



**Notice**

The American Stock Exchange will not be open for business on Monday, September 3, 2007 in observance of Labor Day.

**Membership Seat Prices (in 000s)**

(As of 9:30 A.M., August 31, 2007)

	BID	OFFER	LAST CONTRACTED
Regular	325	360	360
Options Principal	25	None	320
Limited Trading Permits	None	6.5	25

Includes only those sales arranged pursuant to bids and offers filed with the Membership and Registration Department between the hours of 9:30 a.m. – 4:00 p.m.

Seat market information may be obtained by calling toll-free (877) Amex Seat (877-263-9732). Seat prices are updated intraday on [www.amextrader.com](http://www.amextrader.com).

**Membership Lease Prices**

(As of 9:30 A.M., August 31, 2007)

The following leases were received:

Includes monthly rental rate information only with respect to Exchange-approved negotiated leases. Not reported are arms length leases or leases for a nominal consideration.

**REGULAR**

New		Renewal	
<u>Price</u>	<u>Term</u>	<u>Price</u>	<u>Term</u>
\$400	3 months	4 @ \$450	1 year
		\$450	6 months
		\$367	3 months

**OPTIONS PRINCIPAL**

New		Renewal	
<u>Price</u>	<u>Term</u>	<u>Price</u>	<u>Term</u>
None		None	

**LIMITED TRADING PERMIT**

New		Renewal	
<u>Price</u>	<u>Term</u>	<u>Price</u>	<u>Term</u>
None		None	

## Transfers of Membership

### Termination of Special Transfer Agreement – Regular (Effective August 24, 2007)

**Spiro Menegatos** to **Jay R. Aronowitz**  
Bluefin Specialists LLC Independent  
Badge No. 789-K 25 Edgewood Place  
Great Neck, NY 11024  
Seat No. 678-R

### (Effective August 30, 2007)

**Matthew R. Hayes\*** to **William J. Churella**  
Kellogg Capital Group LLC Independent  
Badge No. 973-Q 8349 East San Ricardo Drive, R-80  
Scottsdale, AZ 85258  
Seat No. 80-R

**Brendan J. Lanahan\*** to **Debbie Hackel**  
Kellogg Capital Group LLC Independent  
Badge No. 973-G 3 Hanover Square, #4H  
New York, NY 10004  
Seat No. 646-R

**Michael V. Pitruzzello** to **Peter R. Kellogg**  
Kellogg Capital Group LLC Independent  
Badge No. 873-M c/o Goldman Sachs Execution & Clearing LP  
48 Wall Street, 30<sup>th</sup> Floor  
New York, NY 10005  
Seat No. 18-R

### Termination of Special Transfer Agreement – OPM (Effective August 30, 2007)

**Kelby L. Cheatham** to **Larkspur Securities Inc.**  
ATP Trading LLC Attn: David W. Maier  
Badge No. 584-C 401 South LaSalle Street, Ste. 200  
Chicago, IL 60605  
Seat No. 61-P

*\*Simultaneously transferring to another seat*

*\*\*Currently a member on another seat*

## New Memberships

### Sale

#### Regular (Effective August 30, 2007)

**William J. Churella** to **Paul J. Andrie**  
Independent Independent  
440 South LaSalle Street, Ste. 1910  
Chicago, IL 60605  
Seat No. 80-R

**Debbie Hackel** to **Robert Sahn**  
Independent Independent  
10 Darby Lane  
East Hampton, NY 11937  
Seat No. 646-R

**Allen R. Hochfelder**  
Independent

to **URB New York LLC**  
Attn: Brendan Caldwell  
150 King Street West, Suite 1702  
Toronto, ON MRH IJ9 Canada  
Seat No. 742-R

**Daniel K. Weiskopf, II**  
Independent

to **URB New York LLC**  
Attn: Brendan Caldwell  
150 King Street West, Suite 1702  
Toronto, ON MRH IJ9 Canada  
Seat No. 487-R

**Special Transfer Agreement – Regular**  
**(Effective August 30, 2007)**

**Paul J. Andrie**  
Independent

to **Matthew R. Hayes**  
**Trader**  
Kellogg Capital Group LLC  
55 Broadway, 4<sup>th</sup> Floor  
New York, NY 10006  
Seat No. 80-R  
Badge No. 973-Q

**Jay R. Aronowitz**  
Independent

to **Stephen G. O’Grady**  
**Broker**  
Kellogg Capital Group LLC  
55 Broadway, 4<sup>th</sup> Floor  
New York, NY 10006  
Seat No. 678-R  
Badge No. 973-K

**Peter R. Kellogg**  
Independent

to **Carolyn P. Dion**  
**Specialist**  
Kellogg Capital Group LLC  
55 Broadway, 4<sup>th</sup> Floor  
New York, NY 10006  
Seat No. 18-R  
Badge No. 873-P

**Robert Sahn**  
Independent

to **Brendan J. Lanahan**  
**Specialist**  
Kellogg Capital Group LLC  
55 Broadway, 4<sup>th</sup> Floor  
New York, NY 10006  
Seat No. 646-R  
Badge No. 973-G

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## Allied Member

**(Effective August 30, 2007)**

**Lewis T. Patterson**  
Chief Executive Officer  
LaBranche Financial Services LLC  
33 Whitehall Street  
New York, NY 10004

## Changes in Member Organizations

### \*\*■LaBranche Financial Services LLC

Lewis T. Patterson approved as an Allied Member, effective August 30, 2007.

### ‡■Natexis Bleichroeder Inc.

Name changed to Natixis Bleichroeder Inc., effective August 1, 2007.

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- \* Regular Member
  - \*\* Regular Member Organization
  - Options Principal Member
  - Options Principal Member Organization
  - † Associate Member
  - ‡ Associate Member Organization
  - # CBOE Member Organization
  - NYSE Member Organization
  - Limited Trading Permit Holder
  - Limited Trading Permit Holder Organization
  - @ Also Employed By Another Firm
  - (S) Sole Proprietor ceased
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## Proposed Transfers of Membership

### Termination of Special Transfer Agreement – Regular (To Be Effective August 31, 2007)

**Stephen G. Berman** to **G&B Golkin LLC**  
MOG Capital LLC

**Timothy Colby** to **BBK Partners**  
Hazan Capital Management LLC

**Stephen G. O’Grady\*** to **Raymond James & Associates Inc.**  
Kellogg Capital Group and  
Raymond James & Associates Inc.

**(To Be Effective September 5, 2007)**  
**Kevin M. Rodriguez\*** to **MOG Holdings LLC**  
Bear Hunter Structured Products LLC

**(To Be Effective at the Opening of Business on September 7, 2007)**  
**Richard Branda\*** to **John Conklin**  
Kingswood Investment Group Inc. Partner of  
Conklin Cahill & Co.

**Andre Chase** to **Paris Securities Corporation**  
Equitec Specialists, LLC and Compass  
Professional Services East, LLC

*\*Simultaneously transferring to another seat*

*\*\*Currently a member on another seat*

## New Memberships

### Sale

#### Regular

(To Be Effective at the Opening of Business on September 7, 2007)

**John Conklin** to **Robert Sahn**  
Conklin Cahill & Co. Independent

#### Special Transfer Agreement – Regular

(To Be Effective September 5, 2007)

**Anita Lovett** to **Kevin M. Rodriguez**  
Independent Specialist of  
Bear Hunter Structured Products LLC

(To Be Effective at the Opening of Business on September 7, 2007)

**Robert Sahn** to **Richard Branda**  
Independent Broker of  
Kingswood Investment Group Inc.

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### RROT

#### Special Transfer Agreement – Regular

(To Be Effective at the Opening of Business on September 7, 2007)

**Peter Orloff** to **Michael Dabrowski**  
Independent Trader of  
Group One Trading LP

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### Current Member – Firm Status Change

#### Special Transfer – Regular

(To Be Effective at the Opening of Business on September 7, 2007)

**James McCarthy** to **James McCarthy**  
Kellogg Capital Group LLC Trader/Specialist of  
(Stephen Love – Lessor) Golden Anchor Trading II LLC

Any information you may have which would be pertinent to consideration of the applicants by the Exchange should be promptly reported to the Membership and Registration Department at 212-306-1419.

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### Current Reports Made to the Exchange

Members attention is called to the fact that the following reports are made to the Exchange within the coming next week:

#### Report – To FINRA Amex Regulation Division - Financial Regulation Dept. Due on or Before

This request for reports is issued on behalf of the American Stock Exchange LLC (Amex) by the Financial Regulation Dept. of the FINRA Amex Regulation Division pursuant to a grant of authority to the FINRA. Accordingly, this constitutes a request for reports by the Amex.

Web FOCUS Report Part II Monthly - Member Organizations carrying customers and/or self-clearing are required to file by the 17th business day after month-end. Wed. Sept. 26

Web FOCUS Report Part IIA Monthly or Net Capital Computation - Member Organization and Sole Proprietor broker-dealers subject to SEC Rule 15c3-1 net capital requirements but not carrying customers and/or self-clearing are required to file by the 17th business day after month-end. Wed. Sept. 26

Equity Computation - Member Organizations subject to Exchange Rule 110 equity requirements **and exempt from SEC Rule 15c3-1 net capital requirements** are required to file by the 17th business day after month-end. **Amex market-makers in non-option products are subject to SEC Rule 15c3-1 net capital requirements and must file a Web FOCUS Report Part IIA or Net Capital Computation.** Wed. Sept. 26

All terminating Amex-designated Member Organizations and Sole Proprietor broker-dealers are required to file an SEC Rule 17a-5(b) Web FOCUS Report Part II Withdrawal or IIA Withdrawal. Use the Web FOCUS Blank Forms tab to access the appropriate form.

Web FOCUS Users experiencing access problems with Web FOCUS should contact the **Gateway Call Center @ 301-869-6699** for assistance.

Please contact the FINRA Amex Regulation Division - Financial Regulation Dept., One Liberty Plaza, 51<sup>st</sup> Floor – Robert Devine 212-858-4448 regarding Web FOCUS entitlement procedures, MO-14 statement, or MO-15 report. Other questions regarding financial reporting should be directed to your FRD examiner.

Please refer to Amex Notice REG 2006–55 dated Oct. 26, 2006, for additional information about filing reports with the Financial Regulation Dept. This Notice is posted on the website at: <http://www.amex.com/amextrader/tdrInfo/data/axNotices/2006/reg102606.html>.

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### Equities Mid-Month Short Interest Schedule 2007

Trade Date	Settlement Date	Report Due	Report Published
(Tuesday) September 11	(Friday) September 14	(Tuesday) September 18 1:00 p.m. EST	(Thursday) September 20

### Derivatives (End-of-Month) Short Interest Schedule 2007

Trade Date	Settlement Date	Report Due	Report Published
(Tuesday) August 28	(Friday) August 31	(Tuesday) September 4 1:00 p.m. EST	(Thursday) September 6

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### The List

This information can be found on the Web. In order to retrieve this information, go to <http://www.amextrader.com> and from the home page click the Daily List button. At the Daily List home page scroll down to Amex issues and select the view link for the current date. Should you have any questions please call (212) 306-2438.

#### The Following Securities Have Been Approved for Listing:

Brooke Capital Corporation 5,680,453 shares of Common Stock, Par Value \$.01

Citigroup Funding Inc. 12,309,000 shares of 9% ELKS Based Upon Halliburton Company, maturing September 5, 2008

Citigroup Funding Inc 1,583,300 shares of Stock Market Upturn Notes Based Upon the Nikkei 225 Stock Average, maturing December 4, 2008

First Trust Exchange-Traded Fund II 103,334 First Trust DJ STOXX Select Dividend 30 Index Fund

First Trust Exchange-Traded Fund II 100,000 First Trust FTSE EPRA/NAREIT Global Real Estate Index Fund

Merrill Lynch 4,000,000 shares of Accelerated Return Notes Linked to the Dow Jones STOXX Index, maturing November 6, 2008

Merrill Lynch 4,000,000 shares of 12% STRIDES Linked to Apple, Inc. maturing September 4, 2009

Morgan Stanley 1,739,564 shares of 12% SPARQS Linked to Arch Coal, Inc. maturing September 20, 2008

Neuralstem, Inc 41,634,437 shares of Common Stock, Par Value \$.001

**Additional & Substitution Listings:**

Apogee Technology, Inc., 65,000 Shares of Common Stock, \$0.01 Par Value

BPI Energy Holdings, Inc., 4,804,352 Shares of Common Stock, No Par Value

Cortex Pharmaceuticals, Inc., 10,081,875 Shares of Common Stock, \$0.001 Par Value

Eldorado Gold Corporation, 8,100,331 Shares of Common Stock, No Par Value

Emeritus Corporation, 2,000,000 Shares of Common Stock, \$0.0001 Par Value

Hyperdynamics Corporation, 230,000 Shares of Common Stock, \$0.001 Par Value

Investor Capital Holdings, Ltd., reincorporation from State of Massachusetts to State of Delaware

Peace Arch Entertainment Group, Inc., 370,373 Shares of Common Stock, No Par Value

SulphCo, Inc., 3,605,997 Shares of Common Stock, \$0.001 Par Value

The LGL Group, Inc., reincorporation from State of Indiana to State of Delaware

Transmeridian Exploration, Inc., 24,444,445 Shares of Common Stock, \$0.0006 Par Value

**Corporate Actions and New Listings announced for August 24, 2007 – August 31, 2007 can be viewed on the Issues Daily list homepage on [amextrader.com](http://amextrader.com); click on [Issues Daily List](#) to view the Issues Daily List.**

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**Registered Interest**

<u>Name of Company</u>	<u>Record Date</u> <u>(New York unless stated otherwise)</u>
<b>Interest due June 15</b>	
Bond Trust Products LLC (Bond Trust Products Trust Pass-Through Trust Certificates, due 6/15/2010)	Jun. 14
Stater Bros. Holdings Inc. (Floating Rate Notes, due 6/15/10)	Jun. 1
(8 1/8% Senior Notes, due 6/15/12)	Jun. 1
Wachovia Corporation (.25% Basket of Common Stocks Exchangeable Notes, due 12/15/10)	May 31
<b>Interest due June 20</b>	
Citigroup Global Market Holdings (Dow Jones EURO STOXX 50 Index Principal-Protected Notes, due 12/20/07)	Jun. 19

**Interest due June 27**

Bank of America (1.25% Basket CYCLES Linked to a  
"70/30" Basket of Four Indices and 1 ETF, due 12/27/10) Jun. 12

**Interest due June 28**

Bank of America  
(S&P 400 MidCap Index CYCLES, due 12/28/10) Jun. 13

(1.5% Healthcare Stocks Capital Protected Equity  
Performance Link Securities, due 12/20/11) Jun. 13

**Interest due July 2**

Hawk Corporation (8 3/4% Senior Notes, due 11/1/14) Jun. 15

Morgan Stanley  
(2% 3M Co. Exchangeable Notes, due 12/30/10) Jun. 15

(1.25% General Electric Exchangeable Notes, due 12/30/08) Jun. 15

(1.5 % Wal-Mart Stores Exchangeable Notes, due 6/30/11) Jun. 15

CIBC World Markets (10 Large-Cap U.S Stocks Yield  
Generator Notes, due 6/30/11) Jun. 29

**Interest due July 7**

Merrill Lynch & Co., Inc  
(.25% Wells Fargo & Co. Stock-Linked Notes –1/7/08) Jun. 23

**Interest due July 10**

Wachovia Corporation  
(19.25% General Motors Enhanced Yield Secs, due 7/10/07) Jun. 25

**Interest due July 16**

CBS Corporation. (7.625% Senior Debentures-2016) Jul. 1

Wells Fargo Corporation.  
(S&P 500 Basket linked Notes, due 10/9/08) Jul. 1

**Interest due July 26**

Bank of America (0.25% Nasdaq-100 Cash Settled  
Exchangeable Notes, due 1/26/10) Jul. 11

**Interest due July 30**

Bank of America  
(1% Healthcare Basket Index "CYCLES", due 1/28/11) Jul. 1

(1.50% Basket CYCLES linked to an  
"80/20" Basket of Four Indices & 1 ETF, due 7/29/11) Jul.15

Credit Suisse  
(14.25% Ford Motor Corp. Reverse Convertible Notes,  
due 4/29/2008) Jul. 15

**Interest due August 1**

Chandler (USA), Inc. (8 3/4% Senior Debentures, due 7/1/14) Jul. 15

**Interest due August 15**

Bank of America  
0.25% Senior Notes Optionally Exchangeable, due 2/15/2012 Jul. 31

**Interest due August 16**

Lehman Brothers  
0.25% Medium-Term Notes Performance, due 2/16/2012 Aug. 1

**Interest due August 22**

Citigroup Global Markets Holdings, Inc.  
(3% Pfizer Exchangeable Notes, due 8/22/08) Aug. 21

**Interest due August 24**

Bank of America  
(1.25% SPX Index CYCLES, due 2/24/10) Aug. 9

**Interest due August 27**

Bank of America  
(1.25% DJIA Index CYCLES, due 8/25/10) Aug. 10

**Interest due August 31**

CIBC World Markets (Ten Large-Cap U.S. Stocks Premium  
Yield Generator Notes, due 8/31/11) Aug. 30

**Interest due September 1**

Trans-Lux Corp.  
(8.25% Limited Conv Sr Subordinated Notes, due 3/1/12) Aug. 15

**Interest due September 4**

Lehman Brothers  
(2% Morgan Stanley link Notes, due 3/3/09) Aug. 19

Freedom Depository LLC/Amherst Securities (5.10% Cl A,  
USAuto Series 2004-1, Freedom Certificates, due 3/3/211) Aug. 31

**Interest due September 13**

Citigroup Global Market Holdings (0.25% Basket of Tech  
Stocks Cash Exchangeable Notes, due 09/13/07) Aug. 29

**Interest due September 20**

Citigroup Global Market Holdings (Dow Jones EURO  
STOXX 50 Index Principal-Protected Notes, due 12/20/07) Sep. 5

**Interest due September 24**

Bank of America  
(1.625% Nikkei 225 Index CYCLES, due 3/23/10) Sep. 8

**Interest due September 27**

Bank of America  
(1.25% “100/0” Basket of 4 Indices CYCLES, due 9/27/11) Sep. 13

**Interest due September 28**

CIBC World Markets (Ten Large-Cap U.S. Stocks  
Premium Yield Generator Notes, due 9/28/07) Sep. 27

**Interest due October 29**

Bank of America  
(1.75% Basket of 3 Indices CYCLES, due 4/30/09) Oct. 14

**Interest due October 30**

Bank of America  
(1.75% S&P 500 CYCLES, due 4/28/11) Oct. 15

## List of Bonds Dealt in “Flat”

### Traded Flat from Listing Date ABN AMRO

American Corporate Accruals, Inc.	SHH.A (S&P 500 Structured Hybrid Equity link Secs-1/16/14)  (Callable Principal Receipts-2024)
Bank of America Corp.	BOA.D (Nasdaq-100 EAGLES-6/1/10)
Bank of America Corp.	BOA.E (S&P 500 EAGLES- 6/28/10)
Bank of America Corp.	BOA.F (Nikkei 225 6% Min Return linked Notes-6/24/10)
Bank of America Corp.	BOA.G (16 Energy Stk linked EAGLES-8/2/10)
Bank of America Corp.	BOA.H (Russell 2000 EAGLES-8/28/09)
Bank of America Corp.	BOA.I (DJIA Minimum Return EAGLES-9/25/09)
Bank of America Corp.	BOA.J (Nasdaq-100 EAGLES-10/29/10)
Bank of America Corp.	BOA.M (Nikkei 225 Return Linked Notes-6/28/10)
Bank of America Corp.	BOA.O (Russell 2000 EAGLES-1/28/11)
Bank of America Corp.	BOA.R (Nasdaq-100 EAGLES-3/24/09)
Bank of America Corp.	BOA.U (EAGLES linked to the DJIA-6/25/10)
Bank of America Corp.	BOA.W (Amex Exch Biotechnology Index EAGLES-8/28/09)
Bank of America Corp.	BOA.Z (Energy Stocks EAGLES-9/29/10)
Bank of America Corp.	BOR.A (S&P 500 EAGLES-10/29/10)
Bank of America Corp.	BOR.B (Nasdaq-100 Index EAGLES-11/23/10)
Bank of America Corp.	BOR.C (CBOE China Index EAGLES-11/24/10)
Bank of America Corp.	BOR.F (DJIA EAGLES-3/25/11)
Bank of America Corp.	BOR.I (Basket of 3 Indices Return Linked Notes-8/26/10)
Bank of America Corp.	BOR.J ("80/20" Basket of Four Indices Return Link Notes-6/27/11)
Bank of America Corp.	BOR.K (S&P 500 EAGLES-7/29/10)
Bank of America Corp.	BOR.L (Return link notes linked to a basket of two Indices-1/28/11)
Bank of America Corp.	BOR.M (Index EAGLES Linked to the DJIA-8/26/10)
Bank of America Corp.	BOR.N (Dow Jones EURO STOXX 50 Return Link Notes-8/25/11)
Bank of America Corp.	BOR.O (S&P 500 Index EAGLES-10/3/11)
Bank of America Corp.	BOR.P (Amex Biotechnology Index EAGLES-10/28/11)
Bank of America Corp.	BOR.Q (Basket of Three Indices Return Link Notes-10/27/11)
Bank of America Corp.	BOR.R (Basket of Two Indices Return Link Notes-11/22/10)
Bank of America Corp.	BOR.S (Basket of Five EAGLES-11/23/11)
Bank of America Corp.	BOR.T (DJIA Index EAGLES -12/27/11)
Bank of America Corp.	BOR.U (Basket of 3 Indices Return Link Notes-12/29/11)
Bear Stearns & Co. Inc.	BYF.A (U.S. Sector ETFs Principal Protect Notes-2/5/08)
Bear Stearns & Co. Inc.	BYF.B (S&P 500 Principal Protect Notes-10/1/08)
Bear Stearns & Co. Inc.	BYF.C (NASDAQ-100 Principal Protect Notes-12/22/09)
Bear Stearns & Co. Inc.	BYF.D (DJIA Principal Protect Notes-3/23/11)
Bear Stearns & Co. Inc.	BYF.F (Three Intl Indices Medium Term Notes-8/2/10)
Canadian Imperial Bank of Commerce	MRS.A (S&P 500 linked Notes-7/30/10)
Canadian Imperial Bank of Commerce	MRS.B (CIBC 10 U.S. Stks Optimizer Notes-1/30/09)
Canadian Imperial Bank of Commerce	MRS.C (CIBC 10 U.S. Stks Optimizer Notes-3/5/09)
Canadian Imperial Bank of Commerce	MRS.D (CIBC 10 U.S. Stks Optimizer Notes-3/31/09)
Canadian Imperial Bank of Commerce	MRS.E (CIBC 10 U.S. Stks Optimizer Notes-4/30/09)
Canadian Imperial Bank of Commerce	MRS.F (S&P 500 MERITS-6/30/11)
Canadian Imperial Bank of Commerce	MRS.G (CIBC 10 U.S. Stks Optimizer Notes-6/30/09)
Canadian Imperial Bank of Commerce	MRS.H (NASDAQ-100 MERITS-8/1/11)
Canadian Imperial Bank of Commerce	MRS.I (DJIA MERITS-8/31/11)
Canadian Imperial Bank of Commerce	MRS.J (S&P 500 Principal Protected Notes-3/31/09)
Canadian Imperial Bank of Commerce	MRS.K (DJIA MERITS-1/30/09)
Canadian Imperial Bank of Commerce	MRS.M (S&P 500 Index linked Notes-12/31/08)
Canadian Imperial Bank of Commerce	MRS.O (Global Indices Basket linked Notes-9/18/08)
Canadian Imperial Bank of Commerce	MRS.S (S&P 500 Principal Protected Notes-6/15/10)
Canadian Imperial Bank of Commerce	MRS.U (Global Basket of Three Equity Indices -7/29/10)

Citigroup Global Market Holdings Inc. Citigroup Global Market Holdings Inc.	NDG.A (Nasdaq-100 Principal Protect Notes-12/4/07) SCW.A (S&P 500 Principal Protect Notes-10/22/07)
Credit Suisse (USA), Inc. Credit Suisse (USA), Inc.	IPJ.A (S&P 500 Five Year Contingent Protect Secs-9/30/08) IPJ.B (S&P 500 Five Year Contingent Protect Secs-11/26/08)
Goldman Sachs Group, Inc. (The) Goldman Sachs Group, Inc. (The) Goldman Sachs Group, Inc. (The) Goldman Sachs Group, Inc. (The)	GSD.B (S&P 500 linked Notes-8/7/11) GSN.B (S&P 500 Medium Term Notes-2/28/13) GSN.C (S&P 500 Medium Term Notes-4/18/13) GSN.D (S&P 500 Medium Term Notes-5/30/13)
JP Morgan Chase & Co. JP Morgan Chase & Co. JP Morgan Chase & Co. JP Morgan Chase & Co. JP Morgan Chase & Co. JP Morgan Chase & Co. JP Morgan Chase & Co. JP Morgan Chase & Co. JP Morgan Chase & Co.	JPL.A (Consumer Price Index Secs-1/15/10) JPL.A (S&P 500 linked Notes-11/26/07) JPL.B (S&P 500 Market Participation Notes-3/12/08) JPL.C (Cap Qtr Observation Notes-9/22/08) JPL.D (S&P 500 Cap Qtr Observation Notes-10/30/08) JPL.E (S&P 500 Cap Qtr Observation Notes-1/21/09) JPL.F (S&P 500 Mkt Participation Notes-3/31/09) JPL.G (S&P 500 Cap Qtr Observation Notes-7/7/09) JPL.H (S&P 500 Cap Qtr Observation Notes-9/21/09) JPL.I (S&P 500 Principal Protect Notes-9/30/10)
Lehman Brothers Holdings Inc. Lehman Brothers Holdings Inc. Lehman Brothers Holdings Inc. Lehman Brothers Holdings Inc. Lehman Brothers Holdings Inc. Lehman Brothers Holdings Inc. Lehman Brothers Holdings Inc. Lehman Brothers Holdings Inc. Lehman Brothers Holdings Inc. Lehman Brothers Holdings Inc. Lehman Brothers Holdings Inc. Lehman Brothers Holdings Inc. Lehman Brothers Holdings Inc. Lehman Brothers Holdings Inc. Lehman Brothers Holdings Inc.	LBM.C (General Electric Co. Medium Term Notes-5/15/10) LBN.A (Dow Jones EUROSTOXX 50 Index-7/7/08) LBN.B (Dow Jones EURO STOXX 50 Index-7/29/08) LSQ.C (DJIA SUNS-4/29/10) LSQ.D (Nikkei 225 SUNS-6/10/10) PSZ.C (S&P 500 SUNS-8/5/08) PSZ.D (S&P 500 SUNS-9/27/07) PSZ.E (S&P 500 Callable SUNS-11/6/09) PSZ.F (DJ Global Titans Index SUNS-2/9/10) RLN.D (Nasdaq-100 Rebound RANGERS-6/7/08) RLN.E (S&P 500 linked Notes-9/28/09) RLN.G (DJ STOXX 50 linked Notes-11/15/09) RLN.H (Russell 2000 Index linked Notes-12/23/09) RLN.I (S&P 500 Index-Plus Notes-3/3/10)
Merrill Lynch Merrill Lynch	COD.A (Coca-Cola Co Exchangeable Conv Secs-9/30/08) EXO.A (Exxon Mobile Exchangeable Conv Secs-10/3/08)
Morgan Stanley Morgan Stanley Morgan Stanley Morgan Stanley Morgan Stanley	BSN.A (3 stks Sr Exchangeable Debt-12/30/08) ITM.A (Intel Stk Participation Notes-12/30/10) JMS.A (S&P 500 Callable Notes-12/30/08) MRW.A (S&P 500 Mkt Participation Secs-12/30/10) MWT.A (Wal-Mart Stk Participation Notes-9/15/10)
PMA Capital Corporation	PMK (8.5% Monthly Income Sr Notes-6/15/18)
UBS AG UBS AG	PPV.C (Russell 2000 Principal Protect Notes-4/30/09) PPV.E (DJIA Principal Protect Notes-5/29/09) PPV.G (S&P 500 Principal Protect Notes-11/7/07) PPV.H (S&P 500 Principal Protect Notes-12/7/07) PPV.I (U.S. Equity Indices Principal Protect Notes-10/7/11) PPV.J (DJIA Principal Protect Notes-2/7/08) PPV.K (S&P 500 Principal Protect Notes-9/8/10) PPV.L (S&P 500 Principal Protect Notes-4/8/10) PPV.M (S&P 500 Principal Protect Notes-10/7/09) PPV.N (S&P 500 Principal Protect Notes-5/7/10) PPV.O (S&P 500 Principal Protect Notes-6/7/10) PPV.P (S&P 500 Principal Protect Notes-7/8/10) PPV.Q (S&P 500 Principal Protect Notes-8/6/10) PPV.R (S&P 500 Principal Protect Notes-9/8/09) PPZ.A (S&P 500 Partial Protect Notes-11/05/07) PPZ.B (S&P 500 Partial Principal Protect Notes-2/28/08) PPZ.C (S&P 500 Partial Principal Protect Notes-11/5/10)

Wachovia Corporation	WDB.B (Nikkei 225 ASTROS-3/2/10)
Wachovia Corporation	WDB.D (S&P 500 linked to Trigger CAPITALS-12/8/08)
Wachovia Corporation	WDB.E (Global Basket of Indices ASTROS-2/2/10)
Wachovia Corporation	WDB.I (Global Equity Basket ASTROS-5/5/10)
Wachovia Corporation	WDB.M (Metals-China Basket ASTROS-1/28/09)
Wells Fargo & Company	WFG.A (S&P 500 linked Notes-1/4/08)
Wells Fargo & Company	WFG.B (Nasdaq-100 linked Notes-1/4/08)
Wells Fargo & Company	WFG.C (Basket linked Notes-4/15/09)
Wells Fargo & Company	WFG.D (DJIA High Point Average linked Notes-5/5/10)
Wells Fargo & Company	WFG.F (S&P 500 Callable Notes-8/25/09)

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## Halted Issues

Transactions in the following securities have been halted for a period exceeding five trading days. Members and member organizations are reminded of the provisions of Exchange Rule 462(d)1 when determining the value to place upon those securities for margin purposes.

Any purchase or sale of a security which has been halted by the Exchange may be subject to Federal regulation as well as the rules of the other market(s) where the purchase or sale is to take place.

Members are reminded that open orders on the books of the specialist for an issue which has been halted for 30 days are automatically canceled.

<u>Name of Company (Issue)</u>	<u>Date of Halt</u>
Dyadic International	April 23, 2007

a — Addition to previous Bulletin.

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## Dividends

**Dividends announced for August 27, 2007 – August 31, 2007 can be viewed on the Dividend Daily list homepage on [amextrader.com](http://amextrader.com); click on [Dividends Daily List](#) to view the Dividends Daily List.**

Please call the Corporate Actions/Proxy Rulings Department at 212-306-2438 with any questions.

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## Meetings of Stockholders

Members should, insofar as possible without additional expense, transfer certificates of stock held in persons or firms over whom they have no control into their own names, or those of persons over whom they have control, before the record date for determining stockholders entitled to vote.

As a guide to Member Organizations in giving proxies pursuant to Rule 577 to vote stock held for the account of customers the following symbols are used in the list of meetings below:

- V = Preceding Record Date indicates Members MAY vote the entire proxy under the Ten Day Rule.
- X = Together with numbers or letters indicates that Members MAY NOT vote the designated matters without specific instructions from owners. Numbers or letters shown are those used in the PROXY FORM.
- X = With no other designation indicates that Members MAY NOT vote the entire proxy.
- NP = Indicates that proxies are not being solicited.

The following abbreviations are used for items not numbered or lettered in the proxy form. Where no symbol appears, proxy material has not yet been received.

- |                              |                        |
|------------------------------|------------------------|
| SP = Stockholder Proposal(s) | BY = By-Law Amendment  |
| OP = Option Plan             | CH = Charter Amendment |
| STP = Stock Purchase Plan    | MP = Merger Proposal   |
| CP = Compensation Plan       |                        |

<u>Name of Company</u>	<u>Record</u>			<u>Meeting</u>		
* Ableauctions.com Inc.	V	Jul.	19	A	Sep.	28
Allied Defense Group, Inc. (The)	X	Aug.	3	S	Oct.	1
AMDL, Inc.	X-2-3	Jul.	9	A	Sep.	7
American Technical Ceramics Corp.	X	Aug.	16	S	Sep.	21
Arcadia Resources, Inc.	V	Jul.	26	A	Sep.	7
Arizona Star Resource Corp.		Sep.	13	A	Oct.	23
Ascendia Brands, Inc. Note: (2)		Dec.	29			
Ascendia Brands, Inc. Note: (2)		Feb.	9			
Ascendia Brands, Inc. Note: (2)		Apr.	20			
* Baldwin Technology Company, Inc.		Sep.	28	A	Nov.	13
BIW Limited	X-3	Aug.	9	S	Sep.	26
Breeze-Eastern Corporation	V	Jul.	25	A	Sep.	12
* Callisto Pharmaceuticals, Inc.	X-2	Aug.	17	A	Sep.	26
Caraco Pharmaceutical Laboratories	V	Jul.	24	A	Sep.	10
* CardioTech International Note: (5)	X-2-3	Aug.	16	A	Oct.	16
Castle Brands Inc.	V	Jul.	16	A	Sep.	12
CEL-SCI Corporation	X-2-3-4-5	Aug.	1	A	Sep.	14
Chad Therapeutics, Inc.		Aug.	29	A	Oct.	24
Command Security Corporation	V	Jul.	20	A	Sep.	20
Crosshair Exploration & Mining Corp.		Jul.	24	A	Sep.	10
Cytomedix, Inc.	V	Aug.	10	A	Sep.	21
Delaware Investments CO Insured Municipal Income Fund	X-2-3	Jun.	19	A	Sep.	13
Delaware Investments FL Insured Municipal Income Fund	X-2-3	Jun.	19	A	Sep.	13
Delta Apparel, Inc.		Sep.	14	A	Nov.	8
DRYCLEAN USA, Inc.		Sep.	28	A	Nov.	9
Dune Energy, Inc. Note: (2)		Apr.	25			
Elecsys Corporation	V	Jul.	20	A	Sep.	13
* Empire Financial Holding Co.	X-2	Aug.	20	A	Sep.	28
Environmental Power Corp.	X-3	Aug.	7	A	Sep.	10
First Trust/Four Corners Senior Floating Rate Income Fund	V	Jun.	21	A	Sep.	10
Flight Safety Technologies, Inc.		Aug.	27	A		
Fountain Powerboat Industries, Inc.		Oct.	1	A	Nov.	13
* Foxby Corp.	V	Jul.	25	A	Oct.	10
Franklin Templeton Limited Duration Income Trust	V	Jul.	24	A	Sep.	26
Friedman Industries, Inc.	V	Jul.	13	A	Sep.	6
* Frisch's Restaurants, Inc.	V	Aug.	2	A	Oct.	1
General Finance Corporation	X	Aug.	14	S	Sep.	11
* Global Income Fund Inc.	X-3	Jul.	25	A	Oct.	10
* Halifax Corporation Note: (4)		Sep.	27	A		
Harbor Acquisition Corporation		Aug.	27	S		
* Hi-Shear Technology Corporation	V	Aug.	31	A	Oct.	30
* Idaho General Mines, Inc.	X-2-3-4	Aug.	20	A	Oct.	4
ImageWare Systems, Inc.		Aug.	10	A	Sep.	19
Insite Vision, Inc.		Aug.	17	A	Oct.	15
InterSearch Group Inc. Note: (2)		Jul.	19			
ION Media Networks, Inc. Note: (2)		Jul.	27			
Isolagen, Inc.		Sep.	5	A	Sep.	25
Iteris, Inc.	X-3	Jul.	25	A	Sep.	21
Kitty Hawk, Inc.		Jul.	27	A		
LaBarge, Incorporated		Sep.	21	A	Nov.	14

*Lorus Therapeutics, Inc.	Note: (1)	Aug.	15	A	Sep.	19
Mad Catz Interactive, Inc.	Note: (3) X-3-4	Aug.	8	A	Sep.	7
MC Shipping Inc.		Aug.	9	S	Sep.	5
Metalline Mining Company	X-2	Aug.	2	A	Sep.	21
Mexco Energy Corporation	V	Jul.	18	A	Sep.	13
*Midwest Air Group, Inc.		Sep.	24	S		
MTS Medication Technologies, Inc.	X-2	Jul.	26	A	Sep.	19
National Healthcare Corporation	X	Aug.	6	S	Sep.	13
*Nevada Gold & Casinos Inc.	V	Aug.	23	A	Oct.	15
Northeast Bancorp		Sep.	21	A	Nov.	7
Nuveen Dividend Advantage Municipal Fund 2	X-1	Aug.	1	S	Oct.	12
Nuveen Dividend Advantage Municipal Fund 3	X-1	Aug.	1	S	Oct.	12
Nuveen Insured Dividend Advantage Municipal Fund	X-1	Aug.	1	S	Oct.	12
Nuveen Insured Tax-Free Advantage Municipal Fund	X-1	Aug.	1	S	Oct.	12
Nuveen Municipal High Income Opportunity Fund	X-1	Aug.	1	S	Oct.	12
Nuveen AZ Dividend Advantage Municipal Fund	X-1	Aug.	1	A	Oct.	12
Nuveen AZ Dividend Advantage Municipal Fund 2	X-1	Aug.	1	A	Oct.	12
Nuveen AZ Dividend Advantage Municipal Fund 3	X-1	Aug.	1	A	Oct.	12
Nuveen CA Dividend		Aug.	1	S	Oct.	12
Nuveen CA Dividend Advantage Municipal Fund 3	X-1	Aug.	1	S	Oct.	12
Nuveen CA Premium Income Municipal Fund	X-1	Aug.	1	S	Oct.	12
Nuveen CT Dividend Advantage Municipal Fund	X-1	Aug.	1	A	Oct.	12
Nuveen CT Dividend Advantage Municipal Fund 2	X-1	Aug.	1	A	Oct.	12
Nuveen CT Dividend Advantage Municipal Fund 3	X-1	Aug.	1	A	Oct.	12
Nuveen GA Dividend Advantage Municipal Fund	X-1	Aug.	1	A	Oct.	12
Nuveen GA Dividend Advantage Municipal Fund 2	X-1	Aug.	1	A	Oct.	12
Nuveen GA Premium Income Municipal Fund	X-1	Aug.	1	A	Oct.	12
Nuveen Insured CA Dividend Advantage Municipal Fund	X-1	Aug.	1	S	Oct.	12
Nuveen Insured CA Tax-Free Advantage Municipal Fund	X-1	Aug.	1	S	Oct.	12
Nuveen Insured FL Tax-Free Advantage Municipal Fund	X-1	Aug.	1	A	Oct.	12
Nuveen Insured MA Tax-Free Advantage Municipal Fund	X-1	Aug.	1	A	Oct.	12
Nuveen Insured NY Dividend Advantage Municipal Fund	X-1	Aug.	1	S	Oct.	12
Nuveen Insured NY Tax-Free Advantage Municipal Fund	X-1	Aug.	1	S	Oct.	12
Nuveen MA Dividend Advantage Municipal Fund	X-1	Aug.	1	A	Oct.	12
Nuveen MD Dividend Advantage Municipal Fund	X-1	Aug.	1	A	Oct.	12
Nuveen MD Dividend Advantage Municipal Fund 2	X-1	Aug.	1	A	Oct.	12

Nuveen MD Dividend						
Advantage Municipal Fund 3	X-1 Aug.	1		A	Oct.	12
Nuveen MI Dividend						
Advantage Municipal Fund	X-1 Aug.	1		A	Oct.	12
Nuveen MO Premium Income Municipal Fund	X-1 Aug.	1		A	Oct.	12
Nuveen NC Dividend						
Advantage Municipal Fund	X-1 Aug.	1		A	Oct.	12
Nuveen NC Dividend						
Advantage Municipal Fund 2	X-1 Aug.	1		A	Oct.	12
Nuveen NC Dividend						
Advantage Municipal Fund 3	X-1 Aug.	1		A	Oct.	12
Nuveen NJ Dividend						
Advantage Municipal Fund	X-1 Aug.	1		A	Oct.	12
Nuveen NJ Dividend						
Advantage Municipal Fund 2	X-1 Aug.	1		A	Oct.	12
Nuveen NY Dividend						
Advantage Municipal Fund 2	X-1 Aug.	1		S	Oct.	12
Nuveen OH Dividend						
Advantage Municipal Fund	X-1 Aug.	1		A	Oct.	12
Nuveen OH Dividend						
Advantage Municipal Fund 2	X-1 Aug.	1		A	Oct.	12
Nuveen OH Dividend						
Advantage Municipal Fund 3	X-1 Aug.	1		A	Oct.	12
Nuveen PA Dividend						
Advantage Municipal Fund	X-1 Aug.	1		A	Oct.	12
Nuveen PA Dividend						
Advantage Municipal Fund 2	X-1 Aug.	1		A	Oct.	12
*Nuveen Real Estate Income	X-1-2 (e) Aug.	1		S	Oct.	12
Nuveen VA Dividend						
Advantage Municipal Fund	X-1 Aug.	1		A	Oct.	12
Nuveen VA Dividend						
Advantage Municipal Fund 2	X-1 Aug.	1		A	Oct.	12
*O2Diesel Corporation	V	Aug.	16	A	Sep.	20
Oilsands Quest Inc.		Aug.	17	A	Oct.	17
ON2 Technologies, Inc.		Jul.	30	A	Sep.	28
Orsus Xelent Technologies Inc.		Aug.	30	A		
PowerShares DB Gold Fund		Aug.	30	S	Oct.	9
PowerShares DB Silver Fund		Aug.	30	S	Oct.	9
PowerShares DB Precious Metals Fund		Aug.	30	S	Oct.	9
R.G. Barry Corporation		Sep.	10	A	Nov.	8
Retractable Technologies, Inc.		Jul.	30	A	Sep.	28
Rydex Russell Top 50 ETF		Aug.	6	S	Oct.	4
Rydex S&P 500 Pure Growth ETF		Aug.	6	S	Oct.	4
Rydex S&P 500 Pure Value ETF		Aug.	6	S	Oct.	4
Rydex S&P Equal Weight Consumer Discretionary ETF		Aug.	6	S	Oct.	4
Rydex S&P Equal Weight Consumer Staples ETF		Aug.	6	S	Oct.	4
Rydex S&P Equal Weight Energy ETF		Aug.	6	S	Oct.	4
Rydex S&P Equal Weight ETF		Aug.	6	S	Oct.	4
Rydex S&P Equal Weight Financial ETF		Aug.	6	S	Oct.	4
Rydex S&P Equal Weight Health Care ETF		Aug.	6	S	Oct.	4
Rydex S&P Equal Weight Industrials ETF		Aug.	6	S	Oct.	4
Rydex S&P Equal Weight Materials ETF		Aug.	6	S	Oct.	4
Rydex S&P Equal Weight Tech ETF		Aug.	6	S	Oct.	4
Rydex S&P Equal Weight Utilities ETF		Aug.	6	S	Oct.	4
Rydex S&P Midcap 400 Pure Growth ETF		Aug.	6	S	Oct.	4
Rydex S&P Midcap 400 Pure Value ETF		Aug.	6	S	Oct.	4
Rydex S&P Smallcap 600 Pure Growth ETF		Aug.	6	S	Oct.	4
Rydex S&P Smallcap 600 Pure Value ETF		Aug.	6	S	Oct.	4

Samaritan Pharmaceuticals, Inc.		Aug.	29	A		
Semotus Solutions, Inc.	X-5	Jul.	24	A	Sep.	20
*Sinovac Biotech Ltd.		Jul.	17	A	Sep.	19
Spark Networks, Inc.	X-3	Aug.	21	A	Oct.	8
*Stephan Co. (The)	V	Aug.	13	A	Sep.	17
*SunLink Health Systems, Inc.		Sep.	18	A	Nov.	12
Tarpon Industries Inc.		Aug.	24	A		
Titan Pharmaceuticals Inc.	V	Jul.	24	A	Sep.	6
Tri-Valley Corporation	X-2	Aug.	8	A	Oct.	6
Universal Security Instruments, Inc.	X-2	Aug.	6	A	Oct.	1
Uroplasty, Inc.	V	Jul.	16	A	Sep.	13
US Dataworks, Inc.	V	Jul.	13	A	Sep.	6
VendingData Corporation	X-1-2-3-4	Aug.	16	S	Sep.	10
Vita Food Products, Inc.	Note: (2)	Apr.	13	A		

\*Additions to or change from previous Bulletins.

- S Special Meeting.
- A Annual Meeting.
- Adj Adjourned Meeting.
- † In Lieu of Annual Meeting.
- (1) Meeting is both Annual and Special.
- (2) Record date is for "Information Statement" only, no solicitation involved.
- (3) Ruling based on proxy card. Meeting is both Annual and Special.
- (4) Record date revised by the Company.
- (5) Meeting date revised by the Company.



Geraldine M. Brindisi  
 Corporate Secretary