



**Membership Seat Prices (in 000s)**

(As of 9:30 A.M., September 7, 2007)

	BID	OFFER	LAST CONTRACTED
Regular	330	350	325
Options Principal	25	None	320
Limited Trading Permits	None	6.5	25

Includes only those sales arranged pursuant to bids and offers filed with the Membership and Registration Department between the hours of 9:30 a.m. – 4:00 p.m.

Seat market information may be obtained by calling toll-free (877) Amex Seat (877-263-9732). Seat prices are updated intraday on [www.amextrader.com](http://www.amextrader.com).

**Membership Seat Sale**

**Regular**

(Since August 22, 2007)

\$325,000 – September 4, 2007

**Membership Lease Prices**

(As of 9:30 A.M., September 7, 2007)

The following leases were received:

Includes monthly rental rate information only with respect to Exchange-approved negotiated leases. Not reported are arms length leases or leases for a nominal consideration.

**REGULAR**

<u>Price</u>	<b>New</b>	<u>Term</u>	<u>Price</u>	<b>Renewal</b>	<u>Term</u>
\$375		6 months	2 @ \$400		6 months
			\$375		6 months
			\$360		6 months
			\$350		6 months

**OPTIONS PRINCIPAL**

<u>Price</u>	<b>New</b>	<u>Term</u>	<u>Price</u>	<b>Renewal</b>	<u>Term</u>
None			None		

**LIMITED TRADING PERMIT**

<u>Price</u>	<b>New</b>	<u>Term</u>	<u>Price</u>	<b>Renewal</b>	<u>Term</u>
None			None		

**Month-End Membership Data**  
(As of August 31, 2007)

**REGULAR**

Closing Bid .....	\$325,000
Closing Offer .....	\$360,000
Last Completed Sales .....	\$435,000 – August 30, 2007
.....	\$400,000
.....	\$360,000

**OPTIONS PRINCIPAL**

Closing Bid .....	\$25,000
Closing Offer .....	None
Last Completed Sale.....	\$320,000 – March 29, 2007

**LIMITED TRADING PERMIT**

Closing Bid .....	None
Closing Offer .....	\$6,500
Last Completed Sale.....	\$25,000 – June 20, 2003

**Transfers of Membership**

**Termination of Special Transfer Agreement – Regular**  
(Effective August 31, 2007)

**Stephen G. Berman** to **G&B Golkin LLC**  
MOG Capital LLC Attn: Gerald M. Golkin  
Badge No. 596-S 500 East 77<sup>th</sup> Street  
New York, NY 10162  
Seat No. 36-R

**Timothy Colby** to **BBK Partners**  
Hazan Capital Management LLC Attn: Leonard Berger  
Badge No. 630-T 45 East 89<sup>th</sup> Street, #17F  
New York, NY 10128  
Seat No. 373-R

**Stephen G. O’Grady\*\*** to **Raymond James & Associates Inc.**  
Kellogg Capital Group and Attn: Robert Blain  
Raymond James & Associates Inc. 880 Carillon Parkway  
Badge No. 973-K P.O. Box 12749  
St. Petersburg, FL 33733-2749  
Seat No. 141-R

(Effective September 5, 2007)

**Kevin M. Rodriguez\*** to **MOG Holdings LLC**  
Bear Hunter Structured Products LLC Attn: Ben Shleifer  
Badge No. 408-K 250 Park Avenue South, 10<sup>th</sup> Floor  
New York, NY 10003  
Seat No. 205-R

\*Simultaneously transferring to another seat

\*\*Currently a member on another seat

(Effective at the Opening of Business on September 7, 2007)

**Andre Chase** to **Paris Securities Corporation**  
Equitec Specialists, LLC and Compass Attn: Mr. Jan Marks  
Professional Services East, LLC 66 Hudson Street, Suite 201  
Badge No. 942-C Hoboken, NJ 07030  
Seat No. 357-R

## New Memberships

### Special Transfer Agreement – Regular (Effective September 5, 2007)

**Anita Lovett**  
Independent

to

**Kevin M. Rodriguez**  
**Specialist**  
Bear Hunter Structured Products LLC  
40 Wall Street, 42<sup>nd</sup> Floor  
New York, NY 10005  
Seat No. 341-R  
Badge No. 408-K

---

## RROT

### Special Transfer Agreement – Regular (Effective at the Opening of Business on September 7, 2007)

**Peter Orloff**  
Independent

to

**Michael Dabrowski**  
**Trader**  
Group One Trading LP  
2 Rector Street  
New York, NY 10006  
Seat No. 445-R

---

## Current Members – Firm Status Change

### Special Transfer – Regular (Effective September 5, 2007)

**James Chu**  
Cutler Group L.P.  
(Robert Moss – Lessor)  
Badge No. 599-J

to

**James Chu**  
**Specialist**  
Taylor Execution Service LLC and  
Timber Hill LLC  
260 Silver Hill Road  
Easton, CT 06612  
Seat No. 26-R  
Badge No. 612-J

### (Effective at the Opening of Business on September 7, 2007)

**James McCarthy**  
Kellogg Capital Group LLC  
(Stephen Love – Lessor)  
Badge No. 973-J

to

**James McCarthy**  
**Trader/Specialist**  
Golden Anchor Trading II LLC  
2 Rector Street, 3<sup>rd</sup> Floor  
New York, NY 10006  
Seat No. 382-R  
Badge No. 614-M

---

## Change In Member Organization

### \*\*Equitec Specialists LLC

Ceased to be registered as a Regular Member Organization with the transfer of \*Andre Chase (Badge #942-C), effective at the opening of business on September 7, 2007.

- 
- \* Regular Member
  - \*\* Regular Member Organization
  - Options Principal Member
  - Options Principal Member Organization

- † Associate Member
- ‡ Associate Member Organization
- # CBOE Member Organization
- NYSE Member Organization
- Limited Trading Permit Holder
- Limited Trading Permit Holder Organization
- @ Also Employed By Another Firm
- (\$) Sole Proprietor ceased

---

## Proposed Transfers of Membership

### Termination of Special Transfer Agreement – Regular (To Be Effective September 7, 2007)

**Richard Branda\*** to **John Conklin**  
Kingswood Investment Group Inc. Partner of  
Conklin Cahill & Co.

(To Be Effective September 13, 2007)

**Kevin O'Connor** to **Joseph Patrick Lynch**  
Raymond C. Forbes & Co., Inc. Independent

(To Be Effective September 14, 2007)

**Nicholas Shangloo** to **Neil Vaccaro**  
Bluefin Trading LLC and Bluefin Specialists Independent  
LLC

\*Simultaneously transferring to another seat

\*\*Currently a member on another seat

## New Memberships

### Sale

#### Regular

(To Be Effective September 7, 2007)

**John Conklin** to **Robert Sahn**  
Conklin Cahill & Co. Independent

(To Be Effective September 13, 2007)

**Edward A. Reiss** to **Ralph DellaCamera**  
Independent Independent

#### Special Transfer Agreement – Regular

(To Be Effective September 7, 2007)

**Robert Sahn** to **Richard Branda**  
Independent Broker of  
Kingswood Investment Group Inc.

(To Be Effective September 13, 2007)

**Jeffrey A. Wolfson** to **Michael V. Pitruzzello**  
Independent Trader of  
Golden Anchor Trading II LLC

**RROT**

**Special Transfer Agreement – Regular**  
**(To Be Effective September 13, 2007)**

**Kenneth M. Coon**  
Independent

to

**Chad Sinsheimer**  
**Trader of**  
Group One Trading LP

---

**Proposed Associate Member**

**(To Be Effective September 13, 2007)**

**Michael Nolan**  
President of  
Terra Nova Financial, LLC  
100 S. Wacker Drive, Suite 1550  
Chicago, IL 60606

---

**Proposed Allied Members**

**(To Be Effective September 13, 2007)**

**Behruz Afshar**  
Senior Registered Options Principal of  
Terra Nova Financial, LLC  
100 S. Wacker Drive, Suite 1550  
Chicago, IL 60606

**Raymond P. Burley**  
Principal of  
Terra Nova Financial, LLC  
100 S. Wacker Drive, Suite 1550  
Chicago, IL 60606

**Gayle L. Engberg**  
Compliance Registered Options Principal of  
Terra Nova Financial, LLC  
100 S. Wacker Drive, Suite 1550  
Chicago, IL 60606

**M. Patricia Kane**  
Financial and Operational Principal of  
Terra Nova Financial, LLC  
100 S. Wacker Drive, Suite 1550  
Chicago, IL 60606

**Michael Nolan**  
President and Chief Compliance Officer of  
Terra Nova Financial, LLC  
100 S. Wacker Drive, Suite 1550  
Chicago, IL 60606

**Donal Ogilvie**  
Principal of  
Terra Nova Financial, LLC  
100 S. Wacker Drive, Suite 1550  
Chicago, IL 60606

**Nita M. Patel**  
Financial and Operational Principal of  
Terra Nova Financial, LLC  
100 S. Wacker Drive, Suite 1550  
Chicago, IL 60606

## Proposed Approved Person Organizations

(To Be Effective September 13, 2007)

Market Wise Securities LLC  
Rush Trade Securities  
Terra Nova Financial Group, Inc.  
Under Common Control With  
Terra Nova Financial, LLC  
100 S. Wacker Drive, Suite 1550  
Chicago, IL 60606

---

## Proposed Member Organization

(To Be Effective September 13, 2007)

‡Terra Nova Financial, LLC  
To be admitted as an Associate Member Organization.  
(“LLC Member” does not signify Exchange Member)  
Address: 100 S. Wacker Drive, Suite 1550, Chicago, IL 60606  
Terra Nova Financial Group, Inc., Owner  
Behruz Afshar, Senior Registered Options Principal  
Raymond P. Burley, Principal  
Gayle L. Engberg, Compliance Registered Options Principal  
M. Patricia Kane, Financial and Operational Principal  
†Michael Nolan, President and Chief Compliance Officer  
Donal Ogilvie, Principal  
Nita M. Patel, Financial and Operational Principal

**Any information you may have which would be pertinent to consideration of the applicants by the Exchange should be promptly reported to the Membership and Registration Department at 212-306-1419.**

---

## Current Reports Made to the Exchange

Members attention is called to the fact that the following reports are made to the Exchange within the coming next week:

### **Report – To FINRA Amex Regulation Division - Financial Regulation Dept. Due on or Before**

This request for reports is issued on behalf of the American Stock Exchange LLC (Amex) by the Financial Regulation Dept. of the FINRA Amex Regulation Division pursuant to a grant of authority to the FINRA. Accordingly, this constitutes a request for reports by the Amex.

Web FOCUS Report Part II Monthly - Member Organizations carrying customers and/or self-clearing are required to file by the 17th business day after month-end. Wed. Sept. 26

Web FOCUS Report Part IIA Monthly or Net Capital Computation - Member Organization and Sole Proprietor broker-dealers subject to SEC Rule 15c3-1 net capital requirements but not carrying customers and/or self-clearing are required to file by the 17th business day after month-end. Wed. Sept. 26

Equity Computation - Member Organizations subject to Exchange Rule 110 equity requirements **and exempt from SEC Rule 15c3-1 net capital requirements** are required to file by the 17th business day after month-end. **Amex market-makers in non-option products are subject to SEC Rule 15c3-1 net capital requirements and must file a Web FOCUS Report Part IIA or Net Capital Computation.** Wed. Sept. 26

All terminating Amex-designated Member Organizations and Sole Proprietor broker-dealers are required to file an SEC Rule 17a-5(b) Web FOCUS Report Part II Withdrawal or IIA Withdrawal. Use the Web FOCUS Blank Forms tab to access the appropriate form.

Web FOCUS Users experiencing access problems with Web FOCUS should contact the **Gateway Call Center @ 301-869-6699** for assistance.

Please contact the FINRA Amex Regulation Division - Financial Regulation Dept., One Liberty Plaza, 51<sup>st</sup> Floor – Robert Devine 212-858-4448 regarding Web FOCUS entitlement procedures, MO-14 statement, or MO-15 report. Other questions regarding financial reporting should be directed to your FRD examiner.

Please refer to Amex Notice REG 2006–55 dated Oct. 26, 2006, for additional information about filing reports with the Financial Regulation Dept. This Notice is posted on the website at:  
<http://www.amex.com/amextrader/tdrInfo/data/axNotices/2006/reg102606.html>.

---

### **Equities Mid-Month Short Interest Schedule 2007**

<b>Trade Date</b>	<b>Settlement Date</b>	<b>Report Due</b>	<b>Report Published</b>
(Tuesday) September 11	(Friday) September 14	(Tuesday) September 18 1:00 p.m. EST	(Thursday) September 20

### **Derivatives (End-of-Month) Short Interest Schedule 2007**

<b>Trade Date</b>	<b>Settlement Date</b>	<b>Report Due</b>	<b>Report Published</b>
(Tuesday) September 25	(Friday) September 28	(Monday) October 1 1:00 p.m. EST	(Wednesday) October 3

---

### **The List**

This information can be found on the Web. In order to retrieve this information, go to <http://www.amextrader.com> and from the home page click the Daily List button. At the Daily List home page scroll down to Amex issues and select the view link for the current date. Should you have any questions please call (212) 306-2438.

#### **The Following Securities Have Been Approved for Listing:**

Merrill Lynch 51,600,000 shares of Accelerated Return Notes Linked to the S&P 500 Index, Maturing November 26, 2008

Merrill Lynch 7,950,000 shares of Accelerated Return Notes Linked to the Russell 2000 Index, maturing November 7, 2008

Merrill Lynch 3,410,000 shares of Accelerated Return Bear Market Notes Linked to the Russell 3000 Index, maturing November 7, 2008

#### **Additional & Substitution Listings:**

Advanced Photonix, Inc., 3,040,000 Shares of Common Stock, \$0.001 Par Value

American Shared Hospital Services, 373,970 Shares of Common Stock, No Par Value

Austral Pacific Energy Ltd., 7,962,308 Shares of Common Stock, No Par Value

Ecology and Environment, Inc., 248,498 Shares of Common Stock, \$0.001 Par Value

Emeritus Corporation, 8,500,000 Shares of Common Stock, \$0.0001 Par Value

Great Basin Gold Ltd., 21,238,650 Shares of Common Stock, No Par Value

Idera Pharmaceuticals, Inc., 2,750,000 Shares of Common Stock, \$0.001 Par Value

Oilsands Quest, Inc., 750,000 Shares of Common Stock, \$0.001 Par Value

US Dataworks, Inc., 762,500 Shares of Common Stock, \$0.0001 Par Value

Veri-Tek International Corp., 1,500,000 Shares of Common Stock, No Par Value

**Corporate Actions and New Listings announced for September 4, 2007 – September 7, 2007 can be viewed on the Issues Daily list homepage on [amextrader.com](http://amextrader.com); click on [Issues Daily List](#) to view the Issues Daily List.**

## Registered Interest

<u>Name of Company</u>	<u>Record Date</u> <u>(New York unless stated otherwise)</u>
<b>Interest due June 15</b>	
Bond Trust Products LLC (Bond Trust Products Trust Pass-Through Trust Certificates, due 6/15/2010)	Jun. 14
Stater Bros. Holdings Inc. (Floating Rate Notes, due 6/15/10)	Jun. 1
(8 1/8% Senior Notes, due 6/15/12)	Jun. 1
Wachovia Corporation (.25% Basket of Common Stocks Exchangeable Notes, due 12/15/10)	May 31
<b>Interest due June 20</b>	
Citigroup Global Market Holdings (Dow Jones EURO STOXX 50 Index Principal-Protected Notes, due 12/20/07)	Jun. 19
<b>Interest due June 27</b>	
Bank of America (1.25% Basket CYCLES Linked to a "70/30" Basket of Four Indices and 1 ETF, due 12/27/10)	Jun. 12
<b>Interest due June 28</b>	
Bank of America (S&P 400 MidCap Index CYCLES, due 12/28/10)	Jun. 13
(1.5% Healthcare Stocks Capital Protected Equity Performance Link Securities, due 12/20/11)	Jun. 13
<b>Interest due July 2</b>	
Hawk Corporation (8 3/4% Senior Notes, due 11/1/14)	Jun. 15
Morgan Stanley (2% 3M Co. Exchangeable Notes, due 12/30/10)	Jun. 15
(1.25% General Electric Exchangeable Notes, due 12/30/08)	Jun. 15
(1.5 % Wal-Mart Stores Exchangeable Notes, due 6/30/11)	Jun. 15
CIBC World Markets (10 Large-Cap U.S Stocks Yield Generator Notes, due 6/30/11)	Jun. 29
<b>Interest due July 7</b>	
Merrill Lynch & Co., Inc (.25% Wells Fargo & Co. Stock-Linked Notes –1/7/08)	Jun. 23

**Interest due July 10**

Wachovia Corporation  
(19.25% General Motors Enhanced Yield Secs, due 7/10/07) Jun. 25

**Interest due July 16**

CBS Corporation. (7.625% Senior Debentures-2016) Jul. 1

Wells Fargo Corporation.  
(S&P 500 Basket linked Notes, due 10/9/08) Jul. 1

**Interest due July 26**

Bank of America (0.25% Nasdaq-100 Cash Settled  
Exchangeable Notes, due 1/26/10) Jul. 11

**Interest due July 30**

Bank of America  
(1% Healthcare Basket Index "CYCLES", due 1/28/11) Jul. 1

(1.50% Basket CYCLES linked to an  
"80/20" Basket of Four Indices & 1 ETF, due 7/29/11) Jul. 15

Credit Suisse  
(14.25% Ford Motor Corp. Reverse Convertible Notes,  
due 4/29/2008) Jul. 15

**Interest due August 1**

Chandler (USA), Inc. (8 ¾% Senior Debentures, due 7/1/14) Jul. 15

**Interest due August 15**

Bank of America  
0.25% Senior Notes Optionally Exchangeable, due 2/15/2012 Jul. 31

**Interest due August 16**

Lehman Brothers  
0.25% Medium-Term Notes Performance, due 2/16/2012 Aug. 1

**Interest due August 22**

Citigroup Global Markets Holdings, Inc.  
(3% Pfizer Exchangeable Notes, due 8/22/08) Aug. 21

**Interest due August 24**

Bank of America  
(1.25% SPX Index CYCLES, due 2/24/10) Aug. 9

**Interest due August 27**

Bank of America  
(1.25% DJIA Index CYCLES, due 8/25/10) Aug. 10

**Interest due August 31**

CIBC World Markets (Ten Large-Cap U.S. Stocks Premium  
Yield Generator Notes, due 8/31/11) Aug. 30

**Interest due September 1**

Trans-Lux Corp.  
(8.25% Limited Conv Sr Subordinated Notes, due 3/1/12) Aug. 15

**Interest due September 4**

Lehman Brothers  
(2% Morgan Stanley link Notes, due 3/3/09) Aug. 19

Freedom Depository LLC/Amherst Securities (5.10% Cl A,  
USAuto Series 2004-1, Freedom Certificates, due 3/3/211) Aug. 31

**Interest due September 13**

Citigroup Global Market Holdings (0.25% Basket of Tech Stocks Cash Exchangeable Notes, due 09/13/07) Aug. 29

**Interest due September 20**

Citigroup Global Market Holdings (Dow Jones EURO STOXX 50 Index Principal-Protected Notes, due 12/20/07) Sep. 5

**Interest due September 24**

Bank of America  
(1.625% Nikkei 225 Index CYCLES, due 3/23/10) Sep. 8

**Interest due September 27**

Bank of America  
(1.25% "100/0" Basket of 4 Indices CYCLES, due 9/27/11) Sep. 13

**Interest due September 28**

CIBC World Markets (Ten Large-Cap U.S. Stocks Premium Yield Generator Notes, due 9/28/07) Sep. 27

**Interest due October 29**

Bank of America  
(1.75% Basket of 3 Indices CYCLES, due 4/30/09) Oct. 14

**Interest due October 30**

Bank of America  
(1.75% S&P 500 CYCLES, due 4/28/11) Oct. 15

Morgan Stanley  
(2% Proctor & Gamble Exchangeable Notes, due 4/30/11) Oct. 15

**List of Bonds Dealt in "Flat"**

**Traded Flat from Listing Date**

ABN AMRO	SHH.A (S&P 500 Structured Hybrid Equity link Secs-1/16/14)
American Corporate Accruals, Inc.	(Callable Principal Receipts-2024)
Bank of America Corp.	BOA.D (Nasdaq-100 EAGLES-6/1/10)
Bank of America Corp.	BOA.E (S&P 500 EAGLES- 6/28/10)
Bank of America Corp.	BOA.F (Nikkei 225 6% Min Return linked Notes-6/24/10)
Bank of America Corp.	BOA.G (16 Energy Stk linked EAGLES-8/2/10)
Bank of America Corp.	BOA.H (Russell 2000 EAGLES-8/28/09)
Bank of America Corp.	BOA.I (DJIA Minimum Return EAGLES-9/25/09)
Bank of America Corp.	BOA.J (Nasdaq-100 EAGLES-10/29/10)
Bank of America Corp.	BOA.M (Nikkei 225 Return Linked Notes-6/28/10)
Bank of America Corp.	BOA.O (Russell 2000 EAGLES-1/28/11)
Bank of America Corp.	BOA.R (Nasdaq-100 EAGLES-3/24/09)
Bank of America Corp.	BOA.U (EAGLES linked to the DJIA-6/25/10)
Bank of America Corp.	BOA.W (Amex Exch Biotechnology Index EAGLES-8/28/09)
Bank of America Corp.	BOA.Z (Energy Stocks EAGLES-9/29/10)
Bank of America Corp.	BOR.A (S&P 500 EAGLES-10/29/10)
Bank of America Corp.	BOR.B (Nasdaq-100 Index EAGLES-11/23/10)
Bank of America Corp.	BOR.C (CBOE China Index EAGLES-11/24/10)
Bank of America Corp.	BOR.F (DJIA EAGLES-3/25/11)
Bank of America Corp.	BOR.I (Basket of 3 Indices Return Linked Notes-8/26/10)
Bank of America Corp.	BOR.J ("80/20" Basket of Four Indices Return Link Notes-6/27/11)
Bank of America Corp.	BOR.K (S&P 500 EAGLES-7/29/10)
Bank of America Corp.	BOR.L (Return link notes linked to a basket of two Indices-1/28/11)
Bank of America Corp.	BOR.M (Index EAGLES Linked to the DJIA-8/26/10)

Bank of America Corp.	BOR.N (Dow Jones EURO STOXX 50 Return Link Notes-8/25/11)
Bank of America Corp.	BOR.O (S&P 500 Index EAGLES-10/3/11)
Bank of America Corp.	BOR.P (Amex Biotechnology Index EAGLES-10/28/11)
Bank of America Corp.	BOR.Q (Basket of Three Indices Return Link Notes-10/27/11)
Bank of America Corp.	BOR.R (Basket of Two Indices Return Link Notes-11/22/10)
Bank of America Corp.	BOR.S (Basket of Five EAGLES-11/23/11)
Bank of America Corp.	BOR.T (DJIA Index EAGLES -12/27/11)
Bank of America Corp.	BOR.U (Basket of 3 Indices Return Link Notes-12/29/11)
Bear Stearns & Co. Inc.	BYF.A (U.S. Sector ETFs Principal Protect Notes-2/5/08)
Bear Stearns & Co. Inc.	BYF.B (S&P 500 Principal Protect Notes-10/1/08)
Bear Stearns & Co. Inc.	BYF.C (NASDAQ-100 Principal Protect Notes-12/22/09)
Bear Stearns & Co. Inc.	BYF.D (DJIA Principal Protect Notes-3/23/11)
Bear Stearns & Co. Inc.	BYF.F (Three Intl Indices Medium Term Notes-8/2/10)
Canadian Imperial Bank of Commerce	MRS.A (S&P 500 linked Notes-7/30/10)
Canadian Imperial Bank of Commerce	MRS.B (CIBC 10 U.S. Stks Optimizer Notes-1/30/09)
Canadian Imperial Bank of Commerce	MRS.C (CIBC 10 U.S. Stks Optimizer Notes-3/5/09)
Canadian Imperial Bank of Commerce	MRS.D (CIBC 10 U.S. Stks Optimizer Notes-3/31/09)
Canadian Imperial Bank of Commerce	MRS.E (CIBC 10 U.S. Stks Optimizer Notes-4/30/09)
Canadian Imperial Bank of Commerce	MRS.F (S&P 500 MERITS-6/30/11)
Canadian Imperial Bank of Commerce	MRS.G (CIBC 10 U.S. Stks Optimizer Notes-6/30/09)
Canadian Imperial Bank of Commerce	MRS.H (NASDAQ-100 MERITS-8/1/11)
Canadian Imperial Bank of Commerce	MRS.I (DJIA MERITS-8/31/11)
Canadian Imperial Bank of Commerce	MRS.J (S&P 500 Principal Protected Notes-3/31/09)
Canadian Imperial Bank of Commerce	MRS.K (DJIA MERITS-1/30/09)
Canadian Imperial Bank of Commerce	MRS.M (S&P 500 Index linked Notes-12/31/08)
Canadian Imperial Bank of Commerce	MRS.O (Global Indices Basket linked Notes-9/18/08)
Canadian Imperial Bank of Commerce	MRS.S (S&P 500 Principal Protected Notes-6/15/10)
Canadian Imperial Bank of Commerce	MRS.U (Global Basket of Three Equity Indices -7/29/10)
Citigroup Global Market Holdings Inc.	NDG.A (Nasdaq-100 Principal Protect Notes-12/4/07)
Citigroup Global Market Holdings Inc.	SCW.A (S&P 500 Principal Protect Notes-10/22/07)
Credit Suisse (USA), Inc.	IPJ.A (S&P 500 Five Year Contingent Protect Secs-9/30/08)
Credit Suisse (USA), Inc.	IPJ.B (S&P 500 Five Year Contingent Protect Secs-11/26/08)
Goldman Sachs Group, Inc. (The)	GSD.B (S&P 500 linked Notes-8/7/11)
Goldman Sachs Group, Inc. (The)	GSN.B (S&P 500 Medium Term Notes-2/28/13)
Goldman Sachs Group, Inc. (The)	GSN.C (S&P 500 Medium Term Notes-4/18/13)
Goldman Sachs Group, Inc. (The)	GSN.D (S&P 500 Medium Term Notes-5/30/13)
JP Morgan Chase & Co.	JPL.A (Consumer Price Index Secs-1/15/10)
JP Morgan Chase & Co.	JPL.A (S&P 500 linked Notes-11/26/07)
JP Morgan Chase & Co.	JPL.B (S&P 500 Market Participation Notes-3/12/08)
JP Morgan Chase & Co.	JPL.C (Cap Qtr Observation Notes-9/22/08)
JP Morgan Chase & Co.	JPL.D (S&P 500 Cap Qtr Observation Notes-10/30/08)
JP Morgan Chase & Co.	JPL.E (S&P 500 Cap Qtr Observation Notes-1/21/09)
JP Morgan Chase & Co.	JPL.F (S&P 500 Mkt Participation Notes-3/31/09)
JP Morgan Chase & Co.	JPL.G (S&P 500 Cap Qtr Observation Notes-7/7/09)
JP Morgan Chase & Co.	JPL.H (S&P 500 Cap Qtr Observation Notes-9/21/09)
JP Morgan Chase & Co.	JPL.I (S&P 500 Principal Protect Notes-9/30/10)
Lehman Brothers Holdings Inc.	LBM.C (General Electric Co. Medium Term Notes-5/15/10)
Lehman Brothers Holdings Inc.	LBN.A (Dow Jones EUROSTOXX 50 Index-7/7/08)
Lehman Brothers Holdings Inc.	LBN.B (Dow Jones EURO STOXX 50 Index-7/29/08)
Lehman Brothers Holdings Inc.	LSQ.C (DJIA SUNS-4/29/10)
Lehman Brothers Holdings Inc.	LSQ.D (Nikkei 225 SUNS-6/10/10)
Lehman Brothers Holdings Inc.	PSZ.C (S&P 500 SUNS-8/5/08)
Lehman Brothers Holdings Inc.	PSZ.D (S&P 500 SUNS-9/27/07)
Lehman Brothers Holdings Inc.	PSZ.E (S&P 500 Callable SUNS-11/6/09)
Lehman Brothers Holdings Inc.	PSZ.F (DJ Global Titans Index SUNS-2/9/10)
Lehman Brothers Holdings Inc.	RLN.D (Nasdaq-100 Rebound RANGERS-6/7/08)
Lehman Brothers Holdings Inc.	RLN.E (S&P 500 linked Notes-9/28/09)
Lehman Brothers Holdings Inc.	RLN.G (DJ STOXX 50 linked Notes-11/15/09)

Lehman Brothers Holdings Inc. Lehman Brothers Holdings Inc.	RLN.H (Russell 2000 Index linked Notes-12/23/09) RLN.I (S&P 500 Index-Plus Notes-3/3/10)
Merrill Lynch Merrill Lynch	COD.A (Coca-Cola Co Exchangeable Conv Secs-9/30/08) EXO.A (Exxon Mobile Exchangeable Conv Secs-10/3/08)
Morgan Stanley Morgan Stanley Morgan Stanley Morgan Stanley Morgan Stanley	BSN.A (3 stks Sr Exchangeable Debt-12/30/08) ITM.A (Intel Stk Participation Notes-12/30/10) JMS.A (S&P 500 Callable Notes-12/30/08) MRW.A (S&P 500 Mkt Participation Secs-12/30/10) MWT.A (Wal-Mart Stk Participation Notes-9/15/10)
PMA Capital Corporation	PMK (8.5% Monthly Income Sr Notes-6/15/18)
UBS AG UBS AG UBS AG UBS AG UBS AG UBS AG UBS AG UBS AG UBS AG UBS AG UBS AG UBS AG UBS AG UBS AG UBS AG UBS AG UBS AG UBS AG	PPV.C (Russell 2000 Principal Protect Notes-4/30/09) PPV.E (DJIA Principal Protect Notes-5/29/09) PPV.G (S&P 500 Principal Protect Notes-11/7/07) PPV.H (S&P 500 Principal Protect Notes-12/7/07) PPV.I (U.S. Equity Indices Principal Protect Notes-10/7/11) PPV.J (DJIA Principal Protect Notes-2/7/08) PPV.K (S&P 500 Principal Protect Notes-9/8/10) PPV.L (S&P 500 Principal Protect Notes-4/8/10) PPV.M (S&P 500 Principal Protect Notes-10/7/09) PPV.N (S&P 500 Principal Protect Notes-5/7/10) PPV.O (S&P 500 Principal Protect Notes-6/7/10) PPV.P (S&P 500 Principal Protect Notes-7/8/10) PPV.Q (S&P 500 Principal Protect Notes-8/6/10) PPV.R (S&P 500 Principal Protect Notes-9/8/09) PPZ.A (S&P 500 Partial Protect Notes-11/05/07) PPZ.B (S&P 500 Partial Principal Protect Notes-2/28/08) PPZ.C (S&P 500 Partial Principal Protect Notes-11/5/10)
Wachovia Corporation Wachovia Corporation Wachovia Corporation Wachovia Corporation Wachovia Corporation	WDB.B (Nikkei 225 ASTROS-3/2/10) WDB.D (S&P 500 linked to Trigger CAPITALS-12/8/08) WDB.E (Global Basket of Indices ASTROS-2/2/10) WDB.I (Global Equity Basket ASTROS-5/5/10) WDB.M (Metals-China Basket ASTROS-1/28/09)
Wells Fargo & Company Wells Fargo & Company Wells Fargo & Company Wells Fargo & Company Wells Fargo & Company	WFG.A (S&P 500 linked Notes-1/4/08) WFG.B (Nasdaq-100 linked Notes-1/4/08) WFG.C (Basket linked Notes-4/15/09) WFG.D (DJIA High Point Average linked Notes-5/5/10) WFG.F (S&P 500 Callable Notes-8/25/09)

## **Halted Issues**

Transactions in the following securities have been halted for a period exceeding five trading days. Members and member organizations are reminded of the provisions of Exchange Rule 462(d)1 when determining the value to place upon those securities for margin purposes.

Any purchase or sale of a security which has been halted by the Exchange may be subject to Federal regulation as well as the rules of the other market(s) where the purchase or sale is to take place.

Members are reminded that open orders on the books of the specialist for an issue which has been halted for 30 days are automatically canceled.

**Name of Company (Issue)**  
Dyadic International

**Date of Halt**  
April 23, 2007

a — Addition to previous Bulletin.

## Dividends

**Dividends announced for September 4, 2007 – September 7, 2007 can be viewed on the Dividend Daily list homepage on [amextrader.com](http://amextrader.com); click on [Dividends Daily List](#) to view the Dividends Daily List.**

Please call the Corporate Actions/Proxy Rulings Department at 212-306-2438 with any questions.

## Meetings of Stockholders

Members should, insofar as possible without additional expense, transfer certificates of stock held in persons or firms over whom they have no control into their own names, or those of persons over whom they have control, before the record date for determining stockholders entitled to vote.

As a guide to Member Organizations in giving proxies pursuant to Rule 577 to vote stock held for the account of customers the following symbols are used in the list of meetings below:

- V = Preceding Record Date indicates Members MAY vote the entire proxy under the Ten Day Rule.
- X = Together with numbers or letters indicates that Members MAY NOT vote the designated matters without specific instructions from owners. Numbers or letters shown are those used in the PROXY FORM.
- X = With no other designation indicates that Members MAY NOT vote the entire proxy.
- NP = Indicates that proxies are not being solicited.

The following abbreviations are used for items not numbered or lettered in the proxy form:

- SP = Stockholder Proposal(s)
- OP = Option Plan
- STP = Stock Purchase Plan
- CP = Compensation Plan
- BY = By-Law Amendment
- CH = Charter Amendment
- MP = Merger Proposal

Where no symbol appears, proxy material has not yet been received.

<u>Name of Company</u>	<u>Record</u>	<u>Meeting</u>
Ableauctions.com Inc.	V Jul. 19	A Sep. 28
Allied Defense Group, Inc. (The)	X Aug. 3	S Oct. 1
American Technical Ceramics Corp.	X Aug. 16	S Sep. 21
Arizona Star Resource Corp.	Sep. 13	A Oct. 23
Ascendia Brands, Inc. Note: (2)	Dec. 29	
Ascendia Brands, Inc. Note: (2)	Feb. 9	
Ascendia Brands, Inc. Note: (2)	Apr. 20	
Baldwin Technology Company, Inc.	Sep. 28	A Nov. 13
BIW Limited	X-3 Aug. 9	S Sep. 26
*Bovie Medical Corporation	Sep. 15	A Oct. 30
Breeze-Eastern Corporation	V Jul. 25	A Sep. 12
Callisto Pharmaceuticals, Inc.	X-2 Aug. 17	A Sep. 26
Caraco Pharmaceutical Laboratories	V Jul. 24	A Sep. 10
*CardioTech International Note: (3)	X-2 Aug. 16	A Oct. 16
Castle Brands Inc.	V Jul. 16	A Sep. 12
CEL-SCI Corporation	X-2-3-4-5 Aug. 1	A Sep. 14
Chad Therapeutics, Inc.	Aug. 29	A Oct. 24
Command Security Corporation	V Jul. 20	A Sep. 20
Crosshair Exploration & Mining Corp.	Jul. 24	A Sep. 10
Cytomedix, Inc.	V Aug. 10	A Sep. 21
Delaware Investments CO Insured Municipal Income Fund	X-2-3 Jun. 19	A Sep. 13
Delaware Investments FL Insured Municipal Income Fund	X-2-3 Jun. 19	A Sep. 13
Delta Apparel, Inc.	Sep. 14	A Nov. 8
DRYCLEAN USA, Inc.	Sep. 28	A Nov. 9
Dune Energy, Inc. Note: (2)	Apr. 25	

Elecsys Corporation	V	Jul.	20	A	Sep.	13
Empire Financial Holding Co.	X-2	Aug.	20	A	Sep.	28
Environmental Power Corp.	X-3	Aug.	7	A	Sep.	10
*Espey Manufacturing & Electronics Corp.		Oct.	9	A	Nov.	30
First Trust/Four Corners Senior Floating Rate Income Fund	V	Jun.	21	A	Sep.	10
Flight Safety Technologies, Inc.		Aug.	27	A		
Fountain Powerboat Industries, Inc.		Oct.	1	A	Nov.	13
Foxby Corp.	V	Jul.	25	A	Oct.	10
Franklin Templeton Limited Duration Income Trust	V	Jul.	24	A	Sep.	26
Frisch's Restaurants, Inc.	V	Aug.	2	A	Oct.	1
General Finance Corporation	X	Aug.	14	S	Sep.	11
Global Income Fund Inc.	X-3	Jul.	25	A	Oct.	10
Halifax Corporation		Sep.	27	A		
Harbor Acquisition Corporation		Aug.	27	S		
Hi-Shear Technology Corporation	V	Aug.	31	A	Oct.	30
Idaho General Mines, Inc.	X-2-3-4	Aug.	20	A	Oct.	4
*Imageware Systems, Inc. Note: (4)		Aug.	10	A	Sep.	19
*Insite Vision, Inc. X-2-3		Aug.	17	A	Oct.	15
InterSearch Group Inc. Note: (2)		Jul.	19			
ION Media Networks, Inc. Note: (2)		Jul.	27			
*Isolagen, Inc.	V	Sep.	5	A	Sep.	25
Iteris, Inc.	X-3	Jul.	25	A	Sep.	21
*Ivivi Technologies, Inc.	X-2	Aug.	24	A	Oct.	1
Kitty Hawk, Inc.		Jul.	27	A		
LaBarge, Incorporated		Sep.	21	A	Nov.	14
Lorus Therapeutics, Inc. Note: (1)		Aug.	15	A	Sep.	19
Metalline Mining Company	X-2	Aug.	2	A	Sep.	21
Mexco Energy Corporation	V	Jul.	18	A	Sep.	13
Midwest Air Group, Inc.		Sep.	24	S		
MTS Medication Technologies, Inc.	X-2	Jul.	26	A	Sep.	19
*National Health Realty, Inc.		Sep.	14	S	Oct.	25
National HealthCare Corporation	X	Aug.	6	S	Sep.	13
*National HealthCare Corporation		Sep.	14	S	Oct.	25
Nevada Gold & Casinos Inc.	V	Aug.	23	A	Oct.	15
Northeast Bancorp		Sep.	21	A	Nov.	7
*Nova Biosource Fuels, Inc.		Sep.	18	A	Oct.	30
Nuveen Dividend Advantage Municipal Fund 2	X-1	Aug.	1	S	Oct.	12
Nuveen Dividend Advantage Municipal Fund 3	X-1	Aug.	1	S	Oct.	12
Nuveen Insured Dividend Advantage Municipal Fund	X-1	Aug.	1	S	Oct.	12
Nuveen Insured Tax-Free Advantage Municipal Fund	X-1	Aug.	1	S	Oct.	12
Nuveen Municipal High Income Opportunity Fund	X-1	Aug.	1	S	Oct.	12
Nuveen AZ Dividend Advantage Municipal Fund	X-1	Aug.	1	A	Oct.	12
Nuveen AZ Dividend Advantage Municipal Fund 2	X-1	Aug.	1	A	Oct.	12
Nuveen AZ Dividend Advantage Municipal Fund 3	X-1	Aug.	1	A	Oct.	12
Nuveen CA Dividend Advantage Municipal Fund 3	X-1	Aug.	1	S	Oct.	12

Nuveen CA Dividend					
Advantage Municipal Fund 3	X-1 Aug.	1	S	Oct.	12
Nuveen CA Premium Income					
Municipal Fund	X-1 Aug.	1	S	Oct.	12
Nuveen CT Dividend					
Advantage Municipal Fund	X-1 Aug.	1	A	Oct.	12
Nuveen CT Dividend					
Advantage Municipal Fund 2	X-1 Aug.	1	A	Oct.	12
Nuveen CT Dividend					
Advantage Municipal Fund 3	X-1 Aug.	1	A	Oct.	12
Nuveen GA Dividend					
Advantage Municipal Fund	X-1 Aug.	1	A	Oct.	12
Nuveen GA Dividend					
Advantage Municipal Fund 2	X-1 Aug.	1	A	Oct.	12
Nuveen GA Premium Income					
Municipal Fund	X-1 Aug.	1	A	Oct.	12
Nuveen Insured CA Dividend					
Advantage Municipal Fund	X-1 Aug.	1	S	Oct.	12
Nuveen Insured CA Tax-Free					
Advantage Municipal Fund	X-1 Aug.	1	S	Oct.	12
Nuveen Insured FL Tax-Free					
Advantage Municipal Fund	X-1 Aug.	1	A	Oct.	12
Nuveen Insured MA Tax-Free					
Advantage Municipal Fund	X-1 Aug.	1	A	Oct.	12
Nuveen Insured NY Dividend					
Advantage Municipal Fund	X-1 Aug.	1	S	Oct.	12
Nuveen Insured NY Tax-Free					
Advantage Municipal Fund	X-1 Aug.	1	S	Oct.	12
Nuveen MA Dividend					
Advantage Municipal Fund	X-1 Aug.	1	A	Oct.	12
Nuveen MD Dividend					
Advantage Municipal Fund	X-1 Aug.	1	A	Oct.	12
Nuveen MD Dividend					
Advantage Municipal Fund 2	X-1 Aug.	1	A	Oct.	12
Nuveen MD Dividend					
Advantage Municipal Fund 3	X-1 Aug.	1	A	Oct.	12
Nuveen MI Dividend					
Advantage Municipal Fund	X-1 Aug.	1	A	Oct.	12
Nuveen MO Premium Income					
Municipal Fund	X-1 Aug.	1	A	Oct.	12
Nuveen NC Dividend					
Advantage Municipal Fund	X-1 Aug.	1	A	Oct.	12
Nuveen NC Dividend					
Advantage Municipal Fund 2	X-1 Aug.	1	A	Oct.	12
Nuveen NC Dividend					
Advantage Municipal Fund 3	X-1 Aug.	1	A	Oct.	12
Nuveen NJ Dividend					
Advantage Municipal Fund	X-1 Aug.	1	A	Oct.	12
Nuveen NJ Dividend					
Advantage Municipal Fund 2	X-1 Aug.	1	A	Oct.	12
Nuveen NY Dividend					
Advantage Municipal Fund 2	X-1 Aug.	1	S	Oct.	12
Nuveen OH Dividend					
Advantage Municipal Fund	X-1 Aug.	1	A	Oct.	12
Nuveen OH Dividend					
Advantage Municipal Fund 2	X-1 Aug.	1	A	Oct.	12
Nuveen OH Dividend					
Advantage Municipal Fund 3	X-1 Aug.	1	A	Oct.	12
Nuveen PA Dividend					
Advantage Municipal Fund	X-1 Aug.	1	A	Oct.	12
Nuveen PA Dividend					
Advantage Municipal Fund 2	X-1 Aug.	1	A	Oct.	12
Nuveen Real Estate Income	X-1-2 (e) Aug.	1	S	Oct.	12
Nuveen VA Dividend					
Advantage Municipal Fund	X-1 Aug.	1	A	Oct.	12

Nuveen VA Dividend Advantage Municipal Fund 2	X-1	Aug.	1	A	Oct.	12
O2Diesel Corporation	V	Aug.	16	A	Sep.	20
*Oilsands Quest Inc.	V	Aug.	17	A	Oct.	17
ON2 Technologies, Inc.		Jul.	30	A	Sep.	28
Orsus Xelent Technologies Inc.		Aug.	30	A		
*PainCare Holdings, Inc.		Oct.	3	A	Oct.	26
PowerShares DB Gold Fund		Aug.	30	S	Oct.	9
PowerShares DB Silver Fund		Aug.	30	S	Oct.	9
PowerShares DB Precious Metals Fund		Aug.	30	S	Oct.	9
R.G. Barry Corporation		Sep.	10	A	Nov.	8
*Retractable Technologies, Inc.	V	Jul.	30	A	Sep.	28
Rydex Russell Top 50 ETF		Aug.	6	S	Oct.	4
Rydex S&P 500 Pure Growth ETF		Aug.	6	S	Oct.	4
Rydex S&P 500 Pure Value ETF		Aug.	6	S	Oct.	4
Rydex S&P Equal Weight Consumer Discretionary ETF		Aug.	6	S	Oct.	4
Rydex S&P Equal Weight Consumer Staples ETF		Aug.	6	S	Oct.	4
Rydex S&P Equal Weight Energy ETF		Aug.	6	S	Oct.	4
Rydex S&P Equal Weight ETF		Aug.	6	S	Oct.	4
Rydex S&P Equal Weight Financial ETF		Aug.	6	S	Oct.	4
Rydex S&P Equal Weight Health Care ETF		Aug.	6	S	Oct.	4
Rydex S&P Equal Weight Industrials ETF		Aug.	6	S	Oct.	4
Rydex S&P Equal Weight Materials ETF		Aug.	6	S	Oct.	4
Rydex S&P Equal Weight Tech ETF		Aug.	6	S	Oct.	4
Rydex S&P Equal Weight Utilities ETF		Aug.	6	S	Oct.	4
Rydex S&P Midcap 400 Pure Growth ETF		Aug.	6	S	Oct.	4
Rydex S&P Midcap 400 Pure Value ETF		Aug.	6	S	Oct.	4
Rydex S&P Smallcap 600 Pure Growth ETF		Aug.	6	S	Oct.	4
Rydex S&P Smallcap 600 Pure Value ETF		Aug.	6	S	Oct.	4
*Samaritan Pharmaceuticals, Inc.	V	Aug.	29	A	Oct.	23
Semotus Solutions, Inc.	X-5	Jul.	24	A	Sep.	20
Sinovac Biotech Ltd.		Jul.	17	A	Sep.	19
Spark Networks, Inc.	X-3	Aug.	21	A	Oct.	8
Stephan Co. (The)	V	Aug.	13	A	Sep.	17
SunLink Health Systems, Inc.		Sep.	18	A	Nov.	12
Tarpon Industries Inc.		Aug.	24	A		
Tri-Valley Corporation	X-2	Aug.	8	A	Oct.	6
Universal Security Instruments, Inc.	X-2	Aug.	6	A	Oct.	1
Uroplasty, Inc.	V	Jul.	16	A	Sep.	13
VendingData Corporation	X-1-2-3-4	Aug.	16	S	Sep.	10
Vita Food Products, Inc.	Note: (2)	Apr.	13	A		
*XELR8 Holdings, Inc.	X-2-3	Sep.	24	A	Nov.	12

\*Additions to or change from previous Bulletins.

S Special Meeting.

A Annual Meeting.

Adj Adjourned Meeting.

† In Lieu of Annual Meeting.

(1) Meeting is both Annual and Special.

(2) Record date is for “Information Statement” only, no solicitation involved.

(3) Ruling revised by the Exchange.

(4) Record and meeting date rescinded by the Company.



Geraldine M. Brindisi  
Corporate Secretary