



**AMERICAN  
STOCK EXCHANGE®**  
Equities Options ETFs

## **Weekly Bulletin**

### **May 25, 2007**

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#### **Notice**

The American Stock Exchange will not be open for business on Monday, May 28, 2007, in observance of Memorial Day.

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#### **Membership Seat Prices (in 000s)**

(As of 9:30 A.M., May 25, 2007)

	BID	OFFER	LAST CONTRACTED
Regular	300	345	310
Options Principal	25	None	320
Limited Trading Permits	None	6.5	25

Includes only those sales arranged pursuant to bids and offers filed with the Membership and Registration Department between the hours of 9:30 a.m. – 4:00 p.m.

Seat market information may be obtained by calling toll-free (877) Amex Seat (877-263-9732). Seat prices are updated intraday on [www.amextrader.com](http://www.amextrader.com).

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#### **Membership Seat Sales**

##### **Regular**

(Since May 14, 2007)

\$310,000 – May 24, 2007

\$300,000 – May 22, 2007

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#### **Membership Lease Prices**

(As of 9:30 A.M., May 25, 2007)

The following leases were received:

Includes monthly rental rate information only with respect to Exchange-approved negotiated leases. Not reported are arms length leases or leases for a nominal consideration.

**REGULAR**

<b>New</b>		<b>Renewal</b>	
<u>Price</u>	<u>Term</u>	<u>Price</u>	<u>Term</u>
2 @ \$375	6 months	2 @ \$400	1 year
		\$400	6 months
		2 @ \$375	1 year
		2 @ \$375	6 months
		2 @ \$350	6 months

**OPTIONS PRINCIPAL**

<b>New</b>		<b>Renewal</b>	
<u>Price</u>	<u>Term</u>	<u>Price</u>	<u>Term</u>
None		None	

**LIMITED TRADING PERMIT**

<b>New</b>		<b>Renewal</b>	
<u>Price</u>	<u>Term</u>	<u>Price</u>	<u>Term</u>
None		None	

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**Transfers of Membership**

**Termination of Special Transfer Agreement – Regular  
(Effective May 18, 2007)**

**David J. Adorney\*\*** to **Frost Gamma Limited Partnership**  
Goldman Sachs Execution & Clearing L.P. Attn: AnnMarie Pascual  
Badge No. 501-S 4400 Biscayne Blvd., 6<sup>th</sup> Floor  
Miami, FL 33137  
Seat No. 105-R

**(Effective May 24, 2007)**

**Ryan J. O’Connell** to **Roger P. Fenn**  
Kellogg Capital Group LLC Independent  
Badge No. 973-R 16 Westview Avenue  
Shrewsbury, MA 01545  
Seat No. 170-R

**Cancellation of Nominee Authorization & Agreement  
(Effective May 24, 2007)**

**Michael Garcia** to **Goldman Sachs Execution & Clearing L.P.**  
Goldman Sachs Execution & Clearing L.P. Attn: James Maiello  
Badge No. 801-G 30 Hudson Street  
Jersey City, NJ 07302  
Seat No. 815-R

**Joseph Malachowski** to **Jump Trading LLC**  
Jump Trading LLC Attn: Brandon Klerk  
Badge No. 1252 600 West Chicago Avenue, Suite 825  
Chicago, IL 60610  
Seat No. 602-R

**Carol B. Mann**  
John Jay Mann, Jr. & Co.

to

**John Jay Mann, Jr. & Co.**  
Attn: John Jay Mann, Jr.  
5000 North Ocean Blvd., #1607  
Lauderdale by the Sea, FL 33308  
Seat No. 598-R

*\*Simultaneously transferring to another seat  
\*\*Currently a member on another seat*

**New Memberships**

**Sale**

**Regular**

**(Effective May 18, 2007)**

**Moss Associates**

to

**DHS King Corp.**  
Attn: Howard Feder  
811 King Street  
Woodmere, NY 11598  
Seat No. 738-R

**(Effective May 24, 2007)**

**John Jay Mann, Jr. & Co.**

to

**Michael Epstein**  
Independent  
3379 Seawane Drive  
Merrick, NY 11566  
Seat No. 598-R

**Nominee Authorization and Agreement – Regular**

**(Effective May 24, 2007)**

**Goldman Sachs Execution & Clearing L.P.**

to

**John L. Striano**  
**Specialist**  
Goldman Sachs Execution & Clearing L.P.  
120 Broadway  
New York, NY 10271  
Seat No. 815-R  
Badge No. 501-L

**Special Transfer Agreement – Regular**

**(Effective at the Opening of Business on May 21, 2007)**

**Dean B. Potashner**

Independent

to

**Michael G. Challen**  
**Trader**  
Gray Whale Capital LLC  
61 West 70<sup>th</sup> Street, #3  
New York, NY 10023  
Seat No. 209-R  
Badge No. 1012

**(Effective May 23, 2007)**

**Stacy J. Glass**

Independent

to

**Seth B. Kanner**  
**Trader**  
Tag Team Trading LLC  
88 Lexington Avenue, #18D  
New York, NY 10016  
Seat No. 302-R  
Badge No. 606-K

(Effective May 24, 2007)

**Roger P. Fenn**  
Independent

to

**Jason D. Gold**  
**Specialist**

Kellogg Capital Group LLC  
55 Broadway, 4<sup>th</sup> Floor  
New York, NY 10006  
Seat No. 170-R  
Badge No. 873-U

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**Current Member – Firm Status Change**

**Special Transfer Agreement – Regular**  
(Effective May 24, 2007)

**Jose A. Aponte**  
LL Partners Inc.  
(Joseph V. Tramontana – Lessor)  
Badge No. 31

to

**Jose A. Aponte**  
**Broker**

Israel A. Englander & Co., Inc.  
666 Fifth Avenue, 9<sup>th</sup> Floor  
New York, NY 10103  
Seat No. 608-R  
Badge No. 31

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**Approved Interim Member**

(Effective May 24, 2007)

**Scott Sage**  
AGS Specialists II LLC

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**Trading Status Change**

(Effective May 11, 2007)

**Charles Saltzman**  
Specialist  
Kellogg Capital Group LLC  
Badge No. 873-Z

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**Allied Members**

(Effective May 23, 2007)

**Neil L. Citrone**  
Managing Member  
Tag Team Trading, LLC  
88 Lexington Avenue, #18D  
New York, NY 10016

**Robert Farina**  
Managing Member  
Tag Team Trading, LLC  
88 Lexington Avenue, #18D  
New York, NY 10016

**Mark N. Maurino**  
Managing Member  
Tag Team Trading, LLC  
88 Lexington Avenue, #18D  
New York, NY 10016

## Changes in Member Organizations

### **\*\*Gray Whale Capital LLC**

Admitted as a Regular Member Organization, effective at the opening of business on May 21, 2007.

**(“LLC Member” does not signify Exchange Member)**

Address: 61 West 70<sup>th</sup> Street, #3, New York, NY 10023

\*Michael G. Challen, Managing Member

Elizabeth Challen, Investing Member

### **\*\*Tag Team Trading LLC**

Admitted as a Regular Member Organization, effective May 23, 2007.

**(“LLC Member” does not signify Exchange Member)**

Address: 88 Lexington Avenue, #18-D, New York, NY 10016

Neil L. Citrone, Managing Member and Allied Member

Robert Farina, Managing Member and Allied Member

Mark N. Maurino, Managing Member and Allied Member

\*Seth B. Kanner, Trader

- 
- \* Regular Member
  - \*\* Regular Member Organization
  - Options Principal Member
  - Options Principal Member Organization
  - † Associate Member
  - †† Associate Member Organization
  - # CBOE Member Organization
  - NYSE Member Organization
  - Limited Trading Permit Holder
  - Limited Trading Permit Holder Organization
  - @ Also Employed By Another Firm
  - (§) Sole Proprietor ceased

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## Proposed Transfers of Membership

### **Termination of Special Transfer Agreement – Regular (To Be Effective May 31, 2007)**

<b>Samuel Aizer</b> Randi Hope L.L.C.	<b>to</b>	<b>Terence P. Dolan</b> Independent
<b>Jeffrey Josephson</b> Susquehanna Financial Group LLLP	<b>to</b>	<b>John Jay Mann, Jr. &amp; Co.</b>
<b>Matthew Moran</b> LaBranche Structured Products LLC	<b>to</b>	<b>Lynne Koondel</b> Independent
<b>Michael Ottinger*</b> B&W Global LLC	<b>to</b>	<b>Richard Russo</b> Employee of Pershing LLC
<b>Thomas Rennard</b> Renn Associates Inc.	<b>to</b>	<b>Frank Attardo</b> Independent

### **(To Be Effective June 5, 2007)**

<b>Douglas F. Salerno</b> Geronimo LLC	<b>to</b>	<b>Anthony F. Vaccaro</b> Independent
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### **Cancellation of Nominee Authorization & Agreement (To Be Effective May 31, 2007)**

<b>Joseph Maguire</b> Stifel Nicolaus & Company, Incorporated	<b>to</b>	<b>Stifel Nicolaus &amp; Company, Incorporated</b>
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**Termination of Special Transfer Agreement – OPM  
(To Be Effective at the Opening of Business on June 4, 2007)**

<b>Jeffrey Arron</b> R&R Proprietary LLC	to	<b>Jeffrey A. Wolfson</b> Independent
<b>Alex Lyudmer</b> L&R Trading LLC	to	<b>North Military Ltd.</b>

*\*Simultaneously transferring to another seat  
\*\*Currently a member on another seat*

**New Memberships**

**Sale**

**Regular**

**(To Be Effective May 31, 2007)**

<b>Alan N. Rechtschaffen</b> Independent	to	<b>Peter DePaola</b> Independent
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**Special Transfer Agreement – Regular  
(To Be Effective May 31, 2007)**

<b>Peter M. DeLucia</b> Independent	to	<b>Scott L. Young</b> <b>Independent Trader</b>
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<b>Peter DePaola</b> Independent	to	<b>Michael Ottinger</b> <b>Commission Broker of</b> DePaola Trading Inc.
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<b>Merrill Lynch Professional Clearing Corp.</b>	to	<b>John N. Hankey</b> <b>Trader of</b> Group One Trading L.P.
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**SROT Transfer–Regular  
(Effective May 31, 2007)**

<b>Frost Gamma Limited Partnership</b> Seat #s 178-R; 784-R; 787-R	to	<b>Donn J. Stobierski</b> <b>Off-Floor Trader of</b> Citadel Derivatives Group LLC
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**Current Members – Firm Status Change**

**Special Transfer Agreement – Regular  
(To Be Effective May 25, 2007)**

<b>Matthew A. Garrett</b> XTF Marking Making LLC (Jerold Wichtel – Lessor)	to	<b>Matthew A. Garrett</b> <b>Trader of</b> Apache Trading LLC
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<b>Brian N. Mattesich</b> XTF Marking Making LLC (Estate of Gerald Plotkin – Lessor)	to	<b>Brian N. Mattesich</b> <b>Trader of</b> Apache Trading LLC
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<b>Marc A. Pasuco</b> XTF Marking Making LLC (Gerald Parkoff – Lessor)	to	<b>Marc A. Pasuco</b> <b>Trader of</b> Apache Trading LLC
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**(To Be Effective May 31, 2007)**

<b>Rajiv R. Desai</b> XTF Market Making LLC (Guy Velardi – Lessor)	to	<b>Rajiv R. Desai</b> <b>Trader of</b> B&E Trading LLC
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**Spencer M. Meyers**  
B&W Global LLC  
(Charles J. Czaplinski – Lessor)

to

**Spencer M. Meyers**  
**Commission Broker of**  
DePaola Trading Inc.

**Lawrence M. Spodick**  
B&W Global LLC  
(Estate of Betty Lebowitz – Lessor)

to

**Lawrence M. Spodick**  
**Commission Broker of**  
DePaola Trading Inc.

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## **Proposed Associate Members**

**(To Be Effective 31, 2007)**

**Jeffrey Josephson**  
Assistant Manager of  
Global Execution Brokers, LP  
401 City Avenue  
Bala Cynwyd, PA 19004

**Francois A. Pages**  
Chief Executive Officer of  
Calyon Securities (USA) Inc.  
1301 Avenue of the Americas  
New York, NY 10019

**Michael R. White**  
Chief Operating Officer of  
Nunami Services, LLC  
410 17<sup>th</sup> Street, Suite 570  
Denver, CO 80202

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## **Proposed Allied Members**

**(To Be Effective May 25, 2007)**

**Stephanie E. Tancredi**  
Chief Operations Officer of  
Apache Trading LLC  
c/o Gettenberg Consulting  
40 Wall Street, 34<sup>th</sup> Floor  
New York, NY 10005

**(To Be Effective May 31, 2007)**

**Peter DePaola**  
Sole Shareholder, President &  
Chief Executive Officer of  
DePaola Trading Inc.  
c/o Gettenberg Consulting  
40 Wall Street  
New York, NY 10005

**Michael P. Doherty**  
Chief Compliance Officer of  
Global Execution Brokers, LP  
401 City Avenue  
Bala Cynwyd, PA 19004

**Fred T. Horn**  
Shareholder of  
Nunami, Inc.  
410 17<sup>th</sup> Street, Suite 570  
Denver, CO 80202

**George H Howard, III**  
Chief Compliance Officer & Secretary of  
Calyon Securities (USA) Inc.  
1301 Avenue of the Americas  
New York, NY 10019

**Richard M. Jablonski**  
Chief Operating Officer &  
Chief Administrative Officer of  
Calyon Securities (USA) Inc.  
1301 Avenue of the Americas  
New York, NY 10019

**Francois A. Pages**  
Chief Executive Officer of  
Calyon Securities (USA) Inc.  
1301 Avenue of the Americas  
New York, NY 10019

**James C. Parks**  
Chief Financial Officer of  
Nunami Services, LLC  
410 17<sup>th</sup> Street, Suite 570  
Denver, CO 80202

**Arnold Sarabella**  
Chief Financial Officer of  
Calyon Securities (USA) Inc.  
1301 Avenue of the Americas  
New York, NY 10019

**Joseph Sellitto**  
Chief Executive Officer and President of  
Global Execution Brokers, LP  
401 City Avenue  
Bala Cynwyd, PA 19004

**Karen A. Steichner**  
Chief Compliance Officer of  
Nunami Services, LLC  
410 17<sup>th</sup> Street, Suite 570  
Denver, CO 80202

**Brian P. Sullivan**  
Secretary and Treasurer of  
Global Execution Brokers, LP  
401 City Avenue  
Bala Cynwyd, PA 19004

**Bruce L. Wank**  
Vice President Options Trading of  
Nunami Services, LLC  
410 17<sup>th</sup> Street, Suite 570  
Denver, CO 80202

**Michael R. White**  
Chief Operating Officer  
& Head of Operations of  
Nunami Services, LLC  
410 17<sup>th</sup> Street, Suite 570  
Denver, CO 80202

## Proposed Approved Persons

(To Be Effective May 31, 2007)

**Aaron S. Hantman**  
President of  
SFG Partner, LLC  
1201 N. Orange Street  
Wilmington, DE 19801

**Manmohan S. Kalra**  
Director of  
Calyon Securities (USA) Inc.  
1301 Avenue of the Americas  
New York, NY 10019

**Jean-Marc Moriani**  
Chairman of  
Calyon Securities (USA) Inc.  
1301 Avenue of the Americas  
New York, NY 10019

**Philippe J. Soustra**  
Director of  
Calyon Securities (USA) Inc.  
1301 Avenue of the Americas  
New York, NY 10019

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## Proposed Approved Person Organizations

(To Be Effective May 31, 2007)

**Bornhoft Group Securities Corporation**  
**J. Scott Securities Corp.**  
**The Gemini Securities Group LLC**  
Under Common Control with  
Nunami Services, LLC  
410 17<sup>th</sup> Street, Suite 570  
Denver, CO 80202

**Citadel Capital Markets LLC**  
Owner of  
Citadel Derivatives Group LLC  
131 South Dearborn Street, 32<sup>nd</sup> Floor  
Chicago, IL 60603

**Nunami, Inc.**  
Sole Member of  
Nunami Services, LLC  
410 17<sup>th</sup> Street, Suite 570  
Denver, CO 80202

**SFG Partner, LLC**  
General Partner of  
Global Execution Brokers, LP  
1201 N. Orange Street  
Wilmington, DE 19801

**Susquehanna International Group, LLP**  
Limited Partner of  
Global Execution Brokers, LP  
401 City Avenue  
Bala Cynwyd, PA 19004

**SIG Brokerage LP**  
**SIG Index Specialists LLC**  
**Susquehanna Structured Products LLC**  
**Susquehanna Equity Investments**  
**Susquehanna Asia Pty Ltd**  
**Susquehanna Financial Products**  
**SIG Specialists Inc.**  
**Susquehanna Global Fixed Income Trading LLC**  
**Susquehanna Fixed Income LP**  
**Susquehanna International Securities Ltd.**  
**Susquehanna Ireland Ltd.**  
**Susquehanna pacific Pty Ltd.**  
**Capital Ventures International**  
**Susquehanna Capital Group**  
**Susquehanna Investment Group**  
**Susquehanna Securities**  
**Darby Financial Products**  
**Susquehanna Financial Group, LLLP**  
**Susquehanna Government Products, LLLP**  
**River Cross Securities, LP**  
Under common control with  
Global Execution Brokers, LP  
401 City Avenue  
Bala Cynwyd, PA 19004

**Zen Holdings, LLC**  
Member of the General Partner of  
Ronin Trading J.V.  
230 South LaSalle Street, Ste. 400  
Chicago, IL 60604

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## Proposed Member Organizations

**(To Be Effective May 25, 2007)**

**\*\*Apache Trading LLC**

To be admitted as a Regular Member Organization.

**(“LLC Member” does not signify Exchange Member)**

Address: c/o Gettenberg Consulting, 40 Wall Street, 34<sup>th</sup> Floor, New York, NY 10005

\*Marc A. Pasuco, Managing Member

Stephanie E. Tancredi, Chief Operations Officer

\*Matthew A. Garrett, Trader

\*Brian N. Mattesich, Trader

**(To Be Effective May 31, 2007)**

**#‡■Calyon Securities (USA) Inc.**

To be admitted as an Associate Member Organization.

Address: 1301 Avenue of the Americas, New York, NY 10019

George H Howard, III, Chief Compliance Officer & Secretary

Richard M. Jablonski, Chief Operating Officer, Chief Administrative Officer

Manmohan S. Kalra, Director

Jean-Marc Moriani, Chairman

†Francois A. Pages, Chief Executive Officer

Arnold Sarabella, Chief Financial Officer

Philippe J. Soustra, Director

**\*\*DePaola Trading Inc.**

To be admitted as a Regular Member Organization.  
Address: c/o Gettenberg Consulting, 40 Wall Street, New York, NY 10005  
Peter DePaola, Sole Shareholder, President Chief Executive Officer  
\*Spencer M. Meyers, Broker  
\*Michael Ottinger, Broker  
\*Lawrence M. Spodick, Broker

**‡# Global Execution Brokers, LP**

To be admitted as an Associate Member Organization.  
Address: 401 City Avenue, Bala Cynwyd, PA 19004  
Michael P. Doherty, Chief Compliance Officer  
Aaron S. Hartman, President of General Partner  
†Jeffery Josephson, Associate Member  
Joseph Sellitto, Chief Executive Officer and President  
SFG Partner, LLC, General Partner  
Brian P. Sullivan, Secretary and Treasurer  
Susquehanna International Group, LLP, Limited Partner

**‡ Nunami Services, LLC**

To be admitted as an Associate Member Organization.  
**(“LLC Member” does not signify Exchange Member)**  
Address: 410 17<sup>th</sup> Street, Suite 570, Denver, CO 80202  
Fred T. Horn, Shareholder of Sole Member  
Nunami Inc., Sole Member  
James C. Parks, Chief Financial Officer  
Karen A. Steichner, Chief Compliance Officer  
Bruce Wank, Vice President Options Trading  
†Michael R. White, Chief Operating Officer

**Any information you may have which would be pertinent to consideration of the applicants by the Exchange should be promptly reported to the Membership and Registration Department at 212-306-1419.**

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## Current Reports Made to the Exchange

Members' attention is called to the fact that the following reports are made to the Exchange within the coming next week:

**Report – To NASD Amex Regulation Division - Financial Regulation Dept. Due on or Before**

This request for reports is issued on behalf of the American Stock Exchange LLC (Amex) by the Financial Regulation Dept. of the NASD Amex Regulation Division pursuant to a grant of authority to the NASD. Accordingly, this constitutes a request for reports by the Amex.

Web FOCUS Report Part II Monthly - Member Organizations carrying customers and/or self-clearing are required to file by the 17th business day after month-end. Mon. June 25

Web FOCUS Report Part IIA Monthly or Net Capital Computation - Member Organization and Sole Proprietor broker-dealers subject to SEC Rule 15c3-1 net capital requirements but not carrying customers and/or self-clearing are required to file by the 17th business day after month-end. Mon. June 25

Equity Computation - Member Organizations subject to Exchange Rule 110 equity requirements **and exempt from SEC Rule 15c3-1 net capital requirements** are required to file by the 17th business day after month-end. **Amex market-makers in non-option products are subject to SEC Rule 15c3-1 net capital requirements and must file a Web FOCUS Report Part IIA or Net Capital Computation.** Mon. June 25

All terminating Amex-designated Member Organizations and Sole Proprietor broker-dealers are required to file an SEC Rule 17a-5(b) Web FOCUS Report Part II Withdrawal or IIA Withdrawal. Use the Web FOCUS Blank Forms tab to access the appropriate form.

Web FOCUS Users experiencing access problems with Web FOCUS should contact the **Gateway Call Center @ 301-869-6699** for assistance.

Please contact the NASD Amex Regulation Division - Financial Regulation Dept., One Liberty Plaza, 51<sup>st</sup> Floor – Robert Devine 212-858-4448 regarding Web FOCUS entitlement procedures, MO-14 statement, or MO-15 report. Other questions regarding financial reporting should be directed to your FRD examiner.

Please refer to Amex Notice REG 2006–55 dated Oct. 26, 2006, for additional information about filing reports with the Financial Regulation Dept. This Notice is posted on the webpage at:  
<http://www.amex.com/amextrader/tdrInfo/data/axNotices/2006/reg102606.html>.

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### **Equities Mid-Month Short Interest Schedule 2007**

<b>Trade Date</b>	<b>Settlement Date</b>	<b>Report Due</b>	<b>Report Published</b>
(Tuesday) June 12	(Friday) June 15	(Tuesday) June 19 – 1:00 p.m. EST	(Thursday) June 21

### **Derivatives (End-of-Month) Short Interest Schedule 2007**

<b>Trade Date</b>	<b>Settlement Date</b>	<b>Report Due</b>	<b>Report Published</b>
(Friday) May 25	(Thursday) May 31	(Friday) June 1 – 1:00 p.m. EST	(Tuesday) June 5

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### **The List**

This information can be found on the Web. In order to retrieve this information, go to <http://www.amextrader.com> and from the home page click the Daily List button. At the Daily List home page scroll down to Amex issues and select the view link for the current date. Should you have any questions please call (212) 306-2438.

#### **The Following Securities Have Been Approved for Listing:**

Barclays Bank PLC 5,000,000 shares of iPath Exchange Traded Notes Linked to the CBOE S&P 500 BuyWrite Index, maturing May 28, 2037

Citigroup Funding Inc 5,720,000 shares of 8% ELKS Based Upon Lowe's Companies, Inc. maturing June 6, 2008

Citigroup Funding Inc 11,210,000 shares of 10.85% ELKS Based Upon NYSE Euronext, maturing June 6, 2008

Citigroup Funding Inc 1,752,000 shares of PACERS Linked to eBay Inc. maturing November 26, 2008

Columbus Acquisition Corp 12,500,000 Units

Dejour Enterprises Ltd 72,098,197 shares of Common Stock, Without Par Value

Morgan Stanley 3,075,000 shares of Capital Protected Notes based on a Global Basket of Indices, maturing August 20, 2011

Morgan Stanley 1,279,224 shares of 8% SPARQS Linked to Intel Corporation, maturing June 20, 2008

Morgan Stanley 1,519,490 shares of 9% SPARQS Linked to Lyondell Chemical Company, maturing June 20, 2008

Morgan Stanley 1,186,420 shares of 8% SPARQS Linked to Valero Energy Corporation, maturing June 20,2008

Renaissance Capital Growth & Income Fund III, Inc. 4,463,967 shares of Common Stock, Par Value \$1.00

Vantage Energy Services, Inc 30,000,000 Units

**Additional & Substitution Listings:**

Anooraq Resources Corp., 203,000,000 Shares of Common Stock, No Par Value

Canyon Resources Corporation, 18,970,590 Shares of Common Stock, \$0.01 Par Value

Cortex Pharmaceuticals, Inc., 2,500,000 Shares of Common Stock, \$0.001 Par Value

Crosshair Exploration & Mining Corp., 175,000 Shares of Common Stock, No Par Value

Document Security Systems, Inc., 37,273 Shares of Common Stock, \$0.02 Par Value

Empire Financial Holding Company, 37,500 Shares of Common Stock, \$0.01 Par Value

Endeavour Silver Corp., 1,350,000 Shares of Common Stock, No Par Value

Flexible Solutions International, Inc., 1,426,178 Shares of Common Stock, \$0.0001 Par Value

Gastar Exploration Ltd., 1,757,195 Shares of Common Stock, No Par Value

Hemispherx Biopharma, Inc., 15,000,000 Shares of Common Stock, \$0.001 Par Value

Inovio Biomedical Corporation, 4,595,094 Shares of Common Stock, \$0.01 Par Value

Kitty Hawk, Inc., 8,216,657 Shares of Common Stock, \$0.000001 Par Value

LMS Medical Systems, Inc., 422,500 Shares of Common Stock, No Par Value

Petro Resources Corporation, 2,240,467 Shares of Common Stock, \$0.01 Par Value

Tanzanian Royalty Exploration Corporation, 66,254 Shares of Common Stock, No Par Value

ThinkEngine Networks, Inc., 110,000 Shares of Common Stock, \$0.001 Par Value

Z Trim Holdings, Inc., 1,385,000 Shares of Common Stock, \$0.00005 Par Value

**Corporate Actions and New Listings announced for May 18 – May 25, 2007 can be viewed on the Issues Daily list homepage on [amextrader.com](http://amextrader.com); click on [Issues Daily List](#) to view the Issues Daily List.**

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**Registered Interest**

**Name of Company**

**Record Date**

**(New York unless stated otherwise)**

**Interest due March 1**

Trans-Lux Corp.

(8.25% Limited Conv Sr Subordinated Notes, due 3/1/12)

Feb.15

**Interest due March 3**

Lehman Brothers  
(2% Morgan Stanley link Notes, due 3/3/09) Feb. 16

**Interest due March 5**

Freedom Depository LLC/Amherst Securities (5.10% CI A,  
USAuto Series 2004-1, Freedom Certificates, due 3/3/211) Mar. 2

**Interest due March 13**

Citigroup Global Market Holdings (0.25% Basket of Tech  
Stocks Cash Exchangeable Notes, due 09/13/07) Feb. 26

**Interest due March 15**

Stater Bros. Holdings Inc. (Floating Rate Notes, due 6/15/10) Mar. 1

**Interest due March 20**

Citigroup Global Market Holdings (Dow Jones EURO  
STOXX 50 Index Principal-Protected Notes, due 12/20/07) Mar. 5

**Interest due March 22**

Goldman Sachs  
(0.25% EMC Corp. Exchangeable Notes, due 3/22/07) Mar. 21

**Interest due March 23**

Bank of America  
(1.625% Nikkei 225 Index CYCLES, due 3/23/10) Mar. 8

**Interest due March 27**

Bank of America  
(1.25% "100/0" Basket of 4 Indices CYCLES, due 9/27/11) Mar. 12

**Interest due April 2**

CIBC World Markets Corp.  
(10 Stock Basket Yield Generator Notes, due 3/11/11) Mar. 30  
(CIBC Premium Yield Generator Notes, due 4/1/13) Mar. 30

**Interest due April 10**

Wachovia Corporation  
(19.25% General Motors Enhanced Yield Secs, due 7/10/07) Mar. 26

**Interest due April 13**

ABN AMRO (Medium Term Notes,  
Series A, Leveraged CPI Linked Securities, due 1/13/20) Mar. 29

**Interest due April 20**

Wachovia Corporation  
(10% Consol Energy Inc. Enhanced Yield Securities, 4/20/07) Apr. 5

**Interest due April 30**

Bank of America  
(1.75% Basket of 3 Indices CYCLES, due 4/30/09) Apr. 15  
(1.75% S&P 500 CYCLES, due 4/28/11) Apr. 20

CIBC World Markets  
(2% DJIA Principal Protected Notes, due 5/5/10) Apr. 27

Morgan Stanley  
(2% Proctor & Gamble Exchangeable Notes, due 4/30/11) Apr. 15

**Interest due May 14**

CIBC World Markets (Ten Large-Cap U.S Stocks Yield  
Generator Notes, due 5/13/10) May 11

**Interest due May 15**

Wachovia Corporation	
(11.25% Toll Brothers Enhanced Yield Secs, due 5/15/07)	Apr. 30
(9.375% Transocean Enhanced Yield Secs, due 5/15/07)	Apr. 30

**Interest due May 22**

CIBC World Markets (Premium Yield Generator Notes Linked to a Basket of Ten-Large Cap U.S. Stocks, due 5/22/08)	May. 21
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**Interest due May 29**

Bank of America	
(1.5% S&P 500 Capital Protect Equity Performance Notes, due 11/26/2010)	May. 1
(1% Basket CYCLES linked to a 70/30 Basket of Four Indices & 1 ETF, due 5/27/10)	May. 12
Merrill Lynch & Co., Inc. (1% McDonald's Convertible Exchangeable Securities, due 5/28/09)	May. 13
Allstate Life Global Funding (4.50% Secured Medium Term Notes, due 5/29/09)	May. 14
Bear Stearns Companies, Inc. (1.5% S&P 500 Principal Protected Notes, due 11/30/09)	May. 15

**Interest due June 15**

Bond Trust Products LLC (Bond Trust Products Trust Pass-Through Trust Certificates, due 6/15/2010)	Jun. 14
Stater Bros. Holdings Inc. (Floating Rate Notes, due 6/15/10)	Jun. 1
(8 1/8% Senior Notes, due 6/15/12)	Jun. 1
Wachovia Corporation (.25% Basket of Common Stocks Exchangeable Notes, due 12/15/10)	May. 31

**Interest due June 27**

Bank of America (1.25% Basket CYCLES Linked to a "70/30" Basket of Four Indices and 1 ETF, due 12/27/10)	Jun. 12
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**Interest due June 28**

Bank of America (S&P 400 MidCap Index CYCLES, due 12/28/10)	Jun. 13
(1.5% Healthcare Stocks Capital Protected Equity Performance Link Securities., due 12/20/11)	Jun. 13

**Interest due July 2**

Morgan Stanley (2% 3M Co. Exchangeable Notes, due 12/30/10)	Jun. 15
(1.25% General Electric Exchangeable Notes, due 12/30/08)	Jun. 15
(1.5 % Wal-Mart Stores Exchangeable Notes, due 6/30/11)	Jun. 15
CIBC World Markets (10 Large-Cap U.S Stocks Yield Generator Notes, due 6/30/11)	Jun. 29





Wachovia Corporation	WDB.B (Nikkei 225 ASTROS-3/2/10)
Wachovia Corporation	WDB.D (S&P 500 linked to Trigger CAPITALS-12/8/08)
Wachovia Corporation	WDB.E (Global Basket of Indices ASTROS-2/2/10)
Wachovia Corporation	WDB.I (Global Equity Basket ASTROS-5/5/10)
Wachovia Corporation	WDB.M (Metals-China Basket ASTROS-1/28/09)
Wachovia Corporation	WTY.A (4.5% U.S. Treasuries Principal Protect Notes-6/6/07)
Wells Fargo & Company	WFG.A (S&P 500 linked Notes-1/4/08)
Wells Fargo & Company	WFG.B (Nasdaq-100 linked Notes-1/4/08)
Wells Fargo & Company	WFG.C (Basket linked Notes-4/15/09)
Wells Fargo & Company	WFG.D (DJIA High Point Average linked Notes-5/5/10)
Wells Fargo & Company	WFG.F (S&P 500 Callable Notes-8/25/09)

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## Halted Issues

Transactions in the following securities have been halted for a period exceeding five trading days. Members and member organizations are reminded of the provisions of Exchange Rule 462(d)1 when determining the value to place upon those securities for margin purposes.

Any purchase or sale of a security which has been halted by the Exchange may be subject to Federal regulation as well as the rules of the other market(s) where the purchase or sale is to take place.

Members are reminded that open orders on the books of the specialist for an issue which has been halted for 30 days are automatically canceled.

**Name of Company (Issue)**

Dyadic International

**Date of Halt**

April 23, 2007

a — Addition to previous Bulletin.

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## Dividends

**Dividends announced for May 21, 2007 – May 25, 2007 can be viewed on the Dividend Daily list homepage on [amextrader.com](http://amextrader.com); click on [Dividends Daily List](#) to view the Dividends Daily List.**

Please call the Corporate Actions/Proxy Rulings Department at 212-306-2438 with any questions.

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## Meetings of Stockholders

Members should, insofar as possible without additional expense, transfer certificates of stock held in persons or firms over whom they have no control into their own names, or those of persons over whom they have control, before the record date for determining stockholders entitled to vote.

As a guide to Member Organizations in giving proxies pursuant to Rule 577 to vote stock held for the account of customers the following symbols are used in the list of meetings below:

- V = Preceding Record Date indicates Members MAY vote the entire proxy under the Ten Day Rule.
- X = Together with numbers or letters indicates that Members MAY NOT vote the designated matters without specific instructions from owners. Numbers or letters shown are those used in the PROXY FORM.
- X = With no other designation indicates that Members MAY NOT vote the entire proxy.
- NP = Indicates that proxies are not being solicited.

The following abbreviations are used for items not numbered or lettered in the proxy form:

SP = Stockholder Proposal(s)	BY = By-Law Amendment
OP = Option Plan	CH = Charter Amendment
STP = Stock Purchase Plan	MP = Merger Proposal
CP = Compensation Plan	

Where no symbol appears, proxy material has not yet been received.

<u>Name of Company</u>	<u>Record</u>	<u>Meeting</u>
Ablest Inc.	X Apr. 24	A Jun. 7
Abraxas Petroleum Corporation	May 25	A Jun. 26
AirNet Systems, Inc.	V Apr. 27	A Jun. 6
Alpha Pro Tech, Ltd.	V Apr. 18	A Jun. 4
* Alteon, Inc. Note: (1)	May 24	A
American Community Properties Tr	V Apr. 6	A Jun. 6
American Israeli Paper Mills Ltd.	May 24	A Jun. 17
American Mortgage Acceptance Co.	V Apr. 13	A Jun. 12
American Oil & Gas Inc.	V Apr. 30	A May 31
American Shared Hospital Services	V May 1	A Jun. 14
AmReit, Inc.	V Apr. 2	A May 30
AMS Health Sciences, Inc.	V Apr. 27	A Jun. 18
Anooraq Resources Corporation	May 11	A Jun. 20
Apex Silver Mines Ltd.	V Apr. 19	A Jun. 7
Arizona Land Income Corporation	May 9	S Jun. 15
Ascendia Brands, Inc. Note: (2)	Feb. 9	
Aspyra, Inc.	V May 10	A Jun. 25
Augusta Resource Corporation	Apr. 5	A Jun. 1
* Austral Pacific Energy Ltd. Note: (4)	Jul. 13	A Aug. 22
Axesstel, Inc.	V Apr. 24	A May 30
BioSante Pharmaceuticals, Inc.	V Apr. 17	A Jun. 14
Birch Mountain Resources Ltd.	Apr. 26	A May 31
*Boots & Coots International Well Control, Inc.	V May 4	A Jun. 20
CabelTel International Corp. Note: (2)	Feb. 16	
Cagle's, Incorporated	May 25	A Jul. 13
CanArgo Energy Corporation	V Apr. 23	A Jun. 5
Canterbury Park Holding Corporation	V Apr. 13	A Jun. 7
CEL-SCI Corporation	May 11	A
Celsion Corporation	X-2-4 Apr. 30	A Jun. 13
Challenger Energy Corp.	May 15	A Jun. 27
Clough Global Allocation Fund	May 23	A Jul. 23
Clough Global Equity Fund	May 23	A Jul. 23
Clough Global Opportunities Fund	May 23	A Jul. 23
Colonial CA Insured Muni Fund	X-1-2-3 Apr. 10	A Jun. 22
COMFORCE Corporation	V Apr. 26	A Jun. 12
* Communications Systems Note: (1)	V Apr. 27	A Jun. 21
Constellation Energy Partners, LLC	May 24	S Jun. 26
Conversion Services International, Inc. Note: (2)	Mar. 20	
Covad Communications Group, Inc.	X-2 Apr. 16	A Jun. 7
CPI Aerostructures, Inc.	V Apr. 26	A Jun. 12
Crystallex International Corp. Note: (4)	May 22	A Jun. 28
Cycle Country Accessories Corporation	May 7	A Jun. 26
Daxor Corporation	V May 14	A Jun. 15
Digital Angel Corporation	Mar. 21	A
Dune Energy, Inc. Note: (2)	Apr. 25	
DWS RREEF Real Estate Fund	V Apr. 23	A Jun. 7
DWS RREEF Real Estate Fund II	V Apr. 23	A Jun. 7
Eaton Vance Insured California Municipal Bond Fund	May 14	A Jul. 20

Eaton Vance Insured California Municipal Bond Fund II		May	14	A	Jul.	20
Eaton Vance Insured Florida Municipal Bond Fund		May	14	A	Jul.	20
Eaton Vance Insured Massachusetts Municipal Bond Fund		May	14	A	Jul.	20
Eaton Vance Insured Michigan Municipal Bond Fund		May	14	A	Jul.	20
Eaton Vance Insured Municipal Bond Fund		May	14	A	Jul.	20
Eaton Vance Insured Municipal Bond Fund II		May	14	A	Jul.	20
Eaton Vance Insured New Jersey Municipal Bond Fund		May	14	A	Jul.	20
Eaton Vance Insured New York Municipal Bond Fund		May	14	A	Jul.	20
Eaton Vance Insured New York Municipal Bond Fund II		May	14	A	Jul.	20
Eaton Vance Insured Ohio Municipal Bond Fund		May	14	A	Jul.	20
Eaton Vance Insured Pennsylvania Municipal Bond Fund		May	14	A	Jul.	20
Elite Pharmaceuticals, Inc.		May	15	A	Jun.	26
Empire Resources, Inc.	V	Apr.	26	A	Jun.	20
ENGlobal Corporation	X-2	Apr.	16	A	Jun.	14
Environmental Power Corporation		Apr.	16	A	Jun.	12
ExpXpress-1 Expedited Solutions, Inc.	X-3	May	1	A	Jun.	14
Flexible Solutions International, Inc.	X-2	Apr.	27	A	Jun.	21
Full House Resorts, Inc.	V	Apr.	20	A	May	31
Fusion Telecommunications International, Inc.	X-1	Apr.	30	A	Jul.	12
*Galaxy Energy Corporation	Note: (9)	Jan.	19	S		
Gammon Lake Resources, Inc.		May	3	A	Jun.	6
Gastar Exploration Ltd.	Note: (4) X-5	Apr.	27	A	Jun.	1
General Finance Corporation		Apr.	20	S		
General Finance Corporation	X-2	May	10	A	Jun.	14
GeoGlobal Resources Inc.	V	May	9	A	Jun.	20
GeoPetro Resources Company	V	Apr.	27	A	Jun.	14
Gold Reserve Inc.	Note: (4)	Apr.	30	A	Jun.	7
Goldfield Corporation (The)	V	Apr.	13	A	May	31
Graham Corporation		Jun.	1	A	Jul.	26
Great Basin Gold Ltd.	Note: (4)	May	14	A	Jun.	19
GSE Systems Inc.	X-3	May	25	A	Jun.	13
Halifax Corporation		Jun.	8	A		
Hallwood Group Incorporated (The)	V	May	11	A	Jun.	20
Harken Energy Corporation	V	Apr.	6	A	Jun.	4
Hawaiian Holdings, Inc.	V	Apr.	16	A	May	30
Hawk Corporation	V	Apr.	5	A	May	30
HearUSA, Inc.	X-2	Apr.	13	A	Jun.	11
Hemispherx Biopharma, Inc.	X-3	Apr.	23	A	Jun.	20
Hooper Holmes, Inc.	X-3	Apr.	13	A	May	30
IA Global, Inc.	X-4	May	29	A	Jun.	29
Idera Pharmaceuticals, Inc.	X-2	Apr.	17	A	Jun.	13
ILX Resorts Incorporated	V	Apr.	16	A	Jun.	20
Imageware Systems, Inc.		Aug.	10	A	Sep.	19
Innsuites Hospitality Trust		May	29	A	Jul.	12
Intelli-Check Inc.	V	May	2	A	Jun.	4
Interleukin Genetics, Inc.	V	Apr.	24	A	Jun.	12
International Absorbents Inc.	V	Apr.	16	A	Jun.	7
iParty Corporation	V	Apr.	16	A	Jun.	6
ISCO International, Inc.	V	Apr.	16	A	Jun.	8

Javelin Pharmaceutical, Inc.		May	22	A	Jun.	26
*Jazz Technologies, Inc. Note: (3)	V	May	2	A	Jun.	13
JED Oil Inc. Note: (4)		Apr.	27	A	May	28
Kitty Hawk, Inc.		May	1	A		
Ladenburg Thalmann Financial Services, Inc.		May	10	A		
Lorus Therapeutics, Inc.		May	24	S	Jun.	25
LSB Industries, Inc.	X-3	Apr.	16	A	Jun.	14
Lundin Mining Corporation Note: (4)		Apr.	20	A	Jun.	18
Markwest Hydrocarbon Inc.	V	Apr.	13	A	Jun.	13
Matritech, Inc.	X-2-3	Apr.	12	A	Jun.	8
MAXXAM Inc.	V	Apr.	6	A	May	30
MCF Corporation	X-2	Apr.	20	A	Jun.	8
Merrimac Industries, Inc.	V	Apr.	27	A	Jun.	20
Metrotek Technology, Inc.	V	Apr.	20	A	Jun.	11
Metropolitan Health Networks, Inc.	V	Apr.	13	A	Jun.	7
MidSouth Bancorp, Inc.	X-2	Mar.	31	A	May	30
*Midwest Air Group, Inc. Note: (7)	X	May	11	A	Jun.	14
Mines Management, Inc.	V	May	8	A	Jun.	15
MPC Corporation	V	May	7	A	Jun.	29
National Lampoon, Inc.		May	18	A	Jun.	19
Natural Gas Services Group, Inc.	V	May	8	A	Jun.	19
Neuberger Berman Real Estate Securities Income Fund Inc. Note: (5)	X	Feb.	21	A	Jun.	6
New Dragon Asia Corp. Note: (2)		Dec.	19			
Northern Dynasty Minerals Ltd.		May	3	A	Jun.	7
Northern Orion Resources Inc.		Apr.	30	A	Jun.	4
NovaDel Pharma, Inc.	V	Apr.	20	A	Jun.	6
NovaGold Resources, Inc.		Apr.	30	A	May	31
NTN Buzztime, Inc.	V	May	1	A	Jun.	15
NTS Realty Holdings Limited Partnership	V	Apr.	30	A	Jun.	12
*Nuveen Dividend Advantage Municipal Fund 2		Jun.	4	A	Jul.	31
*Nuveen Dividend Advantage Municipal Fund 3		Jun.	4	A	Jul.	31
*Nuveen Insured Dividend Advantage Municipal Fund		Jun.	4	A	Jul.	31
*Nuveen Insured Tax-Free Advantage Municipal Fund		Jun.	4	A	Jul.	31
*Nuveen Municipal High Income Opportunity Fund		Jun.	4	A	Jul.	31
O2Diesel Corporation		May	29	A	Jul.	10
ON2 Technologies, Inc.		Apr.	16	A		
Orchids Paper Products Company	V	May	1	A	Jun.	19
Overhill Farms, Inc.	V	May	7	A	Jun.	12
Pacific CMA, Inc.		May	25	A	Jun.	29
*Paragon Technologies, Inc.		Jun.	12	A	Aug.	1
Petro Resources Corporation		May	7	A		
Phoenix Footwear Group, Inc.	V	Apr.	20	A	May	31
Pioneer Drilling Company		Jun.	8	A	Aug.	3
PLC Systems, Inc.	V	Apr.	27	A	Jun.	13
PMC Commercial Trust	V	Apr.	16	A	Jun.	9
PRB Energy, Inc.	X-2	Apr.	20	A	May	31
Presidential Realty Corporation	V	Apr.	19	A	Jun.	15
Putnam New York Investment Grade Municipal Trust		Apr.	23	S	Jun.	22
Pyramid Oil Company	V	Apr.	30	A	Jun.	7
QuadraMed Corporation	X-2	Apr.	9	A	Jun.	7

RAE Systems, Inc.	X-2	Apr.	23	A	Jun.	14
Ready Mix, Inc.	V	Apr.	4	A	Jun.	11
RegeneRX Biopharmaceuticals Inc.	V	Apr.	13	A	Jun.	7
*Rio Narcea Gold Mines, Ltd.	Note: (6)	Apr.	27	A	May	30
SCOLR Pharma, Inc.	V	Apr.	17	A	Jun.	7
Seabridge Gold Inc.		May	16	A	Jun.	27
Servotronics, Inc.		May	22	A	Jun.	29
Signalife, Inc.	Note: (2)	Mar.	9			
Smart Move, Inc.	V	Apr.	26	A	Jun.	12
SmartPros Ltd.	V	Apr.	19	A	Jun.	14
Solitario Resources Corporation	V	Apr.	25	A	Jun.	14
Southern Connecticut Bancorp, Inc.	V	May	2	A	Jun.	12
Spark Networks plc	X	Apr.	18	A	Jun.	15
Storm Cat Energy Corporation		May	7	A	Jun.	21
Sulphco, Inc.		Apr.	30	A	Jun.	19
Tag-It-Pacific, Inc.		Jun.	4	A	Jul.	31
Telephone & Data Systems Inc.		Jun.	8	A	Jul.	26
TGC Industries, Inc.	V	Apr.	9	A	Jun.	5
Thai Capital Fund, Inc. (The)	X-2	Mar.	16	A	Jun.	4
Think Partnership Inc.	V	Apr.	26	A	May	30
Tofutti Brands, Inc.	V	May	4	A	Jun.	7
Transforma Acquisition Group Inc.	V	Apr.	27	A	May	30
Trans-Lux Corporation	V	Apr.	20	A	May	31
Tucows Inc.	V	Apr.	30	A	Jun.	7
Ultra Petroleum Corp.	Note: (8)	X-3	May	A	Jun.	14
*UMH Properties, Inc.		V	May	A	Jun.	14
*United Capital Corp.		V	May	A	Jun.	19
Universal Power Group, Inc.	X-2	Apr.	20	A	Jun.	18
Uranerz Energy Corporation	X-3	Apr.	23	A	Jun.	19
US Gold Corporation	V	Apr.	23	A	Jun.	12
UTEK Corporation	V	May	9	A	Jun.	15
Van Kampen Advantage Municipal Income Trust II	V	Apr.	27	A	Jun.	22
Van Kampen Massachusetts Value Municipal Income Trust	V	Apr.	27	A	Jun.	22
Van Kampen Select Sector Municipal Trust	V	Apr.	27	A	Jun.	22
VCG Holding Corp.	X-3	May	23	A	Jun.	12
Veri-Tek International Corp.	V	Apr.	27	A	Jun.	18
*Virco Manufacturing Corp.	X-3	Apr.	27	A	Jun.	19
*Vita Food Products, Inc.	Note: (2)	Apr.	13	A		
Wellsford Real Properties, Inc.	X-1-2	Apr.	17	A	May	30
Westmoreland Coal Company		Jun.	4	A	Jul.	19
WidePoint Corporation	V	Apr.	27	A	Jun.	22
*Wilshire Enterprises, Inc.		Jun.	8	A	Jul.	19
Wireless Xcessories Group Inc.	V	Apr.	30	A	Jun.	6
*Z Trim Holdings, Inc.		Jul.	13	A	Aug.	22
Zion Oil & Gas, Inc.	V	Apr.	27	A	Jun.	19

\*Additions to or change from previous Bulletins.

S Special Meeting.

A Annual Meeting.

Adj Adjourned Meeting.

† In Lieu of Annual Meeting.

(1) Meeting date revised by the Company.

(2) Record date is for "Information Statement" only, no solicitation involved.

(3) Record date revised by the Company.

(4) Meeting is both Annual and Special.

- (5) In addition to proxy material filed by the company, Western Investment LLC has filed soliciting proxies in opposition of the Board of Directors and to elect their own directors. Member organizations May Not Vote either proxy unless specific instructions have been received from such beneficial owners.
- (6) Record and meeting date rescinded by the Company.
- (7) In addition to proxy material filed by the company, AirTran Holdings, Inc., and its wholly owned subsidiaries, has filed soliciting proxies in opposition of the Board of Directors and to elect their own directors. Member organizations May Not Vote either proxy unless specific instructions have been received from such beneficial owners.
- (8) Ruling based on proxy card.
- (9) Record date rescinded by the Company.



Geraldine M. Brindisi  
Corporate Secretary