



**Notice**

**Former Member – Deceased**

**Kenneth P. Daly**  
June 30, 2007

**Membership Seat Prices (in 000s)**

(As of 9:30 A.M., July 6, 2007)

	BID	OFFER	LAST CONTRACTED
Regular	350	405	380
Options Principal	25	None	320
Limited Trading Permits	None	6.5	25

Includes only those sales arranged pursuant to bids and offers filed with the Membership and Registration Department between the hours of 9:30 a.m. – 4:00 p.m.

Seat market information may be obtained by calling toll-free (877) Amex Seat (877-263-9732). Seat prices are updated intraday on [www.amextrader.com](http://www.amextrader.com).

**Membership Seat Sales**

**Regular**

(Since June 28, 2007)

\$380,000 – July 3, 2007

2 @ \$370,000 – July 3, 2007

\$367,000 – July 3, 2007

**Membership Lease Prices**

(As of 9:30 A.M., July 6, 2007)

The following leases were received:

Includes monthly rental rate information only with respect to Exchange-approved negotiated leases. Not reported are arms length leases or leases for a nominal consideration.

**REGULAR**

<b>New</b>		<b>Renewal</b>	
<u>Price</u>	<u>Term</u>	<u>Price</u>	<u>Term</u>
\$400	1 year	2 @ \$400	1 year
\$400	6 months	2 @ \$400	6 months

**OPTIONS PRINCIPAL**

<u>Price</u>	<b>New</b>	<u>Term</u>	<u>Price</u>	<b>Renewal</b>	<u>Term</u>
None			None		

**LIMITED TRADING PERMIT**

<u>Price</u>	<b>New</b>	<u>Term</u>	<u>Price</u>	<b>Renewal</b>	<u>Term</u>
None			None		

**Month-End Membership Data**  
(As of June 29, 2007)

**REGULAR**

Last Completed Sale.....	\$300,000 – June 21, 2007
Pending Arranged Sales .....	\$375,000 – June 28, 2007
.....	\$370,000 – June 28, 2007
.....	\$369,000 – June 28, 2007
.....	\$360,000 – June 19, 2007
.....	\$357,500 – June 19, 2007
.....	\$347,500 – June 18, 2007
.....	\$345,000 – June 13, 2007
.....	\$345,000 – June 12, 2007
.....	\$330,000 – June 11, 2007
.....	\$320,000 – June 11, 2007
Closing Bid .....	\$325,000
Closing Offer .....	\$380,000
Average Price for the Month of June.....	\$305,000
Average Price for the Second Quarter .....	\$332,411

**OPTIONS PRINCIPAL**

Last Completed Sale.....	\$320,000 – March 29, 2007
Pending Arranged Sales .....	None
Closing Bid .....	\$25,000
Closing Offer .....	None
Average Price for the Month of June.....	None
Average Price for the Second Quarter .....	None

**LIMITED TRADING PERMIT**

Last Completed Sale.....	\$25,000 — June 20, 2003
Pending Arranged Sales .....	None
Closing Bid .....	None
Closing Offer .....	\$6,500
Average Price for the Month of June.....	None
Average Price for the Second Quarter .....	None

## Transfers of Membership

### Termination of Special Transfer Agreement – Regular (Effective June 29, 2007)

**George Fulcher** to **James Lombardo**  
Diamond Carter Trading LLC Independent  
Badge No. c/o Sal Bullaro  
Badge No. 965-F 25-19 Steinway Street  
Astoria, NY 11103  
Seat No. 544-R

**Brian K. Hyndman** to **Howard Levine**  
Nasdaq Execution Services LLC Independent  
55 Ottawa Road South  
Malboro, NJ 07746  
Seat No. 712-R

**Scott Jacobs\*\*** to **Julien Sobel**  
Consolidated Trading LLC Independent  
56 7<sup>th</sup> Avenue, #16H  
New York, NY 10011  
Seat No. 488-R

### (Effective July 2, 2007)

**Gregory S. Keary\*** to **James M. Herr**  
AGS Specialists II LLC Independent  
Badge No. 811-K 247 Park Road  
Nottingham, PA 19362  
Seat No. 501-R

**Jeffrey S. Paul** to **Matthew H. Frank**  
LaBranche Structured Products LLC Independent  
Badge No. 750-L P.O. Box 56  
Harrison, ME 04040  
Seat No. 188-R

### (Effective July 3, 2007)

**William S. Nuckols** to **Robert J. VanCaneghan**  
Equitec Proprietary Markets LLC, Independent  
Equitec Specialists, LLC and 123 Radcliff Road  
Equitec New York LLC Staten Island, NY 10305  
Badge No. 942-N Seat No. 296-R

### (Effective at the Opening of Business on July 6, 2007)

**Trevor Joseph** to **Andrew I. Cohen Profit Sharing Plan**  
Cohen Specialists LLC Attn: Andrew I. Cohen  
Badge No 896-J 2 Rector Street, 15<sup>th</sup> Floor  
New York, NY 10006  
Seat No. 215-R

**Justin Kanda** to **Parker Holding Co. LP**  
LaBranche Structured Products LLC Attn: Renee Parker  
Badge No. 750-H 899 Jeffrey Street, Bldg One, #709  
Boca Raton, FL 33487  
Seat No. 324-R

**Audrey J. Pang** to **HIM LLC**  
Jane Street Trading LLC,  
Jane Street Specialists LLC and  
Trading Capital LLC  
Badge No. 872-P  
Attn: Howard B. Garber  
2305 North Commonwealth, #3N  
Chicago, IL 60614  
Seat No. 686-R

**Cancellation of Use & Proceeds Agreement**  
**(Effective at the Opening of Business on July 6, 2007)**  
**Jay Nadelson** to  
Investors' Capital LLC  
Badge No. 777-N

**Jay Nadelson**  
Independent  
59 Sagamore Road  
Millburn, NJ 07041  
Seat No. 354-R

**Termination of Special Transfer Agreement – OPM**  
**(Effective at the Opening of Business on July 6, 2007)**  
**Christopher J. Marshall** to  
Marshall Derivatives LLC  
Badge No. 1043

**Larkspur Securities Inc.**  
Attn: David W. Maier  
401 South LaSalle Street, Ste. 200  
Chicago, IL 60605  
Seat No. 49-P

*\*Simultaneously transferring to another seat*  
*\*\*Currently a member on another seat*

## **New Memberships**

### **Sale**

**Regular**  
**(Effective July 2, 2007)**  
**James M. Herr**  
Independent

to **Allan B. Kachel**  
Independent  
3720 South Ocean Blvd., #904  
Highland Beach, FL 33487  
Seat No. 501-R

**Special Transfer Agreement – Regular**  
**(Effective July 2, 2007)**

**Matthew H. Frank**  
Independent

to **Gregory S. Keary**  
**Specialist**  
AGS Specialists II LLC  
One World Financial  
200 Liberty Street, 26<sup>th</sup> Floor  
New York, NY 10281  
Seat No. 188-R  
Badge No 811-K

(Effective at the Opening of Business on July 6, 2007)

HIM LLC

to

**Pradeep Baliga**

**Trader/Specialist**

Jane Street Trading LLC,  
Jane Street Specialists LLC and  
Trading Capital LLC  
One New York Plaza  
New York, NY 10004  
Seat No. 686-R  
Badge No. 872-C

**Michael A. Williams**

Independent

to

**Johnathan M. Woods**

**Commission Broker**

Kellogg Capital Group LLC  
55 Broadway, 4<sup>th</sup> Floor  
New York, NY 10006  
Seat No. 194-R  
Badge No. 973-W

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## Change in Member Organization

### ••Marshall Derivatives LLC

Ceased to be registered as an Options Principal Member Organization with the transfer of •Christopher J. Marshall (Badge #1043), effective at the opening of business on July 6, 2007.

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- \* Regular Member
  - \*\* Regular Member Organization
  - Options Principal Member
  - Options Principal Member Organization
  - † Associate Member
  - †† Associate Member Organization
  - # CBOE Member Organization
  - NYSE Member Organization
  - Limited Trading Permit Holder
  - Limited Trading Permit Holder Organization
  - @ Also Employed By Another Firm
  - (§) Sole Proprietor ceased

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## Proposed Transfers of Membership

### Termination of Special Transfer Agreement – Regular

(To Be Effective at the Opening of Business on July 9, 2007)

**Brian Bartsch**

LaBranche & Co. LLC

to

**Lorrie J. Vining**

Independent

**James Noone\***

LaBranche & Co. LLC

to

**Sydel Frohlich**

Independent

(To Be Effective July 11, 2007)

**Ernest Ulrich\***

Husky Trading

to

**Zanzibar Partners L.P.**

(To Be Effective July 12, 2007)

**William L. Katts**

Shoreline Trading Group LLC

to

**Jeffrey C. Barthel**

Independent

**Taylor Lukof** to **MOG Holdings LLC**  
MOG Capital LLC

**Brandon C. Purcell** to **Miceli-VanCaneghan & Co. Inc.**  
AGS Specialists II LLC

*\*Simultaneously transferring to another seat*

*\*\*Currently a member on another seat*

### New Memberships

#### Sale

##### Regular

(To Be Effective July 10, 2007)

**Morgan Stanley & Co. Incorporated** to **Nanette M. Johns**  
Independent

(To Be Effective July 11, 2007)

**Zanzibar Partners, L.P.** to **Saul Gilinski**  
Independent

(To Be Effective July 12, 2007)

**Feivel P. Gottlieb** to **Jack Kamin**  
Independent

**MOG Holdings LLC** to **Ralph DellaCamera**  
Independent

**Sutton Partners** to **Ralph DellaCamera**  
Independent

(To Be Effective July 13, 2007)

**Estate of Robert B. Levy** to **Richard Kallman**  
Independent

##### Special Transfer Agreement – Regular

(To Be Effective July 6, 2007)

**Morris Holdings LLC** to **Russell R. Brumond, Jr.**  
**Specialist of**  
Cohen Specialists LLC

(To Be Effective at the Opening of Business on July 9, 2007)

**Lorrie J. Vining** to **James Noone**  
Independent **Specialist of**  
Cohen Specialists LLC

(To Be Effective July 11, 2007)

**Richard Russo** to **Ernst Ulrich**  
Pershing LLC **House Broker of**  
Husky Trading

### Current Members – Firm Status Change

#### Special Transfer Agreement – Regular (To Be Effective at the Opening of Business on July 9, 2007)

**Matthew Cheslock** to **Matthew Cheslock**  
LaBranche & Co. LLC Specialist of  
(E.A. Sheslow Inc. – Lessor) Cohen Specialists LLC

**Kevin Hover** to **Kevin Hover**  
LaBranche & Co. LLC Specialist of  
(LaBranche Financial Services Inc. – Lessor) Cohen Specialists LLC

**Joseph McMahon** to **Joseph McMahon**  
LaBranche & Co. LLC Specialist of  
(Manfred M. Rechtschaffen – Lessor) Cohen Specialists LLC

**Any information you may have which would be pertinent to consideration of the applicants by the Exchange should be promptly reported to the Membership and Registration Department at 212-306-1419.**

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### Current Reports Made to the Exchange

Members attention is called to the fact that the following reports are made to the Exchange within the coming next week:

#### **Report – To NASD Amex Regulation Division - Financial Regulation Dept.** Due on or Before

This request for reports is issued on behalf of the American Stock Exchange LLC (Amex) by the Financial Regulation Dept. of the NASD Amex Regulation Division pursuant to a grant of authority to the NASD. Accordingly, this constitutes a request for reports by the Amex.

Web FOCUS Report Part II Quarterly- Member Organizations carrying customers and/or self-clearing are required to file FOCUS Report Part II Quarterly by the 17th business day after quarter-end. Wed. July 25

Web FOCUS Report Part IIA Quarterly - Member Organizations and Sole Proprietor broker-dealers subject to the net capital rule but not carrying customers or self-clearing are required to file FOCUS Report Part IIA Quarterly by the 17th business day after quarter-end. Wed. July 25

Web FOCUS Report Part IIA Quarterly Short - Member Organizations and Sole Proprietor broker-dealers exempt from the net capital rule are required to file FOCUS Report Part IIA Quarterly Short by the 17th business day after quarter-end. Wed. July 25

MO-14 Statement of Specialist Profit and Loss - Specialist Units must file by the 17th business day after quarter-end. Wed. July 25

MO-15 Report of Income by Specialty Security - Specialist Units must file by the 17th business day after quarter-end. Wed. July 25

All terminating Amex-designated Member Organizations and Sole Proprietor broker-dealers are required to file an SEC Rule 17a-5(b) Web FOCUS Report Part II Withdrawal or IIA Withdrawal. Use the Web FOCUS Blank Forms tab to access the appropriate form.

Web FOCUS Users experiencing access problems with Web FOCUS should contact the **Gateway Call Center @ 301-869-6699** for assistance.

Please contact the NASD Amex Regulation Division - Financial Regulation Dept., One Liberty Plaza, 51<sup>st</sup> Floor – Robert Devine 212-858.4448 regarding Web FOCUS entitlement procedures, MO-14 statement, or MO-15 report. Other questions regarding financial reporting should be directed to your FRD examiner.

Please refer to Amex Notice REG 2006–55 dated Oct. 26, 2006, for additional information about filing reports with the Financial Regulation Dept. This Notice is posted on the <http://www.amex.com/amextrader/tdrInfo/data/axNotices/2006/reg102606.html> webpage.

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### **Equities Mid-Month Short Interest Schedule 2007**

<b>Trade Date</b>	<b>Settlement Date</b>	<b>Report Due</b>	<b>Report Published</b>
(Tuesday) July 10	(Friday) July 13	(Tuesday) July 17– 1:00 p.m. EST	(Thursday) July 19

### **Derivatives (End-of-Month) Short Interest Schedule 2007**

<b>Trade Date</b>	<b>Settlement Date</b>	<b>Report Due</b>	<b>Report Published</b>
(Thursday) July 26	(Tuesday) July 31	(Wednesday) Aug. 1 – 1:00 p.m. EST	(Friday) Aug. 3

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### **The List**

This information can be found on the Web. In order to retrieve this information, go to <http://www.amextrader.com> and from the home page click the Daily List button. At the Daily List home page scroll down to Amex issues and select the view link for the current date. Should you have any questions please call (212) 306-2438.

#### **The Following Securities Have Been Approved for Listing:**

AIG, Inc. 1,210,000 shares of Nikkei 225 Index MITTS, maturing January 5,2011

Alyst Acquisition Corp 7,000,000 Units

Ameristock ETF Trust 100,000 shares of Ameristock/Ryan 1 Year Treasury ETF

Ameristock ETF Trust 100,000 shares of Ameristock/Ryan 2 Year Treasury ETF

Ameristock ETF Trust 100,000 shares of Ameristock/Ryan 5 Year Treasury ETF

Ameristock ETF Trust 100,000 share of Ameristock/Ryan 10 Year Treasury ETF

Ameristock ETF Trust 100,000 shares of Ameristock/Ryan 20 Year Treasury ETF

Claymore Exchange-Traded Fund Trust 2 200,000 Claymore/SWM Canadian Energy Income Index ETF

MBF Healthcare Acquisition Corp 51,250,000 shares of Common Stock, Par Value \$0.0001

MBF Healthcare Acquisition Corp 24,656,250 Warrants expiring April 16, 2011

Merrill Lynch 2,160,000 shares of Accelerated Return Notes Linked to the MSCI EAFE Index, maturing September 5,2008

Merrill Lynch 1,130,000 shares of Strategic Return Notes Linked to the Select 10 Index, maturing July 5,2012

#### **Additional & Substitution Listings:**

Antares Pharma, Inc., 13,800,000 Shares of Common Stock, \$0.01 Par Value

Community Bankshares, Inc., 350,000 Shares of Common Stock, No Par Value,

Covad Communications Group, Inc., 7,400,000 Shares of Common Stock, \$0.001 Par Value

Hemipherx Biopharma, Inc., 8,000,000 Shares of Common Stock, \$0.001 Par Value

Hooper Holmes Inc., 600,000 Shares of Common Stock, \$0.04 Par Value

Ladenburg Thalmann Financial Services, Inc., 2,777,778 Shares of Common Stock, \$0.0001 Par Value

PRB Energy, Inc., 973,709 Shares of Common Stock, \$0.001 Par Value

Teton Energy Corp., 5,892,000 Shares of Common Stock, \$0.001 Par Value

VCG Holding Corp., 9,554,073 Shares of Common Stock, \$0.0001 Par Value

**Corporate Actions and New Listings announced for June 29, 2007 – July 6, 2007 can be viewed on the Issues Daily list homepage on [amextrader.com](http://amextrader.com); click on [Issues Daily List](#) to view the Issues Daily List.**

## Registered Interest

<u>Name of Company</u>	<u>Record Date</u> <u>(New York unless stated otherwise)</u>
<b>Interest due May 14</b>	
CIBC World Markets (Ten Large-Cap U.S Stocks Yield Generator Notes, due 5/13/10)	May 11
<b>Interest due May 15</b>	
Wachovia Corporation (11.25% Toll Brothers Enhanced Yield Secs, due 5/15/07)	Apr. 30
(9.375% Transocean Enhanced Yield Secs, due 5/15/07)	Apr. 30
<b>Interest due May 22</b>	
CIBC World Markets (Premium Yield Generator Notes Linked to a Basket of Ten-Large Cap U.S. Stocks, due 5/22/08)	May 21
<b>Interest due May 29</b>	
Bank of America (1.5% S&P 500 Capital Protect Equity Performance Notes, due 11/26/2010)	May 1
(1% Basket CYCLES linked to a 70/30 Basket of Four Indices & 1 ETF, due 5/27/10)	May 12
Merrill Lynch & Co., Inc. (1% McDonald's Convertible Exchangeable Securities, due 5/28/09)	May 13
Allstate Life Global Funding (4.50% Secured Medium Term Notes, due 5/29/09)	May 14
Bear Stearns Companies, Inc. (1.5% S&P 500 Principal Protected Notes, due 11/30/09)	May. 15
<b>Interest due June 15</b>	
Bond Trust Products LLC (Bond Trust Products Trust Pass-Through Trust Certificates, due 6/15/2010)	Jun. 14

Stater Bros. Holdings Inc. (Floating Rate Notes, due 6/15/10)	Jun. 1
(8 1/8% Senior Notes, due 6/15/12)	Jun. 1
Wachovia Corporation (.25% Basket of Common Stocks Exchangeable Notes, due 12/15/10)	May 31

**Interest due June 20**

Citigroup Global Market Holdings (Dow Jones EURO STOXX 50 Index Principal-Protected Notes, due 12/20/07)	Jun.19
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**Interest due June 27**

Bank of America (1.25% Basket CYCLES Linked to a "70/30" Basket of Four Indices and 1 ETF, due 12/27/10)	Jun. 12
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**Interest due June 28**

Bank of America (S&P 400 MidCap Index CYCLES, due 12/28/10)	Jun. 13
(1.5% Healthcare Stocks Capital Protected Equity Performance Link Securities, due 12/20/11)	Jun. 13

**Interest due July 2**

Hawk Corporation (8 3/4% Senior Notes, due 11/1/14)	Jun. 15
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Morgan Stanley (2% 3M Co. Exchangeable Notes, due 12/30/10)	Jun. 15
(1.25% General Electric Exchangeable Notes, due 12/30/08)	Jun. 15
(1.5 % Wal-Mart Stores Exchangeable Notes, due 6/30/11)	Jun. 15

CIBC World Markets (10 Large-Cap U.S Stocks Yield Generator Notes, due 6/30/11)	Jun. 29
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**Interest due July 7**

Merrill Lynch & Co., Inc (.25% Wells Fargo & Co. Stock-Linked Notes –1/7/08)	Jun. 23
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**Interest due July 10**

Wachovia Corporation (19.25% General Motors Enhanced Yield Secs, due 7/10/07)	Jun. 25
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**Interest due July 16**

CBS Corporation. (7.625% Senior Debentures-2016)	Jul. 1
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Wells Fargo Corporation. (S&P 500 Basket linked Notes, due 10/9/08)	Jul. 1
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**Interest due July 26**

Bank of America (0.25% Nasdaq-100 Cash Settled Exchangeable Notes, due 1/26/10)	Jul. 11
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**Interest due July 30**

Bank of America (1% Healthcare Basket Index "CYCLES", due 1/28/11)	Jul. 1
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(1.50% Basket CYCLES linked to an "80/20" Basket of Four Indices & 1 ETF, due 7/29/11)	Jul.15
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**Interest due August 1**

Chandler (USA), Inc. (8 3/4% Senior Debentures, due 7/1/14)	Jul. 15
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**Interest due August 15**

Bank of America  
0.25% Senior Notes Optionally Exchangeable, due 2/15/2012 Jul. 31

**Interest due August 24**

Bank of America  
(1.25% SPX Index CYCLES, due 2/24/10) Aug. 9

**Interest due August 27**

Bank of America  
(1.25% DJIA Index CYCLES, due 8/25/10) Aug. 10

**List of Bonds Dealt in “Flat”**

**Traded Flat from Listing Date**

ABN AMRO	SHH.A (S&P 500 Structured Hybrid Equity link Secs-1/16/14)
American Corporate Accruals, Inc.	(Callable Principal Receipts-2024)
Bank of America Corp.	BOA.D (Nasdaq-100 EAGLES-6/1/10)
Bank of America Corp.	BOA.E (S&P 500 EAGLES- 6/28/10)
Bank of America Corp.	BOA.F (Nikkei 225 6% Min Return linked Notes-6/24/10)
Bank of America Corp.	BOA.G (16 Energy Stk linked EAGLES-8/2/10)
Bank of America Corp.	BOA.H (Russell 2000 EAGLES-8/28/09)
Bank of America Corp.	BOA.I (DJIA Minimum Return EAGLES-9/25/09)
Bank of America Corp.	BOA.J (Nasdaq-100 EAGLES-10/29/10)
Bank of America Corp.	BOA.M (Nikkei 225 Return Linked Notes-6/28/10)
Bank of America Corp.	BOA.O (Russell 2000 EAGLES-1/28/11)
Bank of America Corp.	BOA.R (Nasdaq-100 EAGLES-3/24/09)
Bank of America Corp.	BOA.U (EAGLES linked to the DJIA-6/25/10)
Bank of America Corp.	BOA.W (Amex Exch Biotechnology Index EAGLES-8/28/09)
Bank of America Corp.	BOA.Z (Energy Stocks EAGLES-9/29/10)
Bank of America Corp.	BOR.A (S&P 500 EAGLES-10/29/10)
Bank of America Corp.	BOR.B (Nasdaq-100 Index EAGLES-11/23/10)
Bank of America Corp.	BOR.C (CBOE China Index EAGLES-11/24/10)
Bank of America Corp.	BOR.F (DJIA EAGLES-3/25/11)
Bank of America Corp.	BOR.I (Basket of 3 Indices Return Linked Notes-8/26/10)
Bank of America Corp.	BOR.J ("80/20" Basket of Four Indices Return Link Notes-6/27/11)
Bank of America Corp.	BOR.K (S&P 500 EAGLES-7/29/10)
Bank of America Corp.	BOR.L (Return link notes linked to a basket of two Indices-1/28/11)
Bank of America Corp.	BOR.M (Index EAGLES Linked to the DJIA-8/26/10)
Bank of America Corp.	BOR.N (Dow Jones EURO STOXX 50 Return Link Notes-8/25/11)
Bank of America Corp.	BOR.O (S&P 500 Index EAGLES-10/3/11)
Bank of America Corp.	BOR.P (Amex Biotechnology Index EAGLES-10/28/11)
Bank of America Corp.	BOR.Q (Basket of Three Indices Return Link Notes-10/27/11)
Bank of America Corp.	BOR.R (Basket of Two Indices Return Link Notes-11/22/10)
Bank of America Corp.	BOR.S (Basket of Five EAGLES-11/23/11)
Bank of America Corp.	BOR.T (DJIA Index EAGLES -12/27/11)
Bank of America Corp.	BOR.U (Basket of 3 Indices Return Link Notes-12/29/11)
Bear Stearns & Co. Inc.	BYF.A (U.S. Sector ETFs Principal Protect Notes-2/5/08)
Bear Stearns & Co. Inc.	BYF.B (S&P 500 Principal Protect Notes-10/1/08)
Bear Stearns & Co. Inc.	BYF.C (NASDAQ-100 Principal Protect Notes-12/22/09)
Bear Stearns & Co. Inc.	BYF.D (DJIA Principal Protect Notes-3/23/11)
Bear Stearns & Co. Inc.	BYF.F (Three Intl Indices Medium Term Notes-8/2/10)
Canadian Imperial Bank of Commerce	MRS.A (S&P 500 linked Notes-7/30/10)
Canadian Imperial Bank of Commerce	MRS.B (CIBC 10 U.S. Stks Optimizer Notes-1/30/09)
Canadian Imperial Bank of Commerce	MRS.C (CIBC 10 U.S. Stks Optimizer Notes-3/5/09)

Canadian Imperial Bank of Commerce	MRS.D (CIBC 10 U.S. Stks Optimizer Notes-3/31/09)
Canadian Imperial Bank of Commerce	MRS.E (CIBC 10 U.S. Stks Optimizer Notes-4/30/09)
Canadian Imperial Bank of Commerce	MRS.F (S&P 500 MERITS-6/30/11)
Canadian Imperial Bank of Commerce	MRS.G (CIBC 10 U.S. Stks Optimizer Notes-6/30/09)
Canadian Imperial Bank of Commerce	MRS.H (NASDAQ-100 MERITS-8/1/11)
Canadian Imperial Bank of Commerce	MRS.I (DJIA MERITS-8/31/11)
Canadian Imperial Bank of Commerce	MRS.J (S&P 500 Principal Protected Notes-3/31/09)
Canadian Imperial Bank of Commerce	MRS.K (DJIA MERITS-1/30/09)
Canadian Imperial Bank of Commerce	MRS.M (S&P 500 Index linked Notes-12/31/08)
Canadian Imperial Bank of Commerce	MRS.O (Global Indices Basket linked Notes-9/18/08)
Canadian Imperial Bank of Commerce	MRS.S (S&P 500 Principal Protected Notes-6/15/10)
Canadian Imperial Bank of Commerce	MRS.U (Global Basket of Three Equity Indices -7/29/10)
Citigroup Global Market Holdings Inc.	NDG.A (Nasdaq-100 Principal Protect Notes-12/4/07)
Citigroup Global Market Holdings Inc.	SCW.A (S&P 500 Principal Protect Notes-10/22/07)
Credit Suisse (USA), Inc.	IPJ.A (S&P 500 Five Year Contingent Protect Secs-9/30/08)
Credit Suisse (USA), Inc.	IPJ.B (S&P 500 Five Year Contingent Protect Secs-11/26/08)
Goldman Sachs Group, Inc. (The)	GSD.B (S&P 500 linked Notes-8/7/11)
Goldman Sachs Group, Inc. (The)	GSN.B (S&P 500 Medium Term Notes-2/28/13)
Goldman Sachs Group, Inc. (The)	GSN.C (S&P 500 Medium Term Notes-4/18/13)
Goldman Sachs Group, Inc. (The)	GSN.D (S&P 500 Medium Term Notes-5/30/13)
JP Morgan Chase & Co.	JPL.A (Consumer Price Index Secs-1/15/10)
JP Morgan Chase & Co.	JPL.A (S&P 500 linked Notes-11/26/07)
JP Morgan Chase & Co.	JPL.B (S&P 500 Market Participation Notes-3/12/08)
JP Morgan Chase & Co.	JPL.C (Cap Qtr Observation Notes-9/22/08)
JP Morgan Chase & Co.	JPL.D (S&P 500 Cap Qtr Observation Notes-10/30/08)
JP Morgan Chase & Co.	JPL.E (S&P 500 Cap Qtr Observation Notes-1/21/09)
JP Morgan Chase & Co.	JPL.F (S&P 500 Mkt Participation Notes-3/31/09)
JP Morgan Chase & Co.	JPL.G (S&P 500 Cap Qtr Observation Notes-7/7/09)
JP Morgan Chase & Co.	JPL.H (S&P 500 Cap Qtr Observation Notes-9/21/09)
JP Morgan Chase & Co.	JPL.I (S&P 500 Principal Protect Notes-9/30/10)
Lehman Brothers Holdings Inc.	LBM.C (General Electric Co. Medium Term Notes-5/15/10)
Lehman Brothers Holdings Inc.	LBN.A (Dow Jones EUROSTOXX 50 Index-7/7/08)
Lehman Brothers Holdings Inc.	LBN.B (Dow Jones EURO STOXX 50 Index-7/29/08)
Lehman Brothers Holdings Inc.	LSQ.B (DJIA PrincipalPlus SUNS-8/5/07)
Lehman Brothers Holdings Inc.	LSQ.C (DJIA SUNS-4/29/10)
Lehman Brothers Holdings Inc.	LSQ.D (Nikkei 225 SUNS-6/10/10)
Lehman Brothers Holdings Inc.	PSZ.C (S&P 500 SUNS-8/5/08)
Lehman Brothers Holdings Inc.	PSZ.D (S&P 500 SUNS-9/27/07)
Lehman Brothers Holdings Inc.	PSZ.E (S&P 500 Callable SUNS-11/6/09)
Lehman Brothers Holdings Inc.	PSZ.F (DJ Global Titans Index SUNS-2/9/10)
Lehman Brothers Holdings Inc.	RLN.D (Nasdaq-100 Rebound RANGERS-6/7/08)
Lehman Brothers Holdings Inc.	RLN.E (S&P 500 linked Notes-9/28/09)
Lehman Brothers Holdings Inc.	RLN.G (DJ STOXX 50 linked Notes-11/15/09)
Lehman Brothers Holdings Inc.	RLN.H (Russell 2000 Index linked Notes-12/23/09)
Lehman Brothers Holdings Inc.	RLN.I (S&P 500 Index-Plus Notes-3/3/10)
Merrill Lynch	COD.A (Coca-Cola Co Exchangeable Conv Secs-9/30/08)
Merrill Lynch	EXO.A (Exxon Mobile Exchangeable Conv Secs-10/3/08)
Morgan Stanley	BSN.A (3 stks Sr Exchangeable Debt-12/30/08)
Morgan Stanley	ITM.A (Intel Stk Participation Notes-12/30/10)
Morgan Stanley	JMS.A (S&P 500 Callable Notes-12/30/08)
Morgan Stanley	MRW.A (S&P 500 Mkt Participation Secs-12/30/10)
Morgan Stanley	MWT.A (Wal-Mart Stk Participation Notes-9/15/10)
PMA Capital Corporation	PMK (8.5% Monthly Income Sr Notes-6/15/18)
UBS AG	PPV.C (Russell 2000 Principal Protect Notes-4/30/09)
UBS AG	PPV.E (DJIA Principal Protect Notes-5/29/09)
UBS AG	PPV.G (S&P 500 Principal Protect Notes-11/7/07)
UBS AG	PPV.H (S&P 500 Principal Protect Notes-12/7/07)

UBS AG	PPV.I (U.S. Equity Indices Principal Protect Notes-10/7/11)
UBS AG	PPV.J (DJIA Principal Protect Notes-2/7/08)
UBS AG	PPV.K (S&P 500 Principal Protect Notes-9/8/10)
UBS AG	PPV.L (S&P 500 Principal Protect Notes-4/8/10)
UBS AG	PPV.M (S&P 500 Principal Protect Notes-10/7/09)
UBS AG	PPV.N (S&P 500 Principal Protect Notes-5/7/10)
UBS AG	PPV.O (S&P 500 Principal Protect Notes-6/7/10)
UBS AG	PPV.P (S&P 500 Principal Protect Notes-7/8/10)
UBS AG	PPV.Q (S&P 500 Principal Protect Notes-8/6/10)
UBS.AG	PPV.R (S&P 500 Principal Protect Notes-9/8/09)
UBS AG	PPZ.A (S&P 500 Partial Protect Notes-11/05/07)
UBS AG	PPZ.B (S&P 500 Partial Principal Protect Notes-2/28/08)
UBS AG	PPZ.C (S&P 500 Partial Principal Protect Notes-11/5/10)
Wachovia Corporation	WDB.B (Nikkei 225 ASTROS-3/2/10)
Wachovia Corporation	WDB.D (S&P 500 linked to Trigger CAPITALS-12/8/08)
Wachovia Corporation	WDB.E (Global Basket of Indices ASTROS-2/2/10)
Wachovia Corporation	WDB.I (Global Equity Basket ASTROS-5/5/10)
Wachovia Corporation	WDB.M (Metals-China Basket ASTROS-1/28/09)
Wells Fargo & Company	WFG.A (S&P 500 linked Notes-1/4/08)
Wells Fargo & Company	WFG.B (Nasdaq-100 linked Notes-1/4/08)
Wells Fargo & Company	WFG.C (Basket linked Notes-4/15/09)
Wells Fargo & Company	WFG.D (DJIA High Point Average linked Notes-5/5/10)
Wells Fargo & Company	WFG.F (S&P 500 Callable Notes-8/25/09)

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## Halted Issues

Transactions in the following securities have been halted for a period exceeding five trading days. Members and member organizations are reminded of the provisions of Exchange Rule 462(d)1 when determining the value to place upon those securities for margin purposes.

Any purchase or sale of a security which has been halted by the Exchange may be subject to Federal regulation as well as the rules of the other market(s) where the purchase or sale is to take place.

Members are reminded that open orders on the books of the specialist for an issue which has been halted for 30 days are automatically canceled.

<u>Name of Company (Issue)</u>	<u>Date of Halt</u>
Dyadic International	April 23, 2007

a — Addition to previous Bulletin.

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## Dividends

**Dividends announced for July 2, 2007 – July 6, 2007 can be viewed on the Dividend Daily list homepage on [amextrader.com](http://amextrader.com); click on [Dividends Daily List](#) to view the Dividends Daily List.**

Please call the Corporate Actions/Proxy Rulings Department at 212-306-2438 with any questions.

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## Meetings of Stockholders

Members should, insofar as possible without additional expense, transfer certificates of stock held in persons or firms over whom they have no control into their own names, or those of persons over whom they have control, before the record date for determining stockholders entitled to vote.

As a guide to Member Organizations in giving proxies pursuant to Rule 577 to vote stock held for the account of customers the following symbols are used in the list of meetings below:

- V = Preceding Record Date indicates Members MAY vote the entire proxy under the Ten Day Rule.  
 X = Together with numbers or letters indicates that Members MAY NOT vote the designated matters without specific instructions from owners. Numbers or letters shown are those used in the PROXY FORM.  
 X = With no other designation indicates that Members MAY NOT vote the entire proxy.  
 NP = Indicates that proxies are not being solicited.

The following abbreviations are used for items not numbered or lettered in the proxy form:

- SP = Stockholder Proposal(s)      BY = By-Law Amendment  
 OP = Option Plan                    CH = Charter Amendment  
 STP = Stock Purchase Plan        MP = Merger Proposal  
 CP = Compensation Plan

Where no symbol appears, proxy material has not yet been received.

<u>Name of Company</u>	<u>Record</u>	<u>Meeting</u>
Advanced Photonix, Inc.	Jun. 29	A Aug. 24
Aerosonic Corporation	X-2 May 30	A Jul. 26
Almaden Minerals Ltd.	Note: (4) Jun. 29	A Aug. 3
Alteon, Inc.	X-1-2-3-4-6-7-8 May 24	A Jul. 20
AMDL, Inc.	Jul. 9	A Sep. 7
*Apogee Technology, Inc.	Jul. 25	A Aug. 28
Arizona Land Income Corp.	Jun. 21	S Jul. 25
*ATC Healthcare, Inc.	X-2 Jun. 25	A Aug. 24
Austral Pacific Energy Ltd.	Note: (4) Jul. 13	A Aug. 22
B+H Ocean Carriers Ltd.	Jun. 8	A Jul. 18
Birks & Mayors Inc.	Jul. 5	A Aug. 8
*BlackRock Broad Investment Grade 2009 Term Trust	V Jun. 20	A Aug. 16
*BlackRock CA Investment Quality Muni Trust	V Jun. 20	A Aug. 16
*BlackRock CA Muni Income Tr II	V Jun. 20	A Aug. 16
*BlackRock FL Investment Quality Muni Trust	V Jun. 20	A Aug. 16
*BlackRock MD Muni Bond Trust	V Jun. 20	A Aug. 16
*BlackRock Muni Income Trust II	V Jun. 20	A Aug. 16
*BlackRock MuniVest Fund	V Jun. 20	A Aug. 16
*BlackRock MuniYield Arizona Fund	V Jun. 20	A Aug. 16
*BlackRock NJ Investment Quality Muni Trust	V Jun. 20	A Aug. 16
*BlackRock NJ Muni Bond Trust	V Jun. 20	A Aug. 16
*BlackRock NY Investment Quality Muni Trust	V Jun. 20	A Aug. 16
*BlackRock NY Muni Income Trust II	V Jun. 20	A Aug. 16
*BlackRock PA Strategic Muni Trust	Jun. 20	A Aug. 16
*BlackRock S&P Quality Rankings Global Equity Managed Trust	V Jun. 20	A Aug. 16
*BlackRock VA Muni Bond Trust	V Jun. 20	A Aug. 16
BMB Munai, Inc.	V Jun. 18	A Jul. 26
BPZ Energy, Inc.	Jul. 5	A Aug. 17
Breeze-Eastern Corporation	Jul. 25	A
Cagle's, Incorporated	V May 25	A Jul. 13
CEL-SCI Corporation	May 11	A
Clough Global Allocation Fund	V May 23	A Jul. 23
Clough Global Equity Fund	V May 23	A Jul. 23
Clough Global Opportunities Fund	V May 23	A Jul. 23
Coinmach Service Corp.	Jun. 8	A Jul. 23
Command Security Corporation	Jul. 20	A Sep. 20
*Dejour Enterprises Ltd.	Jul. 17	A Aug. 17
*Delaware Investments Arizona Municipal Income Fund, Inc.	X-2-3 Jun. 19	A Aug. 15

*Delaware Investments Colorado Insured Municipal Income Fund, Inc.	X-2-3	Jun.	19	A	Aug.	15
*Delaware Investments Florida Insured Municipal Income Fund, Inc.	X-2-3	Jun.	19	A	Aug.	15
*Delaware Investments Minnesota Municipal Income Fund, Inc. II	X-2-3	Jun.	19	A	Aug.	15
Digital Angel Corporation		Mar.	21	A		
Dune Energy, Inc.	Note: (2)	Apr.	25			
Dune Energy, Inc.	V	Jun.	13	A	Jul.	10
Eaton Vance Insured California Municipal Bond Fund	V	May	14	A	Jul.	20
Eaton Vance Insured California Municipal Bond Fund II	V	May	14	A	Jul.	20
Eaton Vance Insured Florida Municipal Bond Fund	V	May	14	A	Jul.	20
Eaton Vance Insured Massachusetts Municipal Bond Fund	V	May	14	A	Jul.	20
Eaton Vance Insured Michigan Municipal Bond Fund	V	May	14	A	Jul.	20
Eaton Vance Insured Municipal Bond Fund	V	May	14	A	Jul.	20
Eaton Vance Insured Municipal Bond Fund II	V	May	14	A	Jul.	20
Eaton Vance Insured New Jersey Municipal Bond Fund	V	May	14	A	Jul.	20
Eaton Vance Insured New York Municipal Bond Fund	V	May	14	A	Jul.	20
Eaton Vance Insured New York Municipal Bond Fund II	V	May	14	A	Jul.	20
Eaton Vance Insured Ohio Municipal Bond Fund	V	May	14	A	Jul.	20
Eaton Vance Insured Pennsylvania Municipal Bond Fund	V	May	14	A	Jul.	20
Elecsys Corporation		Jul.	20	A	Sep.	13
*Emeritus Corporation		Jun.	29	A	Aug.	29
*Encision, Inc.	X-2	Jun.	8	A	Aug.	2
Environmental Power Corporation		Jun.	19	A		
Evergreen Income Advantage Fund	V	Jun.	15	A	Aug.	10
First Trust/Four Corners Senior Floating Rate Income Fund		Jun.	21	A	Sep.	10
Franklin Electronic Publishers, Inc.		Jul.	9	A	Aug.	29
Franklin Templeton Limited Duration Income Trust		Jul.	24	A	Sep.	26
Friedman Industries, Inc.		Jul.	13	A	Sep.	6
Frisch's Restaurants, Inc.		Aug.	2	A	Oct.	1
Fusion Telecommunications International, Inc.	X-1	Apr.	30	A	Jul.	12
General Finance Corporation		Jun.	11	S		
Geokinetics Inc.	X-2	May	21	A	Jul.	11
Graham Corporation	V	Jun.	1	A	Jul.	26
Halifax Corporation		Jul.	16	A		
Healthcare Acquisition Corp.		Jun.	15	A	Jul.	26
iLinc Communications, Inc.		Jul.	6	A	Aug.	24
Imageware Systems, Inc.		Aug.	10	A	Sep.	19
Innsuites Hospitality Trust	V	May	29	A	Jul.	12
Investors Capital Holdings, Ltd.		Jun.	29	A		
ION Media Networks, Inc.	Note: (2)	May	25			
IVAX Diagnostics, Inc.		Jun.	27	A	Aug.	1
JED Oil Inc.		Jun.	30	S	Jul.	30

* Kitty Hawk, Inc.	Note: (5)	Jul.	27	A	Aug.	24
LaBarge, Incorporated		Sep.	21	A	Nov.	14
LGL Group, Inc (The)		Jul.	2	A	Aug.	15
Man Sang Holdings, Inc.		Jun.	19	A	Aug.	1
* Massachusetts Health & Education Tax-Exempt Trust (The)	V	Jun.	20	A	Aug.	16
* Minco Gold Corporation Note: (4)		May	28	A	Jul.	16
MTS Medication Technologies, Inc.		Jul.	26	A	Sep.	13
* New England Realty Associates LP Note: (3)	V	Jun.	22			
Nuveen Dividend Advantage Municipal Fund 2	V	Jun.	4	A	Jul.	31
Nuveen Dividend Advantage Municipal Fund 3	V	Jun.	4	A	Jul.	31
Nuveen Insured Dividend Advantage Municipal Fund	V	Jun.	4	A	Jul.	31
Nuveen Insured Tax-Free Advantage Municipal Fund	V	Jun.	4	A	Jul.	31
Nuveen Municipal High Income Opportunity Fund	V	Jun.	4	A	Jul.	31
O2Diesel Corporation Note: (1)		Jul.	13	A		
ON2 Technologies, Inc.		Jun.	18	A	Aug.	17
Pacific Rim Mining Corp.		Jul.	3	A	Aug.	28
Paragon Technologies, Inc.	X-2	Jun.	12	A	Aug.	1
Pioneer Drilling Company	X-2	Jun.	8	A	Aug.	3
Retractable Technologies, Inc.		Jul.	30	A	Sep.	28
Tag-It-Pacific, Inc.	X-2	Jun.	4	A	Jul.	31
Telephone & Data Systems Inc.	X-2	Jun.	8	A	Jul.	26
UQM Technologies, Inc.		Jul.	3	A	Aug.	22
Uroplasty, Inc.		Jul.	16	A	Sep.	13
Vita Food Products, Inc.	Note: (2)	Apr.	13	A		
Westfield Financial, Inc.	X-2-3	May	31	A	Jul.	19
Westside Energy Corporation		Jun.	15	A	Aug.	13
Wilshire Enterprises, Inc. Note: (1)	X	Jun.	8	A	Jul.	19
Wireless Telecom Group, Inc.	V	May	21	A	Jul.	17
Z Trim Holdings, Inc.		Jul.	13	A	Aug.	22

\*Additions to or change from previous Bulletins.

S Special Meeting.  
A Annual Meeting.  
Adj Adjourned Meeting.  
† In Lieu of Annual Meeting.

- (1) In addition to proxy material filed by the company, Full Value Partners L.P. has filed soliciting proxies in opposition of the Board of Directors and to elect their own directors. Member organizations May Not Vote either proxy unless specific instructions have been received from such beneficial owners.
- (2) Record date is for “Information Statement” only, no solicitation involved.
- (3) The Board of Directors has set June 22, 2007 as the record date with respect to a consent solicitation. The consent cut-off date will be August 6, 2007.
- (4) Meeting is both Annual and Special.
- (5) Record date revised by the Company.



Geraldine M. Brindisi  
Corporate Secretary