LLC &  
Bluefin Specialists, LLC  
2 Rector Street  
New York, NY 10006  
Seat No. 675-R  
Badge No. 789-M

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**Changes in Member Organizations**

**\*\*Fat Squirrel Trading LLC**

Ceased to be registered as a Regular Member Organization with the transfer of \*Jon Bartner (Badge #678-B), effective April 2, 2007.

**\*\*■Morgan Stanley DW Inc.**

Ceased to be registered as a Regular Member Organization, effective April 2, 2007.

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- \* Regular Member
  - \*\* Regular Member Organization
  - Options Principal Member
  - Options Principal Member Organization
  - † Associate Member
  - †† Associate Member Organization
  - # CBOE Member Organization
  - NYSE Member Organization
  - Limited Trading Permit Holder
  - Limited Trading Permit Holder Organization
  - @ Also Employed By Another Firm
  - (§) Sole Proprietor ceased

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**Proposed Transfers of Membership**

**Termination of Special Transfer Agreement – Regular  
(To Be Effective May 18, 2007)**

**David J. Adorney\*** to **Frost Gamma Limited Partnership**  
Goldman Sachs Execution & Clearing L.P.

**(To Be Effective May 24, 2007)**

**Ryan J. O’Connell** to **Roger P. Fenn**  
Kellogg Capital Group LLC Independent

**Cancellation of Nominee Authorization & Agreement  
(To Be Effective May 24, 2007)**

**Michael Garcia** to **Goldman Sachs Execution & Clearing L.P.**  
Goldman Sachs Execution & Clearing L.P.

**Joseph Maguire** to **Stifel Nicolaus & Company, Incorporated**  
Stifel Nicolaus & Company, Incorporated

**Joseph Malachowski** to **Jump Trading LLC**  
Jump Trading LLC

**Carol B. Mann** to **John Jay Mann, Jr. & Co.**  
John Jay Mann, Jr. & Co.

*\*Simultaneously transferring to another seat  
\*\*Currently a member on another seat*

**New Memberships**

**Sale**

**Regular**

**(To Be Effective May 18, 2007)**

**Moss Associates** to **DHS King Corp.**

**(To Be Effective May 24, 2007)**

**John Jay Mann, Jr. & Co.** to **Michael Epstein**  
Independent

**Nominee Authorization and Agreement – Regular**

**(To Be Effective May 24, 2007)**

**Goldman Sachs Execution & Clearing L.P.** to **John L. Striano**  
**Specialist of**  
Goldman Sachs Execution & Clearing L.P.

**Special Transfer Agreement – Regular**

**(To Be Effective May 18, 2007)**

**Dean B. Potashner** to **Michael G. Challen**  
Independent **Trader of**  
Gray Whale Capital LLC

**(To Be Effective May 21, 2007)**

**Stacy J. Glass** to **Seth B. Kanner**  
Independent **Trader of**  
Tag Team Trading LLC

**(To Be Effective May 24, 2007)**

**Roger P. Fenn** to **Jason D. Gold**  
Independent **Trader of**  
Kellogg Capital Group LLC

**Current Members – Firm Status Change**

**Special Transfer Agreement – Regular  
(To Be Effective May 24, 2007)**

**Jose A. Aponte**  
LL Partners Inc.  
(Joseph V. Tramontana – Lessor)

**to**                      **Jose A. Aponte**  
**Broker of**  
Israel A. Englander & Co., Inc.

**(To Be Effective May 25, 2007)**

**Matthew A. Garrett**  
XTF Marking Making LLC  
(Jerold Wichtel – Lessor)

**to**                      **Matthew A. Garrett**  
**Trader of**  
Apache Trading LLC

**Brian N. Mattesich**  
XTF Marking Making LLC  
(Gerald Plotkin – Lessor)

**to**                      **Brian N. Mattesich**  
**Trader of**  
Apache Trading LLC

**Marc A. Pasuco**  
XTF Marking Making LLC  
(Gerald Parkoff – Lessor)

**to**                      **Marc A. Pasuco**  
**Trader of**  
Apache Trading LLC

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**Proposed Associate Members**

**(To Be Effective May 24, 2007)**

**Francois A. Pages**  
Chief Executive Officer of  
Calyon Securities (USA) Inc.  
1301 Avenue of the Americas  
New York, NY 10019

**Philip A. Pendergraft**  
Executive Vice President of  
Penson Financial Services Inc.  
1700 Pacific Avenue Suite 1400  
Dallas, TX 75201

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**Proposed Allied Members**

**(To Be Effective May 21, 2007)**

**Neil L. Citrone**  
Managing Member of  
Tag Team Trading, LLC  
88 Lexington Avenue, #18D  
New York, NY 10016

**Robert Farina**  
Managing Member of  
Tag Team Trading, LLC  
88 Lexington Avenue, #18D  
New York, NY 10016

**Mark N. Maurino**  
Managing Member of  
Tag Team Trading, LLC  
88 Lexington Avenue, #18D  
New York, NY 10016

**(To Be Effective May 24, 2007)**

**Nicole C. Clark-Ake**  
Chief Compliance Officer of  
Penson Financial Services Inc.  
1700 Pacific Avenue Suite 1400  
Dallas, TX 75201

**David R. Henkel**  
Chief Financial Officer of  
Penson Financial Services Inc.  
1700 Pacific Avenue Suite 1400  
Dallas, TX 75201

**George H Howard, III**  
Chief Compliance Officer & Secretary  
Calyon Securities (USA) Inc.  
1301 Avenue of the Americas  
New York, NY 10019

**Richard M. Jablonski**  
Chief Operating Officer &  
Chief Administrative Officer of  
Calyon Securities (USA) Inc.  
1301 Avenue of the Americas  
New York, NY 10019

**Francois A. Pages**  
Chief Executive Officer of  
Calyon Securities (USA) Inc.  
1301 Avenue of the Americas  
New York, NY 10019

**Philip A. Pendergraft**  
Executive Vice President of  
Penson Financial Services Inc.  
1700 Pacific Avenue Suite 1400  
Dallas, TX 75201

**Arnold Sarabella**  
Chief Financial Officer of  
Calyon Securities (USA) Inc.  
1301 Avenue of the Americas  
New York, NY 10019

**Daniel P. Son**  
Vice Chairman of  
Penson Financial Services Inc.  
1700 Pacific Avenue Suite 1400  
Dallas, TX 75201

**Charles W. Yancey**  
Chief Executive Officer of  
Penson Financial Services Inc.  
1700 Pacific Avenue Suite 1400  
Dallas, TX 75201

**(To Be Effective May 25, 2007)**

**Stephanie E. Tancredi**  
Chief Operations Officer of  
Apache Trading LLC  
c/o Gettenberg Consulting  
40 Wall Street, 34<sup>th</sup> Floor  
New York, NY 10005

## Proposed Approved Persons

(To Be Effective May 24, 2007)

**Roger J. Engemoen, Jr.**

Director of  
Penson Financial Services Inc.  
1700 Pacific Avenue Suite 1400  
Dallas, TX 75201

**Manmohan S. Kalra**

Director of  
Calyon Securities (USA) Inc.  
1301 Avenue of the Americas  
New York, NY 10019

**Jean-Marc Moriani**

Chairman of  
Calyon Securities (USA) Inc.  
1301 Avenue of the Americas  
New York, NY 10019

**Philippe J. Soustra**

Director of  
Calyon Securities (USA) Inc.  
1301 Avenue of the Americas  
New York, NY 10019

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## Proposed Approved Person Organizations

(To Be Effective May 24, 2007)

**SAI Holdings Inc.**

Owner of  
Penson Financial Services Inc.  
1700 Pacific Avenue Suite 1400  
Dallas, TX 75201

**Nexa Technologies, Inc.**

**Penson Financial Futures, Inc.**  
**Penson Financial Services Limited**  
**Penson GHCO**

**SAH, Inc.**

Under Common Control with  
Penson Financial Services Inc.  
1700 Pacific Avenue Suite 1400  
Dallas, TX 75201

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## Proposed Member Organizations

(To Be Effective May 18, 2007)

**\*\*Gray Whale Capital LLC**

To be admitted as a Regular Member Organization.  
**("LLC Member" does not signify Exchange Member)**  
Address: 61 West 70<sup>th</sup> Street, #3, New York, NY 10023  
\*Michael G. Challen, Managing Member  
Elizabeth Challen, Investing Member

**(To Be Effective May 21, 2007)**

**\*\*Tag Team Trading LLC**

To be admitted as a Regular Member Organization.

**(“LLC Member” does not signify Exchange Member)**

Address: 88 Lexington Avenue, #18-D, New York, NY 10016

Neil L. Citrone, Managing Member

Robert Farina, Managing Member

Mark N. Maurino, Managing Member

\*Seth B. Kanner, Trader

**(To Be Effective May 24, 2007)**

**■#‡Calyon Securities (USA) Inc.**

To be admitted as an Associate Member Organization.

Address: 1301 Avenue of the Americas, New York, NY 10019

George H Howard, III, Chief Compliance Officer & Secretary

Richard M. Jablonski, Chief Operating Officer, Chief Administrative Officer

Manmohan S. Kalra, Director

Jean-Marc Moriani, Chairman

†Francois A. Pages, Chief Executive Officer

Arnold Sarabella, Chief Financial Officer

Philippe J. Soustra, Director

**‡Penson Financial Services Inc.**

To be admitted as an Associate Member Organization.

Address: 1700 Pacific Avenue, Suite 1400, Dallas, TX 75201

Nicole C. Clark-Ake, Chief Compliance Officer

Daniel P. Son, Vice Chairman

Roger J. Engemoen, Jr., Chairman/Director

David R. Henkel, Chief Financial Officer

†Philip A. Pendergraft, Executive Vice President

Charles W. Yancy, Chief Executive Officer/President

Joseph K. Gray, Director

William D. Gross, Director

**(To Be Effective May 25, 2007)**

**\*\*Apache Trading LLC**

To be admitted as a Regular Member Organization.

**(“LLC Member” does not signify Exchange Member)**

Address: c/o Gettenberg Consulting, 40 Wall Street, 34<sup>th</sup> Floor, New York, NY 10005

\*Marc A. Pasuco, Managing Member

Stephanie E. Tancredi, Chief Operations Officer

\*Matthew A. Garrett, Trader

\*Brian N. Mattesich, Trader

**Any information you may have which would be pertinent to consideration of the applicants by the Exchange should be promptly reported to the Membership and Registration Department at 212-306-1419.**

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## Current Reports Made to the Exchange

Members' attention is called to the fact that the following reports are made to the Exchange within the coming next week:

**Report – To NASD Amex Regulation Division - Financial Regulation Dept. Due on or Before**

This request for reports is issued on behalf of the American Stock Exchange LLC (Amex) by the Financial Regulation Dept. of the NASD Amex Regulation Division pursuant to a grant of authority to the NASD. Accordingly, this constitutes a request for reports by the Amex.

Web FOCUS Report Part II Monthly - Member Organizations carrying customers and/or self-clearing are required to file by the 17th business day after month-end. Wed. May 23

Web FOCUS Report Part IIA Monthly or Net Capital Computation - Member Organization and Sole Proprietor broker-dealers subject to SEC Rule 15c3-1 net capital requirements but not carrying customers and/or self-clearing are required to file by the 17th business day after month-end. Wed. May 23

Equity Computation - Member Organizations subject to Exchange Rule 110 equity requirements **and exempt from SEC Rule 15c3-1 net capital requirements** are required to file by the 17th business day after month-end. **Amex market-makers in non-option products are subject to SEC Rule 15c3-1 net capital requirements and must file a Web FOCUS Report Part IIA or Net Capital Computation.** Wed. May 23

All terminating Amex-designated Member Organizations and Sole Proprietor broker-dealers are required to file an SEC Rule 17a-5(b) Web FOCUS Report Part II Withdrawal or IIA Withdrawal. Use the Web FOCUS Blank Forms tab to access the appropriate form.

Web FOCUS Users experiencing access problems with Web FOCUS should contact the **Gateway Call Center @ 301-869-6699** for assistance.

Please contact the NASD Amex Regulation Division - Financial Regulation Dept., One Liberty Plaza, 51<sup>st</sup> Floor – Robert Devine 212-858-4448 regarding Web FOCUS entitlement procedures, MO-14 statement, or MO-15 report. Other questions regarding financial reporting should be directed to your FRD examiner.

Please refer to Amex Notice REG 2006–55 dated Oct. 26, 2006, for additional information about filing reports with the Financial Regulation Dept. This Notice is posted on the webpage at: <http://www.amextrader.com/amextrader/tdrInfo/data/axNotices/2006/reg102606.html>.

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### Equities Mid-Month Short Interest Schedule 2007

Trade Date	Settlement Date	Report Due	Report Published
(Thursday) May 10	(Tuesday) May 15	(Thursday) May 17 – 1:00 p.m. EST	(Monday) May 21

### Derivatives (End-of-Month) Short Interest Schedule 2007

Trade Date	Settlement Date	Report Due	Report Published
(Friday) May 25	(Thursday) May 31	(Friday) June 1 – 1:00 p.m. EST	(Tuesday) June 5

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### The List

This information can be found on the Web. In order to retrieve this information, go to <http://www.amextrader.com> and from the home page click the Daily List button. At the Daily List home page scroll down to Amex issues and select the view link for the current date. Should you have any questions please call (212) 306-2438.

#### The Following Securities Have Been Approved for Listing:

Claymore Exchange-Traded Fund Trust 2, 200,000 Claymore S&P Global Water Index ETF

Geokinetics Inc. 13,906,845 shares of Common Stock, Par Value \$.01

HQ Sustainable Maritime Industries, Inc 13,466,049 shares of Common Stock, Par Value \$.001

Lehman Brothers \$60,000,000 principal amount of Medium-Term Notes Linked to General Electric Company. Maturing May 15, 2010

Nova Biosource Fuels, Inc 135,628,787 shares of Common Stock, Par Value \$.001

Tailwind Financial Inc 37,125,000 shares of Common Stock, Par Value \$.001

Tailwind Financial Inc 19,000,000 Warrants expiring April 11, 2011

Wilson Holdings, Inc 36,136,788 shares of Common Stock, Par Value \$.001

**Additional & Substitution Listings:**

Arcadia Resources Inc., 4,248,950 Shares of Common Stock, \$0.001 Par Value

Austral Pacific Energy Ltd., 2,500,000 Shares of Common Stock, No Par Value

Cash Technologies, Inc., 188,000 Shares of Common Stock, \$0.01 Par Value

Fortune Industries, Inc., 305,883 Shares of Common Stock, \$0.10 Par Value

Gold Reserve, Inc., 28,446,791 Shares of Common Stock, No Par Value

Inverness Medical Innovations, Inc., 2,868,120 Shares of Common Stock, \$0.001 Par Value

Javelin Pharmaceuticals, Inc., 8,165,000 Shares of Common Stock, \$0.001 Par Value

Rubicon Minerals Corporation, 72,142,857 Shares of Common Stock, No Par Value

VendingData Corp., 17,600,000 Shares of Common Stock, \$0.01 Par Value

**Corporate Actions and New Listings announced for May 11 – May 18, 2007 can be viewed on the Issues Daily list homepage on [amextrader.com](http://amextrader.com); click on [Issues Daily List](#) to view the Issues Daily List.**

**Registered Interest**

<u>Name of Company</u>	<u>Record Date (New York unless stated otherwise)</u>
<b>Interest due March 1</b>	
Trans-Lux Corp. (8.25% Limited Conv Sr Subordinated Notes, due 3/1/12)	Feb. 15
<b>Interest due March 3</b>	
Lehman Brothers (2% Morgan Stanley link Notes, due 3/3/09)	Feb. 16
<b>Interest due March 5</b>	
Freedom Depository LLC/Amherst Securities (5.10% Cl A, USAuto Series 2004-1, Freedom Certificates, due 3/3/211)	Mar. 2
<b>Interest due March 13</b>	
Citigroup Global Market Holdings (0.25% Basket of Tech Stocks Cash Exchangeable Notes, due 09/13/07)	Feb. 26
<b>Interest due March 15</b>	
Stater Bros. Holdings Inc. (Floating Rate Notes, due 6/15/10)	Mar. 1
<b>Interest due March 20</b>	
Citigroup Global Market Holdings (Dow Jones EURO STOXX 50 Index Principal-Protected Notes, due 12/20/07)	Mar. 5
<b>Interest due March 22</b>	
Goldman Sachs (0.25% EMC Corp. Exchangeable Notes, due 3/22/07)	Mar. 21

**Interest due March 23**

Bank of America  
(1.625% Nikkei 225 Index CYCLES, due 3/23/10) Mar. 8

**Interest due March 27**

Bank of America  
(1.25% “100/0” Basket of 4 Indices CYCLES, due 9/27/11) Mar. 12

**Interest due April 2**

CIBC World Markets Corp.  
(10 Stock Basket Yield Generator Notes, due 3/11/11) Mar. 30  
(CIBC Premium Yield Generator Notes, due 4/1/13) Mar. 30

**Interest due April 10**

Wachovia Corporation  
(19.25% General Motors Enhanced Yield Secs, due 7/10/07) Mar. 26

**Interest due April 13**

ABN AMRO (Medium Term Notes,  
Series A, Leveraged CPI Linked Securities, due 1/13/20) Mar. 29

**Interest due April 20**

Wachovia Corporation  
(10% Consol Energy Inc. Enhanced Yield Securities, 4/20/07) Apr. 5

**Interest due April 30**

Bank of America  
(1.75% Basket of 3 Indices CYCLES, due 4/30/09) Apr. 15  
(1.75% S&P 500 CYCLES, due 4/28/11) Apr. 20

CIBC World Markets  
(2% DJIA Principal Protected Notes, due 5/5/10) Apr. 27

Morgan Stanley  
(2% Proctor & Gamble Exchangeable Notes, due 4/30/11) Apr. 15

**Interest due May 14**

CIBC World Markets (Ten Large-Cap U.S Stocks Yield  
Generator Notes, due 5/13/10) May 11

**Interest due May 15**

Wachovia Corporation  
(11.25% Toll Brothers Enhanced Yield Secs, due 5/15/07) Apr. 30  
(9.375% Transocean Enhanced Yield Secs, due 5/15/07) Apr. 30

**Interest due May 22**

CIBC World Markets (Premium Yield Generator Notes Linked  
to a Basket of Ten-Large Cap U.S. Stocks, due 5/22/08) May. 21

**Interest due May 29**

Bank of America  
(1.5% S&P 500 Capital Protect Equity  
Performance Notes, due 11/26/2010) May 1  
(1% Basket CYCLES linked to a 70/30  
Basket of Four Indices & 1 ETF, due 5/27/10) May 12

Merrill Lynch & Co., Inc. (1% McDonald's Convertible  
Exchangeable Securities, due 5/28/09) May 13

Allstate Life Global Funding  
(4.50% Secured Medium Term Notes, due 5/29/09) May. 14

Bear Stearns Companies, Inc.  
(1.5% S&P 500 Principal Protected Notes, due 11/30/09)      May 15

**Interest due June 15**

Bond Trust Products LLC (Bond Trust Products Trust  
Pass-Through Trust Certificates, due 6/15/2010)      Jun. 14

Stater Bros. Holdings Inc.  
(Floating Rate Notes, due 6/15/10)      Jun. 1  
(8 1/8% Senior Notes, due 6/15/12)      Jun. 1  
Wachovia Corporation (.25% Basket of Common Stocks  
Exchangeable Notes, due 12/15/10)      May 31

**Interest due June 27**

Bank of America (1.25% Basket CYCLES Linked to a  
"70/30" Basket of Four Indices and 1 ETF, due 12/27/10)      Jun. 12

**Interest due June 28**

Bank of America  
(S&P 400 MidCap Index CYCLES, due 12/28/10)      Jun. 13  
(1.5% Healthcare Stocks Capital Protected Equity  
Performance Link Securities., due 12/20/11)      Jun. 13

**Interest due July 2**

Morgan Stanley  
(2% 3M Co. Exchangeable Notes, due 12/30/10)      Jun. 15  
(1.25% General Electric Exchangeable Notes, due 12/30/08)      Jun. 15  
(1.5 % Wal-Mart Stores Exchangeable Notes, due 6/30/11)      Jun. 15

CIBC World Markets (10 Large-Cap U.S Stocks Yield  
Generator Notes, due 6/30/11)      Jun. 29

**List of Bonds Dealt in "Flat"**

**Traded Flat from Listing Date**

ABN AMRO	SHH.A (S&P 500 Structured Hybrid Equity link Secs-1/16/14)
American Corporate Accruals, Inc.	(Callable Principal Receipts-2024)
Bank of America Corp.	BOA.C (S&P 500 linked Notes-7/2/07)
Bank of America Corp.	BOA.D (Nasdaq-100 EAGLES-6/1/10)
Bank of America Corp.	BOA.E (S&P 500 EAGLES- 6/28/10)
Bank of America Corp.	BOA.F (Nikkei 225 6% Min Return linked Notes-6/24/10)
Bank of America Corp.	BOA.G (16 Energy Stk linked EAGLES-8/2/10)
Bank of America Corp.	BOA.H (Russell 2000 EAGLES-8/28/09)
Bank of America Corp.	BOA.I (DJIA Minimum Return EAGLES-9/25/09)
Bank of America Corp.	BOA.J (Nasdaq-100 EAGLES-10/29/10)
Bank of America Corp.	BOA.M (Nikkei 225 Return Linked Notes-6/28/10)
Bank of America Corp.	BOA.O (Russell 2000 EAGLES-1/28/11)
Bank of America Corp.	BOA.R (Nasdaq-100 EAGLES-3/24/09)
Bank of America Corp.	BOA.U (EAGLES linked to the DJIA-6/25/10)
Bank of America Corp.	BOA.W (Amex Exch Biotechnology Index EAGLES-8/28/09)
Bank of America Corp.	BOA.Z (Energy Stocks EAGLES-9/29/10)
Bank of America Corp.	BOR.A (S&P 500 EAGLES-10/29/10)
Bank of America Corp.	BOR.B (Nasdaq-100 Index EAGLES-11/23/10)
Bank of America Corp.	BOR.C (CBOE China Index EAGLES-11/24/10)
Bank of America Corp.	BOR.F (DJIA EAGLES-3/25/11)
Bank of America Corp.	BOR.I (Basket of 3 Indices Return Linked Notes-8/26/10)
Bank of America Corp.	BOR.J ("80/20" Basket of Four Indices Return Link Notes-6/27/11)

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Bank of America Corp.

Bear Stearns & Co. Inc.  
Bear Stearns & Co. Inc.  
Bear Stearns & Co. Inc.  
Bear Stearns & Co. Inc.  
Bear Stearns & Co. Inc.

Canadian Imperial Bank of Commerce  
Canadian Imperial Bank of Commerce  
Canadian Imperial Bank of Commerce  
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Canadian Imperial Bank of Commerce

Citigroup Global Market Holdings Inc.  
Citigroup Global Market Holdings Inc.

Credit Suisse (USA), Inc.  
Credit Suisse (USA), Inc.

Goldman Sachs Group, Inc. (The)  
Goldman Sachs Group, Inc. (The)  
Goldman Sachs Group, Inc. (The)  
Goldman Sachs Group, Inc. (The)

JP Morgan Chase & Co.  
JP Morgan Chase & Co.  
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JP Morgan Chase & Co.

Lehman Brothers Holdings Inc.  
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Lehman Brothers Holdings Inc.  
Lehman Brothers Holdings Inc.  
Lehman Brothers Holdings Inc.  
Lehman Brothers Holdings Inc.

BOR.K (S&P 500 EAGLES-7/29/10)  
BOR.L (Return link notes linked to a  
basket of two Indices-1/28/11)  
BOR.M (Index EAGLES Linked to the DJIA-8/26/10)  
BOR.N (Dow Jones EURO STOXX 50  
Return Link Notes-8/25/11)  
BOR.O (S&P 500 Index EAGLES-10/3/11)  
BOR.P (Amex Biotechnology Index EAGLES-10/28/11)  
BOR.Q (Basket of Three Indices Return Link Notes-10/27/11)  
BOR.R (Basket of Two Indices Return Link Notes-11/22/10)  
BOR.S (Basket of Five EAGLES-11/23/11)  
BOR.T (DJIA Index EAGLES -12/27/11)  
BOR.U (Basket of 3 Indices Return Link Notes-12/29/11)

BYF.A (U.S. Sector ETFs Principal Protect Notes-2/5/08)  
BYF.B (S&P 500 Principal Protect Notes-10/1/08)  
BYF.C (NASDAQ-100 Principal Protect Notes-12/22/09)  
BYF.D (DJIA Principal Protect Notes-3/23/11)  
BYF.F (Three Intl Indices Medium Term Notes-8/2/10)

MRS.A (S&P 500 linked Notes-7/30/10)  
MRS.B (CIBC 10 U.S. Stks Optimizer Notes-1/30/09)  
MRS.C (CIBC 10 U.S. Stks Optimizer Notes-3/5/09)  
MRS.D (CIBC 10 U.S. Stks Optimizer Notes-3/31/09)  
MRS.E (CIBC 10 U.S. Stks Optimizer Notes-4/30/09)  
MRS.F (S&P 500 MERITS-6/30/11)  
MRS.G (CIBC 10 U.S. Stks Optimizer Notes-6/30/09)  
MRS.H (NASDAQ-100 MERITS-8/1/11)  
MRS.I (DJIA MERITS-8/31/11)  
MRS.J (S&P 500 Principal Protected Notes-3/31/09)  
MRS.K (DJIA MERITS-1/30/09)  
MRS.M (S&P 500 Index linked Notes-12/31/08)  
MRS.O (Global Indices Basket linked Notes-9/18/08)  
MRS.S (S&P 500 Principal Protected Notes-6/15/10)  
MRS.U (Global Basket of Three Equity Indices -7/29/10)

NDG.A (Nasdaq-100 Principal Protect Notes-12/4/07)  
SCW.A (S&P 500 Principal Protect Notes-10/22/07)

IPJ.A (S&P 500 Five Year Contingent Protect Secs-9/30/08)  
IPJ.B (S&P 500 Five Year Contingent Protect Secs-11/26/08)

GSD.B (S&P 500 linked Notes-8/7/11)  
GSN.B (S&P 500 Medium Term Notes-2/28/13)  
GSN.C (S&P 500 Medium Term Notes-4/18/13)  
GSN.D (S&P 500 Medium Term Notes-5/30/13)

JPL.A (Consumer Price Index Secs-1/15/10)  
JPL.A (S&P 500 linked Notes-11/26/07)  
JPL.B (S&P 500 Market Participation Notes-3/12/08)  
JPL.C (Cap Qtr Observation Notes-9/22/08)  
JPL.D (S&P 500 Cap Qtr Observation Notes-10/30/08)  
JPL.E (S&P 500 Cap Qtr Observation Notes-1/21/09)  
JPL.F (S&P 500 Mkt Participation Notes-3/31/09)  
JPL.G (S&P 500 Cap Qtr Observation Notes-7/7/09)  
JPL.H (S&P 500 Cap Qtr Observation Notes-9/21/09)  
JPL.I (S&P 500 Principal Protect Notes-9/30/10)

LBM.C (General Electric Co. Medium Term Notes-5/15/10)  
LBN.A (Dow Jones EUROSTOXX 50 Index-7/7/08)  
LBN.B (Dow Jones EURO STOXX 50 Index-7/29/08)  
LSQ.B (DJIA PrincipalPlus SUNS-8/5/07)  
LSQ.C (DJIA SUNS-4/29/10)  
LSQ.D (Nikkei 225 SUNS-6/10/10)  
PSZ.C (S&P 500 SUNS-8/5/08)  
PSZ.D (S&P 500 SUNS-9/27/07)

Lehman Brothers Holdings Inc.	PSZ.E (S&P 500 Callable SUNS-11/6/09)
Lehman Brothers Holdings Inc.	PSZ.F (DJ Global Titans Index SUNS-2/9/10)
Lehman Brothers Holdings Inc.	RLN.C (Nasdaq-100 Rebound RANGERS-5/20/07)
Lehman Brothers Holdings Inc.	RLN.D (Nasdaq-100 Rebound RANGERS-6/7/08)
Lehman Brothers Holdings Inc.	RLN.E (S&P 500 linked Notes-9/28/09)
Lehman Brothers Holdings Inc.	RLN.G (DJ STOXX 50 linked Notes-11/15/09)
Lehman Brothers Holdings Inc.	RLN.H (Russell 2000 Index linked Notes-12/23/09)
Lehman Brothers Holdings Inc.	RLN.I (S&P 500 Index-Plus Notes-3/3/10)
Merrill Lynch	COD.A (Coca-Cola Co Exchangeable Conv Secs-9/30/08)
Merrill Lynch	EXO.A (Exxon Mobile Exchangeable Conv Secs-10/3/08)
Morgan Stanley	BSN.A (3 stks Sr Exchangeable Debt-12/30/08)
Morgan Stanley	ITM.A (Intel Stk Participation Notes-12/30/10)
Morgan Stanley	JMS.A (S&P 500 Callable Notes-12/30/08)
Morgan Stanley	MRW.A (S&P 500 Mkt Participation Secs-12/30/10)
Morgan Stanley	MWT.A (Wal-Mart Stk Participation Notes-9/15/10)
PMA Capital Corporation	PMK (8.5% Monthly Income Sr Notes-6/15/18)
UBS AG	PPV.C (Russell 2000 Principal Protect Notes-4/30/09)
UBS AG	PPV.E (DJIA Principal Protect Notes-5/29/09)
UBS AG	PPV.G (S&P 500 Principal Protect Notes-11/7/07)
UBS AG	PPV.H (S&P 500 Principal Protect Notes-12/7/07)
UBS AG	PPV.I (U.S. Equity Indices Principal Protect Notes-10/7/11)
UBS AG	PPV.J (DJIA Principal Protect Notes-2/7/08)
UBS AG	PPV.K (S&P 500 Principal Protect Notes-9/8/10)
UBS AG	PPV.L (S&P 500 Principal Protect Notes-4/8/10)
UBS AG	PPV.M (S&P 500 Principal Protect Notes-10/7/09)
UBS AG	PPV.N (S&P 500 Principal Protect Notes-5/7/10)
UBS AG	PPV.O (S&P 500 Principal Protect Notes-6/7/10)
UBS AG	PPV.P (S&P 500 Principal Protect Notes-7/8/10)
UBS AG	PPV.Q (S&P 500 Principal Protect Notes-8/6/10)
UBS.AG	PPV.R (S&P 500 Principal Protect Notes-9/8/09)
UBS AG	PPZ.A (S&P 500 Partial Protect Notes-11/05/07)
UBS AG	PPZ.B (S&P 500 Partial Principal Protect Notes-2/28/08)
UBS AG	PPZ.C (S&P 500 Partial Principal Protect Notes-11/5/10)
Wachovia Corporation	WDB.B (Nikkei 225 ASTROS-3/2/10)
Wachovia Corporation	WDB.D (S&P 500 linked to Trigger CAPITALS-12/8/08)
Wachovia Corporation	WDB.E (Global Basket of Indices ASTROS-2/2/10)
Wachovia Corporation	WDB.I (Global Equity Basket ASTROS-5/5/10)
Wachovia Corporation	WDB.M (Metals-China Basket ASTROS-1/28/09)
Wachovia Corporation	WTY.A (4.5% U.S. Treasuries Principal Protect Notes-6/6/07)
Wells Fargo & Company	WFG.A (S&P 500 linked Notes-1/4/08)
Wells Fargo & Company	WFG.B (Nasdaq-100 linked Notes-1/4/08)
Wells Fargo & Company	WFG.C (Basket linked Notes-4/15/09)
Wells Fargo & Company	WFG.D (DJIA High Point Average linked Notes-5/5/10)
Wells Fargo & Company	WFG.F (S&P 500 Callable Notes-8/25/09)

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## Halted Issues

Transactions in the following securities have been halted for a period exceeding five trading days. Members and member organizations are reminded of the provisions of Exchange Rule 462(d)1 when determining the value to place upon those securities for margin purposes.

Any purchase or sale of a security which has been halted by the Exchange may be subject to Federal regulation as well as the rules of the other market(s) where the purchase or sale is to take place.

Members are reminded that open orders on the books of the specialist for an issue which has been halted for 30 days are automatically canceled.

**Name of Company (Issue)**

Dyadic International  
Enernorth Industries Inc.

**Date of Halt**

April 23, 2007  
February 9, 2007

a — Addition to previous Bulletin.

**Dividends**

**Dividends announced for May 14, 2007 – May 18, 2007 can be viewed on the Dividend Daily list homepage on [amextrader.com](http://amextrader.com); click on [Dividends Daily List](#) to view the Dividends Daily List.**

Please call the Corporate Actions/Proxy Rulings Department at 212-306-2438 with any questions.

**Meetings of Stockholders**

Members should, insofar as possible without additional expense, transfer certificates of stock held in persons or firms over whom they have no control into their own names, or those of persons over whom they have control, before the record date for determining stockholders entitled to vote.

As a guide to Member Organizations in giving proxies pursuant to Rule 577 to vote stock held for the account of customers the following symbols are used in the list of meetings below:

- V = Preceding Record Date indicates Members MAY vote the entire proxy under the Ten Day Rule.
- X = Together with numbers or letters indicates that Members MAY NOT vote the designated matters without specific instructions from owners. Numbers or letters shown are those used in the PROXY FORM.
- X = With no other designation indicates that Members MAY NOT vote the entire proxy.
- NP = Indicates that proxies are not being solicited.

The following abbreviations are used for items not numbered or lettered in the proxy form:

- SP = Stockholder Proposal(s)
- OP = Option Plan
- STP = Stock Purchase Plan
- CP = Compensation Plan
- BY = By-Law Amendment
- CH = Charter Amendment
- MP = Merger Proposal

Where no symbol appears, proxy material has not yet been received.

<b><u>Name of Company</u></b>	<b><u>Record</u></b>			<b><u>Meeting</u></b>		
* Ablest Inc.	X	Apr.	24	A	Jun.	7
Abraxas Petroleum Corporation		May	25	A	Jun.	26
Adams Resources & Energy, Inc.	V	Apr.	2	A	May	21
ADVENTRX Pharmaceuticals Inc.	V	Apr.	6	A	May	23
AirNet Systems, Inc.	V	Apr.	27	A	Jun.	6
Alpha Pro Tech, Ltd.	V	Apr.	18	A	Jun.	4
Alteon, Inc.		Apr.	16	A		
American CareSource Holdings Inc. Note: (8)	X-2	Apr.	26	A	May	24
American Community Properties Tr	V	Apr.	6	A	Jun.	6
* American Israeli Paper Mills Ltd.		May	24	A	Jun.	17
American Mortgage Acceptance Co.	V	Apr.	13	A	Jun.	12
American Oil & Gas Inc.	V	Apr.	30	A	May	31
American Shared Hospital Services	V	May	1	A	Jun.	14
AmReit, Inc.	V	Apr.	2	A	May	30
AMS Health Sciences, Inc.	V	Apr.	27	A	Jun.	18
Anooraq Resources Corporation		May	11	A	Jun.	20
Apex Silver Mines Ltd.	V	Apr.	19	A	Jun.	7
Arizona Land Income Corporation		May	9	S	Jun.	15
Ascendia Brands, Inc. Note: (2)		Feb.	9			
Aspyra, Inc.	V	May	10	A	Jun.	25
Augusta Resource Corporation		Apr.	5	A	Jun.	1
Axesstel, Inc.	V	Apr.	24	A	May	30

AXS-One Inc.	X-2	Apr.	3	A	May	23
Ballantyne of Omaha, Inc.	V	Mar.	30	A	May	23
Bar Harbor Bankshares	V	Mar.	24	A	May	15
Bell Industries, Inc.	X-2-3	Apr.	16	A	May	23
Beverly National Corporation	V	Apr.	11	A	May	22
BioSante Pharmaceuticals, Inc.	V	Apr.	17	A	Jun.	14
*Birch Mountain Resources Ltd. Note: (3)		Apr.	26	A	May	31
Blonder Tongue Laboratories, Inc.	X-2	Mar.	30	A	May	23
CabelTel International Corp. Note: (2)		Feb.	16			
Cagle's, Incorporated		May	25	A	Jul.	13
CanArgo Energy Corporation	V	Apr.	23	A	Jun.	5
Canterbury Park Holding Corporation	V	Apr.	13	A	Jun.	7
Canyon Resources Corporation	X-3-4	Apr.	12	A	May	21
*Cathay Merchant Group Note: (7)	V	Mar.	9	A	May	25
Cavalier Homes, Inc.	V	Mar.	26	A	May	22
*CEL-SCI Corporation		May	11	A		
Celsion Corporation	X-2-4	Apr.	30	A	Jun.	13
Centerplate, Inc.	V	Apr.	10	A	May	23
*Challenger Energy Corp.		May	15	A	Jun.	27
Cheniere Energy, Inc.	V	Mar.	27	A	May	25
Clough Global Allocation Fund		May	23	A	Jul.	23
Clough Global Equity Fund		May	23	A	Jul.	23
Clough Global Opportunities Fund		May	23	A	Jul.	23
Colonial CA Insured Muni Fund	X-1-2-3	Apr.	10	A	Jun.	22
COMFORCE Corporation	V	Apr.	26	A	Jun.	12
Communications Systems, Inc.		Apr.	27	A	Jun.	14
Community Bankshares	X-2	Mar.	15	A	May	21
*Constellation Energy Partners, LLC		May	24	S	Jun.	26
Continental Materials Corp.	V	Apr.	12	A	May	23
Conversion Services International, Inc. Note: (2)		Mar.	20			
Corriente Resources Inc.		Apr.	19	A	May	24
Covad Communications Group, Inc.	X-2	Apr.	16	A	Jun.	7
CPI Aerostructures, Inc.	V	Apr.	26	A	Jun.	12
*Crystallex International Corp. Note: (1& 4)		May	22	A	Jun.	28
Cycle Country Accessories Corporation		May	7	A	Jun.	26
*Daxor Corporation	V	May	14	A	Jun.	15
Digital Angel Corporation		Mar.	21	A		
Diomed Holdings, Inc.	X-2	Apr.	11	A	May	22
Dune Energy, Inc. Note: (2)		Apr.	25			
DWS RREEF Real Estate Fund	V	Apr.	23	A	Jun.	7
DWS RREEF Real Estate Fund II	V	Apr.	23	A	Jun.	7
Eaton Vance Insured California Municipal Bond Fund		May	14	A	Jul.	20
Eaton Vance Insured California Municipal Bond Fund II		May	14	A	Jul.	20
Eaton Vance Insured Florida Municipal Bond Fund		May	14	A	Jul.	20
Eaton Vance Insured Massachusetts Municipal Bond Fund		May	14	A	Jul.	20
Eaton Vance Insured Michigan Municipal Bond Fund		May	14	A	Jul.	20
Eaton Vance Insured Municipal Bond Fund		May	14	A	Jul.	20
Eaton Vance Insured Municipal Bond Fund II		May	14	A	Jul.	20
Eaton Vance Insured New Jersey Municipal Bond Fund		May	14	A	Jul.	20
Eaton Vance Insured New York Municipal Bond Fund		May	14	A	Jul.	20
Eaton Vance Insured New York Municipal Bond Fund II		May	14	A	Jul.	20

Eaton Vance Insured Ohio Municipal Bond Fund		May	14		A	Jul.	20
Eaton Vance Insured Pennsylvania Municipal Bond Fund		May	14		A	Jul.	20
Eldorado Gold Corporation		Apr.	9		A	May	24
Elite Pharmaceuticals, Inc.		May	15		A	Jun.	26
Empire Resources, Inc.	V	Apr.	26		A	Jun.	20
Endeavour International Corp.	X-2	Mar.	26		A	May	24
ENGlobal Corporation	X-2	Apr.	16		A	Jun.	14
Environmental Power Corporation		Apr.	16		A	Jun.	12
Exeter Resource Corporation		Apr.	16		A	May	23
ExpXpress-1 Expedited Solutions, Inc.	X-3	May	1		A	Jun.	14
Federal Trust Corporation	V	Mar.	29		A	May	25
*Flexible Solutions International, Inc.	X-2	Apr.	27		A	Jun.	21
Full House Resorts, Inc.	V	Apr.	20		A	May	31
Fusion Telecommunications International, Inc.	X-1	Apr.	30		A	Jul.	12
Galaxy Energy Corporation		Jan.	19		S		
Gammon Lake Resources, Inc.		May	3		A	Jun.	6
Gastar Exploration Ltd. Note: (4)	X-5	Apr.	27		A	Jun.	1
*General Finance Corporation Note: (6)		Apr.	20		S	May	29
General Finance Corporation	X-2	May	10		A	Jun.	14
*GeoGlobal Resources Inc.	V	May	9		A	Jun.	20
GeoPetro Resources Company	V	Apr.	27		A	Jun.	14
Gold Reserve Inc. Note: (4)		Apr.	30		A	Jun.	7
Goldfield Corporation (The)	V	Apr.	13		A	May	31
Graham Corporation		Jun.	1		A	Jul.	26
Great Basin Gold Ltd. Note: (4)		May	14		A	Jun.	19
GSE Systems Inc.	X-3	May	25		A	Jun.	13
Halifax Corporation		Jun.	8		A		
*Hallwood Group Incorporated (The)	V	May	11		A	Jun.	20
Harken Energy Corporation	V	Apr.	6		A	Jun.	4
Hawaiian Holdings, Inc.	V	Apr.	16		A	May	30
Hawk Corporation	V	Apr.	5		A	May	30
HearUSA, Inc.	X-2	Apr.	13		A	Jun.	11
*Hemispherx Biopharma, Inc.	X-3	Apr.	23		A	Jun.	20
Hersha Hospitality Trust	V	Mar.	30		A	May	24
Hooper Holmes, Inc.	X-3	Apr.	13		A	May	30
Houston American Energy Corp.	V	Apr.	9		A	May	21
Hungarian Telephone and Cable Corp.	V	Apr.	5		A	May	24
IA Global, Inc.	X-4	May	29		A	Jun.	29
Idera Pharmaceuticals, Inc.	X-2	Apr.	17		A	Jun.	13
ILX Resorts Incorporated	V	Apr.	16		A	Jun.	20
Imageware Systems, Inc.		Aug.	10		A	Sep.	19
Innsuites Hospitality Trust		May	29		A	Jul.	12
Intelli-Check Inc.	V	May	2		A	Jun.	4
Intelligent Systems Corporation	V	Apr.	6		A	May	24
Interleukin Genetics, Inc.	V	Apr.	24		A	Jun.	12
Intermediate Muni Fund, Inc.		Mar.	19		A		
International Absorbents Inc.	V	Apr.	16		A	Jun.	7
iParty Corporation	V	Apr.	16		A	Jun.	6
ISCO International, Inc.	V	Apr.	16		A	Jun.	8
Javelin Pharmaceutical, Inc.		May	22		A	Jun.	26
Jazz Technologies, Inc.		May	3		A		
JED Oil Inc. Note: (4)		Apr.	27		A	May	28
*Kitty Hawk, Inc.		May	1		A		
Kodiak Oil & Gas Corp. Note: (8)	X-3	Apr.	23		A	May	24

Ladenburg Thalmann Financial Services, Inc.		May	10	A		
LaPolla Industries, Inc.	X-2	Apr.	18	A	May	22
*Lorus Therapeutics, Inc.		May	24	S	Jun.	25
LSB Industries, Inc.	X-3	Apr.	16	A	Jun.	14
Lundin Mining Corporation	Note: (4)	Apr.	20	A	Jun.	18
Manhattan Pharmaceuticals Inc.	X-2	May	2	A	May	24
Markwest Hydrocarbon Inc.	V	Apr.	13	A	Jun.	13
Matritech, Inc.	X-2-3	Apr.	12	A	Jun.	8
MAXXAM Inc.	V	Apr.	6	A	May	30
MCF Corporation	X-2	Apr.	20	A	Jun.	8
Mercantile Bancorp, Inc.	V	Apr.	10	A	May	21
Merrimac Industries, Inc.	V	Apr.	27	A	Jun.	20
Metalico, Inc.	V	Apr.	5	A	May	22
Metallica Resources, Inc.		Apr.	23	A	May	24
Metrotek Technology, Inc.	V	Apr.	20	A	Jun.	11
Metropolitan Health Networks, Inc.	V	Apr.	13	A	Jun.	7
*MicroIslet, Inc. Note: (9)		Dec.	26	S		
MidSouth Bancorp, Inc.	X-2	Mar.	31	A	May	30
*Midwest Air Group, Inc.	V	May	11	A	Jun.	14
Mines Management, Inc.	V	May	8	A	Jun.	15
MPC Corporation	V	May	7	A	Jun.	29
National Lampoon, Inc.		May	18	A	Jun.	19
Natural Gas Services Group, Inc.	V	May	8	A	Jun.	19
Nephros, Inc.	X-3-5	Apr.	27	A	May	22
Neuberger Berman Real Estate Securities Income Fund Inc. Note: (5)	X	Feb.	21	A	Jun.	6
New Dragon Asia Corp. Note: (2)		Dec.	19			
New Dragon Asia Corp.	V	Apr.	18	A	May	21
North American Palladium, Ltd. Note: (4)		Apr.	18	A	May	23
Northern Dynasty Minerals Ltd.		May	3	A	Jun.	7
*Northern Orion Resources Inc.		Apr.	30	A	Jun.	4
NovaDel Pharma, Inc.	V	Apr.	20	A	Jun.	6
NovaGold Resources, Inc.		Apr.	30	A	May	31
NTN Buzztime, Inc.	V	May	1	A	Jun.	15
NTS Realty Holdings Limited Partnership	V	Apr.	30	A	Jun.	12
O2Diesel Corporation		May	29	A	Jul.	10
ON2 Technologies, Inc.		Apr.	16	A		
Oragenics, Inc.	V	Apr.	12	A	May	23
Orchids Paper Products Company	V	May	1	A	Jun.	19
Overhill Farms, Inc.	V	May	7	A	Jun.	12
*Pacific CMA, Inc.		May	25	A	Jun.	29
*Petro Resources Corporation		May	7	A		
Phoenix Footwear Group, Inc.	V	Apr.	20	A	May	31
Pinnacle Bancshares, Inc.	V	Apr.	11	A	May	23
Pioneer Drilling Company		Jun.	8	A	Aug.	3
PLC Systems, Inc.	V	Apr.	27	A	Jun.	13
PMC Commercial Trust	V	Apr.	16	A	Jun.	9
PRB Energy, Inc.	X-2	Apr.	20	A	May	31
PreMD Inc. Note: (4)		Apr.	24	A	May	24
Presidential Realty Corporation	V	Apr.	19	A	Jun.	15
Pro-Pharmaceuticals, Inc.	X-3	Apr.	12	A	May	24
Putnam New York Investment Grade Municipal Trust		Apr.	23	S	Jun.	22
Pyramid Oil Company	V	Apr.	30	A	Jun.	7
QuadraMed Corporation	X-2	Apr.	9	A	Jun.	7
RAE Systems, Inc.	X-2	Apr.	23	A	Jun.	14
Ready Mix, Inc.	V	Apr.	4	A	Jun.	11
RegeneRX Biopharmaceuticals Inc.	V	Apr.	13	A	Jun.	7

Rewards Network Inc.	V	Mar.	26	A	May	22
Rio Narcea Gold Mines, Ltd.		Apr.	27	A	May	30
SCOLR Pharma, Inc.	V	Apr.	17	A	Jun.	7
Seabridge Gold Inc.		May	16	A	Jun.	27
Servotronics, Inc.		May	22	A	Jun.	29
Signalife, Inc.	Note: (2)	Mar.	9			
Smart Move, Inc.	V	Apr.	26	A	Jun.	12
SmartPros Ltd.	V	Apr.	19	A	Jun.	14
Solitario Resources Corporation	V	Apr.	25	A	Jun.	14
Southern Connecticut Bancorp, Inc.	V	May	2	A	Jun.	12
Southwest Georgia Financial Corp.	V	Apr.	9	A	May	22
Spark Networks plc	X	Apr.	18	A	Jun.	15
Storm Cat Energy Corporation		May	7	A	Jun.	21
Sulphco, Inc.		Apr.	30	A	Jun.	19
Tag-It-Pacific, Inc.		Jun.	4	A	Jul.	31
Tandy Leather Factory, Inc.	X-2	Apr.	20	A	May	22
Telephone & Data Systems Inc.		Jun.	8	A	Jul.	26
TGC Industries, Inc.	V	Apr.	9	A	Jun.	5
Thai Capital Fund, Inc. (The)	X-2	Mar.	16	A	Jun.	4
Think Partnership Inc.	V	Apr.	26	A	May	30
*Tofutti Brands, Inc.	V	May	4	A	Jun.	7
Transforma Acquisition Group Inc.	V	Apr.	27	A	May	30
Trans-Lux Corporation	V	Apr.	20	A	May	31
Tucows Inc.	V	Apr.	30	A	Jun.	7
Ultra Petroleum Corp.	Note: (8) X-3	May	7	A	Jun.	14
UMH Properties, Inc.		May	7	A	Jun.	14
United Capital Corp.		May	21	A	Jun.	19
Universal Power Group, Inc.	X-2	Apr.	20	A	Jun.	18
*Uranerz Energy Corporation	X-3	Apr.	23	A	Jun.	19
US Gold Corporation	V	Apr.	23	A	Jun.	12
UTEK Corporation	V	May	9	A	Jun.	15
Van Kampen Advantage Municipal Income Trust II	V	Apr.	27	A	Jun.	22
Van Kampen Massachusetts Value Municipal Income Trust	V	Apr.	27	A	Jun.	22
Van Kampen Select Sector Municipal Trust	V	Apr.	27	A	Jun.	22
*VCG Holding Corp.	X-3	May	23	A	Jun.	12
Veri-Tek International Corp.	V	Apr.	27	A	Jun.	18
Virco Manufacturing Corp.		Apr.	27	A	Jun.	19
Watsco, Inc.	X-2	Apr.	10	A	May	25
Wellsford Real Properties, Inc.	X-1-2	Apr.	17	A	May	30
Westmoreland Coal Company		Jun.	4	A	Jul.	19
WidePoint Corporation	V	Apr.	27	A	Jun.	22
Wireless Xcessories Group Inc.	V	Apr.	30	A	Jun.	6
Zion Oil & Gas, Inc.	V	Apr.	27	A	Jun.	19

\* Additions to or change from previous Bulletins.

S Special Meeting.

A Annual Meeting.

Adj Adjourned Meeting.

† In Lieu of Annual Meeting.

- (1) Record and meeting date revised by the Company.
- (2) Record date is for “Information Statement” only, no solicitation involved.
- (3) Record date revised by the Company.
- (4) Meeting is both Annual and Special.
- (5) In addition to proxy material filed by the company, Western Investment LLC has filed soliciting proxies in opposition of the Board of Directors and to elect their own directors. Member organizations May Not Vote either proxy unless specific instructions have been received from such beneficial owners.
- (6) Meeting date postponed by the Company.
- (7) Meeting adjourned, by the Company, from May 7, 2007 to May 25, 2007.
- (8) Ruling based on proxy card.
- (9) Record date rescinded by the Company.



Geraldine M. Brindisi  
Corporate Secretary