



**Membership Seat Prices (in 000s)**

(As of 9:30 A.M., August 10, 2007)

	BID	OFFER	LAST CONTRACTED
Regular	380	435	385
Options Principal	25	None	320
Limited Trading Permits	None	6.5	25

Includes only those sales arranged pursuant to bids and offers filed with the Membership and Registration Department between the hours of 9:30 a.m. – 4:00 p.m.

Seat market information may be obtained by calling toll-free (877) Amex Seat (877-263-9732). Seat prices are updated intraday on [www.amextrader.com](http://www.amextrader.com).

**Membership Seat Sales**

**Regular**

(Since August 2, 2007)

\$385,000 – August 9, 2007

\$390,000 – August 8, 2007

\$400,000 – August 8, 2007

**Membership Lease Prices**

(As of 9:30 A.M., August 10, 2007)

The following leases were received:

Includes monthly rental rate information only with respect to Exchange-approved negotiated leases. Not reported are arms length leases or leases for a nominal consideration.

**REGULAR**

<u>Price</u>	<u>New</u>	<u>Term</u>	<u>Price</u>	<u>Renewal</u>	<u>Term</u>
None			\$400		1year
			2 @ \$375		1year
			2 @ \$350		1year
			2 @ \$400		6 months
			\$375		6 months
			\$350		6 months
			\$300		6 months

**OPTIONS PRINCIPAL**

<u>Price</u>	<u>New</u>	<u>Term</u>	<u>Price</u>	<u>Renewal</u>	<u>Term</u>
None			None		

**LIMITED TRADING PERMIT**

<u>Price</u>	<b>New</b>	<u>Term</u>	<u>Price</u>	<b>Renewal</b>	<u>Term</u>
None			None		

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**Transfers of Membership**

**Termination of Special Transfer Agreement – Regular  
(Effective August 3, 2007)**

<b>Jeffrey S. Haas*</b> JSH Trading LLC Badge No. 603-J	<b>to</b>	<b>Press Investors</b> Attn: Stephen Wallenstein 3915 Kildrummy Drive Durham, NC 27705 Seat No. 763-R
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**(Effective August 7, 2007)**

<b>Michael Ayres*</b> Cohen Specialists LLC Badge No. 896-B	<b>to</b>	<b>HH Capital LLC</b> Attn: Henry Hackel 80 Broad Street, 26 <sup>th</sup> Floor New York, NY 10004 Seat No. 228-R
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<b>Michael X. Cavanaugh*</b> Cohen Specialists LLC Badge No. 896-X	<b>to</b>	<b>Andrew Hackel</b> Independent 3 Hanover Square, #4H New York, NY 10004 Seat No. 104-R
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**(Effective August 9, 2007)**

<b>Brian Arenstein</b> ALA Trading LLC Badge No. 1011	<b>to</b>	<b>Jeffrey Wolfson</b> Independent 440 South LaSalle Street, Suite 950 Chicago, IL 60605 Seat No. 38-R
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<b>Jay Barnard</b> Goldman Sachs Execution & Clearing LP Badge No. 501-J	<b>to</b>	<b>David Palmer</b> Independent 701 Lawrence Avenue Westfield, NJ 07090 Seat No. 244-R
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<b>Michael Challen</b> Gray Whale Capital LLC Badge No. 1012	<b>to</b>	<b>Dean Potashner</b> Independent 8 Military Hill Drive Morristown, NJ 07960 Seat No. 209-R
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<b>Arthur Leon*</b> Cohen Specialists LLC Badge No. 896-L	<b>to</b>	<b>Andrew Cohen</b> Independent c/o Cohen Specialists, LLC 2 Rector Street, 15 <sup>th</sup> Floor New York, NY 10006 Seat No. 83-R
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**Doron Linz**  
ARI Partners LLC  
Badge No. 1218

to

**Kai Linz**  
Independent  
241 Oak Road  
Montecito, CA 93108  
Seat No. 798-R

*\*Simultaneously transferring to another seat*

*\*\*Currently a member on another seat*

### New Memberships

#### Sale

**Regular**  
(Effective August 3, 2007)  
Press Investors

to

**Douglas F. Hodgson**  
Independent  
1725 57<sup>th</sup> Street  
Brooklyn, NY 11204  
Seat No. 763-R

(Effective August 7, 2007)  
**Andrew Hackel**  
Independent

to

**Robert Sahn**  
Independent  
10 Darby Lane  
East Hampton, NY 11937  
Seat No. 104-R

**HH Capital LLC**

to

**Robert Sahn**  
Independent  
10 Darby Lane  
East Hampton, NY 11937  
Seat No. 228-R

(Effective August 9, 2007)  
**Nickolas Alleva**  
Independent

to

**URB New York, LLC**  
450 Park Avenue, Suite 1900  
New York, NY 10022  
Seat No. 756-R

**Estate of Benjamin Cammarata**

to

**Ralph DellaCamera**  
Independent  
11 Millbrook Road West  
Stamford, CT 06902  
Seat No. 363-R

**Andrew Cohen**  
Independent

to

**Robert Sahn**  
Independent  
10 Darby Lane  
East Hampton, NY 11937  
Seat No. 83-R

**Special Transfer Agreement – Regular  
(Effective August 3, 2007)**

**Douglas F. Hodgson**  
Independent

to **Jeffrey S. Haas**  
**Trader of**  
JSH Trading LLC  
2 Rector Street, 16<sup>th</sup> Floor  
New York, NY 10006  
Seat No. 763-R  
Badge No. 503-J

**(Effective August 7, 2007)**

**Robert Sahn**  
Independent

to **Michael Ayres**  
**Specialist of**  
Cohen Specialists LLC  
2 Rector Street, 15<sup>th</sup> Floor  
New York, NY 10006  
Seat No. 228-R  
Badge No. 896-B

**Robert Sahn**  
Independent

to **Michael X. Cavanaugh**  
**Specialist of**  
Cohen Specialists LLC  
2 Rector Street, 15<sup>th</sup> Floor  
New York, NY 10006  
Seat No. 104-R  
Badge No. 896-X

**(Effective August 9, 2007)**

**Robert Sahn**  
Independent

to **Arthur Leon**  
**Specialist of**  
Cohen Specialists LLC  
2 Rector Street, 15<sup>th</sup> Floor  
New York, NY 10006  
Seat No. 83-R  
Badge No. 896-L

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**Current Member – Firm Status Change**

**Special Transfer–Regular  
(Effective August 7, 2007)**

**Robert B. Fischer**  
RET Securities Corp.  
(Robert B. Fischer – Lessor)

to **Robert B. Fischer**  
**House Broker of**  
RET Securities Corp. and  
Raymond C. Forbes & Co. Inc.  
4 Woodridge Avenue  
Middletown, NJ 07748  
Seat No.183-R  
Badge No. 61

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**Associate Member**

**(Effective August 9, 2007)**

**Michael Challen**  
Managing Member of  
Gray Whale Capital LLC  
2 Rector Street, 3<sup>rd</sup> Floor  
New York, NY 10006

## Changes in Member Organizations

### ‡ Gray Whale Capital LLC

Ceased to be registered as a Regular Member Organization and became registered as an Associate Member Organization, effective August 9, 2007.

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- \* Regular Member
  - \*\* Regular Member Organization
  - Options Principal Member
  - Options Principal Member Organization
  - † Associate Member
  - ‡ Associate Member Organization
  - # CBOE Member Organization
  - NYSE Member Organization
  - Limited Trading Permit Holder
  - Limited Trading Permit Holder Organization
  - @ Also Employed By Another Firm
  - (\$) Sole Proprietor ceased
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## Proposed Transfers of Membership

### Termination of Special Transfer Agreement – Regular (To Be Effective August 16, 2007)

**Michael K. Korn\*** to **Walter T. Newman**  
Consolidated Trading LLC Independent

*\*Simultaneously transferring to another seat  
\*\*Currently a member on another seat*

## New Memberships

### Sale

#### Regular

(To Be Effective August 10, 2007)

**Estate of Benjamin Cammarata** to **Joseph Fattorusso**  
Independent

(To Be Effective August 16, 2007)

**Walter T. Newman** to **Lawrence Goldstein**  
Independent Independent

**Rexman I, LLC** to **SSS, LLC**

**Gilbert Telsey** to **URB New York, LLC**  
Independent

**ZeroPhobia.com, G.P.** to **Michael A. Williams**  
Independent

### Special Transfer Agreement – Regular (To Be Effective August 10, 2007)

**Stuart E. Gold** to **Theodore J. Stem**  
Independent **Trader of**  
Ronin Trading J.V.

(To Be Effective August 16, 2007)

Lawrence Goldstein  
Independent

to

Michael K. Korn  
Trader of  
Consolidated Trading LLC

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## Proposed Member Organization

(To Be Effective August 10, 2007)

### ‡Bloomberg Tradebook LLC

Proposed to be admitted as an Associate Member Organization

Address: 731 Lexington Avenue, New York, NY 10022

Kim Bang, Allied Member

Bruce Garland, Allied and Associate Member

Helen Melada, Allied Member

Joseph Zangri, Allied Member

Bloomberg LP, Approved Person Organization

Bloomberg Inc., Approved Person Organization

Bloomberg Tradebook Australia PTY Ltd., Approved Person Organization

Bloomberg Tradebook Bermuda Ltd., Approved Person Organization

Bloomberg Tradebook Canada Company, Approved Person Organization

Bloomberg Tradebook Europe Limited, Approved Person Organization

Bloomberg Tradebook Hong Kong Ltd., Approved Person Organization

Bloomberg Tradebook Japan Ltd., Approved Person Organization

Bloomberg Tradebook Singapore PTE Ltd., Approved Person Organization

**Any information you may have which would be pertinent to consideration of the applicants by the Exchange should be promptly reported to the Membership and Registration Department at 212-306-1419.**

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## Current Reports Made to the Exchange

Members attention is called to the fact that the following reports are made to the Exchange within the coming next week:

### **Report – To NASD Amex Regulation Division - Financial Regulation Dept. Due on or Before**

This request for reports is issued on behalf of the American Stock Exchange LLC (Amex) by the Financial Regulation Dept. of the NASD Amex Regulation Division pursuant to a grant of authority to the NASD. Accordingly, this constitutes a request for reports by the Amex.

Web FOCUS Report Part II Monthly - Member Organizations carrying customers and/or self-clearing are required to file by the 17th business day after month-end. Thurs. Aug. 23

Web FOCUS Report Part IIA Monthly or Net Capital Computation - Member Organization and Sole Proprietor broker-dealers subject to SEC Rule 15c3-1 net capital requirements but not carrying customers and/or self-clearing are required to file by the 17th business day after month-end. Thurs. Aug. 23

Equity Computation - Member Organizations subject to Exchange Rule 110 equity requirements **and exempt from SEC Rule 15c3-1 net capital requirements** are required to file by the 17th business day after month-end. **Amex market-makers in non-option products are subject to SEC Rule 15c3-1 net capital requirements and must file a Web FOCUS Report Part IIA or Net Capital Computation.** Thurs. Aug. 23

All terminating Amex-designated Member Organizations and Sole Proprietor broker-dealers are required to file an SEC Rule 17a-5(b) Web FOCUS Report Part II Withdrawal or IIA Withdrawal. Use the Web FOCUS Blank Forms tab to access the appropriate form.

Web FOCUS Users experiencing access problems with Web FOCUS should contact the **Gateway Call Center @ 301-869-6699** for assistance.

Please contact the NASD Amex Regulation Division - Financial Regulation Dept., One Liberty Plaza, 51<sup>st</sup> Floor – Robert Devine 212-858-4448 regarding Web FOCUS entitlement procedures, MO-14 statement, or MO-15 report. Other questions regarding financial reporting should be directed to your FRD examiner.

Please refer to Amex Notice REG 2006–55 dated Oct. 26, 2006, for additional information about filing reports with the Financial Regulation Dept. This Notice is posted on the website at:  
<http://www.amex.com/amextrader/tdrInfo/data/axNotices/2006/reg102606.html>.

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### Equities Mid-Month Short Interest Schedule 2007

Trade Date	Settlement Date	Report Due	Report Published
(Friday) August 10	(Wednesday) August 15	(Friday) August 17 – 1:00 p.m. EST	(Tuesday) August 21

### Derivatives (End-of-Month) Short Interest Schedule 2007

Trade Date	Settlement Date	Report Due	Report Published
(Tuesday) August 28	(Friday) August 31	(Tuesday) September 4 – 1:00 p.m. EST	(Thursday) September 6

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### The List

This information can be found on the Web. In order to retrieve this information, go to <http://www.amextrader.com> and from the home page click the Daily List button. At the Daily List home page scroll down to Amex issues and select the view link for the current date. Should you have any questions please call (212) 306-2438.

#### The Following Securities Have Been Approved for Listing:

Citigroup Funding Inc. 6,395,000 shares of 9% ELKS Based Upon Exxon Mobil Corp, maturing August 18, 2008

Merrill Lynch 5,700,000 shares of 100% Principal Protected Global Currency Basket Notes, maturing February 9, 2009

Merrill Lynch 23,500,000 shares of Accelerated Return Notes Linked to the Nikkei 225 Index, maturing October 9, 2008

NeoStem, Inc 1,270,000 Units

NeoStem, Inc 8,376,162 shares of Common Stock, Par Value \$.01

Pacific Booker Minerals, Inc 12,441,619 shares of common Stock, Without Par Value

ValueRich, Inc 1,637,230 shares of Common Stock, Par Value \$.01

Velocity Asset Management, Inc 27,292,257 shares of Common Stock, Par Value \$.001

#### Additional & Substitution Listings:

Arcadia Resources Inc., 145,000 Shares of Common Stock, \$0.001 Par Value

Dune Energy, Inc., 82,055,866 Shares of Common Stock, \$0.001 Par Value

Exeter Resource Corporation, 1,869,084 Shares of Common Stock, No Par Value

Fortune Industries, Inc., 577,143 Shares of Common Stock, \$0.10 Par Value

Graham Corporation, 250,000 Shares of Common Stock, \$0.10 Par Value

Hersha Hospitality Trust, 425,486 Shares of Common Stock, \$0.01 Par Value

IA Global, Inc., 15,734,017 Shares of Common Stock, \$0.01 Par Value

Inovio Biomedical Corporation, 380,000 Shares of Common Stock, \$0.01 Par Value

Manhattan Pharmaceuticals, Inc., 4,150,000 Shares of Common Stock, \$0.0001 Par Value

Miramar Mining Corporation, 3,099,038 Shares of Common Stock, No Par Value

Oragenics, Inc., 9,400,000 Shares of Common Stock, \$0.001 Par Value

Proliance International, Inc., 1,058,150 Shares of Common Stock, \$0.01 Par Value

Sunlink health Systems, Inc., 835,550 Shares of Common Stock, No Par Value

Supreme Industries, Inc., 250,000 Shares of Common Stock, \$0.10 Par Value

Z Trim Holdings, Inc., 187,500 Shares of Common Stock, \$0.00005 Par Value

**Corporate Actions and New Listings announced for August 6, 2007 – August 10, 2007 can be viewed on the Issues Daily list homepage on [amextrader.com](http://amextrader.com); click on [Issues Daily List](#) to view the Issues Daily List.**

## **Registered Interest**

<u><b>Name of Company</b></u>	<u><b>Record Date</b></u> <u><b>(New York unless stated otherwise)</b></u>
<b>Interest due June 15</b>	
Bond Trust Products LLC (Bond Trust Products Trust Pass-Through Trust Certificates, due 6/15/2010)	Jun. 14
Stater Bros. Holdings Inc. (Floating Rate Notes, due 6/15/10)	Jun. 1
(8 1/8% Senior Notes, due 6/15/12)	Jun. 1
Wachovia Corporation (.25% Basket of Common Stocks Exchangeable Notes, due 12/15/10)	May 31
<b>Interest due June 20</b>	
Citigroup Global Market Holdings (Dow Jones EURO STOXX 50 Index Principal-Protected Notes, due 12/20/07)	Jun. 19
<b>Interest due June 27</b>	
Bank of America (1.25% Basket CYCLES Linked to a "70/30" Basket of Four Indices and 1 ETF, due 12/27/10)	Jun. 12
<b>Interest due June 28</b>	
Bank of America (S&P 400 MidCap Index CYCLES, due 12/28/10)	Jun. 13
(1.5% Healthcare Stocks Capital Protected Equity Performance Link Securities, due 12/20/11)	Jun. 13

**Interest due July 2**

Hawk Corporation (8 3/4% Senior Notes, due 11/1/14)	Jun. 15
Morgan Stanley (2% 3M Co. Exchangeable Notes, due 12/30/10)	Jun. 15
(1.25% General Electric Exchangeable Notes, due 12/30/08)	Jun. 15
(1.5 % Wal-Mart Stores Exchangeable Notes, due 6/30/11)	Jun. 15
CIBC World Markets (10 Large-Cap U.S Stocks Yield Generator Notes, due 6/30/11)	Jun. 29

**Interest due July 7**

Merrill Lynch & Co., Inc (.25% Wells Fargo & Co. Stock-Linked Notes –1/7/08)	Jun. 23
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**Interest due July 10**

Wachovia Corporation (19.25% General Motors Enhanced Yield Secs, due 7/10/07)	Jun. 25
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**Interest due July 16**

CBS Corporation. (7.625% Senior Debentures-2016)	Jul. 1
Wells Fargo Corporation. (S&P 500 Basket linked Notes, due 10/9/08)	Jul. 1

**Interest due July 26**

Bank of America (0.25% Nasdaq-100 Cash Settled Exchangeable Notes, due 1/26/10)	Jul. 11
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**Interest due July 30**

Bank of America (1% Healthcare Basket Index "CYCLES", due 1/28/11)	Jul. 1
(1.50% Basket CYCLES linked to an "80/20" Basket of Four Indices & 1 ETF, due 7/29/11)	Jul. 15
Credit Suisse (14.25% Ford Motor Corp. Reverse Convertible Notes, due 4/29/2008)	Jul. 15

**Interest due August 1**

Chandler (USA), Inc. (8 3/4% Senior Debentures, due 7/1/14)	Jul. 15
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**Interest due August 15**

Bank of America 0.25% Senior Notes Optionally Exchangeable, due 2/15/2012	Jul. 31
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**Interest due August 16**

Lehman Brothers 0.25% Medium-Term Notes Performance, due 2/16/2012	Aug. 1
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**Interest due August 22**

Citigroup Global Markets Holdings, Inc. (3% Pfizer Exchangeable Notes, due 8/22/08)	Aug. 21
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**Interest due August 24**

Bank of America (1.25% SPX Index CYCLES, due 2/24/10)	Aug. 9
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**Interest due August 27**

Bank of America  
(1.25% DJIA Index CYCLES, due 8/25/10) Aug. 10

**Interest due August 31**

CIBC World Markets (Ten Large-Cap U.S. Stocks Premium  
Yield Generator Notes, due 8/31/11) Aug. 30

**Interest due September 1**

Trans-Lux Corp.  
(8.25% Limited Conv Sr Subordinated Notes, due 3/1/12) Aug. 15

**Interest due September 4**

Lehman Brothers  
(2% Morgan Stanley link Notes, due 3/3/09) Aug. 19

**Interest due September 13**

Citigroup Global Market Holdings (0.25% Basket of Tech  
Stocks Cash Exchangeable Notes, due 09/13/07) Aug. 29

**Interest due September 20**

Citigroup Global Market Holdings (Dow Jones EURO  
STOXX 50 Index Principal-Protected Notes, due 12/20/07) Sep. 5

**Interest due September 24**

Bank of America  
(1.625% Nikkei 225 Index CYCLES, due 3/23/10) Sep. 8

**Interest due September 27**

Bank of America  
(1.25% "100/0" Basket of 4 Indices CYCLES, due 9/27/11) Sep. 13

**Interest due September 28**

CIBC World Markets (Ten Large-Cap U.S. Stocks  
Premium Yield Generator Notes, due 9/28/07) Sep. 27

**List of Bonds Dealt in "Flat"**

**Traded Flat from Listing Date**

ABN AMRO	SHH.A (S&P 500 Structured Hybrid Equity link Secs-1/16/14)
American Corporate Accruals, Inc.	(Callable Principal Receipts-2024)
Bank of America Corp.	BOA.D (Nasdaq-100 EAGLES-6/1/10)
Bank of America Corp.	BOA.E (S&P 500 EAGLES- 6/28/10)
Bank of America Corp.	BOA.F (Nikkei 225 6% Min Return linked Notes-6/24/10)
Bank of America Corp.	BOA.G (16 Energy Stk linked EAGLES-8/2/10)
Bank of America Corp.	BOA.H (Russell 2000 EAGLES-8/28/09)
Bank of America Corp.	BOA.I (DJIA Minimum Return EAGLES-9/25/09)
Bank of America Corp.	BOA.J (Nasdaq-100 EAGLES-10/29/10)
Bank of America Corp.	BOA.M (Nikkei 225 Return Linked Notes-6/28/10)
Bank of America Corp.	BOA.O (Russell 2000 EAGLES-1/28/11)
Bank of America Corp.	BOA.R (Nasdaq-100 EAGLES-3/24/09)
Bank of America Corp.	BOA.U (EAGLES linked to the DJIA-6/25/10)
Bank of America Corp.	BOA.W (Amex Exch Biotechnology Index EAGLES-8/28/09)
Bank of America Corp.	BOA.Z (Energy Stocks EAGLES-9/29/10)
Bank of America Corp.	BOR.A (S&P 500 EAGLES-10/29/10)
Bank of America Corp.	BOR.B (Nasdaq-100 Index EAGLES-11/23/10)
Bank of America Corp.	BOR.C (CBOE China Index EAGLES-11/24/10)
Bank of America Corp.	BOR.F (DJIA EAGLES-3/25/11)
Bank of America Corp.	BOR.I (Basket of 3 Indices Return Linked Notes-8/26/10)
Bank of America Corp.	BOR.J ("80/20" Basket of Four Indices Return Link Notes-6/27/11)

Bank of America Corp. Bank of America Corp.	BOR.K (S&P 500 EAGLES-7/29/10) BOR.L (Return link notes linked to a basket of two Indices-1/28/11)
Bank of America Corp. Bank of America Corp.	BOR.M (Index EAGLES Linked to the DJIA-8/26/10) BOR.N (Dow Jones EURO STOXX 50 Return Link Notes-8/25/11)
Bank of America Corp. Bank of America Corp. Bank of America Corp. Bank of America Corp. Bank of America Corp. Bank of America Corp. Bank of America Corp.	BOR.O (S&P 500 Index EAGLES-10/3/11) BOR.P (Amex Biotechnology Index EAGLES-10/28/11) BOR.Q (Basket of Three Indices Return Link Notes-10/27/11) BOR.R (Basket of Two Indices Return Link Notes-11/22/10) BOR.S (Basket of Five EAGLES-11/23/11) BOR.T (DJIA Index EAGLES -12/27/11) BOR.U (Basket of 3 Indices Return Link Notes-12/29/11)
Bear Stearns & Co. Inc. Bear Stearns & Co. Inc. Bear Stearns & Co. Inc. Bear Stearns & Co. Inc. Bear Stearns & Co. Inc.	BYF.A (U.S. Sector ETFs Principal Protect Notes-2/5/08) BYF.B (S&P 500 Principal Protect Notes-10/1/08) BYF.C (NASDAQ-100 Principal Protect Notes-12/22/09) BYF.D (DJIA Principal Protect Notes-3/23/11) BYF.F (Three Intl Indices Medium Term Notes-8/2/10)
Canadian Imperial Bank of Commerce Canadian Imperial Bank of Commerce Canadian Imperial Bank of Commerce Canadian Imperial Bank of Commerce Canadian Imperial Bank of Commerce Canadian Imperial Bank of Commerce Canadian Imperial Bank of Commerce Canadian Imperial Bank of Commerce Canadian Imperial Bank of Commerce Canadian Imperial Bank of Commerce Canadian Imperial Bank of Commerce Canadian Imperial Bank of Commerce Canadian Imperial Bank of Commerce Canadian Imperial Bank of Commerce Canadian Imperial Bank of Commerce	MRS.A (S&P 500 linked Notes-7/30/10) MRS.B (CIBC 10 U.S. Stks Optimizer Notes-1/30/09) MRS.C (CIBC 10 U.S. Stks Optimizer Notes-3/5/09) MRS.D (CIBC 10 U.S. Stks Optimizer Notes-3/31/09) MRS.E (CIBC 10 U.S. Stks Optimizer Notes-4/30/09) MRS.F (S&P 500 MERITS-6/30/11) MRS.G (CIBC 10 U.S. Stks Optimizer Notes-6/30/09) MRS.H (NASDAQ-100 MERITS-8/1/11) MRS.I (DJIA MERITS-8/31/11) MRS.J (S&P 500 Principal Protected Notes-3/31/09) MRS.K (DJIA MERITS-1/30/09) MRS.M (S&P 500 Index linked Notes-12/31/08) MRS.O (Global Indices Basket linked Notes-9/18/08) MRS.S (S&P 500 Principal Protected Notes-6/15/10) MRS.U (Global Basket of Three Equity Indices -7/29/10)
Citigroup Global Market Holdings Inc. Citigroup Global Market Holdings Inc.	NDG.A (Nasdaq-100 Principal Protect Notes-12/4/07) SCW.A (S&P 500 Principal Protect Notes-10/22/07)
Credit Suisse (USA), Inc. Credit Suisse (USA), Inc.	IPJ.A (S&P 500 Five Year Contingent Protect Secs-9/30/08) IPJ.B (S&P 500 Five Year Contingent Protect Secs-11/26/08)
Goldman Sachs Group, Inc. (The) Goldman Sachs Group, Inc. (The) Goldman Sachs Group, Inc. (The) Goldman Sachs Group, Inc. (The)	GSD.B (S&P 500 linked Notes-8/7/11) GSN.B (S&P 500 Medium Term Notes-2/28/13) GSN.C (S&P 500 Medium Term Notes-4/18/13) GSN.D (S&P 500 Medium Term Notes-5/30/13)
JP Morgan Chase & Co. JP Morgan Chase & Co. JP Morgan Chase & Co. JP Morgan Chase & Co. JP Morgan Chase & Co. JP Morgan Chase & Co. JP Morgan Chase & Co. JP Morgan Chase & Co. JP Morgan Chase & Co. JP Morgan Chase & Co.	JPL.A (Consumer Price Index Secs-1/15/10) JPL.A (S&P 500 linked Notes-11/26/07) JPL.B (S&P 500 Market Participation Notes-3/12/08) JPL.C (Cap Qtr Observation Notes-9/22/08) JPL.D (S&P 500 Cap Qtr Observation Notes-10/30/08) JPL.E (S&P 500 Cap Qtr Observation Notes-1/21/09) JPL.F (S&P 500 Mkt Participation Notes-3/31/09) JPL.G (S&P 500 Cap Qtr Observation Notes-7/7/09) JPL.H (S&P 500 Cap Qtr Observation Notes-9/21/09) JPL.I (S&P 500 Principal Protect Notes-9/30/10)
Lehman Brothers Holdings Inc. Lehman Brothers Holdings Inc. Lehman Brothers Holdings Inc. Lehman Brothers Holdings Inc. Lehman Brothers Holdings Inc. Lehman Brothers Holdings Inc. Lehman Brothers Holdings Inc. Lehman Brothers Holdings Inc. Lehman Brothers Holdings Inc. Lehman Brothers Holdings Inc.	LBM.C (General Electric Co. Medium Term Notes-5/15/10) LBN.A (Dow Jones EUROSTOXX 50 Index-7/7/08) LBN.B (Dow Jones EURO STOXX 50 Index-7/29/08) LSQ.B (DJIA PrincipalPlus SUNS-8/5/07) LSQ.C (DJIA SUNS-4/29/10) LSQ.D (Nikkei 225 SUNS-6/10/10) PSZ.C (S&P 500 SUNS-8/5/08) PSZ.D (S&P 500 SUNS-9/27/07)

Lehman Brothers Holdings Inc.	PSZ.E (S&P 500 Callable SUNS-11/6/09)
Lehman Brothers Holdings Inc.	PSZ.F (DJ Global Titans Index SUNS-2/9/10)
Lehman Brothers Holdings Inc.	RLN.D (Nasdaq-100 Rebound RANGERS-6/7/08)
Lehman Brothers Holdings Inc.	RLN.E (S&P 500 linked Notes-9/28/09)
Lehman Brothers Holdings Inc.	RLN.G (DJ STOXX 50 linked Notes-11/15/09)
Lehman Brothers Holdings Inc.	RLN.H (Russell 2000 Index linked Notes-12/23/09)
Lehman Brothers Holdings Inc.	RLN.I (S&P 500 Index-Plus Notes-3/3/10)
Merrill Lynch	COD.A (Coca-Cola Co Exchangeable Conv Secs-9/30/08)
Merrill Lynch	EXO.A (Exxon Mobile Exchangeable Conv Secs-10/3/08)
Morgan Stanley	BSN.A (3 stks Sr Exchangeable Debt-12/30/08)
Morgan Stanley	ITM.A (Intel Stk Participation Notes-12/30/10)
Morgan Stanley	JMS.A (S&P 500 Callable Notes-12/30/08)
Morgan Stanley	MRW.A (S&P 500 Mkt Participation Secs-12/30/10)
Morgan Stanley	MWT.A (Wal-Mart Stk Participation Notes-9/15/10)
PMA Capital Corporation	PMK (8.5% Monthly Income Sr Notes-6/15/18)
UBS AG	PPV.C (Russell 2000 Principal Protect Notes-4/30/09)
UBS AG	PPV.E (DJIA Principal Protect Notes-5/29/09)
UBS AG	PPV.G (S&P 500 Principal Protect Notes-11/7/07)
UBS AG	PPV.H (S&P 500 Principal Protect Notes-12/7/07)
UBS AG	PPV.I (U.S. Equity Indices Principal Protect Notes-10/7/11)
UBS AG	PPV.J (DJIA Principal Protect Notes-2/7/08)
UBS AG	PPV.K (S&P 500 Principal Protect Notes-9/8/10)
UBS AG	PPV.L (S&P 500 Principal Protect Notes-4/8/10)
UBS AG	PPV.M (S&P 500 Principal Protect Notes-10/7/09)
UBS AG	PPV.N (S&P 500 Principal Protect Notes-5/7/10)
UBS AG	PPV.O (S&P 500 Principal Protect Notes-6/7/10)
UBS AG	PPV.P (S&P 500 Principal Protect Notes-7/8/10)
UBS AG	PPV.Q (S&P 500 Principal Protect Notes-8/6/10)
UBS.AG	PPV.R (S&P 500 Principal Protect Notes-9/8/09)
UBS AG	PPZ.A (S&P 500 Partial Protect Notes-11/05/07)
UBS AG	PPZ.B (S&P 500 Partial Principal Protect Notes-2/28/08)
UBS AG	PPZ.C (S&P 500 Partial Principal Protect Notes-11/5/10)
Wachovia Corporation	WDB.B (Nikkei 225 ASTROS-3/2/10)
Wachovia Corporation	WDB.D (S&P 500 linked to Trigger CAPITALS-12/8/08)
Wachovia Corporation	WDB.E (Global Basket of Indices ASTROS-2/2/10)
Wachovia Corporation	WDB.I (Global Equity Basket ASTROS-5/5/10)
Wachovia Corporation	WDB.M (Metals-China Basket ASTROS-1/28/09)
Wells Fargo & Company	WFG.A (S&P 500 linked Notes-1/4/08)
Wells Fargo & Company	WFG.B (Nasdaq-100 linked Notes-1/4/08)
Wells Fargo & Company	WFG.C (Basket linked Notes-4/15/09)
Wells Fargo & Company	WFG.D (DJIA High Point Average linked Notes-5/5/10)
Wells Fargo & Company	WFG.F (S&P 500 Callable Notes-8/25/09)

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## Halted Issues

Transactions in the following securities have been halted for a period exceeding five trading days. Members and member organizations are reminded of the provisions of Exchange Rule 462(d)1 when determining the value to place upon those securities for margin purposes.

Any purchase or sale of a security which has been halted by the Exchange may be subject to Federal regulation as well as the rules of the other market(s) where the purchase or sale is to take place.

Members are reminded that open orders on the books of the specialist for an issue which has been halted for 30 days are automatically canceled.

**Name of Company (Issue)**  
Dyadic International

**Date of Halt**  
April 23, 2007

a — Addition to previous Bulletin.

## Dividends

**Dividends announced for August 3, 2007 – August 10, 2007 can be viewed on the Dividend Daily list homepage on amextrader.com;** click on [Dividends Daily List](#) to view the Dividends Daily List.

Please call the Corporate Actions/Proxy Rulings Department at 212-306-2438 with any questions.

## Meetings of Stockholders

Members should, insofar as possible without additional expense, transfer certificates of stock held in persons or firms over whom they have no control into their own names, or those of persons over whom they have control, before the record date for determining stockholders entitled to vote.

As a guide to Member Organizations in giving proxies pursuant to Rule 577 to vote stock held for the account of customers the following symbols are used in the list of meetings below:

- V = Preceding Record Date indicates Members MAY vote the entire proxy under the Ten Day Rule.
- X = Together with numbers or letters indicates that Members MAY NOT vote the designated matters without specific instructions from owners. Numbers or letters shown are those used in the PROXY FORM.
- X = With no other designation indicates that Members MAY NOT vote the entire proxy.
- NP = Indicates that proxies are not being solicited.

The following abbreviations are used for items not numbered or lettered in the proxy form:

- SP = Stockholder Proposal(s)
- OP = Option Plan
- STP = Stock Purchase Plan
- CP = Compensation Plan
- BY = By-Law Amendment
- CH = Charter Amendment
- MP = Merger Proposal

Where no symbol appears, proxy material has not yet been received.

<b><u>Name of Company</u></b>	<b><u>Record</u></b>	<b><u>Meeting</u></b>
Ableauctions.com Inc.	Jul. 19	A Sep. 28
Advanced Photonix, Inc.	X-2 Jun. 29	A Aug. 24
Allied Defense Group, Inc. (The)	Aug. 3	S Oct. 1
AMDL, Inc.	X-2-3 Jul. 9	A Sep. 7
*American Technical Ceramics Corp.	Aug. 16	S Sep. 21
Apogee Technology, Inc.	X-3-4 Jul. 25	A Aug. 28
Arcadia Resources, Inc.	V Jul. 26	A Sep. 7
Ascendia Brands, Inc. Note: (2)	Dec. 29	
Ascendia Brands, Inc. Note: (2)	Feb. 9	
Ascendia Brands, Inc. Note: (2)	Apr. 20	
ATC Healthcare, Inc.	X-2 Jun. 25	A Aug. 24
Austral Pacific Energy Ltd. Note: (4)	Jul. 13	A Aug. 22
BIW Limited	Aug. 9	S Sep. 26
BlackRock Broad Investment Grade 2009 Term Trust	V Jun. 20	A Aug. 16
BlackRock CA Investment Quality Muni Trust	V Jun. 20	A Aug. 16
BlackRock CA Muni Income Tr II	V Jun. 20	A Aug. 16
BlackRock FL Investment Quality Muni Trust	V Jun. 20	A Aug. 16
BlackRock MD Muni Bond Trust	V Jun. 20	A Aug. 16
BlackRock Muni Income Trust II	V Jun. 20	A Aug. 16
BlackRock MuniVest Fund	V Jun. 20	A Aug. 16
BlackRock MuniYield Arizona Fund	V Jun. 20	A Aug. 16

BlackRock NJ Investment Quality Muni Trust	V	Jun.	20	A	Aug.	16
BlackRock NJ Muni Bond Trust	V	Jun.	20	A	Aug.	16
BlackRock NY Investment Quality Muni Trust	V	Jun.	20	A	Aug.	16
BlackRock NY Muni Income Trust II	V	Jun.	20	A	Aug.	16
BlackRock PA Strategic Muni Trust		Jun.	20	A	Aug.	16
BlackRock S&P Quality Rankings Global Equity Managed Trust	V	Jun.	20	A	Aug.	16
BlackRock VA Muni Bond Trust	V	Jun.	20	A	Aug.	16
BPZ Energy, Inc.	X-3-5-6	Jul.	5	A	Aug.	17
Breeze-Eastern Corporation		Jul.	25	A		
Caraco Pharmaceutical Laboratories	V	Jul.	24	A	Sep.	10
*CardioTech International, Inc.		Aug.	16	A	Oct.	11
Castle Brands Inc.	V	Jul.	16	A	Sep.	12
*CEL-SCI Corporation	X-2-3-4-5	Aug.	1	A	Sep.	14
Coast Distribution System (The)	V	Jul.	17	A	Aug.	29
Command Security Corporation	V	Jul.	20	A	Sep.	20
*Crosshair Exploration & Mining Corp.		Jul.	24	A	Sep.	10
Cytomedix, Inc.		Aug.	10	A		
Dejour Enterprises Ltd.		Jul.	17	A	Aug.	17
Delaware Investments Arizona Municipal Income Fund, Inc.	X-2-3	Jun.	19	A	Aug.	15
Delaware Investments Colorado Insured Municipal Income Fund, Inc.	X-2-3	Jun.	19	A	Aug.	15
Delaware Investments Florida Insured Municipal Income Fund, Inc.	X-2-3	Jun.	19	A	Aug.	15
Delaware Investments Minnesota Municipal Income Fund, Inc. II	X-2-3	Jun.	19	A	Aug.	15
Dune Energy, Inc.	Note: (2)	Apr.	25			
*Elecsys Corporation	V	Jul.	20	A	Sep.	13
Emeritus Corporation	X-1-3-4	Jul.	24	A	Aug.	29
*Empire Financial Holding Company		Aug.	20	A	Sep.	28
Environmental Power Corp.		Aug.	7	A		
*First Trust/Four Corners Senior Floating Rate Income Fund	V	Jun.	21	A	Sep.	10
Flotek Industries Inc.	X-2	Jul.	16	A	Aug.	17
Foxy Corp.		Jul.	25	A	Sep.	19
Franklin Electronic Publishers, Inc.	V	Jul.	9	A	Aug.	29
Franklin Templeton Limited Duration Income Trust		Jul.	24	A	Sep.	26
Friedman Industries, Inc.	V	Jul.	13	A	Sep.	6
Friendly Ice Cream Corporation	X	Jul.	26	S	Aug.	29
Frisch's Restaurants, Inc.		Aug.	2	A	Oct.	1
*General Finance Corporation	Note: (1)	Aug.	14	S		
Global Income Fund Inc.		Jul.	25	A	Sep.	19
Halifax Corporation		Aug.	7	A		
*Hi-Shear Technology Corporation		Aug.	31	A	Oct.	30
HMG/Courtland Properties, Inc.	X-2	Jul.	21	A	Aug.	16
*Idaho General Mines, Inc.		Aug.	20	A	Oct.	4
iLinc Communications, Inc.	V	Jul.	6	A	Aug.	24
ImageWare Systems, Inc.		Aug.	10	A	Sep.	19
Insite Vision, Inc.		Aug.	10	A	Oct.	15
InterSearch Group Inc.	Note: (2)	Jul.	19			
Investors Capital Holdings, Ltd.	X-3	Jun.	29	A	Aug.	23
ION Media Networks, Inc.	Note: (2)	Jul.	27			
*Iteris, Inc.	X-3	Jul.	25	A	Sep.	21
*Kitty Hawk, Inc.	Note: (3)	Jul.	27	A	Aug.	24

LaBarge, Incorporated		Sep.	21	A	Nov.	14
LGL Group, Inc (The)	X-2	Jul.	2	A	Aug.	28
Massachusetts Health & Education Tax-Exempt Trust (The)	V	Jun.	20	A	Aug.	16
MC Shipping Inc.		Aug.	9	S	Sep.	5
Metalline Mining Company		Aug.	2	A	Sep.	21
Mexco Energy Corporation	V	Jul.	18	A	Sep.	13
MTS Medication Technologies, Inc.	X-2	Jul.	26	A	Sep.	13
*National Healthcare Corporation	X	Aug.	6	S	Sep.	13
Northern Orion Resources Inc.		Jul.	20	S	Aug.	22
Nuveen Dividend Advantage Municipal Fund 2		Aug.	1	S	Oct.	12
Nuveen Dividend Advantage Municipal Fund 3		Aug.	1	S	Oct.	12
Nuveen Insured Dividend Advantage Municipal Fund		Aug.	1	S	Oct.	12
Nuveen Insured Tax-Free Advantage Municipal Fund		Aug.	1	S	Oct.	12
Nuveen Municipal High Income Opportunity Fund		Aug.	1	S	Oct.	12
Nuveen AZ Dividend Advantage Municipal Fund		Aug.	1	A	Oct.	12
Nuveen AZ Dividend Advantage Municipal Fund 2		Aug.	1	A	Oct.	12
Nuveen AZ Dividend Advantage Municipal Fund 3		Aug.	1	A	Oct.	12
Nuveen CA Dividend		Aug.	1	S	Oct.	12
Nuveen CA Dividend Advantage Municipal Fund 3		Aug.	1	S	Oct.	12
Nuveen CA Premium Income Municipal Fund		Aug.	1	S	Oct.	12
Nuveen CT Dividend Advantage Municipal Fund		Aug.	1	A	Oct.	12
Nuveen CT Dividend Advantage Municipal Fund 2		Aug.	1	A	Oct.	12
Nuveen CT Dividend Advantage Municipal Fund 3		Aug.	1	A	Oct.	12
Nuveen GA Dividend Advantage Municipal Fund		Aug.	1	A	Oct.	12
Nuveen GA Dividend Advantage Municipal Fund 2		Aug.	1	A	Oct.	12
Nuveen GA Premium Income Municipal Fund		Aug.	1	A	Oct.	12
Nuveen Insured CA Dividend Advantage Municipal Fund		Aug.	1	S	Oct.	12
Nuveen Insured CA Tax-Free Advantage Municipal Fund		Aug.	1	S	Oct.	12
Nuveen Insured FL Tax-Free Advantage Municipal Fund		Aug.	1	A	Oct.	12
Nuveen Insured MA Tax-Free Advantage Municipal Fund		Aug.	1	A	Oct.	12
Nuveen Insured NY Dividend Advantage Municipal Fund		Aug.	1	S	Oct.	12
Nuveen Insured NY Tax-Free Advantage Municipal Fund		Aug.	1	S	Oct.	12
Nuveen MA Dividend Advantage Municipal Fund		Aug.	1	A	Oct.	12
Nuveen MD Dividend Advantage Municipal Fund		Aug.	1	A	Oct.	12
Nuveen MD Dividend Advantage Municipal Fund 2		Aug.	1	A	Oct.	12

Nuveen MD Dividend					
Advantage Municipal Fund 3	Aug.	1	A	Oct.	12
Nuveen MI Dividend					
Advantage Municipal Fund	Aug.	1	A	Oct.	12
Nuveen MO Premium Income					
Municipal Fund	Aug.	1	A	Oct.	12
Nuveen NC Dividend					
Advantage Municipal Fund	Aug.	1	A	Oct.	12
Nuveen NC Dividend					
Advantage Municipal Fund 2	Aug.	1	A	Oct.	12
Nuveen NC Dividend					
Advantage Municipal Fund 3	Aug.	1	A	Oct.	12
Nuveen NJ Dividend					
Advantage Municipal Fund	Aug.	1	A	Oct.	12
Nuveen NJ Dividend					
Advantage Municipal Fund 2	Aug.	1	A	Oct.	12
Nuveen NY Dividend					
Advantage Municipal Fund 2	Aug.	1	S	Oct.	12
Nuveen OH Dividend					
Advantage Municipal Fund	Aug.	1	A	Oct.	12
Nuveen OH Dividend					
Advantage Municipal Fund 2	Aug.	1	A	Oct.	12
Nuveen OH Dividend					
Advantage Municipal Fund 3	Aug.	1	A	Oct.	12
Nuveen PA Dividend					
Advantage Municipal Fund	Aug.	1	A	Oct.	12
Nuveen PA Dividend					
Advantage Municipal Fund 2	Aug.	1	A	Oct.	12
Nuveen Real Estate Income Fund	Aug.	1	S	Oct.	12
Nuveen VA Dividend					
Advantage Municipal Fund	Aug.	1	A	Oct.	12
Nuveen VA Dividend					
Advantage Municipal Fund 2	Aug.	1	A	Oct.	12
*O2Diesel Corporation Note: (1)	Aug.	16	A	Sep.	20
*Oilsands Quest Inc.	Aug.	17	A	Oct.	17
ON2 Technologies, Inc.	Jul.	30	A	Sep.	28
Pacific Rim Mining Corp.	Jul.	3	A	Aug.	28
*R.G. Barry Corporation	Sep.	10	A	Nov.	8
Retractable Technologies, Inc.	Jul.	30	A	Sep.	28
*Roberts Realty Investors, Inc.	V Jun.	29	A	Aug.	28
Rydex Russell Top 50 ETF	Aug.	6	S	Oct.	4
Rydex S&P 500 Pure Growth ETF	Aug.	6	S	Oct.	4
Rydex S&P 500 Pure Value ETF	Aug.	6	S	Oct.	4
Rydex S&P Equal Weight					
Consumer Discretionary ETF	Aug.	6	S	Oct.	4
Rydex S&P Equal Weight					
Consumer Staples ETF	Aug.	6	S	Oct.	4
Rydex S&P Equal Weight Energy ETF	Aug.	6	S	Oct.	4
Rydex S&P Equal Weight ETF	Aug.	6	S	Oct.	4
Rydex S&P Equal Weight Financial ETF	Aug.	6	S	Oct.	4
Rydex S&P Equal Weight					
Health Care ETF	Aug.	6	S	Oct.	4
Rydex S&P Equal Weight Industrials ETF	Aug.	6	S	Oct.	4
Rydex S&P Equal Weight Materials ETF	Aug.	6	S	Oct.	4
Rydex S&P Equal Weight Tech ETF	Aug.	6	S	Oct.	4
Rydex S&P Equal Weight Utilities ETF	Aug.	6	S	Oct.	4
Rydex S&P Midcap 400 Pure Growth ETF	Aug.	6	S	Oct.	4
Rydex S&P Midcap 400 Pure Value ETF	Aug.	6	S	Oct.	4
Rydex S&P Smallcap 600 Pure					
Growth ETF	Aug.	6	S	Oct.	4
Rydex S&P Smallcap 600 Pure Value ETF	Aug.	6	S	Oct.	4

Semotus Solutions, Inc.	X-5	Jul.	24	A	Sep.	20
Sinovac Biotech Ltd.		Jul.	17	A	Aug.	21
* Spark Networks, Inc.		Aug.	21	A	Oct.	8
* Stephan Co. (The)		Aug.	13	A	Sep.	17
Transmeridian Exploration, Inc.	X	Jul.	23	S	Aug.	24
* Tri-Valley Corporation	X-2	Aug.	8	A	Oct.	6
Universal Security Instruments, Inc.	X-2	Aug.	6	A	Oct.	8
UQM Technologies, Inc.	V	Jul.	3	A	Aug.	22
Uroplasty, Inc.	V	Jul.	16	A	Sep.	13
US Dataworks, Inc.	V	Jul.	13	A	Sep.	6
* VendingData Corporation		Aug.	16	S		
Vita Food Products, Inc.	Note: (2)	Apr.	13	A		
Westmoreland Coal Company	X-3-4-5	Jul.	3	A	Aug.	16
Westside Energy Corporation	X-2	Jun.	15	A	Aug.	13
Z Trim Holdings, Inc.	X-3	Jul.	13	A	Aug.	22

\*Additions to or change from previous Bulletins.

S Special Meeting.

A Annual Meeting.

Adj Adjourned Meeting.


† In Lieu of Annual Meeting.

(1) Record date revised by the Company.

(2) Record date is for "Information Statement" only, no solicitation involved.

(3) Meeting date has been postponed by the Company to a date TBA.

(4) Meeting is both Annual and Special.



Geraldine M. Brindisi  
 Corporate Secretary