



**Membership Seat Prices (in 000s)**

(As of 9:30 A.M., July 27, 2007)

	BID	OFFER	LAST CONTRACTED
Regular	375	410	400
Options Principal	25	None	320
Limited Trading Permits	None	6.5	25

Includes only those sales arranged pursuant to bids and offers filed with the Membership and Registration Department between the hours of 9:30 a.m. – 4:00 p.m.

Seat market information may be obtained by calling toll-free (877) Amex Seat (877-263-9732). Seat prices are updated intraday on [www.amextrader.com](http://www.amextrader.com).

**Membership Seat Sales**

**Regular**

(Since July 19, 2007)

\$400,000 – July 26, 2007

\$380,000 – July 23, 2007

**Membership Lease Prices**

(As of 9:30 A.M., July 27, 2007)

The following leases were received:

Includes monthly rental rate information only with respect to Exchange-approved negotiated leases. Not reported are arms length leases or leases for a nominal consideration.

**REGULAR**

New		Renewal	
<u>Price</u>	<u>Term</u>	<u>Price</u>	<u>Term</u>
4 @ \$400	1 year	\$400	1 year
\$350	6 months	\$400	6 months
		\$375	1 year
		\$375	6 months
		\$350	6 months
		\$300	1 year

**OPTIONS PRINCIPAL**

New		Renewal	
<u>Price</u>	<u>Term</u>	<u>Price</u>	<u>Term</u>
None		None	

**LIMITED TRADING PERMIT**

<u>Price</u>	<b>New</b>	<u>Term</u>	<u>Price</u>	<b>Renewal</b>	<u>Term</u>
None			None		

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**Transfers of Membership**

**Termination of Special Transfer Agreement – Regular  
(Effective at the Opening of Business on July 23, 2007)**

<b>Robert Hill</b> AGS Specialists II LLC Badge No. 810-R	<b>to</b>	<b>Seneca Investments G.P.</b> Attn: Glenn D. Pohs 163 West Park Avenue Pearl River, NY 10965 Seat No. 366-R
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**(Effective July 23, 2007)**

<b>Steven J. Orbuch</b> Group One Trading LP Badge No. 826-G	<b>to</b>	<b>Grace C. Carter</b> Independent 32031 Sea Island Drive, #541 Dana Point, CA 92629 Seat No. 766-R
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<b>Peter S. Witkow</b> Geronimo LLC Badge No. 723-P	<b>to</b>	<b>Frost Gamma Limited Partnership</b> Attn: AnnMarie Pascual 4400 Biscayne Blvd., 6 <sup>th</sup> Floor Miami, FL 33137 Seat No. 788-R
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**(Effective July 26, 2007)**

<b>James A. Brennan</b> Bear Hunter Structured Products LLC Badge No. 407-B	<b>to</b>	<b>Joan M. Will</b> Independent 192 Brimstone Hill Road Pine Bush, NY 12566 Seat No. 470-R
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<b>Joseph E. O'Boyle</b> Sante Fe Trading LLC Badge No. 1018	<b>to</b>	<b>Robert J. Kallman</b> Independent 6 Tatem Way Old Westbury, NY 11568 Seat No. 773-R
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*\*Simultaneously transferring to another seat*

*\*\*Currently a member on another seat*

**New Memberships**

**Sale**

**Regular  
(Effective July 23, 2007)**

<b>Grace C. Carter</b> Independent	<b>to</b>	<b>Ralph DellaCamera</b> Independent 11 Millbrook Road West Stamford, CT 06902 Seat No. 766-R
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(Effective July 26, 2007)

**Carol E. Solomon**

Independent

to

**Ralph DellaCamera**

Independent  
11 Millbrook Road West  
Stamford, CT 06902  
Seat No. 594-R

**Special Transfer Agreement – Regular**

(Effective at the Opening of Business on July 23, 2007)

**Seneca Investments G.P.**

to

**David R. Fay**

**Specialist**

AGS Specialists II LLC  
One World Financial Center  
200 Liberty Street, 26<sup>th</sup> Floor  
New York, NY 10281  
Seat No. 366-R  
Badge No. 810-U

(Effective July 26, 2007)

**Estate of Louis Miceli**

to

**Kieran T. Rush**

**Commission Broker**

Hedge Executions LLC  
71 Broadway, Ste. 12J  
New York, NY 10006  
Seat No. 398-R  
Badge No. 220

**Joan M. Will**

Independent

to

**Robert Bohlert**

**Specialist**

Bear Hunter Structured Products LLC  
40 Wall Street, 42<sup>nd</sup> Floor  
New York, NY 10005  
Seat No. 470-R  
Badge No. 408-R

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**SROT Transfer–Regular**

(Effective at the Opening of Business on July 23, 2007)

**Frost Gamma Limited Partnership**

Seat #s 105-R; 792-R

to

**Donn J. Stobierski**

**Off-Floor Trader**

Citadel Derivatives Group LLC  
131 South Dearborn Street  
Chicago, IL 60603

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## Changes in Member Organizations

### ‡Driehaus Securities Corporation

Ceased to be registered as an Associate Member Organization and Richard †Driehaus ceased as the Associate Member, effective July 11, 2007. (not as previously printed)

**\*\*Sante Fe Trading LLC**

Ceased to be registered as a Regular Member Organization with the transfer of \*Joseph E. O'Boyle (Badge #1018), effective July 26, 2007.

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- \* Regular Member
  - \*\* Regular Member Organization
  - Options Principal Member
  - Options Principal Member Organization
  - † Associate Member
  - † Associate Member Organization
  - # CBOE Member Organization
  - NYSE Member Organization
  - Limited Trading Permit Holder
  - Limited Trading Permit Holder Organization
  - @ Also Employed By Another Firm
  - (§) Sole Proprietor ceased

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## Proposed Transfers of Membership

### Termination of Special Transfer Agreement – Regular (To Be Effective July 27, 2007)

**Christopher Dattolo** to **Michael J. Horowitz**  
PTR Inc. Independent

### (To Be Effective at the Opening of Business on July 30, 2007)

**Gregg L. Stuart** to **Lawrence Friedman**  
Houdina Investments LLC Independent

### (To Be Effective July 31, 2007)

**Michael G. Challen\*\*** to **Pershing LLC**  
Jane Street Trading LLC,  
Jane Street Capital LLC and  
Jane Street Specialists LLC

**John J. Critchley, Jr.** to **Jeffrey A. Wolfson**  
J. Critchley. & Co. LLC Independent

**Brian P. Donlin** to **Charlton Specialist Partners LLC**  
LaBranche Structured Products LLC

**Brian G. Hunt** to **Stuart E. Gold**  
Ronin Trading J.V. Independent

### (To Be Effective August 1, 2007)

**Joseph N. Morena** to **Patricia Moschella**  
Amerivest Partners LLC Independent

### (To Be Effective August 2, 2007)

**Michael Ayres\*** to **HH Capital LLC**  
Cohen Specialists LLC

**Michael X. Cavanaugh\*** to **Andrew Hackel**  
Cohen Specialists LLC Independent

**Jeffrey S. Haas\*** to **Press Investors**  
JSH Trading LLC

**Anthony Tacopino** to **Roland C. Sherrer, Jr.**  
Goldman Sachs Execution & Clearing LP Independent

**David L. Wetzstein** to **Julien Sobel**  
Martin Allen Trading LLC Independent

**Derrick Whritenour\*** to **Eddie Hazan**  
LaBranche Structured Products LLC Independent

*\*Simultaneously transferring to another seat*

*\*\*Currently a member on another seat*

## New Memberships

### Sale

#### Regular

(To Be Effective July 27, 2007)

**Robert D. Hawkey, Sr.** to **Ralph DellaCamera**  
Independent Independent

(To Be Effective August 2, 2007)

**Daniel A. Amicucci** to **Ralph DellaCamera**  
Independent Independent

**Andrew Hackel** to **Robert Sahn**  
Independent Independent

**HH Capital LLC** to **Robert Sahn**  
Independent Independent

**Eddie Hazan** to **Ralph DellaCamera**  
Independent Independent

**Bernard W. O'Brien** to **David Jones**  
Independent Independent

**Joan Olson** to **Irwin Strauss**  
Independent Independent

**Press Investors** to **Douglas F. Hodgson**  
Independent Independent

#### Special Transfer Agreement – Regular

(To Be Effective July 27, 2007)

**Frank J. Attardo** to **Thomas W. Rennard**  
Independent **Broker of**  
RENN Associates Inc.

(To Be Effective July 31, 2007)

**Stuart E. Gold** to **Theodore J. Stem**  
Independent **Trader of**  
Ronin Trading J.V.

(To Be Effective August 2, 2007)

**Charlton Specialist Partners LLC** to **Derrick Whritenour**  
**Specialist of**  
LaBranche Structured Products LLC

<b>Douglas F. Hodgson</b> Independent	to	<b>Jeffrey S. Haas</b> <b>Trader of</b> JSH Trading LLC
<b>Robert Kallman</b> Independent	to	<b>Frank Maiolo</b> <b>House Broker of</b> DePaola Trading Inc.
<b>Robert Sahn</b> Independent	to	<b>Michael Ayres</b> <b>Specialist of</b> Cohen Specialists LLC
<b>Robert Sahn</b> Independent	to	<b>Michael X. Cavanaugh</b> <b>Specialist of</b> Cohen Specialists LLC
<b>Roland C. Sherrer, Jr.</b> Independent	to	<b>Vincent Marino</b> <b>Specialist of</b> Goldman Sachs Execution & Clearing LP

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#### **Current Member – Firm Status Change**

**Special Transfer–Regular**  
**(To Be Effective July 26, 2007)**

<b>Robert B. Fischer</b> RET Securities Corp. (Robert B. Fischer – Lessor)	to	<b>Robert B. Fischer</b> <b>House Broker of</b> RET Securities Corp. and Raymond C. Forbes & Co. Inc.
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#### **Proposed Allied Members**

**(To Be Effective August 2, 2007)**

**Henry Hackel**  
Managing Member of  
Pro-Ex LLC  
80 Broad Street, 26<sup>th</sup> Floor  
New York, NY 10004

**Nancy Swierczynski**  
Controller of  
STR Trading Partners LLC  
c/o Keith Goggin  
5 East 17<sup>th</sup> Street, Apt. 7  
New York, NY 10003

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#### **Proposed Associate Member**

**(To Be Effective August 2, 2007)**

**David A. Leibowitz**  
Chief Compliance Officer of  
Nomura Securities International Inc.  
2 World Financial Center  
New York, NY 10281

## Proposed Member Organization

(To Be Effective July 27, 2007)

**\*\*RENN Associates Inc.**

Proposed to be admitted as a Regular Member Organization

Address: 18 Stonehurst Terrace, Hazlet, NJ 07730

\*Thomas W. Rennard, President

**Any information you may have which would be pertinent to consideration of the applicants by the Exchange should be promptly reported to the Membership and Registration Department at 212-306-1419.**

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## Current Reports Made to the Exchange

Members attention is called to the fact that the following reports are made to the Exchange within the coming next week:

### **Report – To NASD Amex Regulation Division - Financial Regulation Dept. Due on or Before**

This request for reports is issued on behalf of the American Stock Exchange LLC (Amex) by the Financial Regulation Dept. of the NASD Amex Regulation Division pursuant to a grant of authority to the NASD. Accordingly, this constitutes a request for reports by the Amex.

All terminating Amex-designated Member Organizations and Sole Proprietor broker-dealers are required to file an SEC Rule 17a-5(b) Web FOCUS Report Part II Withdrawal or IIA Withdrawal. Use the Web FOCUS Blank Forms tab to access the appropriate form.

Web FOCUS Users experiencing access problems with Web FOCUS should contact the **Gateway Call Center @ 301-869-6699** for assistance.

Please contact the NASD Amex Regulation Division - Financial Regulation Dept., One Liberty Plaza, 51<sup>st</sup> Floor – Robert Devine 212-858-4448 regarding Web FOCUS entitlement procedures, MO-14 statement, or MO-15 report. Other questions regarding financial reporting should be directed to your FRD examiner.

Please refer to Amex Notice REG 2006–55 dated Oct. 26, 2006, for additional information about filing reports with the Financial Regulation Dept. This Notice is posted on the website at: <http://www.amex.com/amextrader/tdrInfo/data/axNotices/2006/reg102606.html>.

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## Equities Mid-Month Short Interest Schedule 2007

<b>Trade Date</b>	<b>Settlement Date</b>	<b>Report Due</b>	<b>Report Published</b>
(Friday) August 10	(Wednesday) August 15	(Friday) August 17 – 1:00 p.m. EST	(Tuesday) August 21

## Derivatives (End-of-Month) Short Interest Schedule 2007

<b>Trade Date</b>	<b>Settlement Date</b>	<b>Report Due</b>	<b>Report Published</b>
(Thursday) July 26	(Tuesday) July 31	(Wednesday) August 1 – 1:00 p.m. EST	(Friday) August 3

## The List

This information can be found on the Web. In order to retrieve this information, go to <http://www.amextrader.com> and from the home page click the Daily List button. At the Daily List home page scroll down to Amex issues and select the view link for the current date. Should you have any questions please call (212) 306-2438.

### The Following Securities Have Been Approved for Listing:

Citigroup Funding Inc. 7,159,100 shares of 9% ELKS Based Upon the ADRs of Cemex SAB de CV, maturing August 5, 2008

Citigroup Funding Inc. 4,165,000 shares Stock Market Upturn Notes Based Upon a U.S.-Europe-Japan Basket, maturing February 4, 2009

KBL Healthcare Acquisition Corp III 15,000,000 Units

Morgan Stanley 1,986,900 shares of 8% SPARQS Linked to ADRs of Cemex S.A. de C.V. maturing August 20, 2008

ULURU Inc 74,234,683 shares of Common Stock, Par Value \$0.001

Vanguard Tax-Managed Funds 500,000 Vanguard Europe Pacific ETF

### Additional & Substitution Listings:

Alteon, Inc., 14,160,000 Shares of Common Stock, \$0.01 Par Value

Elite Pharmaceuticals, Inc., 19,523,898 Shares of Common Stock, \$0.01 Par Value

Empire Financial Holding Company, 15,858 Shares of Common Stock, \$0.01 Par Value

O2 Diesel Corporation, 9,029,173 Shares of Common Stock, \$0.0001 Par Value

RAE Systems, Inc., 536,000 Shares of Common Stock, \$0.001 Par Value

Semotus Solutions, Inc., 177,853 Shares of Common Stock, \$0.01 Par Value

Sport Supply Group, Inc., 500,000 Shares of Common Stock, \$0.01 Par Value

Sport Supply Group, Inc., 1,830,000 Shares of Common Stock, \$0.01 Par Value

**Corporate Actions and New Listings announced for July 20, 2007 – July 27, 2007 can be viewed on the Issues Daily list homepage on [amextrader.com](http://amextrader.com); click on [Issues Daily List](#) to view the Issues Daily List.**

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## Registered Interest

<u>Name of Company</u>	<u>Record Date</u> <u>(New York unless stated otherwise)</u>
<b>Interest due May 14</b>	
CIBC World Markets (Ten Large-Cap U.S Stocks Yield Generator Notes, due 5/13/10)	May 11
<b>Interest due May 15</b>	
Wachovia Corporation	
(11.25% Toll Brothers Enhanced Yield Secs, due 5/15/07)	Apr. 30
(9.375% Transocean Enhanced Yield Secs, due 5/15/07)	Apr. 30

**Interest due May 22**

CIBC World Markets (Premium Yield Generator Notes Linked to a Basket of Ten-Large Cap U.S. Stocks, due 5/22/08) May 21

**Interest due May 29**

Bank of America  
(1.5% S&P 500 Capital Protect Equity Performance Notes, due 11/26/2010) May 1  
(1% Basket CYCLES linked to a 70/30 Basket of Four Indices & 1 ETF, due 5/27/10) May 12

Merrill Lynch & Co., Inc. (1% McDonald's Convertible Exchangeable Securities, due 5/28/09) May 13

Allstate Life Global Funding  
(4.50% Secured Medium Term Notes, due 5/29/09) May 14

Bear Stearns Companies, Inc.  
(1.5% S&P 500 Principal Protected Notes, due 11/30/09) May 15

**Interest due June 15**

Bond Trust Products LLC (Bond Trust Products Trust Pass-Through Trust Certificates, due 6/15/2010) Jun. 14

Stater Bros. Holdings Inc.  
(Floating Rate Notes, due 6/15/10) Jun. 1  
(8 1/8% Senior Notes, due 6/15/12) Jun. 1

Wachovia Corporation (.25% Basket of Common Stocks Exchangeable Notes, due 12/15/10) May 31

**Interest due June 20**

Citigroup Global Market Holdings (Dow Jones EURO STOXX 50 Index Principal-Protected Notes, due 12/20/07) Jun. 19

**Interest due June 27**

Bank of America (1.25% Basket CYCLES Linked to a "70/30" Basket of Four Indices and 1 ETF, due 12/27/10) Jun. 12

**Interest due June 28**

Bank of America  
(S&P 400 MidCap Index CYCLES, due 12/28/10) Jun. 13  
(1.5% Healthcare Stocks Capital Protected Equity Performance Link Securities, due 12/20/11) Jun. 13

**Interest due July 2**

Hawk Corporation (8 3/4% Senior Notes, due 11/1/14) Jun. 15

Morgan Stanley  
(2% 3M Co. Exchangeable Notes, due 12/30/10) Jun. 15  
(1.25% General Electric Exchangeable Notes, due 12/30/08) Jun. 15  
(1.5 % Wal-Mart Stores Exchangeable Notes, due 6/30/11) Jun. 15

CIBC World Markets (10 Large-Cap U.S Stocks Yield Generator Notes, due 6/30/11) Jun. 29

**Interest due July 7**

Merrill Lynch & Co., Inc  
(.25% Wells Fargo & Co. Stock-Linked Notes –1/7/08) Jun. 23

**Interest due July 10**

Wachovia Corporation  
(19.25% General Motors Enhanced Yield Secs, due 7/10/07) Jun. 25

**Interest due July 16**

CBS Corporation. (7.625% Senior Debentures-2016) Jul. 1

Wells Fargo Corporation.  
(S&P 500 Basket linked Notes, due 10/9/08) Jul. 1

**Interest due July 26**

Bank of America (0.25% Nasdaq-100 Cash Settled  
Exchangeable Notes, due 1/26/10) Jul. 11

**Interest due July 30**

Bank of America  
(1% Healthcare Basket Index "CYCLES", due 1/28/11) Jul. 1

(1.50% Basket CYCLES linked to an  
"80/20" Basket of Four Indices & 1 ETF, due 7/29/11) Jul.15

Credit Suisse  
(14.25% Ford Motor Corp. Reverse Convertible Notes,  
due 4/29/2008) Jul. 15

**Interest due August 1**

Chandler (USA), Inc. (8 ¾% Senior Debentures, due 7/1/14) Jul. 15

**Interest due August 15**

Bank of America  
0.25% Senior Notes Optionally Exchangeable, due 2/15/2012 Jul. 31

**Interest due August 22**

Citigroup Global Markets Holdings, Inc.  
(3% Pfizer Exchangeable Notes, due 8/22/08) Aug. 21

**Interest due August 24**

Bank of America  
(1.25% SPX Index CYCLES, due 2/24/10) Aug. 9

**Interest due August 27**

Bank of America  
(1.25% DJIA Index CYCLES, due 8/25/10) Aug. 10

**Interest due August 31**

CIBC World Markets (Ten Large-Cap U.S. Stocks Premium  
Yield Generator Notes, due 8/31/11) Aug. 30

**Interest due September 1**

Trans-Lux Corp.  
(8.25% Limited Conv Sr Subordinated Notes, due 3/1/12) Aug.15

**Interest due September 24**

Bank of America  
(1.625% Nikkei 225 Index CYCLES, due 3/23/10) Sep. 8

**Interest due September 27**

Bank of America  
(1.25% "100/0" Basket of 4 Indices CYCLES, due 9/27/11) Sep. 13

## List of Bonds Dealt in “Flat”

### Traded Flat from Listing Date ABN AMRO

American Corporate Accruals, Inc.	SHH.A (S&P 500 Structured Hybrid Equity link Secs-1/16/14)  (Callable Principal Receipts-2024)
Bank of America Corp.	BOA.D (Nasdaq-100 EAGLES-6/1/10)
Bank of America Corp.	BOA.E (S&P 500 EAGLES- 6/28/10)
Bank of America Corp.	BOA.F (Nikkei 225 6% Min Return linked Notes-6/24/10)
Bank of America Corp.	BOA.G (16 Energy Stk linked EAGLES-8/2/10)
Bank of America Corp.	BOA.H (Russell 2000 EAGLES-8/28/09)
Bank of America Corp.	BOA.I (DJIA Minimum Return EAGLES-9/25/09)
Bank of America Corp.	BOA.J (Nasdaq-100 EAGLES-10/29/10)
Bank of America Corp.	BOA.M (Nikkei 225 Return Linked Notes-6/28/10)
Bank of America Corp.	BOA.O (Russell 2000 EAGLES-1/28/11)
Bank of America Corp.	BOA.R (Nasdaq-100 EAGLES-3/24/09)
Bank of America Corp.	BOA.U (EAGLES linked to the DJIA-6/25/10)
Bank of America Corp.	BOA.W (Amex Exch Biotechnology Index EAGLES-8/28/09)
Bank of America Corp.	BOA.Z (Energy Stocks EAGLES-9/29/10)
Bank of America Corp.	BOR.A (S&P 500 EAGLES-10/29/10)
Bank of America Corp.	BOR.B (Nasdaq-100 Index EAGLES-11/23/10)
Bank of America Corp.	BOR.C (CBOE China Index EAGLES-11/24/10)
Bank of America Corp.	BOR.F (DJIA EAGLES-3/25/11)
Bank of America Corp.	BOR.I (Basket of 3 Indices Return Linked Notes-8/26/10)
Bank of America Corp.	BOR.J ("80/20" Basket of Four Indices Return Link Notes-6/27/11)
Bank of America Corp.	BOR.K (S&P 500 EAGLES-7/29/10)
Bank of America Corp.	BOR.L (Return link notes linked to a basket of two Indices-1/28/11)
Bank of America Corp.	BOR.M (Index EAGLES Linked to the DJIA-8/26/10)
Bank of America Corp.	BOR.N (Dow Jones EURO STOXX 50 Return Link Notes-8/25/11)
Bank of America Corp.	BOR.O (S&P 500 Index EAGLES-10/3/11)
Bank of America Corp.	BOR.P (Amex Biotechnology Index EAGLES-10/28/11)
Bank of America Corp.	BOR.Q (Basket of Three Indices Return Link Notes-10/27/11)
Bank of America Corp.	BOR.R (Basket of Two Indices Return Link Notes-11/22/10)
Bank of America Corp.	BOR.S (Basket of Five EAGLES-11/23/11)
Bank of America Corp.	BOR.T (DJIA Index EAGLES -12/27/11)
Bank of America Corp.	BOR.U (Basket of 3 Indices Return Link Notes-12/29/11)
Bear Stearns & Co. Inc.	BYF.A (U.S. Sector ETFs Principal Protect Notes-2/5/08)
Bear Stearns & Co. Inc.	BYF.B (S&P 500 Principal Protect Notes-10/1/08)
Bear Stearns & Co. Inc.	BYF.C (NASDAQ-100 Principal Protect Notes-12/22/09)
Bear Stearns & Co. Inc.	BYF.D (DJIA Principal Protect Notes-3/23/11)
Bear Stearns & Co. Inc.	BYF.F (Three Intl Indices Medium Term Notes-8/2/10)
Canadian Imperial Bank of Commerce	MRS.A (S&P 500 linked Notes-7/30/10)
Canadian Imperial Bank of Commerce	MRS.B (CIBC 10 U.S. Stks Optimizer Notes-1/30/09)
Canadian Imperial Bank of Commerce	MRS.C (CIBC 10 U.S. Stks Optimizer Notes-3/5/09)
Canadian Imperial Bank of Commerce	MRS.D (CIBC 10 U.S. Stks Optimizer Notes-3/31/09)
Canadian Imperial Bank of Commerce	MRS.E (CIBC 10 U.S. Stks Optimizer Notes-4/30/09)
Canadian Imperial Bank of Commerce	MRS.F (S&P 500 MERITS-6/30/11)
Canadian Imperial Bank of Commerce	MRS.G (CIBC 10 U.S. Stks Optimizer Notes-6/30/09)
Canadian Imperial Bank of Commerce	MRS.H (NASDAQ-100 MERITS-8/1/11)
Canadian Imperial Bank of Commerce	MRS.I (DJIA MERITS-8/31/11)
Canadian Imperial Bank of Commerce	MRS.J (S&P 500 Principal Protected Notes-3/31/09)
Canadian Imperial Bank of Commerce	MRS.K (DJIA MERITS-1/30/09)
Canadian Imperial Bank of Commerce	MRS.M (S&P 500 Index linked Notes-12/31/08)
Canadian Imperial Bank of Commerce	MRS.O (Global Indices Basket linked Notes-9/18/08)
Canadian Imperial Bank of Commerce	MRS.S (S&P 500 Principal Protected Notes-6/15/10)
Canadian Imperial Bank of Commerce	MRS.U (Global Basket of Three Equity Indices -7/29/10)
Citigroup Global Market Holdings Inc.	NDG.A (Nasdaq-100 Principal Protect Notes-12/4/07)

Citigroup Global Market Holdings Inc.	SCW.A (S&P 500 Principal Protect Notes-10/22/07)
Credit Suisse (USA), Inc. Credit Suisse (USA), Inc.	IPJ.A (S&P 500 Five Year Contingent Protect Secs-9/30/08) IPJ.B (S&P 500 Five Year Contingent Protect Secs-11/26/08)
Goldman Sachs Group, Inc. (The) Goldman Sachs Group, Inc. (The) Goldman Sachs Group, Inc. (The) Goldman Sachs Group, Inc. (The)	GSD.B (S&P 500 linked Notes-8/7/11) GSN.B (S&P 500 Medium Term Notes-2/28/13) GSN.C (S&P 500 Medium Term Notes-4/18/13) GSN.D (S&P 500 Medium Term Notes-5/30/13)
JP Morgan Chase & Co. JP Morgan Chase & Co. JP Morgan Chase & Co. JP Morgan Chase & Co. JP Morgan Chase & Co. JP Morgan Chase & Co. JP Morgan Chase & Co. JP Morgan Chase & Co. JP Morgan Chase & Co. JP Morgan Chase & Co.	JPI.A (Consumer Price Index Secs-1/15/10) JPL.A (S&P 500 linked Notes-11/26/07) JPL.B (S&P 500 Market Participation Notes-3/12/08) JPL.C (Cap Qtr Observation Notes-9/22/08) JPL.D (S&P 500 Cap Qtr Observation Notes-10/30/08) JPL.E (S&P 500 Cap Qtr Observation Notes-1/21/09) JPL.F (S&P 500 Mkt Participation Notes-3/31/09) JPL.G (S&P 500 Cap Qtr Observation Notes-7/7/09) JPL.H (S&P 500 Cap Qtr Observation Notes-9/21/09) JPL.I (S&P 500 Principal Protect Notes-9/30/10)
Lehman Brothers Holdings Inc. Lehman Brothers Holdings Inc. Lehman Brothers Holdings Inc. Lehman Brothers Holdings Inc. Lehman Brothers Holdings Inc. Lehman Brothers Holdings Inc. Lehman Brothers Holdings Inc. Lehman Brothers Holdings Inc. Lehman Brothers Holdings Inc. Lehman Brothers Holdings Inc. Lehman Brothers Holdings Inc. Lehman Brothers Holdings Inc. Lehman Brothers Holdings Inc. Lehman Brothers Holdings Inc. Lehman Brothers Holdings Inc. Lehman Brothers Holdings Inc. Lehman Brothers Holdings Inc. Lehman Brothers Holdings Inc. Lehman Brothers Holdings Inc.	LBM.C (General Electric Co. Medium Term Notes-5/15/10) LBN.A (Dow Jones EUROSTOXX 50 Index-7/7/08) LBN.B (Dow Jones EURO STOXX 50 Index-7/29/08) LSQ.B (DJIA PrincipalPlus SUNS-8/5/07) LSQ.C (DJIA SUNS-4/29/10) LSQ.D (Nikkei 225 SUNS-6/10/10) PSZ.C (S&P 500 SUNS-8/5/08) PSZ.D (S&P 500 SUNS-9/27/07) PSZ.E (S&P 500 Callable SUNS-11/6/09) PSZ.F (DJ Global Titans Index SUNS-2/9/10) RLN.D (Nasdaq-100 Rebound RANGERS-6/7/08) RLN.E (S&P 500 linked Notes-9/28/09) RLN.G (DJ STOXX 50 linked Notes-11/15/09) RLN.H (Russell 2000 Index linked Notes-12/23/09) RLN.I (S&P 500 Index-Plus Notes-3/3/10)
Merrill Lynch Merrill Lynch	COD.A (Coca-Cola Co Exchangeable Conv Secs-9/30/08) EXO.A (Exxon Mobile Exchangeable Conv Secs-10/3/08)
Morgan Stanley Morgan Stanley Morgan Stanley Morgan Stanley Morgan Stanley	BSN.A (3 stks Sr Exchangeable Debt-12/30/08) ITM.A (Intel Stk Participation Notes-12/30/10) JMS.A (S&P 500 Callable Notes-12/30/08) MRW.A (S&P 500 Mkt Participation Secs-12/30/10) MWT.A (Wal-Mart Stk Participation Notes-9/15/10)
PMA Capital Corporation	PMK (8.5% Monthly Income Sr Notes-6/15/18)
UBS AG UBS AG	PPV.C (Russell 2000 Principal Protect Notes-4/30/09) PPV.E (DJIA Principal Protect Notes-5/29/09) PPV.G (S&P 500 Principal Protect Notes-11/7/07) PPV.H (S&P 500 Principal Protect Notes-12/7/07) PPV.I (U.S. Equity Indices Principal Protect Notes-10/7/11) PPV.J (DJIA Principal Protect Notes-2/7/08) PPV.K (S&P 500 Principal Protect Notes-9/8/10) PPV.L (S&P 500 Principal Protect Notes-4/8/10) PPV.M (S&P 500 Principal Protect Notes-10/7/09) PPV.N (S&P 500 Principal Protect Notes-5/7/10) PPV.O (S&P 500 Principal Protect Notes-6/7/10) PPV.P (S&P 500 Principal Protect Notes-7/8/10) PPV.Q (S&P 500 Principal Protect Notes-8/6/10) PPV.R (S&P 500 Principal Protect Notes-9/8/09) PPZ.A (S&P 500 Partial Protect Notes-11/05/07) PPZ.B (S&P 500 Partial Principal Protect Notes-2/28/08) PPZ.C (S&P 500 Partial Principal Protect Notes-11/5/10)

Wachovia Corporation	WDB.B (Nikkei 225 ASTROS-3/2/10)
Wachovia Corporation	WDB.D (S&P 500 linked to Trigger CAPITALS-12/8/08)
Wachovia Corporation	WDB.E (Global Basket of Indices ASTROS-2/2/10)
Wachovia Corporation	WDB.I (Global Equity Basket ASTROS-5/5/10)
Wachovia Corporation	WDB.M (Metals-China Basket ASTROS-1/28/09)
Wells Fargo & Company	WFG.A (S&P 500 linked Notes-1/4/08)
Wells Fargo & Company	WFG.B (Nasdaq-100 linked Notes-1/4/08)
Wells Fargo & Company	WFG.C (Basket linked Notes-4/15/09)
Wells Fargo & Company	WFG.D (DJIA High Point Average linked Notes-5/5/10)
Wells Fargo & Company	WFG.F (S&P 500 Callable Notes-8/25/09)

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## Halted Issues

Transactions in the following securities have been halted for a period exceeding five trading days. Members and member organizations are reminded of the provisions of Exchange Rule 462(d)1 when determining the value to place upon those securities for margin purposes.

Any purchase or sale of a security which has been halted by the Exchange may be subject to Federal regulation as well as the rules of the other market(s) where the purchase or sale is to take place.

Members are reminded that open orders on the books of the specialist for an issue which has been halted for 30 days are automatically canceled.

<u>Name of Company (Issue)</u>	<u>Date of Halt</u>
Dyadic International	April 23, 2007

a — Addition to previous Bulletin.

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## Dividends

**Dividends announced for July 23, 2007 – July 27, 2007 can be viewed on the Dividend Daily list homepage on [amextrader.com](http://amextrader.com); click on [Dividends Daily List](#) to view the Dividends Daily List.**

Please call the Corporate Actions/Proxy Rulings Department at 212-306-2438 with any questions.

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## Meetings of Stockholders

Members should, insofar as possible without additional expense, transfer certificates of stock held in persons or firms over whom they have no control into their own names, or those of persons over whom they have control, before the record date for determining stockholders entitled to vote.

As a guide to Member Organizations in giving proxies pursuant to Rule 577 to vote stock held for the account of customers the following symbols are used in the list of meetings below:

- V = Preceding Record Date indicates Members MAY vote the entire proxy under the Ten Day Rule.
- X = Together with numbers or letters indicates that Members MAY NOT vote the designated matters without specific instructions from owners. Numbers or letters shown are those used in the PROXY FORM.
- X = With no other designation indicates that Members MAY NOT vote the entire proxy.
- NP = Indicates that proxies are not being solicited.

The following abbreviations are used for items not numbered or lettered in the proxy form:

- |                              |                        |
|------------------------------|------------------------|
| SP = Stockholder Proposal(s) | BY = By-Law Amendment  |
| OP = Option Plan             | CH = Charter Amendment |
| STP = Stock Purchase Plan    | MP = Merger Proposal   |
| CP = Compensation Plan       |                        |

Where no symbol appears, proxy material has not yet been received.

<u>Name of Company</u>	<u>Record</u>		<u>Meeting</u>	
Ableauctions.com Inc.		Jul. 19	A	Sep. 28
Advanced Photonix, Inc.	X-2	Jun. 29	A	Aug. 24
Allied Defense Group, Inc. (The)		Aug. 3	S	Oct. 1
Almaden Minerals Ltd.	Note: (4)	Jun. 29	A	Aug. 3
AMD, Inc.	X-2-3	Jul. 9	A	Sep. 7
*Apogee Technology, Inc.	X-3-4	Jul. 25	A	Aug. 28
Arcadia Resources, Inc.		Jul. 26	A	Sep. 7
*Ascendia Brands, Inc.	Note: (2)	Dec. 29		
*Ascendia Brands, Inc.	Note: (2)	Feb. 9		
*Ascendia Brands, Inc.	Note: (2)	Apr. 20		
ATC Healthcare, Inc.	X-2	Jun. 25	A	Aug. 24
Austral Pacific Energy Ltd.	Note: (4)	Jul. 13	A	Aug. 22
Birks & Mayors Inc.		Jul. 5	A	Aug. 8
BIW Limited		Aug. 9	S	Sep. 26
BlackRock Broad Investment Grade 2009 Term Trust	V	Jun. 20	A	Aug. 16
BlackRock CA Investment Quality Muni Trust	V	Jun. 20	A	Aug. 16
BlackRock CA Muni Income Tr II	V	Jun. 20	A	Aug. 16
BlackRock FL Investment Quality Muni Trust	V	Jun. 20	A	Aug. 16
BlackRock MD Muni Bond Trust	V	Jun. 20	A	Aug. 16
BlackRock Muni Income Trust II	V	Jun. 20	A	Aug. 16
BlackRock MuniVest Fund	V	Jun. 20	A	Aug. 16
BlackRock MuniYield Arizona Fund	V	Jun. 20	A	Aug. 16
BlackRock NJ Investment Quality Muni Trust	V	Jun. 20	A	Aug. 16
BlackRock NJ Muni Bond Trust	V	Jun. 20	A	Aug. 16
BlackRock NY Investment Quality Muni Trust	V	Jun. 20	A	Aug. 16
BlackRock NY Muni Income Trust II	V	Jun. 20	A	Aug. 16
BlackRock PA Strategic Muni Trust		Jun. 20	A	Aug. 16
BlackRock S&P Quality Rankings Global Equity Managed Trust	V	Jun. 20	A	Aug. 16
BlackRock VA Muni Bond Trust	V	Jun. 20	A	Aug. 16
*BPZ Energy, Inc.	X-3-5-6	Jul. 5	A	Aug. 17
Breeze-Eastern Corporation		Jul. 25	A	
Caraco Pharmaceutical Laboratories, Ltd.		Jul. 24	A	Sep. 10
Castle Brands Inc.		Jul. 16	A	Sep. 12
CEL-SCI Corporation		Aug. 1	A	
*Coast Distribution System (The)	V	Jul. 17	A	Aug. 29
*Coinmach Service Corp.	Note: (6)	Jun. 8	A	
Command Security Corporation		Jul. 20	A	Sep. 20
Conversion Services International	X-3	Jun. 25	A	Aug. 6
*Cytomedix, Inc.		Aug. 10	A	
Dejour Enterprises Ltd.		Jul. 17	A	Aug. 17
Delaware Investments Arizona Municipal Income Fund, Inc.	X-2-3	Jun. 19	A	Aug. 15
Delaware Investments Colorado Insured Municipal Income Fund, Inc.	X-2-3	Jun. 19	A	Aug. 15
Delaware Investments Florida Insured Municipal Income Fund, Inc.	X-2-3	Jun. 19	A	Aug. 15
Delaware Investments Minnesota Municipal Income Fund, Inc. II	X-2-3	Jun. 19	A	Aug. 15
Dune Energy, Inc.	Note: (2)	Apr. 25		
Elecsys Corporation		Jul. 20	A	Sep. 13
*Emeritus Corporation	X-1-3-4	Jul. 24	A	Aug. 29
Encision, Inc.	X-2	Jun. 8	A	Aug. 2
Environmental Power Corp.		Aug. 7	A	

Evergreen Income Advantage Fund	V	Jun.	15	A	Aug.	10
First Trust/Four Corners Senior Floating Rate Income Fund		Jun.	21	A	Sep.	10
Flotek Industries Inc.	X-2	Jul.	16	A	Aug.	17
Foxyby Corp.		Jul.	25	A	Sep.	19
Franklin Electronic Publishers, Inc.		Jul.	9	A	Aug.	29
Franklin Templeton Limited Duration Income Trust		Jul.	24	A	Sep.	26
*Friedman Industries, Inc.	V	Jul.	13	A	Sep.	6
Friendly Ice Cream Corporation		Jul.	26	S		
Frisch's Restaurants, Inc.		Aug.	2	A	Oct.	1
General Finance Corporation		Jun.	11	S		
Global Income Fund Inc.		Jul.	25	A	Sep.	19
Halifax Corporation		Aug.	7	A		
*Healthcare Acquisition Corp. Note: (5)	X	Jun.	15	A	Aug.	2
*HMG/Courtland Properties, Inc.	X-2	Jul.	21	A	Aug.	16
iLinc Communications, Inc.	V	Jul.	6	A	Aug.	24
Imageware Systems, Inc.		Aug.	10	A	Sep.	19
Insite Vision, Inc.		Aug.	10	A	Oct.	15
*InterSearch Group Inc. Note: (1)		Jul.	19			
*Investors Capital Holdings, Ltd.	X-3	Jun.	29	A	Aug.	23
IOMED, Inc.	X	Jul.	6	A	Aug.	8
*ION Media Networks, Inc. Note: (1 & 2)		Jul.	27			
Iteris, Inc.		Jul.	25	A	Sep.	21
IVAX Diagnostics, Inc.	V	Jun.	27	A	Aug.	1
Kitty Hawk, Inc.		Jul.	27	A	Aug.	24
LaBarge, Incorporated		Sep.	21	A	Nov.	14
LGL Group, Inc (The)	X-2	Jul.	2	A	Aug.	28
Man Sang Holdings, Inc.	X-2	Jun.	19	A	Aug.	1
Massachusetts Health & Education Tax-Exempt Trust (The)	V	Jun.	20	A	Aug.	16
Metalline Mining Company		Aug.	2	A	Sep.	21
*Mexco Energy Corporation	V	Jul.	18	A	Sep.	13
MTS Medication Technologies, Inc.		Jul.	26	A	Sep.	13
New England Realty Associates LP Note: (3)	V	Jun.	22			
Northern Orion Resources Inc.		Jul.	20	S	Aug.	22
Nuveen Dividend Advantage Municipal Fund 2	V	Jun.	4	A	Jul.	31
Nuveen Dividend Advantage Municipal Fund 3	V	Jun.	4	A	Jul.	31
Nuveen Insured Dividend Advantage Municipal Fund	V	Jun.	4	A	Jul.	31
Nuveen Insured Tax-Free Advantage Municipal Fund	V	Jun.	4	A	Jul.	31
Nuveen Municipal High Income Opportunity Fund	V	Jun.	4	A	Jul.	31
Nuveen Dividend Advantage Municipal Fund 2		Aug.	1	S	Oct.	12
Nuveen Dividend Advantage Municipal Fund 3		Aug.	1	S	Oct.	12
Nuveen Insured Dividend Advantage Municipal Fund		Aug.	1	S	Oct.	12
Nuveen Insured Tax-Free Advantage Municipal Fund		Aug.	1	S	Oct.	12
Nuveen Municipal High Income Opportunity Fund		Aug.	1	S	Oct.	12

Nuveen AZ Dividend					
Advantage Municipal Fund	Aug.	1	A	Oct.	12
Nuveen AZ Dividend					
Advantage Municipal Fund 2	Aug.	1	A	Oct.	12
Nuveen AZ Dividend					
Advantage Municipal Fund 3	Aug.	1	A	Oct.	12
Nuveen CA Dividend					
Advantage Municipal Fund 2	Aug.	1	A	Oct.	12
Nuveen CA Dividend					
Advantage Municipal Fund 3	Aug.	1	A	Oct.	12
Nuveen CA Premium Income					
Municipal Fund	Aug.	1	A	Oct.	12
Nuveen CT Dividend					
Advantage Municipal Fund	Aug.	1	A	Oct.	12
Nuveen CT Dividend					
Advantage Municipal Fund 2	Aug.	1	A	Oct.	12
Nuveen CT Dividend					
Advantage Municipal Fund 3	Aug.	1	A	Oct.	12
Nuveen GA Dividend					
Advantage Municipal Fund	Aug.	1	A	Oct.	12
Nuveen GA Dividend					
Advantage Municipal Fund 2	Aug.	1	A	Oct.	12
Nuveen GA Premium Income					
Municipal Fund	Aug.	1	A	Oct.	12
Nuveen Insured CA Dividend					
Advantage Municipal Fund	Aug.	1	A	Oct.	12
Nuveen Insured CA Tax-Free					
Advantage Municipal Fund	Aug.	1	A	Oct.	12
Nuveen Insured FL Tax-Free					
Advantage Municipal Fund	Aug.	1	A	Oct.	12
Nuveen Insured MA Tax-Free					
Advantage Municipal Fund	Aug.	1	A	Oct.	12
Nuveen Insured NY Dividend					
Advantage Municipal Fund	Aug.	1	S	Oct.	12
Nuveen Insured NY Tax-Free					
Advantage Municipal Fund	Aug.	1	S	Oct.	12
Nuveen MA Dividend					
Advantage Municipal Fund	Aug.	1	A	Oct.	12
Nuveen MD Dividend					
Advantage Municipal Fund	Aug.	1	A	Oct.	12
Nuveen MD Dividend					
Advantage Municipal Fund 2	Aug.	1	A	Oct.	12
Nuveen MD Dividend					
Advantage Municipal Fund 3	Aug.	1	A	Oct.	12
Nuveen MI Dividend					
Advantage Municipal Fund	Aug.	1	A	Oct.	12
Nuveen MO Premium Income					
Municipal Fund	Aug.	1	A	Oct.	12
Nuveen NC Dividend					
Advantage Municipal Fund	Aug.	1	A	Oct.	12
Nuveen NC Dividend					
Advantage Municipal Fund 2	Aug.	1	A	Oct.	12
Nuveen NC Dividend					
Advantage Municipal Fund 3	Aug.	1	A	Oct.	12
Nuveen NJ Dividend					
Advantage Municipal Fund	Aug.	1	A	Oct.	12
Nuveen NJ Dividend					
Advantage Municipal Fund 2	Aug.	1	A	Oct.	12
Nuveen NY Dividend					
Advantage Municipal Fund 2	Aug.	1	S	Oct.	12
Nuveen OH Dividend					
Advantage Municipal Fund	Aug.	1	A	Oct.	12
Nuveen OH Dividend					
Advantage Municipal Fund 2	Aug.	1	A	Oct.	12

Nuveen OH Dividend						
Advantage Municipal Fund 3		Aug.	1	A	Oct.	12
Nuveen PA Dividend						
Advantage Municipal Fund		Aug.	1	A	Oct.	12
Nuveen PA Dividend						
Advantage Municipal Fund 2		Aug.	1	A	Oct.	12
Nuveen Real Estate Income Fund		Aug.	1	S	Oct.	12
Nuveen VA Dividend						
Advantage Municipal Fund		Aug.	1	A	Oct.	12
Nuveen VA Dividend						
Advantage Municipal Fund 2		Aug.	1	A	Oct.	12
O2Diesel Corporation		Jul.	13	A		
ON2 Technologies, Inc.		Jul.	30	A	Sep.	28
Pacific Rim Mining Corp.		Jul.	3	A	Aug.	28
Paragon Technologies, Inc.	X-2	Jun.	12	A	Aug.	1
Pioneer Drilling Company	X-2	Jun.	8	A	Aug.	3
Retractable Technologies, Inc.		Jul.	30	A	Sep.	28
Semotus Solutions, Inc.		Jul.	24	A	Sep.	20
Tag-It-Pacific, Inc.	X-2	Jun.	4	A	Jul.	31
Transmeridian Exploration, Inc.	X	Jul.	23	S	Aug.	24
Tri-Valley Corporation		Aug.	8	A	Oct.	6
UQM Technologies, Inc.	V	Jul.	3	A	Aug.	22
Uroplasty, Inc.		Jul.	16	A	Sep.	13
*US Dataworks, Inc.	V	Jul.	13	A	Sep.	6
Vita Food Products, Inc.	Note: (2)	Apr.	13	A		
Westmoreland Coal Company	X-3-4-5	Jul.	3	A	Aug.	16
Westside Energy Corporation	X-2	Jun.	15	A	Aug.	13
*Z Trim Holdings, Inc.	X-3	Jul.	13	A	Aug.	22

\*Additions to or change from previous Bulletins.

S Special Meeting.

A Annual Meeting.

Adj Adjourned Meeting.

† In Lieu of Annual Meeting.

(1) Record date revised by the Company.

(2) Record date is for "Information Statement" only, no solicitation involved.

(3) The Board of Directors has set June 22, 2007 as the record date with respect to a consent solicitation.

The consent cut-off date will be August 6, 2007.

(4) Meeting is both Annual and Special.

(5) Meeting adjourned, by the Company, from July 27, 2007 to August 2, 2007.

(6) Record date rescinded by the Company.



Geraldine M. Brindisi  
Corporate Secretary