



**AMERICAN  
STOCK EXCHANGE®**  
Equities Options ETFs

## **Weekly Bulletin**

### **August 17, 2007**

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#### **Notice**

##### **Regular Lessor – Deceased**

Peter M. DeLucia\*  
August 15, 2007

\*Gratuity Fund Participant

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#### **Membership Seat Prices (in 000s)**

(As of 9:30 A.M., August 17, 2007)

	BID	OFFER	LAST CONTRACTED
Regular	360	400	400
Options Principal	25	None	320
Limited Trading Permits	None	6.5	25

Includes only those sales arranged pursuant to bids and offers filed with the Membership and Registration Department between the hours of 9:30 a.m. – 4:00 p.m.

Seat market information may be obtained by calling toll-free (877) Amex Seat (877-263-9732). Seat prices are updated intraday on [www.amextrader.com](http://www.amextrader.com).

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#### **Membership Seat Sales**

##### **Regular**

(Since August 9, 2007)

\$400,000 – August 15, 2007

\$435,000 – August 14, 2007

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#### **Membership Lease Prices**

(As of 9:30 A.M., August 17, 2007)

The following leases were received:

Includes monthly rental rate information only with respect to Exchange-approved negotiated leases. Not reported are arms length leases or leases for a nominal consideration.

**REGULAR**

<u>Price</u>	<u>New</u>	<u>Term</u>	<u>Price</u>	<u>Renewal</u>	<u>Term</u>
\$350		3 months	3 @ \$400		1 year
			4 @ \$400		6 months
			\$375		6 months
			2 @ \$350		6 months
			\$325		6 months
			2 @ \$300		6 months

**OPTIONS PRINCIPAL**

<u>Price</u>	<u>New</u>	<u>Term</u>	<u>Price</u>	<u>Renewal</u>	<u>Term</u>
None			\$100		6 months

**LIMITED TRADING PERMIT**

<u>Price</u>	<u>New</u>	<u>Term</u>	<u>Price</u>	<u>Renewal</u>	<u>Term</u>
None			None		

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**Transfers of Membership**

**Termination of Special Transfer Agreement – Regular  
(Effective August 10, 2007 – not as previously posted)**

**Brian G. Hunt** to **Stuart E. Gold**  
Ronin Trading J.V. Independent  
Badge No. 580-H 116 Windsor Gate Road  
Great Neck, NY 11020  
Seat No. 743-R

**Michael Challen** to **Dean Potashner**  
Gray Whale Capital LLC Independent  
Badge No. 1012 8 Military Hill Drive  
Morristown, NJ 07960  
Seat No. 209-R

*\*Simultaneously transferring to another seat  
\*\*Currently a member on another seat*

**New Memberships**

**Sale**

**Regular**  
**(Effective August 14, 2007)**  
**Estate of Benjamin Cammarata** to **Joseph Fattorusso**  
Independent  
608 Winter Court  
Manalapan, NJ 07726  
Seat No. 407-R

**(Effective August 16, 2007)**  
**Rexman I, LLC**

**to SSS, LLC**  
11 Heathrow Lane  
Old Bridge, NJ 08857  
Seat No. 226-R

**Gilbert Telsey**  
Independent

**to URB New York, LLC**  
450 Park Avenue, Suite 1900  
New York, NY 10022  
Seat No. 593-R

**ZeroPhobia.com, G.P.**

**to Michael A. Williams**  
Independent  
12369 Homeport Drive  
Maurepas, LA 70449  
Seat No. 231-R

**Special Transfer Agreement – Regular**  
**(Effective August 10, 2007)**

**Stuart E. Gold**  
Independent

**to Theodore J. Stem**  
**Trader**  
Ronin Trading J.V.  
230 South LaSalle Street  
Chicago, IL 60604  
Seat No. 743-R  
Badge No.

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#### **CORRECTION**

**(Effective August 10, 2007 – not as previously posted)**

**Brian G. Hunt**  
Ronin Trading J.V.  
Badge No. 580-H

**to Stuart E. Gold**  
Independent  
116 Windsor Gate Road  
Great Neck, NY 11020  
Seat No. 743-R

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#### **Member Owner Organization**

**(Effective August 17, 2007)**

**SSS, LLC**  
11 Heathrow Lane  
Old Bridge, NJ 08857  
Scott M. Kaplan, Managing Member and Approved Person  
Steven J. Kaplan, Managing Member and Approved Person  
Steven Wishnia, Managing Member and Approved Person

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#### **Changes in Member Organizations**

**\*\*ARI Partners LLC**

Ceased to be registered as a Regular Member Organization with the transfer of \*Doron Linz (Badge #1218), effective August 9, 2007.

‡**Bloomberg Tradebook LLC**

Admitted as an Associate Member Organization, effective August 16, 2007.

Address: 731 Lexington Avenue, New York, NY 10022

Kim Bang, Allied Member

Bruce Garland, Allied and Associate Member

Helen Melada, Allied Member

Joseph Zangri, Allied Member

Bloomberg LP, Approved Person Organization

Bloomberg Inc., Approved Person Organization

Bloomberg Tradebook Australia PTY Ltd., Approved Person Organization

Bloomberg Tradebook Bermuda Ltd., Approved Person Organization

Bloomberg Tradebook Canada Company, Approved Person Organization

Bloomberg Tradebook Europe Limited, Approved Person Organization

Bloomberg Tradebook Hong Kong Ltd., Approved Person Organization

Bloomberg Tradebook Japan Ltd., Approved Person Organization

Bloomberg Tradebook Singapore PTE Ltd., Approved Person Organization

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- \* Regular Member
  - \*\* Regular Member Organization
  - Options Principal Member
  - Options Principal Member Organization
  - † Associate Member
  - ‡ Associate Member Organization
  - # CBOE Member Organization
  - NYSE Member Organization
  - Limited Trading Permit Holder
  - Limited Trading Permit Holder Organization
  - @ Also Employed By Another Firm
  - (\$) Sole Proprietor ceased

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## Proposed Transfers of Membership

### Termination of Special Transfer Agreement – Regular (To Be Effective August 17, 2007)

**Michael K. Korn\*** to **Walter T. Newman**  
Consolidated Trading LLC Independent

### (To Be Effective August 23, 2007)

**Michele Alvarado\*** to **Jessica Hackel**  
HBH Specialists LLC Independent

**Cathleen Faraone\*** to **HH Capital LLC**  
HBH Specialists LLC

**Matthew A. Friedman\*** to **Martin A. Danon**  
Susquehanna Investment Group and  
Susquehanna Securities Independent

**Brendan Lanahan\*** to **Debbie Hackel**  
Kellogg Capital Group LLC Independent

### (To Be Effective August 24, 2007)

**Spiro Menegatos** to **Jay Aronowitz**  
Bluefin Specialists LLC Independent

\*Simultaneously transferring to another seat

\*\*Currently a member on another seat

**New Memberships**

**Sale**

**Regular**

(To Be Effective August 17, 2007)

**Walter T. Newman**

Independent

to

**Lawrence Goldstein**

Independent

(To Be Effective August 23, 2007)

**Martin A. Danon**

Independent

to

**Ralph DellaCamera**

Independent

**Debbie Hackel**

Independent

to

**Robert Sahn**

Independent

**Jessica Hackel**

Independent

to

**Robert Sahn**

Independent

**HH Capital LLC**

to

**Robert Sahn**

Independent

**MOG Holdings LLC**

to

**Robert Sahn**

Independent

**Nominee Authorization & Agreement – Regular**

(To Be Effective August 23, 2007)

**Susquehanna Investment Group**

to

**Matthew A. Friedman**  
**Specialist of**

Susquehanna Investment Group and  
Susquehanna Securities

**Special Transfer Agreement – Regular**

(To Be Effective August 17, 2007)

**Lawrence Goldstein**

Independent

to

**Michael K. Korn**

**Trader of**

Consolidated Trading LLC

(To Be Effective August 21, 2007)

**Anthony Vaccaro**

Independent

to

**Robert Mager**

**Specialist of**

Taylor Execution Service LLC  
and Timber Hill LLC

(To Be Effective August 23, 2007)

**Patricia Moschella**

Independent

to

**Phillip Fracassini**

**Broker of**

Amerivest Partners LLC

**Robert Sahn**

Independent

to

**Michele Alvarado**

**Specialist of**

HBH Specialists LLC

**Robert Sahn**

Independent

to

**Cathleen Faraone**

**Specialist of**

HBH Specialists LLC

**Robert Sahn**  
Independent

to

**Brendan Lanahan**  
Specialist of  
Kellogg Capital Group LLC

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## Proposed Allied Members

(To Be Effective August 23, 2007)

**Christopher N. Cacace**  
Chief Compliance Officer of  
Cohen Specialists, LLC  
2 Rector Street, 15<sup>th</sup> Floor  
New York, NY 10006

**Michael A. Gallas**  
Chief Financial Officer of  
Cohen Specialists, LLC  
2 Rector Street, 15<sup>th</sup> Floor  
New York, NY 10006

**Any information you may have which would be pertinent to consideration of the applicants by the Exchange should be promptly reported to the Membership and Registration Department at 212-306-1419.**

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## Current Reports Made to the Exchange

Members attention is called to the fact that the following reports are made to the Exchange within the coming next week:

**Report – To NASD Amex Regulation Division - Financial Regulation Dept. Due on or Before**

This request for reports is issued on behalf of the American Stock Exchange LLC (Amex) by the Financial Regulation Dept. of the NASD Amex Regulation Division pursuant to a grant of authority to the NASD. Accordingly, this constitutes a request for reports by the Amex.

Web FOCUS Report Part II Monthly - Member Organizations carrying customers and/or self-clearing are required to file by the 17th business day after month-end. Thurs. Aug. 23

Web FOCUS Report Part IIA Monthly or Net Capital Computation - Member Organization and Sole Proprietor broker-dealers subject to SEC Rule 15c3-1 net capital requirements but not carrying customers and/or self-clearing are required to file by the 17th business day after month-end. Thurs. Aug. 23

Equity Computation - Member Organizations subject to Exchange Rule 110 equity requirements **and exempt from SEC Rule 15c3-1 net capital requirements** are required to file by the 17th business day after month-end. **Amex market-makers in non-option products are subject to SEC Rule 15c3-1 net capital requirements and must file a Web FOCUS Report Part IIA or Net Capital Computation.** Thurs. Aug. 23

All terminating Amex-designated Member Organizations and Sole Proprietor broker-dealers are required to file an SEC Rule 17a-5(b) Web FOCUS Report Part II Withdrawal or IIA Withdrawal. Use the Web FOCUS Blank Forms tab to access the appropriate form.

Web FOCUS Users experiencing access problems with Web FOCUS should contact the **Gateway Call Center @ 301-869-6699** for assistance.

Please contact the NASD Amex Regulation Division - Financial Regulation Dept., One Liberty Plaza, 51<sup>st</sup> Floor – Robert Devine 212-858-4448 regarding Web FOCUS entitlement procedures, MO-14 statement, or MO-15 report. Other questions regarding financial reporting should be directed to your FRD examiner.

Please refer to Amex Notice REG 2006–55 dated Oct. 26, 2006, for additional information about filing reports with the Financial Regulation Dept. This Notice is posted on the website at:  
<http://www.amex.com/amextrader/tdrInfo/data/axNotices/2006/reg102606.html>.

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### **Equities Mid-Month Short Interest Schedule 2007**

Trade Date	Settlement Date	Report Due	Report Published
(Friday) August 10	(Wednesday) August 15	(Friday) August 17 – 1:00 p.m. EST	(Tuesday) August 21

### **Derivatives (End-of-Month) Short Interest Schedule 2007**

Trade Date	Settlement Date	Report Due	Report Published
(Tuesday) August 28	(Friday) August 31	(Tuesday) September 4 – 1:00 p.m. EST	(Thursday) September 6

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### **The List**

This information can be found on the Web. In order to retrieve this information, go to <http://www.amextrader.com> and from the home page click the Daily List button. At the Daily List home page scroll down to Amex issues and select the view link for the current date. Should you have any questions please call (212) 306-2438.

#### **The Following Securities Have Been Approved for Listing:**

Alternative Asset Management Acquisition Corp 51,750,000 shares of Common Stock, Par Value \$.0001

Alternative Asset Management Acquisition Corp 27,625,000 Warrants expiring August 1, 2012

Market Vectors ETF Trust 50,000 Market Vectors-Nuclear Energy ETF

#### **Additional & Substitution Listings:**

Apollo Gold Corp., 1,057,692 Shares of Common Stock, No Par Value

New Dragon Asia Corp., 275,000 Shares of Common Stock, \$0.0001 Par Value

Signalife, Inc., 9,441,409 Shares of Common Stock, \$0.001 Par Value

Talon International, Inc., 3,600,000 Shares of Common Stock, \$0.001 Par Value

Tanzanian Royalty Exploration Corporation, 63,345 Shares of Common Stock, No Par Value

**Corporate Actions and New Listings announced for August 10, 2007 – August 17, 2007 can be viewed on the Issues Daily list homepage on [amextrader.com](http://www.amextrader.com); click on [Issues Daily List](#) to view the Issues Daily List.**

## Registered Interest

<u>Name of Company</u>	<u>Record Date</u> <u>(New York unless stated otherwise)</u>
<b>Interest due June 15</b>	
Bond Trust Products LLC (Bond Trust Products Trust Pass-Through Trust Certificates, due 6/15/2010)	Jun. 14
Stater Bros. Holdings Inc. (Floating Rate Notes, due 6/15/10)	Jun. 1
(8 1/8% Senior Notes, due 6/15/12)	Jun. 1
Wachovia Corporation (.25% Basket of Common Stocks Exchangeable Notes, due 12/15/10)	May 31
<b>Interest due June 20</b>	
Citigroup Global Market Holdings (Dow Jones EURO STOXX 50 Index Principal-Protected Notes, due 12/20/07)	Jun.19
<b>Interest due June 27</b>	
Bank of America (1.25% Basket CYCLES Linked to a "70/30" Basket of Four Indices and 1 ETF, due 12/27/10)	Jun. 12
<b>Interest due June 28</b>	
Bank of America (S&P 400 MidCap Index CYCLES, due 12/28/10)	Jun. 13
(1.5% Healthcare Stocks Capital Protected Equity Performance Link Securities, due 12/20/11)	Jun. 13
<b>Interest due July 2</b>	
Hawk Corporation (8 3/4% Senior Notes, due 11/1/14)	Jun. 15
Morgan Stanley (2% 3M Co. Exchangeable Notes, due 12/30/10)	Jun. 15
(1.25% General Electric Exchangeable Notes, due 12/30/08)	Jun. 15
(1.5 % Wal-Mart Stores Exchangeable Notes, due 6/30/11)	Jun. 15
CIBC World Markets (10 Large-Cap U.S Stocks Yield Generator Notes, due 6/30/11)	Jun. 29
<b>Interest due July 7</b>	
Merrill Lynch & Co., Inc (.25% Wells Fargo & Co. Stock-Linked Notes –1/7/08)	Jun. 23
<b>Interest due July 10</b>	
Wachovia Corporation (19.25% General Motors Enhanced Yield Secs, due 7/10/07)	Jun. 25
<b>Interest due July 16</b>	
CBS Corporation. (7.625% Senior Debentures-2016)	Jul. 1
Wells Fargo Corporation. (S&P 500 Basket linked Notes, due 10/9/08)	Jul. 1
<b>Interest due July 26</b>	
Bank of America (0.25% Nasdaq-100 Cash Settled Exchangeable Notes, due 1/26/10)	Jul. 11

**Interest due July 30**

Bank of America (1% Healthcare Basket Index "CYCLES", due 1/28/11)	Jul. 1
 (1.50% Basket CYCLES linked to an "80/20" Basket of Four Indices & 1 ETF, due 7/29/11)	 Jul. 15
 Credit Suisse (14.25% Ford Motor Corp. Reverse Convertible Notes, due 4/29/2008)	 Jul. 15

**Interest due August 1**

Chandler (USA), Inc. (8 ¾% Senior Debentures, due 7/1/14)	Jul. 15
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**Interest due August 15**

Bank of America 0.25% Senior Notes Optionally Exchangeable, due 2/15/2012	Jul. 31
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**Interest due August 16**

Lehman Brothers 0.25% Medium-Term Notes Performance, due 2/16/2012	Aug. 1
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**Interest due August 22**

Citigroup Global Markets Holdings, Inc. (3% Pfizer Exchangeable Notes, due 8/22/08)	Aug. 21
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**Interest due August 24**

Bank of America (1.25% SPX Index CYCLES, due 2/24/10)	Aug. 9
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**Interest due August 27**

Bank of America (1.25% DJIA Index CYCLES, due 8/25/10)	Aug. 10
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**Interest due August 31**

CIBC World Markets (Ten Large-Cap U.S. Stocks Premium Yield Generator Notes, due 8/31/11)	Aug. 30
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**Interest due September 1**

Trans-Lux Corp. (8.25% Limited Conv Sr Subordinated Notes, due 3/1/12)	Aug. 15
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**Interest due September 4**

Lehman Brothers (2% Morgan Stanley link Notes, due 3/3/09)	Aug. 19
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**Interest due September 13**

Citigroup Global Market Holdings (0.25% Basket of Tech Stocks Cash Exchangeable Notes, due 09/13/07)	Aug. 29
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**Interest due September 20**

Citigroup Global Market Holdings (Dow Jones EURO STOXX 50 Index Principal-Protected Notes, due 12/20/07)	Sep. 5
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**Interest due September 24**

Bank of America (1.625% Nikkei 225 Index CYCLES, due 3/23/10)	Sep. 8
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**Interest due September 27**

Bank of America (1.25% "100/0" Basket of 4 Indices CYCLES, due 9/27/11)	Sep. 13
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Canadian Imperial Bank of Commerce Canadian Imperial Bank of Commerce Canadian Imperial Bank of Commerce	MRS.O (Global Indices Basket linked Notes-9/18/08) MRS.S (S&P 500 Principal Protected Notes-6/15/10) MRS.U (Global Basket of Three Equity Indices -7/29/10)
Citigroup Global Market Holdings Inc. Citigroup Global Market Holdings Inc.	NDG.A (Nasdaq-100 Principal Protect Notes-12/4/07) SCW.A (S&P 500 Principal Protect Notes-10/22/07)
Credit Suisse (USA), Inc. Credit Suisse (USA), Inc.	IPJ.A (S&P 500 Five Year Contingent Protect Secs-9/30/08) IPJ.B (S&P 500 Five Year Contingent Protect Secs-11/26/08)
Goldman Sachs Group, Inc. (The) Goldman Sachs Group, Inc. (The) Goldman Sachs Group, Inc. (The) Goldman Sachs Group, Inc. (The)	GSD.B (S&P 500 linked Notes-8/7/11) GSN.B (S&P 500 Medium Term Notes-2/28/13) GSN.C (S&P 500 Medium Term Notes-4/18/13) GSN.D (S&P 500 Medium Term Notes-5/30/13)
JP Morgan Chase & Co. JP Morgan Chase & Co. JP Morgan Chase & Co. JP Morgan Chase & Co. JP Morgan Chase & Co. JP Morgan Chase & Co. JP Morgan Chase & Co. JP Morgan Chase & Co. JP Morgan Chase & Co.	JPI.A (Consumer Price Index Secs-1/15/10) JPL.A (S&P 500 linked Notes-11/26/07) JPL.B (S&P 500 Market Participation Notes-3/12/08) JPL.C (Cap Qtr Observation Notes-9/22/08) JPL.D (S&P 500 Cap Qtr Observation Notes-10/30/08) JPL.E (S&P 500 Cap Qtr Observation Notes-1/21/09) JPL.F (S&P 500 Mkt Participation Notes-3/31/09) JPL.G (S&P 500 Cap Qtr Observation Notes-7/7/09) JPL.H (S&P 500 Cap Qtr Observation Notes-9/21/09) JPL.I (S&P 500 Principal Protect Notes-9/30/10)
Lehman Brothers Holdings Inc. Lehman Brothers Holdings Inc. Lehman Brothers Holdings Inc. Lehman Brothers Holdings Inc. Lehman Brothers Holdings Inc. Lehman Brothers Holdings Inc. Lehman Brothers Holdings Inc. Lehman Brothers Holdings Inc. Lehman Brothers Holdings Inc. Lehman Brothers Holdings Inc. Lehman Brothers Holdings Inc. Lehman Brothers Holdings Inc. Lehman Brothers Holdings Inc. Lehman Brothers Holdings Inc. Lehman Brothers Holdings Inc. Lehman Brothers Holdings Inc. Lehman Brothers Holdings Inc.	LBM.C (General Electric Co. Medium Term Notes-5/15/10) LBN.A (Dow Jones EUROSTOXX 50 Index-7/7/08) LBN.B (Dow Jones EURO STOXX 50 Index-7/29/08) LSQ.B (DJIA PrincipalPlus SUNS-8/5/07) LSQ.C (DJIA SUNS-4/29/10) LSQ.D (Nikkei 225 SUNS-6/10/10) PSZ.C (S&P 500 SUNS-8/5/08) PSZ.D (S&P 500 SUNS-9/27/07) PSZ.E (S&P 500 Callable SUNS-11/6/09) PSZ.F (DJ Global Titans Index SUNS-2/9/10) RLN.D (Nasdaq-100 Rebound RANGERS-6/7/08) RLN.E (S&P 500 linked Notes-9/28/09) RLN.G (DJ STOXX 50 linked Notes-11/15/09) RLN.H (Russell 2000 Index linked Notes-12/23/09) RLN.I (S&P 500 Index-Plus Notes-3/3/10)
Merrill Lynch Merrill Lynch	COD.A (Coca-Cola Co Exchangeable Conv Secs-9/30/08) EXO.A (Exxon Mobile Exchangeable Conv Secs-10/3/08)
Morgan Stanley Morgan Stanley Morgan Stanley Morgan Stanley Morgan Stanley	BSN.A (3 stks Sr Exchangeable Debt-12/30/08) ITM.A (Intel Stk Participation Notes-12/30/10) JMS.A (S&P 500 Callable Notes-12/30/08) MRW.A (S&P 500 Mkt Participation Secs-12/30/10) MWT.A (Wal-Mart Stk Participation Notes-9/15/10)
PMA Capital Corporation	PMK (8.5% Monthly Income Sr Notes-6/15/18)
UBS AG UBS AG UBS AG UBS AG UBS AG UBS AG UBS AG UBS AG UBS AG UBS AG UBS AG UBS AG UBS AG	PPV.C (Russell 2000 Principal Protect Notes-4/30/09) PPV.E (DJIA Principal Protect Notes-5/29/09) PPV.G (S&P 500 Principal Protect Notes-11/7/07) PPV.H (S&P 500 Principal Protect Notes-12/7/07) PPV.I (U.S. Equity Indices Principal Protect Notes-10/7/11) PPV.J (DJIA Principal Protect Notes-2/7/08) PPV.K (S&P 500 Principal Protect Notes-9/8/10) PPV.L (S&P 500 Principal Protect Notes-4/8/10) PPV.M (S&P 500 Principal Protect Notes-10/7/09) PPV.N (S&P 500 Principal Protect Notes-5/7/10) PPV.O (S&P 500 Principal Protect Notes-6/7/10) PPV.P (S&P 500 Principal Protect Notes-7/8/10) PPV.Q (S&P 500 Principal Protect Notes-8/6/10)

UBS.AG	PPV.R (S&P 500 Principal Protect Notes-9/8/09)
UBS AG	PPZ.A (S&P 500 Partial Protect Notes-11/05/07)
UBS AG	PPZ.B (S&P 500 Partial Principal Protect Notes-2/28/08)
UBS AG	PPZ.C (S&P 500 Partial Principal Protect Notes-11/5/10)
Wachovia Corporation	WDB.B (Nikkei 225 ASTROS-3/2/10)
Wachovia Corporation	WDB.D (S&P 500 linked to Trigger CAPITALS-12/8/08)
Wachovia Corporation	WDB.E (Global Basket of Indices ASTROS-2/2/10)
Wachovia Corporation	WDB.I (Global Equity Basket ASTROS-5/5/10)
Wachovia Corporation	WDB.M (Metals-China Basket ASTROS-1/28/09)
Wells Fargo & Company	WFG.A (S&P 500 linked Notes-1/4/08)
Wells Fargo & Company	WFG.B (Nasdaq-100 linked Notes-1/4/08)
Wells Fargo & Company	WFG.C (Basket linked Notes-4/15/09)
Wells Fargo & Company	WFG.D (DJIA High Point Average linked Notes-5/5/10)
Wells Fargo & Company	WFG.F (S&P 500 Callable Notes-8/25/09)

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## Halted Issues

Transactions in the following securities have been halted for a period exceeding five trading days. Members and member organizations are reminded of the provisions of Exchange Rule 462(d)1 when determining the value to place upon those securities for margin purposes.

Any purchase or sale of a security which has been halted by the Exchange may be subject to Federal regulation as well as the rules of the other market(s) where the purchase or sale is to take place.

Members are reminded that open orders on the books of the specialist for an issue which has been halted for 30 days are automatically canceled.

<u>Name of Company (Issue)</u>	<u>Date of Halt</u>
Dyadic International	April 23, 2007

a — Addition to previous Bulletin.

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## Dividends

**Dividends announced for August 13, 2007 – August 17, 2007 can be viewed on the Dividend Daily list homepage on [amextrader.com](http://amextrader.com); click on [Dividends Daily List](#) to view the Dividends Daily List.**

Please call the Corporate Actions/Proxy Rulings Department at 212-306-2438 with any questions.

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## Meetings of Stockholders

Members should, insofar as possible without additional expense, transfer certificates of stock held in persons or firms over whom they have no control into their own names, or those of persons over whom they have control, before the record date for determining stockholders entitled to vote.

As a guide to Member Organizations in giving proxies pursuant to Rule 577 to vote stock held for the account of customers the following symbols are used in the list of meetings below:

- V = Preceding Record Date indicates Members MAY vote the entire proxy under the Ten Day Rule.
- X = Together with numbers or letters indicates that Members MAY NOT vote the designated matters without specific instructions from owners. Numbers or letters shown are those used in the PROXY FORM.
- X = With no other designation indicates that Members MAY NOT vote the entire proxy.
- NP = Indicates that proxies are not being solicited.

The following abbreviations are used for items not numbered or lettered in the proxy form:

SP = Stockholder Proposal(s)	BY = By-Law Amendment
OP = Option Plan	CH = Charter Amendment
STP = Stock Purchase Plan	MP = Merger Proposal
CP = Compensation Plan	

Where no symbol appears, proxy material has not yet been received.

<u>Name of Company</u>	<u>Record</u>	<u>Meeting</u>
Ableauctions.com Inc.	Jul. 19	A Sep. 28
Advanced Photonix, Inc.	X-2 Jun. 29	A Aug. 24
Allied Defense Group, Inc. (The)	Aug. 3	S Oct. 1
AMDL, Inc.	X-2-3 Jul. 9	A Sep. 7
American Technical Ceramics Corp.	Aug. 16	S Sep. 21
Apogee Technology, Inc.	X-3-4 Jul. 25	A Aug. 28
Arcadia Resources, Inc.	V Jul. 26	A Sep. 7
Ascendia Brands, Inc. Note: (2)	Dec. 29	
Ascendia Brands, Inc. Note: (2)	Feb. 9	
Ascendia Brands, Inc. Note: (2)	Apr. 20	
ATC Healthcare, Inc.	X-2 Jun. 25	A Aug. 24
Austral Pacific Energy Ltd. Note: (4)	Jul. 13	A Aug. 22
*BIW Limited	X-3 Aug. 9	S Sep. 26
*Breeze-Eastern Corporation	V Jul. 25	A Sep. 12
*Callisto Pharmaceuticals, Inc.	Aug. 17	A
Caraco Pharmaceutical Laboratories	V Jul. 24	A Sep. 10
CardioTech International, Inc.	Aug. 16	A Oct. 11
Castle Brands Inc.	V Jul. 16	A Sep. 12
CEL-SCI Corporation	X-2-3-4-5 Aug. 1	A Sep. 14
Coast Distribution System (The)	V Jul. 17	A Aug. 29
Command Security Corporation	V Jul. 20	A Sep. 20
Crosshair Exploration & Mining Corp.	Jul. 24	A Sep. 10
*Cytomedix, Inc.	V Aug. 10	A Sep. 21
*Delaware Investments CO Insured Municipal Income Fund Note: (6)	X-2-3 Jun. 19	A Sep. 13
*Delaware Investments FL Insured Municipal Income Fund Note: (6)	X-2-3 Jun. 19	A Sep. 13
*Delta Apparel, Inc.	Sep. 14	A Nov. 8
Dune Energy, Inc. Note: (2)	Apr. 25	
Elecsys Corporation	V Jul. 20	A Sep. 13
Emeritus Corporation	X-1-3-4 Jul. 24	A Aug. 29
Empire Financial Holding Company	Aug. 20	A Sep. 28
*Environmental Power Corp.	X-3 Aug. 7	A Sep. 10
First Trust/Four Corners Senior Floating Rate Income Fund	V Jun. 21	A Sep. 10
*Fountain Powerboat Industries, Inc.	Oct. 1	A Nov. 13
Foxby Corp.	Jul. 25	A Sep. 19
Franklin Electronic Publishers, Inc.	V Jul. 9	A Aug. 29
Franklin Templeton Limited Duration Income Trust	Jul. 24	A Sep. 26
Friedman Industries, Inc.	V Jul. 13	A Sep. 6
Friendly Ice Cream Corporation	X Jul. 26	S Aug. 29
Frisch's Restaurants, Inc.	Aug. 2	A Oct. 1
*General Finance Corporation	X Aug. 14	S Sep. 11
Global Income Fund Inc.	Jul. 25	A Sep. 19
Halifax Corporation	Aug. 7	A
Hi-Shear Technology Corporation	Aug. 31	A Oct. 30
Idaho General Mines, Inc.	Aug. 20	A Oct. 4

iLinc Communications, Inc.	V	Jul.	6	A	Aug.	24
Imageware Systems, Inc.		Aug.	10	A	Sep.	19
* Insite Vision, Inc.	Note: (5)	Aug.	17	A	Oct.	15
InterSearch Group Inc.	Note: (2)	Jul.	19			
Investors Capital Holdings, Ltd.	X-3	Jun.	29	A	Aug.	23
ION Media Networks, Inc.	Note: (2)	Jul.	27			
* Isolagen, Inc.		Sep.	5	A	Sep.	25
Iteris, Inc.	X-3	Jul.	25	A	Sep.	21
Kitty Hawk, Inc.		Jul.	27	A		
LaBarge, Incorporated		Sep.	21	A	Nov.	14
LGL Group, Inc (The)	X-2	Jul.	2	A	Aug.	28
* Mad Catz Interactive, Inc.	Note: (3) X-3-4	Aug.	8	A	Sep.	7
MC Shipping Inc.		Aug.	9	S	Sep.	5
* Metalline Mining Company	X-2	Aug.	2	A	Sep.	21
Mexco Energy Corporation	V	Jul.	18	A	Sep.	13
* MTS Medication Technologies, Inc.	Note: (1) X-2	Jul.	26	A	Sep.	19
National Healthcare Corporation	X	Aug.	6	S	Sep.	13
* Nevada Gold & Casinos Inc.		Aug.	23	A	Oct.	15
Northern Orion Resources Inc.		Jul.	20	S	Aug.	22
Nuveen Dividend Advantage Municipal Fund 2		Aug.	1	S	Oct.	12
Nuveen Dividend Advantage Municipal Fund 3		Aug.	1	S	Oct.	12
Nuveen Insured Dividend Advantage Municipal Fund		Aug.	1	S	Oct.	12
Nuveen Insured Tax-Free Advantage Municipal Fund		Aug.	1	S	Oct.	12
Nuveen Municipal High Income Opportunity Fund		Aug.	1	S	Oct.	12
Nuveen AZ Dividend Advantage Municipal Fund		Aug.	1	A	Oct.	12
Nuveen AZ Dividend Advantage Municipal Fund 2		Aug.	1	A	Oct.	12
Nuveen AZ Dividend Advantage Municipal Fund 3		Aug.	1	A	Oct.	12
Nuveen CA Dividend Advantage Municipal Fund 3		Aug.	1	S	Oct.	12
Nuveen CA Dividend Advantage Municipal Fund 3		Aug.	1	S	Oct.	12
Nuveen CA Premium Income Municipal Fund		Aug.	1	S	Oct.	12
Nuveen CT Dividend Advantage Municipal Fund		Aug.	1	A	Oct.	12
Nuveen CT Dividend Advantage Municipal Fund 2		Aug.	1	A	Oct.	12
Nuveen CT Dividend Advantage Municipal Fund 3		Aug.	1	A	Oct.	12
Nuveen GA Dividend Advantage Municipal Fund		Aug.	1	A	Oct.	12
Nuveen GA Dividend Advantage Municipal Fund 2		Aug.	1	A	Oct.	12
Nuveen GA Premium Income Municipal Fund		Aug.	1	A	Oct.	12
Nuveen Insured CA Dividend Advantage Municipal Fund		Aug.	1	S	Oct.	12
Nuveen Insured CA Tax-Free Advantage Municipal Fund		Aug.	1	S	Oct.	12
Nuveen Insured FL Tax-Free Advantage Municipal Fund		Aug.	1	A	Oct.	12

Nuveen Insured MA Tax-Free Advantage Municipal Fund	Aug.	1	A	Oct.	12
Nuveen Insured NY Dividend Advantage Municipal Fund	Aug.	1	S	Oct.	12
Nuveen Insured NY Tax-Free Advantage Municipal Fund	Aug.	1	S	Oct.	12
Nuveen MA Dividend Advantage Municipal Fund	Aug.	1	A	Oct.	12
Nuveen MD Dividend Advantage Municipal Fund	Aug.	1	A	Oct.	12
Nuveen MD Dividend Advantage Municipal Fund 2	Aug.	1	A	Oct.	12
Nuveen MD Dividend Advantage Municipal Fund 3	Aug.	1	A	Oct.	12
Nuveen MI Dividend Advantage Municipal Fund	Aug.	1	A	Oct.	12
Nuveen MO Premium Income Municipal Fund	Aug.	1	A	Oct.	12
Nuveen NC Dividend Advantage Municipal Fund	Aug.	1	A	Oct.	12
Nuveen NC Dividend Advantage Municipal Fund 2	Aug.	1	A	Oct.	12
Nuveen NC Dividend Advantage Municipal Fund 3	Aug.	1	A	Oct.	12
Nuveen NJ Dividend Advantage Municipal Fund	Aug.	1	A	Oct.	12
Nuveen NJ Dividend Advantage Municipal Fund 2	Aug.	1	A	Oct.	12
Nuveen NY Dividend Advantage Municipal Fund 2	Aug.	1	S	Oct.	12
Nuveen OH Dividend Advantage Municipal Fund	Aug.	1	A	Oct.	12
Nuveen OH Dividend Advantage Municipal Fund 2	Aug.	1	A	Oct.	12
Nuveen OH Dividend Advantage Municipal Fund 3	Aug.	1	A	Oct.	12
Nuveen PA Dividend Advantage Municipal Fund	Aug.	1	A	Oct.	12
Nuveen PA Dividend Advantage Municipal Fund 2	Aug.	1	A	Oct.	12
Nuveen Real Estate Income Fund	Aug.	1	S	Oct.	12
Nuveen VA Dividend Advantage Municipal Fund	Aug.	1	A	Oct.	12
Nuveen VA Dividend Advantage Municipal Fund 2	Aug.	1	A	Oct.	12
O2Diesel Corporation	Aug.	16	A	Sep.	20
Oilsands Quest Inc.	Aug.	17	A	Oct.	17
ON2 Technologies, Inc.	Jul.	30	A	Sep.	28
Pacific Rim Mining Corp.	Jul.	3	A	Aug.	28
R.G. Barry Corporation	Sep.	10	A	Nov.	8
Retractable Technologies, Inc.	Jul.	30	A	Sep.	28
Roberts Realty Investors, Inc.	V Jun.	29	A	Aug.	28
Rydex Russell Top 50 ETF	Aug.	6	S	Oct.	4
Rydex S&P 500 Pure Growth ETF	Aug.	6	S	Oct.	4
Rydex S&P 500 Pure Value ETF	Aug.	6	S	Oct.	4
Rydex S&P Equal Weight Consumer Discretionary ETF	Aug.	6	S	Oct.	4
Rydex S&P Equal Weight Consumer Staples ETF	Aug.	6	S	Oct.	4
Rydex S&P Equal Weight Energy ETF	Aug.	6	S	Oct.	4
Rydex S&P Equal Weight ETF	Aug.	6	S	Oct.	4
Rydex S&P Equal Weight Financial ETF	Aug.	6	S	Oct.	4

Rydex S&P Equal Weight Health Care ETF		Aug.	6	S	Oct.	4	
Rydex S&P Equal Weight Industrials ETF		Aug.	6	S	Oct.	4	
Rydex S&P Equal Weight Materials ETF		Aug.	6	S	Oct.	4	
Rydex S&P Equal Weight Tech ETF		Aug.	6	S	Oct.	4	
Rydex S&P Equal Weight Utilities ETF		Aug.	6	S	Oct.	4	
Rydex S&P Midcap 400 Pure Growth ETF		Aug.	6	S	Oct.	4	
Rydex S&P Midcap 400 Pure Value ETF		Aug.	6	S	Oct.	4	
Rydex S&P Smallcap 600 Pure Growth ETF		Aug.	6	S	Oct.	4	
Rydex S&P Smallcap 600 Pure Value ETF		Aug.	6	S	Oct.	4	
Semotus Solutions, Inc.	X-5	Jul.	24	A	Sep.	20	
Sinovac Biotech Ltd.		Jul.	17	A	Aug.	21	
Spark Networks, Inc.		Aug.	21	A	Oct.	8	
Stephan Co. (The)		Aug.	13	A	Sep.	17	
*Tarpon Industries Inc.		Aug.	24	A			
*Titan Pharmaceuticals Inc.	V	Jul.	24	A	Sep.	6	
Transmeridian Exploration, Inc.	X	Jul.	23	S	Aug.	24	
Tri-Valley Corporation	X-2	Aug.	8	A	Oct.	6	
*Universal Security Instruments, Inc.	Note: (1)	X-2	Aug.	6	A	Oct.	1
UQM Technologies, Inc.		V	Jul.	3	A	Aug.	22
Uroplasty, Inc.		V	Jul.	16	A	Sep.	13
US Dataworks, Inc.		V	Jul.	13	A	Sep.	6
VendingData Corporation		Aug.	16	S			
Vita Food Products, Inc.	Note: (2)	Apr.	13	A			
Z Trim Holdings, Inc.	X-3	Jul.	13	A	Aug.	22	

\*Additions to or change from previous Bulletins.

- S Special Meeting.
- A Annual Meeting.
- Adj Adjourned Meeting.
- † In Lieu of Annual Meeting.
- (1) Meeting date revised by the Company.
- (2) Record date is for "Information Statement" only, no solicitation involved.
- (3) Ruling based on proxy card. Meeting is both Annual and Special.
- (4) Meeting is both Annual and Special.
- (5) Record date revised by the Company.
- (6) Meeting adjourned from August 15, 2007 to September 13, 2007.



Geraldine M. Brindisi  
Corporate Secretary