



Weekly Bulletin

June 22, 2007

Notices

Hours of Business – Holiday Schedule

The American Stock Exchange will not be open for business on Wednesday, July 4, 2007 in observance of Independence Day.

Early Closing

On Tuesday, July 3, 2007, the American Stock Exchange will end trading (1) for those products that normally cease trading at 4:00 p.m. at 1:00 p.m.; and (2) for those products that normally cease trading at 4:15 p.m. at 1:15 p.m. Basket trades for Crossing Session II will be reported (to the Consolidated Tape) at 1:30 p.m.

Membership Seat Prices (in 000s)

(As of 9:30 A.M., June 22, 2007)

	BID	OFFER	LAST CONTRACTED
Regular	317.5	369	360
Options Principal	25	None	320
Limited Trading Permits	None	6.5	25

Includes only those sales arranged pursuant to bids and offers filed with the Membership and Registration Department between the hours of 9:30 a.m. – 4:00 p.m.

Seat market information may be obtained by calling toll-free (877) Amex Seat (877-263-9732). Seat prices are updated intraday on www.amextrader.com.

Membership Seat Sales

Regular

(Since June 13, 2007)

\$360,000 – June 19, 2007

\$357,500 – June 19, 2007

\$347,500 – June 18, 2007

Membership Lease Prices

(As of 9:30 A.M., June 22, 2007)

The following leases were received:

Includes monthly rental rate information only with respect to Exchange-approved negotiated leases. Not reported are arms length leases or leases for a nominal consideration.

REGULAR

<u>Price</u>	<u>New</u>	<u>Term</u>	<u>Price</u>	<u>Renewal</u>	<u>Term</u>
\$375		month-to-month	2 @ \$400		6 months
			2 @ \$375		6 months
			2 @ \$350		6 months
			\$350		month-to-month

OPTIONS PRINCIPAL

<u>Price</u>	<u>New</u>	<u>Term</u>	<u>Price</u>	<u>Renewal</u>	<u>Term</u>
None			None		

LIMITED TRADING PERMIT

<u>Price</u>	<u>New</u>	<u>Term</u>	<u>Price</u>	<u>Renewal</u>	<u>Term</u>
None			None		

Transfers of Membership

**Termination of Special Transfer Agreement – Regular
(Effective June 21, 2007)**

Michael Krugolets Goldman Sachs Execution & Clearing L.P. Badge No. 901-C	to	Stewart N. Bader Independent 21 Milrose Lane Monsey, NY 10952-4911 Seat No. 21-R
John Baldasare GHA, LLC Badge No. 340	to	Estate of Bruce Philipson 7004 Marbury Road Bethesda, MD 20817 Seat No. 634-R
Christopher T. Conyers Goldman Sachs Execution & Clearing LP Badge No. 501-G	to	Bernard W. O'Brien Independent 24 Center Drive Whitestone, NY 11357 Seat No. 409-R
Joseph M. Reiszal Cohen Specialists LLC Badge No. 896-R	to	Morris Holdings LLC Attn: Andrew I. Cohen 2 Rector Street New York, NY 10006 Seat No. 629-R

**Simultaneously transferring to another seat*

***Currently a member on another seat*

New Memberships

Sale

Regular

(Effective June 21, 2007)

Boca Partners

to

Larkspur Securities Inc.

Attn: David W. Maier
401 South LaSalle Street, #200
Chicago, IL 60605
Seat No. 560-R

Special Transfer Agreement – Regular

(Effective June 20, 2007)

Toro Trading LLC

to

**Damian Eckstein
Trader**

Trinity Derivatives Group LLC
120 Broadway
New York, NY 10271
Seat No. 521-R
Badge No. 870-E

Current Members – Firm Status Change

Special Transfer Agreement – Regular

(Effective at the Opening of Business on June 18, 2007)

Andrew Losty

Trinity Derivatives Group LLC
(Henry Hackel – Lessor)
Badge No. 870-T

to

**Andrew Losty
Trader**

Golden Anchor Trading II LLC
2 Rector Street, 3rd Floor
New York, NY 10006
Seat No. 351-R
Badge No. 614-L

(Effective June 19, 2007)

James S. Anastas

Taylor Execution Services LLC
(Joseph DeMarco – Lessor)
Badge No. 112

to

**James S. Anastas
Commission Broker**

Taylor Execution Services LLC and
Saratoga Capital LLC
260 Silver Hill Road
Easton, CT 06612
Seat No. 158-R
Badge No. 112

(Effective June 21, 2007)

James D. Welch

Sparta Group of Chicago LP
(Stacy J. Glass – Lessor)
Badge No. 602-W

to

**James D. Welch
Trader**

Drago Trading LLC
65 West 70th Street, #5N
New York, NY 10023
Seat No. 466-R
Badge No. 1026

Associate Member

(Effective June 20, 2007)

Michael R. White
Chief Operating Officer
Nunami Services, LLC
410 17th Street, Suite 570
Denver, CO 80202

Allied Members

(Effective June 19, 2007)

Thomas T. Halikias
Managing Director
Saratoga Capital LLC
99 Wall Street, Suite 1200
New York, NY 10005

Kevin G. Tingley

Chief Executive Officer/Managing Member
Saratoga Capital LLC
99 Wall Street, Suite 1200
New York, NY 10005

(Effective June 20, 2007)

Fred T. Horn
Shareholder
Nunami, Inc.
410 17th Street, Suite 570
Denver, CO 80202

James C. Parks

Chief Financial Officer
Nunami Services, LLC
410 17th Street, Suite 570
Denver, CO 80202

Karen A. Steighner

Chief Compliance Officer
Nunami Services, LLC
410 17th Street, Suite 570
Denver, CO 80202

Bruce L. Wank

Vice President Options Trading
Nunami Services, LLC
410 17th Street, Suite 570
Denver, CO 80202

Michael R. White

Chief Operating Officer
& Head of Operations
Nunami Services, LLC
410 17th Street, Suite 570
Denver, CO 80202

Approved Person Organizations

(Effective June 19, 2007)

RCM Saratoga Capital, LLC

Sole Owner
Saratoga Capital LLC
99 Wall Street, Suite 1200
New York, NY 10005

Renaissance Capital Holdings LLC

Under Common Control With
Saratoga Capital LLC
99 Wall Street, Suite 1200
New York, NY 10005

(Effective June 20, 2007)

Nunami, Inc.

Sole Member
Nunami Services, LLC
410 17th Street, Suite 570
Denver, CO 80202

Changes in Member Organizations

****Drago Trading LLC**

Admitted as a Regular Member Organization, effective June 21, 2007.

(“LLC Member” does not signify Exchange Member)

Address: 65 West 70th Street, #5N, New York, NY 10023

*James D. Welch, Managing Member/Trader

‡ Nunami Services, LLC

Admitted as an Associate Member Organization, effective June 20, 2007.

(“LLC Member” does not signify Exchange Member)

Address: 410 17th Street, Suite 570, Denver, CO 80202

Fred T. Horn – Shareholder of Sole Member and Allied Member

Nunami Inc. – Sole Member and Approved Person Organization

James C. Parks - Chief Financial Officer and Allied Member

Karen A. Steighner – Chief Compliance Officer and Allied Member

Bruce Wank – Vice President Options Trading and Allied Member

†Michael R. White – Chief Operating Officer and Allied Member

****Saratoga Capital LLC**

Admitted as a Regular Member Organization, effective June 19, 2007.

(“LLC Member” does not signify Exchange Member)

Address: 99 Wall Street, Suite 1200, New York, NY 10005

RCM Saratoga Capital LLC, Sole Owner and Approved Person Organization

Thomas T. Halikias, Managing Director and Allied Member

Kevin G. Tingley, Chief Executive Officer/Managing Member and Allied Member

@*James S. Anastas, Broker

****Sparta Group of Chicago LP**

Ceased to be registered as a Regular Member Organization with the transfer of *James D. Welch (Badge #602-W), effective June 21, 2007.

-
- * Regular Member
 - ** Regular Member Organization
 - Options Principal Member
 - Options Principal Member Organization
 - † Associate Member
 - ‡ Associate Member Organization
 - # CBOE Member Organization
 - NYSE Member Organization

- Limited Trading Permit Holder
 - Limited Trading Permit Holder Organization
 - @ Also Employed By Another Firm
 - (\$) Sole Proprietor ceased
-

Proposed Transfers of Membership

Termination of Special Transfer Agreement – Regular (To Be Effective June 28, 2007)

Edward P. Casserly* to **Estate of Robert Levy**
Chapdelaine Brokerage LLC

Brian K. Hyndman to **Howard Levine**
Nasdaq Execution Services LLC Independent

Scott Jacobs** to **Julien Sobel**
Consolidated Trading LLC Independent

David Schneider to **Jeffrey A. Wolfson**
DC Trading Partners LLC Independent

Robert A. Wolfson* to **John Jay Mann, Sr.**
Golden Anchor Trading II, LLC Independent

(To Be Effective July 2, 2007)

Gregory S. Keary* to **James M. Herr**
AGS Specialists II LLC Independent

Jeffrey S. Paul to **Matthew H. Frank**
LaBranche Structured Products LLC Independent

(To Be Effective July 3, 2007)

William S. Nuckols to **Robert J. VanCaneghan**
Equitec Proprietary Markets LLC, Equitec
Specialists, LLC and Equitec New York LLC Independent

Cancellation of Nominee Authorization & Agreement (To Be Effective June 28, 2007)

Michael T. Haley to **J. Streicher & Co. LLC**
J. Streicher & Co. LLC

**Simultaneously transferring to another seat*

***Currently a member on another seat*

New Memberships

Sale

Regular

(To Be Effective June 28, 2007)

UBS Clearing Services Corporation to **UBS Securities LLC**

UBS Clearing Services Corporation to **UBS Securities LLC**

(To Be Effective July 2, 2007)

James M. Herr to **Allan B. Kachel**
Independent Independent

OPM

(To Be Effective June 28, 2007)

UBS Clearing Services Corporation to **UBS Securities LLC**

Special Transfer Agreement – Regular

(To Be Effective June 25, 2007)

Stewart N. Bader to **Ryan W. Meyers**
Independent **Specialist of**
Goldman Sachs Execution & Clearing L.P.

(To Be Effective June 28, 2007)

Michael Deutsch to **Edward P. Casserly**
Independent **Commission Broker of**
Chapdelaine Brokerage LLC

Morris Holdings LLC to **Russell R. Brumond, Jr.**
Specialist of
Cohen Specialists LLC
2 Rector Street

Neil Vaccaro to **Nicholas Shangloo**
Independent **Trader/Specialist of**
Bluefin Trading LLC and
Bluefin Specialists LLC
2 Rector Street

Jeffrey A. Wolfson to **Robert A. Wolfson**
Independent **Trader of**
Golden Anchor Trading II, LLC

(To Be Effective July 2, 2007)

Matthew H. Frank to **Gregory S. Keary**
Independent **Specialist of**
AGS Specialists II LLC

Proposed Associate Members

(To Be Effective June 28, 2007)

Brian K. Hyndman
Vice President of
Nasdaq Execution Services, LLC
One Liberty Plaza, 51st Floor
New York, NY 10006

Philip A. Pendergraft
Executive Vice President of
Penson Financial Services Inc.
1700 Pacific Avenue Suite 1400
Dallas, TX 75201

Proposed Allied Members

(To Be Effective June 28, 2007)

Nicole C. Clark-Ake
Chief Compliance Officer of
Penson Financial Services Inc.
1700 Pacific Avenue Suite 1400
Dallas, TX 75201

David R. Henkel
Chief Financial Officer of
Penson Financial Services Inc.
1700 Pacific Avenue Suite 1400
Dallas, TX 75201

Philip A. Pendergraft
Executive Vice President of
Penson Financial Services Inc.
1700 Pacific Avenue Suite 1400
Dallas, TX 75201

Daniel P. Son
Vice Chairman of
Penson Financial Services Inc.
1700 Pacific Avenue Suite 1400
Dallas, TX 75201

Charles W. Yancey
Chief Executive Officer of
Penson Financial Services Inc.
1700 Pacific Avenue Suite 1400
Dallas, TX 75201

Proposed Approved Person

(To Be Effective June 28, 2007)

Roger J. Engemoen, Jr.
Director of
Penson Financial Services Inc.
1700 Pacific Avenue Suite 1400
Dallas, TX 75201

Proposed Approved Person Organizations

(To Be Effective June 28, 2007)

Nexa Technologies, Inc.
Penson Financial Futures, Inc.
Penson Financial Services Limited
Penson GHCO

SAH, Inc.
Under Common Control with
Penson Financial Services Inc.
1700 Pacific Avenue Suite 1400
Dallas, TX 75201

SAI Holdings Inc.
Owner of
Penson Financial Services Inc.
1700 Pacific Avenue Suite 1400
Dallas, TX 75201

Proposed Member Organization

(To Be Effective June 28, 2007)

‡Penson Financial Services Inc.
To be admitted as an Associate Member Organization.
Address: 1700 Pacific Avenue, Suite 1400, Dallas, TX 75201
Nicole C. Clark-Ake, Chief Compliance Officer
Daniel P. Son, Vice Chairman

Roger J. Engemoen, Jr., Chairman/Director
David R. Henkel, Chief Financial Officer
†Philip A. Pendergraft, Executive Vice President
Charles W. Yancy, Chief Executive Officer/President
Joseph K. Gray, Director
William D. Gross, Director

Any information you may have which would be pertinent to consideration of the applicants by the Exchange should be promptly reported to the Membership and Registration Department at 212-306-1419.

Current Reports Made to the Exchange

Members' attention is called to the fact that the following reports are made to the Exchange within the coming next week:

Report – To NASD Amex Regulation Division - Financial Regulation Dept. Due on or Before

This request for reports is issued on behalf of the American Stock Exchange LLC (Amex) by the Financial Regulation Dept. of the NASD Amex Regulation Division pursuant to a grant of authority to the NASD. Accordingly, this constitutes a request for reports by the Amex.

Web FOCUS Report Part II Monthly - Member Organizations carrying customers and/or self-clearing are required to file by the 17th business day after month-end. Mon. June 25

Web FOCUS Report Part IIA Monthly or Net Capital Computation - Member Organization and Sole Proprietor broker-dealers subject to SEC Rule 15c3-1 net capital requirements but not carrying customers and/or self-clearing are required to file by the 17th business day after month-end. Mon. June 25

Equity Computation - Member Organizations subject to Exchange Rule 110 equity requirements **and exempt from SEC Rule 15c3-1 net capital requirements** are required to file by the 17th business day after month-end. **Amex market-makers in non-option products are subject to SEC Rule 15c3-1 net capital requirements and must file a Web FOCUS Report Part IIA or Net Capital Computation.** Mon. June 25

All terminating Amex-designated Member Organizations and Sole Proprietor broker-dealers are required to file an SEC Rule 17a-5(b) Web FOCUS Report Part II Withdrawal or IIA Withdrawal. Use the Web FOCUS Blank Forms tab to access the appropriate form.

Web FOCUS Users experiencing access problems with Web FOCUS should contact the **Gateway Call Center @ 301-869-6699** for assistance.

Please contact the NASD Amex Regulation Division - Financial Regulation Dept., One Liberty Plaza, 51st Floor – Robert Devine 212-858-4448 regarding Web FOCUS entitlement procedures, MO-14 statement, or MO-15 report. Other questions regarding financial reporting should be directed to your FRD examiner.

Please refer to Amex Notice REG 2006–55 dated Oct. 26, 2006, for additional information about filing reports with the Financial Regulation Dept. This Notice is posted on the website at: <http://www.amex.com/amextrader/tdrInfo/data/axNotices/2006/reg102606.html>.

Equities Mid-Month Short Interest Schedule 2007

Trade Date	Settlement Date	Report Due	Report Published
(Tuesday) July 10	(Friday) July 13	(Tuesday) July 17 – 1:00 p.m. EST	(Thursday) July 21

Derivatives (End-of-Month) Short Interest Schedule 2007

Trade Date	Settlement Date	Report Due	Report Published
(Tuesday) June 26	(Friday) June 29	(Monday) July 2 – 1:00 p.m. EST	(Thursday) July 5

The List

This information can be found on the Web. In order to retrieve this information, go to <http://www.amextrader.com> and from the home page click the Daily List button. At the Daily List home page scroll down to Amex issues and select the view link for the current date. Should you have any questions please call (212) 306-2438.

The Following Securities Have Been Approved for Listing:

Advanced Technology Acquisition Corp 18,750,000 Units
Aldabra 2 Acquisition Corp 36,000,000 Units
Apex Bioventures Acquisition Corporation 21,825,000 shares of Common Stock, Par Value \$0.0001
Apex Bioventures Acquisition Corporation 10,875,000 Warrants expiring June 7, 2011
Corriente Resources Inc (New 56,875,223 shares of Common Stock, Without Par Value
First Trust Exchange-Traded Fund 50,000 First Trust Value Line(R) 100 Exchange-Traded Fund
Morgan Stanley 1,400,000 shares of PLUS linked to the S&P 500 Index, maturing July 20,2007
streetTRACKS Index Shares Funds 100,000 SPDR S&P BRIC 40 ETF
ZBB Energy Corporation 3,333,333 shares of Common Stock, Par Value \$0.01

Additional & Substitution Listings

Adherex Technologies, Inc., 14,400,000 Shares of Common Stock, No Par Value
Aurizon Mines ltd., 5,000 Shares of Common Stock, No Par Value
Bio-Rad Laboratories, Inc., 826,000 Shares of Common Stock, \$0.0001 Par Value
BPZ Energy, Inc., 500,000 Shares of Common Stock, No Par Value
Chase Corporation, 4,598,225 Shares of Common Stock, \$0.10 Par Value
Endeavour Silver Corp, 1,050,000 Shares of common Stock, No Par Value
ENGlobal Corporation, 600,000 Shares of Common Stock, \$0.001 Par Value
GeoGlobal Resources Inc., 9,746,880 Shares of Common Stock, \$0.001 Par Value
Gold Reserve, Inc., 100,000 Shares of Common Stock, No Par Value
Green Plains Renewable Energy, Inc., 1,000,500 Shares of Common Stock, \$0.001 Par Value
GSE Systems, Inc., 2,100,001 Shares of Common Stock, \$0.10 Par Value
IA Global, Inc., 258,264 Shares of Common Stock, \$0.01 Par Value

O2 Diesel Corporation, 500,000 Shares of Common Stock, \$0.10 Par Value

Peace Arch Entertainment Group, Inc., 500,000 Shares of common Stock, No Par Value

Peru Copper, Inc., 13,200,000 Shares of common Stock, No Par Value

Rubicon Minerals Corporation, 7,232,201 Shares of Common Stock, No Par Value

Corporate Actions and New Listings announced for June 15, 2007 – June 22, 2007 can be viewed on the Issues Daily list homepage on amextrader.com; click on [Issues Daily List](#) to view the Issues Daily List.

Registered Interest

<u>Name of Company</u>	<u>Record Date</u> <u>(New York unless stated otherwise)</u>
Interest due March 1	
Trans-Lux Corp. (8.25% Limited Conv Sr Subordinated Notes, due 3/1/12)	Feb. 15
Interest due March 3	
Lehman Brothers (2% Morgan Stanley link Notes, due 3/3/09)	Feb. 16
Interest due March 5	
Freedom Depository LLC/Amherst Securities (5.10% Cl A, USAUTO Series 2004-1, Freedom Certificates, due 3/3/211)	Mar. 2
Interest due March 13	
Citigroup Global Market Holdings (0.25% Basket of Tech Stocks Cash Exchangeable Notes, due 09/13/07)	Feb. 26
Interest due March 15	
Stater Bros. Holdings Inc. (Floating Rate Notes, due 6/15/10)	Mar. 1
Interest due March 20	
Citigroup Global Market Holdings (Dow Jones EURO STOXX 50 Index Principal-Protected Notes, due 12/20/07)	Mar. 19
Interest due March 22	
Goldman Sachs (0.25% EMC Corp. Exchangeable Notes, due 3/22/07)	Mar. 21
Interest due March 23	
Bank of America (1.625% Nikkei 225 Index CYCLES, due 3/23/10)	Mar. 8
Interest due March 27	
Bank of America (1.25% “100/0” Basket of 4 Indices CYCLES, due 9/27/11)	Mar. 12
Interest due April 2	
CIBC World Markets Corp. (10 Stock Basket Yield Generator Notes, due 3/11/11)	Mar. 30
(CIBC Premium Yield Generator Notes, due 4/1/13)	Mar. 30
Interest due April 10	
Wachovia Corporation (19.25% General Motors Enhanced Yield Secs, due 7/10/07)	Mar. 26

Interest due April 13

ABN AMRO (Medium Term Notes,
Series A, Leveraged CPI Linked Securities, due 1/13/20) Mar. 29

Interest due April 20

Wachovia Corporation
(10% Consol Energy Inc. Enhanced Yield Securities, 4/20/07) Apr. 5

Interest due April 30

Bank of America
(1.75% Basket of 3 Indices CYCLES, due 4/30/09) Apr. 15
(1.75% S&P 500 CYCLES, due 4/28/11) Apr. 20

CIBC World Markets
(2% DJIA Principal Protected Notes, due 5/5/10) Apr. 27

Morgan Stanley
(2% Proctor & Gamble Exchangeable Notes, due 4/30/11) Apr. 15

Interest due May 14

CIBC World Markets (Ten Large-Cap U.S Stocks Yield
Generator Notes, due 5/13/10) May 11

Interest due May 15

Wachovia Corporation
(11.25% Toll Brothers Enhanced Yield Secs, due 5/15/07) Apr. 30
(9.375% Transocean Enhanced Yield Secs, due 5/15/07) Apr. 30

Interest due May 22

CIBC World Markets (Premium Yield Generator Notes Linked
to a Basket of Ten-Large Cap U.S. Stocks, due 5/22/08) May 21

Interest due May 29

Bank of America
(1.5% S&P 500 Capital Protect Equity
Performance Notes, due 11/26/2010) May 1
(1% Basket CYCLES linked to a 70/30
Basket of Four Indices & 1 ETF, due 5/27/10) May 12

Merrill Lynch & Co., Inc. (1% McDonald's Convertible
Exchangeable Securities, due 5/28/09) May 13

Allstate Life Global Funding
(4.50% Secured Medium Term Notes, due 5/29/09) May 14

Bear Stearns Companies, Inc.
(1.5% S&P 500 Principal Protected Notes, due 11/30/09) May 15

Interest due June 15

Bond Trust Products LLC (Bond Trust Products Trust
Pass-Through Trust Certificates, due 6/15/2010) Jun. 14

Stater Bros. Holdings Inc.
(Floating Rate Notes, due 6/15/10) Jun. 1
(8 1/8% Senior Notes, due 6/15/12) Jun. 1
Wachovia Corporation (.25% Basket of Common Stocks
Exchangeable Notes, due 12/15/10) May 31

Interest due June 20

Citigroup Global Market Holdings (Dow Jones EURO
STOXX 50 Index Principal-Protected Notes, due 12/20/07) Jun.19

Interest due June 27

Bank of America (1.25% Basket CYCLES Linked to a
"70/30" Basket of Four Indices and 1 ETF, due 12/27/10) Jun. 12

Interest due June 28

Bank of America
(S&P 400 MidCap Index CYCLES, due 12/28/10) Jun. 13

(1.5% Healthcare Stocks Capital Protected Equity
Performance Link Securities, due 12/20/11) Jun. 13

Interest due July 2

Hawk Corporation (8 3/4% Senior Notes, due 11/1/14) Jun. 15

Morgan Stanley
(2% 3M Co. Exchangeable Notes, due 12/30/10) Jun. 15

(1.25% General Electric Exchangeable Notes, due 12/30/08) Jun. 15

(1.5 % Wal-Mart Stores Exchangeable Notes, due 6/30/11) Jun. 15

CIBC World Markets (10 Large-Cap U.S Stocks Yield
Generator Notes, due 6/30/11) Jun. 29

Interest due July 7

Merrill Lynch & Co., Inc
(.25% Wells Fargo & Co. Stock-Linked Notes –1/7/08) Jun. 23

Interest due July 10

Wachovia Corporation
(19.25% General Motors Enhanced Yield Secs, due 7/10/07) Jun. 25

Interest due July 16

CBS Corporation. (7.625% Senior Debentures-2016) Jul. 1

Wells Fargo Corporation.
(S&P 500 Basket linked Notes, due 10/9/08) Jul. 1

Interest due July 26

Bank of America (0.25% Nasdaq-100 Cash Settled
Exchangeable Notes, due 1/26/10) Jul. 11

Interest due July 30

Bank of America
(1% Healthcare Basket Index "CYCLES", due 1/28/11) Jul. 1

(1.50% Basket CYCLES linked to an
"80/20" Basket of Four Indices & 1 ETF, due 7/29/11) Jul.15

Interest due August 1

Chandler (USA), Inc. (8 3/4% Senior Debentures, due 7/1/14) Jul. 15

List of Bonds Dealt in “Flat”

Traded Flat from Listing Date

ABN AMRO SHH.A (S&P 500 Structured Hybrid
Equity link Secs-1/16/14)

American Corporate Accruals, Inc. (Callable Principal Receipts-2024)

Bank of America Corp. BOA.C (S&P 500 linked Notes-7/2/07)
Bank of America Corp. BOA.D (Nasdaq-100 EAGLES-6/1/10)

Bank of America Corp.	BOA.E (S&P 500 EAGLES- 6/28/10)
Bank of America Corp.	BOA.F (Nikkei 225 6% Min Return linked Notes-6/24/10)
Bank of America Corp.	BOA.G (16 Energy Stk linked EAGLES-8/2/10)
Bank of America Corp.	BOA.H (Russell 2000 EAGLES-8/28/09)
Bank of America Corp.	BOA.I (DJIA Minimum Return EAGLES-9/25/09)
Bank of America Corp.	BOA.J (Nasdaq-100 EAGLES-10/29/10)
Bank of America Corp.	BOA.M (Nikkei 225 Return Linked Notes-6/28/10)
Bank of America Corp.	BOA.O (Russell 2000 EAGLES-1/28/11)
Bank of America Corp.	BOA.R (Nasdaq-100 EAGLES-3/24/09)
Bank of America Corp.	BOA.U (EAGLES linked to the DJIA-6/25/10)
Bank of America Corp.	BOA.W (Amex Exch Biotechnology Index EAGLES-8/28/09)
Bank of America Corp.	BOA.Z (Energy Stocks EAGLES-9/29/10)
Bank of America Corp.	BOR.A (S&P 500 EAGLES-10/29/10)
Bank of America Corp.	BOR.B (Nasdaq-100 Index EAGLES-11/23/10)
Bank of America Corp.	BOR.C (CBOE China Index EAGLES-11/24/10)
Bank of America Corp.	BOR.F (DJIA EAGLES-3/25/11)
Bank of America Corp.	BOR.I (Basket of 3 Indices Return Linked Notes-8/26/10)
Bank of America Corp.	BOR.J ("80/20" Basket of Four Indices Return Link Notes-6/27/11)
Bank of America Corp.	BOR.K (S&P 500 EAGLES-7/29/10)
Bank of America Corp.	BOR.L (Return link notes linked to a basket of two Indices-1/28/11)
Bank of America Corp.	BOR.M (Index EAGLES Linked to the DJIA-8/26/10)
Bank of America Corp.	BOR.N (Dow Jones EURO STOXX 50 Return Link Notes-8/25/11)
Bank of America Corp.	BOR.O (S&P 500 Index EAGLES-10/3/11)
Bank of America Corp.	BOR.P (Amex Biotechnology Index EAGLES-10/28/11)
Bank of America Corp.	BOR.Q (Basket of Three Indices Return Link Notes-10/27/11)
Bank of America Corp.	BOR.R (Basket of Two Indices Return Link Notes-11/22/10)
Bank of America Corp.	BOR.S (Basket of Five EAGLES-11/23/11)
Bank of America Corp.	BOR.T (DJIA Index EAGLES -12/27/11)
Bank of America Corp.	BOR.U (Basket of 3 Indices Return Link Notes-12/29/11)
Bear Stearns & Co. Inc.	BYF.A (U.S. Sector ETFs Principal Protect Notes-2/5/08)
Bear Stearns & Co. Inc.	BYF.B (S&P 500 Principal Protect Notes-10/1/08)
Bear Stearns & Co. Inc.	BYF.C (NASDAQ-100 Principal Protect Notes-12/22/09)
Bear Stearns & Co. Inc.	BYF.D (DJIA Principal Protect Notes-3/23/11)
Bear Stearns & Co. Inc.	BYF.F (Three Intl Indices Medium Term Notes-8/2/10)
Canadian Imperial Bank of Commerce	MRS.A (S&P 500 linked Notes-7/30/10)
Canadian Imperial Bank of Commerce	MRS.B (CIBC 10 U.S. Stks Optimizer Notes-1/30/09)
Canadian Imperial Bank of Commerce	MRS.C (CIBC 10 U.S. Stks Optimizer Notes-3/5/09)
Canadian Imperial Bank of Commerce	MRS.D (CIBC 10 U.S. Stks Optimizer Notes-3/31/09)
Canadian Imperial Bank of Commerce	MRS.E (CIBC 10 U.S. Stks Optimizer Notes-4/30/09)
Canadian Imperial Bank of Commerce	MRS.F (S&P 500 MERITS-6/30/11)
Canadian Imperial Bank of Commerce	MRS.G (CIBC 10 U.S. Stks Optimizer Notes-6/30/09)
Canadian Imperial Bank of Commerce	MRS.H (NASDAQ-100 MERITS-8/1/11)
Canadian Imperial Bank of Commerce	MRS.I (DJIA MERITS-8/31/11)
Canadian Imperial Bank of Commerce	MRS.J (S&P 500 Principal Protected Notes-3/31/09)
Canadian Imperial Bank of Commerce	MRS.K (DJIA MERITS-1/30/09)
Canadian Imperial Bank of Commerce	MRS.M (S&P 500 Index linked Notes-12/31/08)
Canadian Imperial Bank of Commerce	MRS.O (Global Indices Basket linked Notes-9/18/08)
Canadian Imperial Bank of Commerce	MRS.S (S&P 500 Principal Protected Notes-6/15/10)
Canadian Imperial Bank of Commerce	MRS.U (Global Basket of Three Equity Indices -7/29/10)
Citigroup Global Market Holdings Inc.	NDG.A (Nasdaq-100 Principal Protect Notes-12/4/07)
Citigroup Global Market Holdings Inc.	SCW.A (S&P 500 Principal Protect Notes-10/22/07)
Credit Suisse (USA), Inc.	IPJ.A (S&P 500 Five Year Contingent Protect Secs-9/30/08)
Credit Suisse (USA), Inc.	IPJ.B (S&P 500 Five Year Contingent Protect Secs-11/26/08)
Goldman Sachs Group, Inc. (The)	GSD.B (S&P 500 linked Notes-8/7/11)
Goldman Sachs Group, Inc. (The)	GSN.B (S&P 500 Medium Term Notes-2/28/13)
Goldman Sachs Group, Inc. (The)	GSN.C (S&P 500 Medium Term Notes-4/18/13)
Goldman Sachs Group, Inc. (The)	GSN.D (S&P 500 Medium Term Notes-5/30/13)

JP Morgan Chase & Co.	JPL.A (Consumer Price Index Secs-1/15/10)
JP Morgan Chase & Co.	JPL.A (S&P 500 linked Notes-11/26/07)
JP Morgan Chase & Co.	JPL.B (S&P 500 Market Participation Notes-3/12/08)
JP Morgan Chase & Co.	JPL.C (Cap Qtr Observation Notes-9/22/08)
JP Morgan Chase & Co.	JPL.D (S&P 500 Cap Qtr Observation Notes-10/30/08)
JP Morgan Chase & Co.	JPL.E (S&P 500 Cap Qtr Observation Notes-1/21/09)
JP Morgan Chase & Co.	JPL.F (S&P 500 Mkt Participation Notes-3/31/09)
JP Morgan Chase & Co.	JPL.G (S&P 500 Cap Qtr Observation Notes-7/7/09)
JP Morgan Chase & Co.	JPL.H (S&P 500 Cap Qtr Observation Notes-9/21/09)
JP Morgan Chase & Co.	JPL.I (S&P 500 Principal Protect Notes-9/30/10)
Lehman Brothers Holdings Inc.	LBM.C (General Electric Co. Medium Term Notes-5/15/10)
Lehman Brothers Holdings Inc.	LBN.A (Dow Jones EUROSTOXX 50 Index-7/7/08)
Lehman Brothers Holdings Inc.	LBN.B (Dow Jones EURO STOXX 50 Index-7/29/08)
Lehman Brothers Holdings Inc.	LSQ.B (DJIA PrincipalPlus SUNS-8/5/07)
Lehman Brothers Holdings Inc.	LSQ.C (DJIA SUNS-4/29/10)
Lehman Brothers Holdings Inc.	LSQ.D (Nikkei 225 SUNS-6/10/10)
Lehman Brothers Holdings Inc.	PSZ.C (S&P 500 SUNS-8/5/08)
Lehman Brothers Holdings Inc.	PSZ.D (S&P 500 SUNS-9/27/07)
Lehman Brothers Holdings Inc.	PSZ.E (S&P 500 Callable SUNS-11/6/09)
Lehman Brothers Holdings Inc.	PSZ.F (DJ Global Titans Index SUNS-2/9/10)
Lehman Brothers Holdings Inc.	RLN.D (Nasdaq-100 Rebound RANGERS-6/7/08)
Lehman Brothers Holdings Inc.	RLN.E (S&P 500 linked Notes-9/28/09)
Lehman Brothers Holdings Inc.	RLN.G (DJ STOXX 50 linked Notes-11/15/09)
Lehman Brothers Holdings Inc.	RLN.H (Russell 2000 Index linked Notes-12/23/09)
Lehman Brothers Holdings Inc.	RLN.I (S&P 500 Index-Plus Notes-3/3/10)
Merrill Lynch	COD.A (Coca-Cola Co Exchangeable Conv Secs-9/30/08)
Merrill Lynch	EXO.A (Exxon Mobile Exchangeable Conv Secs-10/3/08)
Morgan Stanley	BSN.A (3 stks Sr Exchangeable Debt-12/30/08)
Morgan Stanley	ITM.A (Intel Stk Participation Notes-12/30/10)
Morgan Stanley	JMS.A (S&P 500 Callable Notes-12/30/08)
Morgan Stanley	MRW.A (S&P 500 Mkt Participation Secs-12/30/10)
Morgan Stanley	MWT.A (Wal-Mart Stk Participation Notes-9/15/10)
PMA Capital Corporation	PMK (8.5% Monthly Income Sr Notes-6/15/18)
UBS AG	PPV.C (Russell 2000 Principal Protect Notes-4/30/09)
UBS AG	PPV.E (DJIA Principal Protect Notes-5/29/09)
UBS AG	PPV.G (S&P 500 Principal Protect Notes-11/7/07)
UBS AG	PPV.H (S&P 500 Principal Protect Notes-12/7/07)
UBS AG	PPV.I (U.S. Equity Indices Principal Protect Notes-10/7/11)
UBS AG	PPV.J (DJIA Principal Protect Notes-2/7/08)
UBS AG	PPV.K (S&P 500 Principal Protect Notes-9/8/10)
UBS AG	PPV.L (S&P 500 Principal Protect Notes-4/8/10)
UBS AG	PPV.M (S&P 500 Principal Protect Notes-10/7/09)
UBS AG	PPV.N (S&P 500 Principal Protect Notes-5/7/10)
UBS AG	PPV.O (S&P 500 Principal Protect Notes-6/7/10)
UBS AG	PPV.P (S&P 500 Principal Protect Notes-7/8/10)
UBS AG	PPV.Q (S&P 500 Principal Protect Notes-8/6/10)
UBS.AG	PPV.R (S&P 500 Principal Protect Notes-9/8/09)
UBS AG	PPZ.A (S&P 500 Partial Protect Notes-11/05/07)
UBS AG	PPZ.B (S&P 500 Partial Principal Protect Notes-2/28/08)
UBS AG	PPZ.C (S&P 500 Partial Principal Protect Notes-11/5/10)
Wachovia Corporation	WDB.B (Nikkei 225 ASTROS-3/2/10)
Wachovia Corporation	WDB.D (S&P 500 linked to Trigger CAPITALS-12/8/08)
Wachovia Corporation	WDB.E (Global Basket of Indices ASTROS-2/2/10)
Wachovia Corporation	WDB.I (Global Equity Basket ASTROS-5/5/10)
Wachovia Corporation	WDB.M (Metals-China Basket ASTROS-1/28/09)
Wells Fargo & Company	WFG.A (S&P 500 linked Notes-1/4/08)
Wells Fargo & Company	WFG.B (Nasdaq-100 linked Notes-1/4/08)

Wells Fargo & Company
Wells Fargo & Company
Wells Fargo & Company

WFG.C (Basket linked Notes-4/15/09)
WFG.D (DJIA High Point Average linked Notes-5/5/10)
WFG.F (S&P 500 Callable Notes-8/25/09)

Halted Issues

Transactions in the following securities have been halted for a period exceeding five trading days. Members and member organizations are reminded of the provisions of Exchange Rule 462(d)1 when determining the value to place upon those securities for margin purposes.

Any purchase or sale of a security which has been halted by the Exchange may be subject to Federal regulation as well as the rules of the other market(s) where the purchase or sale is to take place.

Members are reminded that open orders on the books of the specialist for an issue which has been halted for 30 days are automatically canceled.

<u>Name of Company (Issue)</u>	<u>Date of Halt</u>
Dyadic International	April 23, 2007

a — Addition to previous Bulletin.

Dividends

Dividends announced for June 18, 2007 – June 22, 2007 can be viewed on the Dividend Daily list homepage on amextrader.com; click on [Dividends Daily List](#) to view the Dividends Daily List.

Please call the Corporate Actions/Proxy Rulings Department at 212-306-2438 with any questions.

Meetings of Stockholders

Members should, insofar as possible without additional expense, transfer certificates of stock held in persons or firms over whom they have no control into their own names, or those of persons over whom they have control, before the record date for determining stockholders entitled to vote.

As a guide to Member Organizations in giving proxies pursuant to Rule 577 to vote stock held for the account of customers the following symbols are used in the list of meetings below:

- V = Preceding Record Date indicates Members MAY vote the entire proxy under the Ten Day Rule.
- X = Together with numbers or letters indicates that Members MAY NOT vote the designated matters without specific instructions from owners. Numbers or letters shown are those used in the PROXY FORM.
- X = With no other designation indicates that Members MAY NOT vote the entire proxy.
- NP = Indicates that proxies are not being solicited.

The following abbreviations are used for items not numbered or lettered in the proxy form:

- | | |
|------------------------------|------------------------|
| SP = Stockholder Proposal(s) | BY = By-Law Amendment |
| OP = Option Plan | CH = Charter Amendment |
| STP = Stock Purchase Plan | MP = Merger Proposal |
| CP = Compensation Plan | |

Where no symbol appears, proxy material has not yet been received.

<u>Name of Company</u>	<u>Record</u>	<u>Meeting</u>
Abraxas Petroleum Corporation	V May 25	A Jun. 26
Advanced Photonix, Inc.	Jun. 29	A Aug. 24
Aerosonic Corporation	X-2 May 30	A Jul. 26
Almaden Minerals Ltd.	Note: (4) Jun. 29	A Aug. 3
Alteon, Inc.	May 24	A
Arizona Land Income Corp.	Jun. 21	S Jul. 25
Ascendia Brands, Inc.	Note: (2) Feb. 9	

Aspyra, Inc.	V	May	10	A	Jun.	25
*ATC Healthcare, Inc.		Jun.	25	A	Aug.	24
Austral Pacific Energy Ltd. Note: (4)		Jul.	13	A	Aug.	22
*B+H Ocean Carriers Ltd.		Jun.	8	A	Jul.	18
*Birks & Mayors Inc.		Jul.	5	A	Aug.	8
BlackRock Broad Investment Grade 2009 Term Trust		Jun.	20	A	Aug.	16
BlackRock CA Investment Quality Muni Trust		Jun.	20	A	Aug.	16
BlackRock CA Muni Income Tr II		Jun.	20	A	Aug.	16
BlackRock FL Investment Quality Muni Trust		Jun.	20	A	Aug.	16
BlackRock MD Muni Bond Trust		Jun.	20	A	Aug.	16
BlackRock Muni Income Trust II		Jun.	20	A	Aug.	16
BlackRock MuniVest Fund		Jun.	20	A	Aug.	16
BlackRock MuniYield Arizona Fund		Jun.	20	A	Aug.	16
BlackRock NJ Investment Quality Muni Trust		Jun.	20	A	Aug.	16
BlackRock NJ Muni Bond Trust		Jun.	20	A	Aug.	16
BlackRock NY Investment Quality Muni Trust		Jun.	20	A	Aug.	16
BlackRock NY Muni Income Trust II		Jun.	20	A	Aug.	16
BlackRock PA Strategic Muni Trust		Jun.	20	A	Aug.	16
BlackRock S&P Quality Rankings Global Equity Managed Trust		Jun.	20	A	Aug.	16
BlackRock VA Muni Bond Trust		Jun.	20	A	Aug.	16
*BPZ Energy, Inc.		Jul.	5	A	Aug.	17
CabelTel International Corp. Note: (2)		Feb.	16			
*Cagle's, Incorporated	V	May	25	A	Jul.	13
CEL-SCI Corporation		May	11	A		
Challenger Energy Corp.		May	15	A	Jun.	27
Clough Global Allocation Fund	V	May	23	A	Jul.	23
Clough Global Equity Fund	V	May	23	A	Jul.	23
Clough Global Opportunities Fund	V	May	23	A	Jul.	23
Coinmach Service Corp.		Jun.	8	A	Jul.	23
Colonial CA Insured Muni Fund X-1-2-3		Apr.	10	A	Jun.	22
*Command Security Corporation		Jul.	20	A	Sep.	20
Communications Systems Conversion Services	V	Apr.	27	A	Jun.	21
International, Inc. Note: (2)		Mar.	20			
*Courtside Acquisition Corp. Note: (3)		Jun.	8	S	Jun.	26
Crystallex International Corp. Note: (4)		May	22	A	Jun.	28
Cycle Country Accessories Corp. X-3		May	7	A	Jun.	26
*Delaware Investments Arizona Municipal Income Fund, Inc.		Jun.	19	A	Aug.	15
*Delaware Investments Colorado Insured Municipal Income Fund, Inc.		Jun.	19	A	Aug.	15
*Delaware Investments Florida Insured Municipal Income Fund, Inc.		Jun.	19	A	Aug.	15
*Delaware Investments Minnesota Municipal Income Fund, Inc. II		Jun.	19	A	Aug.	15
Digital Angel Corporation		Mar.	21	A		
Dune Energy, Inc. Note: (2)		Apr.	25			
*Dune Energy, Inc.	V	Jun.	13	A	Jul.	10
Eaton Vance Insured California Municipal Bond Fund	V	May	14	A	Jul.	20
Eaton Vance Insured California Municipal Bond Fund II	V	May	14	A	Jul.	20
Eaton Vance Insured Florida Municipal Bond Fund	V	May	14	A	Jul.	20
Eaton Vance Insured Massachusetts Municipal Bond Fund	V	May	14	A	Jul.	20

Eaton Vance Insured Michigan Municipal Bond Fund	V	May	14	A	Jul.	20
Eaton Vance Insured Municipal Bond Fund	V	May	14	A	Jul.	20
Eaton Vance Insured Municipal Bond Fund II	V	May	14	A	Jul.	20
Eaton Vance Insured New Jersey Municipal Bond Fund	V	May	14	A	Jul.	20
Eaton Vance Insured New York Municipal Bond Fund	V	May	14	A	Jul.	20
Eaton Vance Insured New York Municipal Bond Fund II	V	May	14	A	Jul.	20
Eaton Vance Insured Ohio Municipal Bond Fund	V	May	14	A	Jul.	20
Eaton Vance Insured Pennsylvania Municipal Bond Fund	V	May	14	A	Jul.	20
Elecsys Corporation		Jul.	20	A	Sep.	13
Elite Pharmaceuticals, Inc.	X-2	May	15	A	Jun.	26
*Environmental Power Corporation		Jun.	19	A		
Evergreen Income Advantage Fund		Jun.	15	A	Aug.	10
First Trust/Four Corners Senior Floating Rate Income Fund		Jun.	21	A	Sep.	10
*Franklin Electronic Publishers, Inc.		Jul.	9	A	Aug.	29
Franklin Templeton Limited Duration Income Trust		Jul.	24	A	Sep.	26
Frisch's Restaurants, Inc.		Aug.	2	A	Oct.	1
Fusion Telecommunications International, Inc.	X-1	Apr.	30	A	Jul.	12
General Finance Corporation		Jun.	11	S		
Geokinetics Inc.	X-2	May	21	A	Jul.	11
*Graham Corporation	V	Jun.	1	A	Jul.	26
GSE Systems Inc.	X-3	May	25	A	Jun.	28
*Halifax Corporation	Note: (5)	Jul.	16	A		
Healthcare Acquisition Corp.		Jun.	15	A	Jul.	26
IA Global, Inc.	X-4	May	29	A	Jun.	29
iLinc Communications, Inc.		Jul.	6	A	Aug.	24
ImageWare Systems, Inc.		Aug.	10	A	Sep.	19
Innsuites Hospitality Trust	V	May	29	A	Jul.	12
Investors Capital Holdings, Ltd.		Jun.	29	A		
InterOil Corporation	Note: (4)	May	18	A	Jun.	25
ION Media Networks, Inc.	Note: (2)	May	25			
IVAX Diagnostics, Inc.		Jun.	27	A	Aug.	1
Javelin Pharmaceutical, Inc.	X-3-4	May	22	A	Jun.	26
JED Oil Inc.		Jun.	30	S	Jul.	30
Kitty Hawk, Inc.		May	1	A		
LaBarge, Incorporated		Sep.	21	A	Nov.	14
Ladenburg Thalmann Financial Services, Inc.	X-2	May	10	A	Jun.	29
Lorus Therapeutics, Inc.		May	24	S	Jun.	25
Man Sang Holdings, Inc.		Jun.	19	A	Aug.	1
Massachusetts Health & Education Tax-Exempt Trust (The)		Jun.	20	A	Aug.	16
MPC Corporation	V	May	7	A	Jun.	29
MTS Medication Technologies, Inc.		Jul.	26	A	Sep.	13
Nuveen Dividend Advantage Municipal Fund 2		Jun.	4	A	Jul.	31

Nuveen Dividend Advantage Municipal Fund 3		Jun.	4	A	Jul.	31
Nuveen Insured Dividend Advantage Municipal Fund		Jun.	4	A	Jul.	31
Nuveen Insured Tax-Free Advantage Municipal Fund		Jun.	4	A	Jul.	31
Nuveen Municipal High Income Opportunity Fund		Jun.	4	A	Jul.	31
*O2Diesel Corporation Note: (1)		Jul.	13	A		
ON2 Technologies, Inc.		Jun.	18	A	Aug.	17
Pacific CMA, Inc.	V	May	25	A	Jun.	29
Pacific Rim Mining Corp.		Jul.	3	A	Aug.	28
Paragon Technologies, Inc.		Jun.	12	A	Aug.	1
Petro Resources Corporation	X-3	May	7	A	Jun.	28
Pioneer Drilling Company		Jun.	8	A	Aug.	3
*Retractable Technologies, Inc.		Jul.	30	A	Sep.	28
Seabridge Gold Inc.		May	16	A	Jun.	27
Servotronics, Inc.	V	May	22	A	Jun.	29
Signalife, Inc.	Note: (2)	Mar.	9			
Tag-It-Pacific, Inc.	X-2	Jun.	4	A	Jul.	31
Telephone & Data Systems Inc.		Jun.	8	A	Jul.	26
Thai Capital Fund, Inc.	X-2	Mar.	16	A	Jun.	28
*Uroplasty, Inc.		Jul.	16	A	Sep.	13
*UQM Technologies, Inc.		Jul.	3	A	Aug.	22
Vita Food Products, Inc.	Note: (2)	Apr.	13	A		
*Westfield Financial, Inc.	X-2-3	May	31	A	Jul.	19
*Westmoreland Coal Company	Note: (6)	Jul.	3	A	Aug.	16
Westside Energy Corporation		Jun.	15	A	Aug.	13
*Wilshire Enterprises, Inc.	X-3	Jun.	8	A	Jul.	19
*Wireless Telecom Group, Inc.	V	May	21	A	Jul.	17
Z Trim Holdings, Inc.		Jul.	13	A	Aug.	22

*Additions to or change from previous Bulletins.

S Special Meeting.

A Annual Meeting.

Adj Adjourned Meeting.

† In Lieu of Annual Meeting.

(1) Record date revised and meeting date rescinded by the Company.

(2) Record date is for "Information Statement" only, no solicitation involved.

(3) Member organizations are reminded of the 15-day provision of Rule 576. Member organizations that have transmitted proxy material to beneficial holders on or after June 11, 2007, MAY NOT VOTE the entire proxy form.

(4) Meeting is both Annual and Special.

(5) Record date revised by the Company.

(6) Record and meeting date revised by the Company.



Geraldine M. Brindisi
 Corporate Secretary