



Membership Seat Prices (in 000s)

(As of 9:30 A.M., September 14, 2007)

	BID	OFFER	LAST CONTRACTED
Regular	320	350	330
Options Principal	25	None	320
Limited Trading Permits	None	6.5	25

Includes only those sales arranged pursuant to bids and offers filed with the Membership and Registration Department between the hours of 9:30 a.m. – 4:00 p.m.

Seat market information may be obtained by calling toll-free (877) Amex Seat (877-263-9732). Seat prices are updated intraday on www.amextrader.com.

Membership Seat Sale

Regular

(Since September 4, 2007)

\$330,000 – September 7, 2007

Membership Lease Prices

(As of 9:30 A.M., September 14, 2007)

The following leases were received:

Includes monthly rental rate information only with respect to Exchange-approved negotiated leases. Not reported are arms length leases or leases for a nominal consideration.

REGULAR

New		Renewal	
<u>Price</u>	<u>Term</u>	<u>Price</u>	<u>Term</u>
\$400	1 year	\$450	1 year
\$400	6 months	\$400	1 year
		2 @ \$375	6 months
		3 @ \$350	6 months
		\$300	6 months
		\$275	1 year

OPTIONS PRINCIPAL

New		Renewal	
<u>Price</u>	<u>Term</u>	<u>Price</u>	<u>Term</u>
None		\$350	6 months

LIMITED TRADING PERMIT

<u>Price</u>	<u>New</u>	<u>Term</u>	<u>Price</u>	<u>Renewal</u>	<u>Term</u>
None			None		

Transfers of Membership

**Termination of Special Transfer Agreement – Regular
(Effective September 7, 2007)**

Richard Branda*
Kingswood Investment Group Inc.
Badge No. 39-R

to

John Conklin, Jr.
Partner
Conklin Cahill & Co.
63 Wardell Avenue
Rumson, NJ 07760
Seat No. 176-R

(Effective September 13, 2007)

Kevin O'Connor
Raymond C. Forbes & Co., Inc.
Badge No. 601-R

to

Joseph Patrick Lynch
Independent
102 Huntington Road
Port Washington, NY 11050
Seat No. 347-R

**Simultaneously transferring to another seat*

***Currently a member on another seat*

New Memberships

Sale

**Regular
(Effective September 7, 2007)**

John Conklin, Jr.
Conklin Cahill & Co.

to

Robert Sahn
Independent
10 Darby Lane
East Hampton, NY 11937
Seat No. 176-R

(Effective September 13, 2007)

Edward A. Reiss
Independent

to

Ralph DellaCamera
Independent
11 Millbrook Road West
Stamford, CT 06902
Seat No. 421-R

**Special Transfer Agreement – Regular
(Effective September 7, 2007)**

Robert Sahn
Independent

to

**Richard Branda
Broker**
Kingswood Investment Group Inc.
4 Longview Avenue
North Caldwell, NJ 07006
Seat No. 176-R
Badge No. 39-R

(Effective September 13, 2007)

Jeffrey A. Wolfson
Independent

to

Michael V. Pitruzzello
Trader

Golden Anchor Trading II LLC
2 Rector Street, 3rd Floor
New York, NY 10006
Seat No. 38-R
Badge No. 614-P

RROT

Special Transfer Agreement – Regular

(Effective September 13, 2007)

Kenneth M. Coon
Independent

to

Chad Sinsheimer
Trader

Group One Trading LP
2 Rector Street
New York, NY 10006
Seat No. 93-R
Badge No. 826-Q

Associate Member

(Effective September 13, 2007)

Michael Nolan
President

Terra Nova Financial, LLC
100 S. Wacker Drive, Suite 1550
Chicago, IL 60606

Allied Members

(Effective September 13, 2007)

Raymond P. Burley
Principal

Terra Nova Financial, LLC
100 S. Wacker Drive, Suite 1550
Chicago, IL 60606

Gayle L. Engberg

Compliance Registered Options Principal
Terra Nova Financial, LLC
100 S. Wacker Drive, Suite 1550
Chicago, IL 60606

M. Patricia Kane

Financial and Operational Principal
Terra Nova Financial, LLC
100 S. Wacker Drive, Suite 1550
Chicago, IL 60606

Michael Nolan

President and Chief Compliance Officer
Terra Nova Financial, LLC
100 S. Wacker Drive, Suite 1550
Chicago, IL 60606

Donal Ogilvie
Principal
Terra Nova Financial, LLC
100 S. Wacker Drive, Suite 1550
Chicago, IL 60606

Nita M. Patel
Financial and Operational Principal
Terra Nova Financial, LLC
100 S. Wacker Drive, Suite 1550
Chicago, IL 60606

Approved Person Organizations

(Effective September 13, 2007)
Market Wise Securities LLC
Rush Trade Securities
Terra Nova Financial Group, Inc.
Under Common Control With
Terra Nova Financial, LLC
100 S. Wacker Drive, Suite 1550
Chicago, IL 60606

Change in Member Organization

‡**Terra Nova Financial, LLC**
Admitted as an Associate Member Organization, effective September 13, 2007.
(“LLC Member” does not signify Exchange Member)
Address: 100 S. Wacker Drive, Suite 1550, Chicago, IL 60606
Terra Nova Financial Group, Inc., Owner and Approved Person Organization
Raymond P. Burley, Principal and Allied Member
Gayle L. Engberg, Compliance Registered Options Principal and Allied Member
M. Patricia Kane, Financial and Operational Principal and Allied Member
†Michael Nolan, President, Chief Compliance Officer and Allied Member
Donal Ogilvie, Principal and Allied Member
Nita M. Patel, Financial and Operational Principal and Allied Member

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- * Regular Member
 - ** Regular Member Organization
 - Options Principal Member
 - Options Principal Member Organization
 - † Associate Member
 - ‡ Associate Member Organization
 - # CBOE Member Organization
 - NYSE Member Organization
 - Limited Trading Permit Holder
 - Limited Trading Permit Holder Organization
 - @ Also Employed By Another Firm
 - (\$) Sole Proprietor ceased

Proposed Transfers of Membership

Termination of Special Transfer Agreement – Regular
(To Be Effective September 14, 2007)

Nicholas Shangloo
Bluefin Trading LLC and
Bluefin Specialists LLC

to

Neil Vaccaro
Independent

(To Be Effective September 20, 2007)

Kevin J. Hover* to **LaBranche Financial Services Inc.**
Cohen Specialists LLC

David M. Marks to **Thomas M. Bausch**
LL Partners Inc. Independent

Joseph R. McMahan to **Manfred Rechtschaffen**
Cohen Specialists LLC Independent

Evan Powers to **Estate of Jeffrey Granger**
Consolidated Trading LLC

(To Be Effective September 21, 2007)

Seth Cohen to **Arthur F. Bohner**
Kellogg Capital Group LLC Independent

Cancellation of Nominee Authorization and Agreement – Regular

(To Be Effective September 20, 2007)

Bryan Manolakos to **Susquehanna Investment Group**
Susquehanna Investment Group and
Susquehanna Securities

**Simultaneously transferring to another seat*

***Currently a member on another seat*

New Membership

Special Transfer Agreement – Regular

(To Be Effective September 20, 2007)

Manfred Rechtschaffen to **Kevin J. Hover**
Independent **Specialist of**
Cohen Specialists LLC

Any information you may have which would be pertinent to consideration of the applicants by the Exchange should be promptly reported to the Membership and Registration Department at 212-306-1419.

Current Reports Made to the Exchange

Members attention is called to the fact that the following reports are made to the Exchange within the coming next week:

Report – To FINRA Amex Regulation Division - Financial Regulation Dept. Due on or Before

This request for reports is issued on behalf of the American Stock Exchange LLC (Amex) by the Financial Regulation Dept. of the FINRA Amex Regulation Division pursuant to a grant of authority to the FINRA. Accordingly, this constitutes a request for reports by the Amex.

Web FOCUS Report Part II Monthly - Member Organizations carrying customers and/or self-clearing are required to file by the 17th business day after month-end. Wed. Sept. 26

Web FOCUS Report Part IIA Monthly or Net Capital Computation - Member Organization and Sole Proprietor broker-dealers subject to SEC Rule 15c3-1 net capital requirements but not carrying customers and/or self-clearing are required to file by the 17th business day after month-end. Wed. Sept. 26

Equity Computation - Member Organizations subject to Exchange Rule 110 equity requirements **and exempt from SEC Rule 15c3-1 net capital requirements** are required to file by the 17th business day after month-end. **Amex market-makers in non-option products are subject to SEC Rule 15c3-1 net capital requirements and must file a Web FOCUS Report Part IIA or Net Capital Computation.** Wed. Sept. 26

All terminating Amex-designated Member Organizations and Sole Proprietor broker-dealers are required to file an SEC Rule 17a-5(b) Web FOCUS Report Part II Withdrawal or IIA Withdrawal. Use the Web FOCUS Blank Forms tab to access the appropriate form.

Web FOCUS Users experiencing access problems with Web FOCUS should contact the **Gateway Call Center @ 301-869-6699** for assistance.

Please contact the FINRA Amex Regulation Division - Financial Regulation Dept., One Liberty Plaza, 51st Floor – Robert Devine 212-858-4448 regarding Web FOCUS entitlement procedures, MO-14 statement, or MO-15 report. Other questions regarding financial reporting should be directed to your FRD examiner.

Please refer to Amex Notice REG 2006–55 dated Oct. 26, 2006, for additional information about filing reports with the Financial Regulation Dept. This Notice is posted on the website at: <http://www.amex.com/amextrader/tdrInfo/data/axNotices/2006/reg102606.html>.

Equities Mid-Month Short Interest Schedule 2007

Trade Date	Settlement Date	Report Due	Report Published
(Tuesday) September 11	(Friday) September 14	(Tuesday) September 18 1:00 p.m. EST	(Thursday) September 20

Derivatives (End-of-Month) Short Interest Schedule 2007

Trade Date	Settlement Date	Report Due	Report Published
(Tuesday) September 25	(Friday) September 28	(Monday) October 1 1:00 p.m. EST	(Wednesday) October 3

The List

This information can be found on the Web. In order to retrieve this information, go to <http://www.amextrader.com> and from the home page click the Daily List button. At the Daily List home page scroll down to Amex issues and select the view link for the current date. Should you have any questions please call (212) 306-2438.

The Following Securities Have Been Approved for Listing:

Cornerstone Progressive Return Fund 8,097,800 shares of Common Shares of Beneficial Interest, Without Par Value

iShares Trust 200,000 iShares S&P National Municipal Bond Fund

SPDR Series Trust 1,000,000 SPDR Lehman Municipal Bond ETF

Additional & Substitution Listings:

BPZ Energy, Inc., 5,486,500 Shares of Common Stock, no Par Value

Cel-Sci Corporation, 3,000,000 Shares of Common Stock, \$0.001 Par Value

Inverness Medical Innovations, Inc., 7,574,171 Shares of Common Stock, \$0.001 Par Value

VendingData Corp., 148,000,000 Shares of Common Stock, \$0.01 Par Value

Veri-Tek International Corp., 105,000 Shares of Common Stock, No Par Value

Vicon Industries, Inc., 600,000 Shares of Common Stock, \$0.01 Par Value

Corporate Actions and New Listings announced for September 10, 2007 – September 14, 2007 can be viewed on the Issues Daily list homepage on amextrader.com; click on [Issues Daily List](#) to view the Issues Daily List.

Registered Interest

<u>Name of Company</u>	<u>Record Date</u> <u>(New York unless stated otherwise)</u>
Interest due June 15	
Bond Trust Products LLC (Bond Trust Products Trust Pass-Through Trust Certificates, due 6/15/2010)	Jun. 14
Stater Bros. Holdings Inc. (Floating Rate Notes, due 6/15/10)	Jun. 1
(8 1/8% Senior Notes, due 6/15/12)	Jun. 1
Wachovia Corporation (.25% Basket of Common Stocks Exchangeable Notes, due 12/15/10)	May 31
Interest due June 20	
Citigroup Global Market Holdings (Dow Jones EURO STOXX 50 Index Principal-Protected Notes, due 12/20/07)	Jun.19
Interest due June 27	
Bank of America (1.25% Basket CYCLES Linked to a "70/30" Basket of Four Indices and 1 ETF, due 12/27/10)	Jun. 12
Interest due June 28	
Bank of America (S&P 400 MidCap Index CYCLES, due 12/28/10)	Jun. 13
(1.5% Healthcare Stocks Capital Protected Equity Performance Link Securities, due 12/20/11)	Jun. 13
Interest due July 2	
Hawk Corporation (8 3/4% Senior Notes, due 11/1/14)	Jun. 15
Morgan Stanley (2% 3M Co. Exchangeable Notes, due 12/30/10)	Jun. 15
(1.25% General Electric Exchangeable Notes, due 12/30/08)	Jun. 15
(1.5 % Wal-Mart Stores Exchangeable Notes, due 6/30/11)	Jun. 15
CIBC World Markets (10 Large-Cap U.S Stocks Yield Generator Notes, due 6/30/11)	Jun. 29

Interest due July 7

Merrill Lynch & Co., Inc
(.25% Wells Fargo & Co. Stock-Linked Notes –1/7/08) Jun. 23

Interest due July 10

Wachovia Corporation
(19.25% General Motors Enhanced Yield Secs, due 7/10/07) Jun. 25

Interest due July 16

CBS Corporation. (7.625% Senior Debentures-2016) Jul. 1

Wells Fargo Corporation.
(S&P 500 Basket linked Notes, due 10/9/08) Jul. 1

Interest due July 26

Bank of America (0.25% Nasdaq-100 Cash Settled
Exchangeable Notes, due 1/26/10) Jul. 11

Interest due July 30

Bank of America
(1% Healthcare Basket Index "CYCLES", due 1/28/11) Jul. 1

(1.50% Basket CYCLES linked to an
"80/20" Basket of Four Indices & 1 ETF, due 7/29/11) Jul.15

Credit Suisse
(14.25% Ford Motor Corp. Reverse Convertible Notes,
due 4/29/2008) Jul. 15

Interest due August 1

Chandler (USA), Inc. (8 ¾% Senior Debentures, due 7/1/14) Jul. 15

Interest due August 15

Bank of America
0.25% Senior Notes Optionally Exchangeable, due 2/15/2012 Jul. 31

Interest due August 16

Lehman Brothers
0.25% Medium-Term Notes Performance, due 2/16/2012 Aug. 1

Interest due August 22

Citigroup Global Markets Holdings, Inc.
(3% Pfizer Exchangeable Notes, due 8/22/08) Aug. 21

Interest due August 24

Bank of America
(1.25% SPX Index CYCLES, due 2/24/10) Aug. 9

Interest due August 27

Bank of America
(1.25% DJIA Index CYCLES, due 8/25/10) Aug. 10

Interest due August 31

CIBC World Markets (Ten Large-Cap U.S. Stocks Premium
Yield Generator Notes, due 8/31/11) Aug. 30

Interest due September 1

Trans-Lux Corp.
(8.25% Limited Conv Sr Subordinated Notes, due 3/1/12) Aug.15

Interest due September 4

Lehman Brothers (2% Morgan Stanley link Notes, due 3/3/09)	Aug. 19
Freedom Depository LLC/Amherst Securities (5.10% Cl A, USAuto Series 2004-1, Freedom Certificates, due 3/3/211)	Aug. 31

Interest due September 13

Citigroup Global Market Holdings (0.25% Basket of Tech Stocks Cash Exchangeable Notes, due 09/13/07)	Aug. 29
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Interest due September 20

Citigroup Global Market Holdings (Dow Jones EURO STOXX 50 Index Principal-Protected Notes, due 12/20/07)	Sep. 5
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Interest due September 24

Bank of America (1.625% Nikkei 225 Index CYCLES, due 3/23/10)	Sep. 8
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Interest due September 27

Bank of America (1.25% “100/0” Basket of 4 Indices CYCLES, due 9/27/11)	Sep. 13
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Interest due September 28

CIBC World Markets (Ten Large-Cap U.S. Stocks Premium Yield Generator Notes, due 9/28/07)	Sep. 27
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Interest due October 29

Bank of America (1.75% Basket of 3 Indices CYCLES, due 4/30/09)	Oct. 14
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CIBC World Markets (2% DJIA Principal Protected Notes, due 4/29/10)	Oct. 26
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Interest due October 30

Bank of America (1.75% S&P 500 CYCLES, due 4/28/11)	Oct. 15
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Morgan Stanley (2% Proctor & Gamble Exchangeable Notes, due 4/30/11)	Oct. 15
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List of Bonds Dealt in “Flat”

Traded Flat from Listing Date

ABN AMRO	SHH.A (S&P 500 Structured Hybrid Equity link Secs-1/16/14)
American Corporate Accruals, Inc.	(Callable Principal Receipts-2024)
Bank of America Corp.	BOA.D (Nasdaq-100 EAGLES-6/1/10)
Bank of America Corp.	BOA.E (S&P 500 EAGLES- 6/28/10)
Bank of America Corp.	BOA.F (Nikkei 225 6% Min Return linked Notes-6/24/10)
Bank of America Corp.	BOA.G (16 Energy Stk linked EAGLES-8/2/10)
Bank of America Corp.	BOA.H (Russell 2000 EAGLES-8/28/09)
Bank of America Corp.	BOA.I (DJIA Minimum Return EAGLES-9/25/09)
Bank of America Corp.	BOA.J (Nasdaq-100 EAGLES-10/29/10)
Bank of America Corp.	BOA.M (Nikkei 225 Return Linked Notes-6/28/10)
Bank of America Corp.	BOA.O (Russell 2000 EAGLES-1/28/11)
Bank of America Corp.	BOA.R (Nasdaq-100 EAGLES-3/24/09)
Bank of America Corp.	BOA.U (EAGLES linked to the DJIA-6/25/10)
Bank of America Corp.	BOA.W (Amex Exch Biotechnology Index EAGLES-8/28/09)
Bank of America Corp.	BOA.Z (Energy Stocks EAGLES-9/29/10)
Bank of America Corp.	BOR.A (S&P 500 EAGLES-10/29/10)

Bank of America Corp.	BOR.B (Nasdaq-100 Index EAGLES-11/23/10)
Bank of America Corp.	BOR.C (CBOE China Index EAGLES-11/24/10)
Bank of America Corp.	BOR.F (DJIA EAGLES-3/25/11)
Bank of America Corp.	BOR.I (Basket of 3 Indices Return Linked Notes-8/26/10)
Bank of America Corp.	BOR.J ("80/20" Basket of Four Indices Return Link Notes-6/27/11)
Bank of America Corp.	BOR.K (S&P 500 EAGLES-7/29/10)
Bank of America Corp.	BOR.L (Return link notes linked to a basket of two Indices-1/28/11)
Bank of America Corp.	BOR.M (Index EAGLES Linked to the DJIA-8/26/10)
Bank of America Corp.	BOR.N (Dow Jones EURO STOXX 50 Return Link Notes-8/25/11)
Bank of America Corp.	BOR.O (S&P 500 Index EAGLES-10/3/11)
Bank of America Corp.	BOR.P (Amex Biotechnology Index EAGLES-10/28/11)
Bank of America Corp.	BOR.Q (Basket of Three Indices Return Link Notes-10/27/11)
Bank of America Corp.	BOR.R (Basket of Two Indices Return Link Notes-11/22/10)
Bank of America Corp.	BOR.S (Basket of Five EAGLES-11/23/11)
Bank of America Corp.	BOR.T (DJIA Index EAGLES -12/27/11)
Bank of America Corp.	BOR.U (Basket of 3 Indices Return Link Notes-12/29/11)
Bear Stearns & Co. Inc.	BYF.A (U.S. Sector ETFs Principal Protect Notes-2/5/08)
Bear Stearns & Co. Inc.	BYF.B (S&P 500 Principal Protect Notes-10/1/08)
Bear Stearns & Co. Inc.	BYF.C (NASDAQ-100 Principal Protect Notes-12/22/09)
Bear Stearns & Co. Inc.	BYF.D (DJIA Principal Protect Notes-3/23/11)
Bear Stearns & Co. Inc.	BYF.F (Three Intl Indices Medium Term Notes-8/2/10)
Canadian Imperial Bank of Commerce	MRS.A (S&P 500 linked Notes-7/30/10)
Canadian Imperial Bank of Commerce	MRS.B (CIBC 10 U.S. Stks Optimizer Notes-1/30/09)
Canadian Imperial Bank of Commerce	MRS.C (CIBC 10 U.S. Stks Optimizer Notes-3/5/09)
Canadian Imperial Bank of Commerce	MRS.D (CIBC 10 U.S. Stks Optimizer Notes-3/31/09)
Canadian Imperial Bank of Commerce	MRS.E (CIBC 10 U.S. Stks Optimizer Notes-4/30/09)
Canadian Imperial Bank of Commerce	MRS.F (S&P 500 MERITS-6/30/11)
Canadian Imperial Bank of Commerce	MRS.G (CIBC 10 U.S. Stks Optimizer Notes-6/30/09)
Canadian Imperial Bank of Commerce	MRS.H (NASDAQ-100 MERITS-8/1/11)
Canadian Imperial Bank of Commerce	MRS.I (DJIA MERITS-8/31/11)
Canadian Imperial Bank of Commerce	MRS.J (S&P 500 Principal Protected Notes-3/31/09)
Canadian Imperial Bank of Commerce	MRS.K (DJIA MERITS-1/30/09)
Canadian Imperial Bank of Commerce	MRS.M (S&P 500 Index linked Notes-12/31/08)
Canadian Imperial Bank of Commerce	MRS.O (Global Indices Basket linked Notes-9/18/08)
Canadian Imperial Bank of Commerce	MRS.S (S&P 500 Principal Protected Notes-6/15/10)
Canadian Imperial Bank of Commerce	MRS.U (Global Basket of Three Equity Indices -7/29/10)
Citigroup Global Market Holdings Inc.	NDG.A (Nasdaq-100 Principal Protect Notes-12/4/07)
Citigroup Global Market Holdings Inc.	SCW.A (S&P 500 Principal Protect Notes-10/22/07)
Credit Suisse (USA), Inc.	IPJ.A (S&P 500 Five Year Contingent Protect Secs-9/30/08)
Credit Suisse (USA), Inc.	IPJ.B (S&P 500 Five Year Contingent Protect Secs-11/26/08)
Goldman Sachs Group, Inc. (The)	GSD.B (S&P 500 linked Notes-8/7/11)
Goldman Sachs Group, Inc. (The)	GSN.B (S&P 500 Medium Term Notes-2/28/13)
Goldman Sachs Group, Inc. (The)	GSN.C (S&P 500 Medium Term Notes-4/18/13)
Goldman Sachs Group, Inc. (The)	GSN.D (S&P 500 Medium Term Notes-5/30/13)
JP Morgan Chase & Co.	JPL.A (Consumer Price Index Secs-1/15/10)
JP Morgan Chase & Co.	JPL.A (S&P 500 linked Notes-11/26/07)
JP Morgan Chase & Co.	JPL.B (S&P 500 Market Participation Notes-3/12/08)
JP Morgan Chase & Co.	JPL.C (Cap Qtr Observation Notes-9/22/08)
JP Morgan Chase & Co.	JPL.D (S&P 500 Cap Qtr Observation Notes-10/30/08)
JP Morgan Chase & Co.	JPL.E (S&P 500 Cap Qtr Observation Notes-1/21/09)
JP Morgan Chase & Co.	JPL.F (S&P 500 Mkt Participation Notes-3/31/09)
JP Morgan Chase & Co.	JPL.G (S&P 500 Cap Qtr Observation Notes-7/7/09)
JP Morgan Chase & Co.	JPL.H (S&P 500 Cap Qtr Observation Notes-9/21/09)
JP Morgan Chase & Co.	JPL.I (S&P 500 Principal Protect Notes-9/30/10)
Lehman Brothers Holdings Inc.	LBM.C (General Electric Co. Medium Term Notes-5/15/10)
Lehman Brothers Holdings Inc.	LBN.A (Dow Jones EUROSTOXX 50 Index-7/7/08)

Lehman Brothers Holdings Inc.	LBN.B (Dow Jones EURO STOXX 50 Index-7/29/08)
Lehman Brothers Holdings Inc.	LSQ.C (DJIA SUNS-4/29/10)
Lehman Brothers Holdings Inc.	LSQ.D (Nikkei 225 SUNS-6/10/10)
Lehman Brothers Holdings Inc.	PSZ.C (S&P 500 SUNS-8/5/08)
Lehman Brothers Holdings Inc.	PSZ.D (S&P 500 SUNS-9/27/07)
Lehman Brothers Holdings Inc.	PSZ.E (S&P 500 Callable SUNS-11/6/09)
Lehman Brothers Holdings Inc.	PSZ.F (DJ Global Titans Index SUNS-2/9/10)
Lehman Brothers Holdings Inc.	RLN.D (Nasdaq-100 Rebound RANGERS-6/7/08)
Lehman Brothers Holdings Inc.	RLN.E (S&P 500 linked Notes-9/28/09)
Lehman Brothers Holdings Inc.	RLN.G (DJ STOXX 50 linked Notes-11/15/09)
Lehman Brothers Holdings Inc.	RLN.H (Russell 2000 Index linked Notes-12/23/09)
Lehman Brothers Holdings Inc.	RLN.I (S&P 500 Index-Plus Notes-3/3/10)
Merrill Lynch	COD.A (Coca-Cola Co Exchangeable Conv Secs-9/30/08)
Merrill Lynch	EXO.A (Exxon Mobile Exchangeable Conv Secs-10/3/08)
Morgan Stanley	BSN.A (3 stks Sr Exchangeable Debt-12/30/08)
Morgan Stanley	ITM.A (Intel Stk Participation Notes-12/30/10)
Morgan Stanley	JMS.A (S&P 500 Callable Notes-12/30/08)
Morgan Stanley	MRW.A (S&P 500 Mkt Participation Secs-12/30/10)
Morgan Stanley	MWT.A (Wal-Mart Stk Participation Notes-9/15/10)
PMA Capital Corporation	PMK (8.5% Monthly Income Sr Notes-6/15/18)
UBS AG	PPV.C (Russell 2000 Principal Protect Notes-4/30/09)
UBS AG	PPV.E (DJIA Principal Protect Notes-5/29/09)
UBS AG	PPV.G (S&P 500 Principal Protect Notes-11/7/07)
UBS AG	PPV.H (S&P 500 Principal Protect Notes-12/7/07)
UBS AG	PPV.I (U.S. Equity Indices Principal Protect Notes-10/7/11)
UBS AG	PPV.J (DJIA Principal Protect Notes-2/7/08)
UBS AG	PPV.K (S&P 500 Principal Protect Notes-9/8/10)
UBS AG	PPV.L (S&P 500 Principal Protect Notes-4/8/10)
UBS AG	PPV.M (S&P 500 Principal Protect Notes-10/7/09)
UBS AG	PPV.N (S&P 500 Principal Protect Notes-5/7/10)
UBS AG	PPV.O (S&P 500 Principal Protect Notes-6/7/10)
UBS AG	PPV.P (S&P 500 Principal Protect Notes-7/8/10)
UBS AG	PPV.Q (S&P 500 Principal Protect Notes-8/6/10)
UBS AG	PPV.R (S&P 500 Principal Protect Notes-9/8/09)
UBS AG	PPZ.A (S&P 500 Partial Protect Notes-11/05/07)
UBS AG	PPZ.B (S&P 500 Partial Principal Protect Notes-2/28/08)
UBS AG	PPZ.C (S&P 500 Partial Principal Protect Notes-11/5/10)
Wachovia Corporation	WDB.B (Nikkei 225 ASTROS-3/2/10)
Wachovia Corporation	WDB.D (S&P 500 linked to Trigger CAPITALS-12/8/08)
Wachovia Corporation	WDB.E (Global Basket of Indices ASTROS-2/2/10)
Wachovia Corporation	WDB.I (Global Equity Basket ASTROS-5/5/10)
Wachovia Corporation	WDB.M (Metals-China Basket ASTROS-1/28/09)
Wells Fargo & Company	WFG.A (S&P 500 linked Notes-1/4/08)
Wells Fargo & Company	WFG.B (Nasdaq-100 linked Notes-1/4/08)
Wells Fargo & Company	WFG.C (Basket linked Notes-4/15/09)
Wells Fargo & Company	WFG.D (DJIA High Point Average linked Notes-5/5/10)
Wells Fargo & Company	WFG.F (S&P 500 Callable Notes-8/25/09)

Halted Issues

Transactions in the following securities have been halted for a period exceeding five trading days. Members and member organizations are reminded of the provisions of Exchange Rule 462(d)1 when determining the value to place upon those securities for margin purposes.

Any purchase or sale of a security which has been halted by the Exchange may be subject to Federal regulation as well as the rules of the other market(s) where the purchase or sale is to take place.

Members are reminded that open orders on the books of the specialist for an issue which has been halted for 30 days are automatically canceled.

Name of Company (Issue)

Dyadic International

Date of Halt

April 23, 2007

a — Addition to previous Bulletin.

Dividends

Dividends announced for September 10, 2007 – September 14, 2007 can be viewed on the Dividend Daily list homepage on amextrader.com; click on [Dividends Daily List](#) to view the Dividends Daily List.

Please call the Corporate Actions/Proxy Rulings Department at 212-306-2438 with any questions.

Meetings of Stockholders

Members should, insofar as possible without additional expense, transfer certificates of stock held in persons or firms over whom they have no control into their own names, or those of persons over whom they have control, before the record date for determining stockholders entitled to vote.

As a guide to Member Organizations in giving proxies pursuant to Rule 577 to vote stock held for the account of customers the following symbols are used in the list of meetings below:

- V = Preceding Record Date indicates Members MAY vote the entire proxy under the Ten Day Rule.
- X = Together with numbers or letters indicates that Members MAY NOT vote the designated matters without specific instructions from owners. Numbers or letters shown are those used in the PROXY FORM.
- X = With no other designation indicates that Members MAY NOT vote the entire proxy.
- NP = Indicates that proxies are not being solicited.

The following abbreviations are used for items not numbered or lettered in the proxy form:

- SP = Stockholder Proposal(s)
- OP = Option Plan
- STP = Stock Purchase Plan
- CP = Compensation Plan
- BY = By-Law Amendment
- CH = Charter Amendment
- MP = Merger Proposal

Where no symbol appears, proxy material has not yet been received.

<u>Name of Company</u>	<u>Record</u>	<u>Meeting</u>
Ableauctions.com Inc.	V Jul. 19	A Sep. 28
Allied Defense Group, Inc. (The)	X Aug. 3	S Oct. 1
American Technical Ceramics Corp.	X Aug. 16	S Sep. 21
Arizona Star Resource Corp.	Sep. 13	A Oct. 23
Ascendia Brands, Inc. Note: (2)	Dec. 29	
Ascendia Brands, Inc. Note: (2)	Feb. 9	
Ascendia Brands, Inc. Note: (2)	Apr. 20	
Baldwin Technology Company, Inc.	Sep. 28	A Nov. 13
BIW Limited	X-3 Aug. 9	S Sep. 26
Bovie Medical Corporation	Sep. 15	A Oct. 30
Callisto Pharmaceuticals, Inc.	X-2 Aug. 17	A Sep. 26
CardioTech International	X-2 Aug. 16	A Oct. 16
Chad Therapeutics, Inc.	Aug. 29	A Oct. 24
Command Security Corporation	V Jul. 20	A Sep. 20
Cytomedix, Inc.	V Aug. 10	A Sep. 21

*Delaware Investments CO Insured						
Municipal Income Fund	Note: (5) X-2-3	Jun.	19	A	Sep.	27
Delta Apparel, Inc.		Sep.	14	A	Nov.	8
DRYCLEAN USA, Inc.		Sep.	28	A	Nov.	9
Dune Energy, Inc.	Note: (2)	Apr.	25			
Empire Financial Holding Co.	X-2	Aug.	20	A	Sep.	28
Espey Manufacturing & Electronics Corp.		Oct.	9	A	Nov.	30
Flight Safety Technologies, Inc.		Aug.	27	A		
Fountain Powerboat Industries, Inc.		Oct.	1	A	Nov.	13
Foxby Corp.	V	Jul.	25	A	Oct.	10
Franklin Templeton Limited						
Duration Income Trust	V	Jul.	24	A	Sep.	26
Frisch's Restaurants, Inc.	V	Aug.	2	A	Oct.	1
Global Income Fund Inc.	X-3	Jul.	25	A	Oct.	10
Halifax Corporation		Sep.	27	A		
Harbor Acquisition Corporation		Aug.	27	S		
Hi-Shear Technology Corporation	V	Aug.	31	A	Oct.	30
Idaho General Mines, Inc.	X-2-3-4	Aug.	20	A	Oct.	4
*Information Services Group, Inc.		Sep.	28	S		
Insite Vision, Inc.	X-2-3	Aug.	17	A	Oct.	15
*International Tower Hill Mines Ltd.		Oct.	9	A	Nov.	13
InterSearch Group Inc.	Note: (2)	Jul.	19			
ION Media Networks, Inc.	Note: (2)	Jul.	27			
Isolgen, Inc.	V	Sep.	5	A	Sep.	25
Iteris, Inc.	X-3	Jul.	25	A	Sep.	21
Ivivi Technologies, Inc.	X-2	Aug.	24	A	Oct.	1
*Jaclyn, Inc.		Oct.	19	A	Nov.	28
*Jinpan International Limited		Sep.	4	A	Oct.	12
Kitty Hawk, Inc.		Jul.	27	A		
LaBarge, Incorporated		Sep.	21	A	Nov.	14
Lorus Therapeutics, Inc.	Note: (1)	Aug.	15	A	Sep.	19
*Medicure, Inc.	Note: (1)	Aug.	15	A	Oct.	2
Metalline Mining Company	X-2	Aug.	2	A	Sep.	21
Midwest Air Group, Inc.		Sep.	24	S		
MTS Medication Technologies, Inc.	X-2	Jul.	26	A	Sep.	19
National Health Realty, Inc.		Sep.	14	S	Oct.	25
National HealthCare Corporation		Sep.	14	S	Oct.	25
*NEON Communications Group, Inc.		Sep.	28	S	Nov.	6
*New England						
Realty Associates LP	Note: (4)	Sep.	24			
Nevada Gold & Casinos Inc.	V	Aug.	23	A	Oct.	15
Northeast Bancorp		Sep.	21	A	Nov.	7
Nova Biosource Fuels, Inc.		Sep.	18	A	Oct.	30
Nuveen Dividend Advantage						
Municipal Fund 2	X-1	Aug.	1	S	Oct.	12
Nuveen Dividend Advantage						
Municipal Fund 3	X-1	Aug.	1	S	Oct.	12
Nuveen Insured Dividend Advantage						
Municipal Fund	X-1	Aug.	1	S	Oct.	12
Nuveen Insured Tax-Free Advantage						
Municipal Fund	X-1	Aug.	1	S	Oct.	12
Nuveen Municipal High Income						
Opportunity Fund	X-1	Aug.	1	S	Oct.	12
Nuveen AZ Dividend						
Advantage Municipal Fund	X-1	Aug.	1	A	Oct.	12

Nuveen AZ Dividend					
Advantage Municipal Fund 2	X-1 Aug.	1	A	Oct.	12
Nuveen AZ Dividend					
Advantage Municipal Fund 3	X-1 Aug.	1	A	Oct.	12
Nuveen CA Dividend	Aug.	1	S	Oct.	12
Advantage Municipal Fund 3	X-1 Aug.	1	S	Oct.	12
Nuveen CA Dividend					
Advantage Municipal Fund 3	X-1 Aug.	1	S	Oct.	12
Nuveen CA Premium Income					
Municipal Fund	X-1 Aug.	1	S	Oct.	12
Nuveen CT Dividend					
Advantage Municipal Fund	X-1 Aug.	1	A	Oct.	12
Nuveen CT Dividend					
Advantage Municipal Fund 2	X-1 Aug.	1	A	Oct.	12
Nuveen CT Dividend					
Advantage Municipal Fund 3	X-1 Aug.	1	A	Oct.	12
Nuveen GA Dividend					
Advantage Municipal Fund	X-1 Aug.	1	A	Oct.	12
Nuveen GA Dividend					
Advantage Municipal Fund 2	X-1 Aug.	1	A	Oct.	12
Nuveen GA Premium Income					
Municipal Fund	X-1 Aug.	1	A	Oct.	12
Nuveen Insured CA Dividend					
Advantage Municipal Fund	X-1 Aug.	1	S	Oct.	12
Nuveen Insured CA Tax-Free					
Advantage Municipal Fund	X-1 Aug.	1	S	Oct.	12
Nuveen Insured FL Tax-Free					
Advantage Municipal Fund	X-1 Aug.	1	A	Oct.	12
Nuveen Insured MA Tax-Free					
Advantage Municipal Fund	X-1 Aug.	1	A	Oct.	12
Nuveen Insured NY Dividend					
Advantage Municipal Fund	X-1 Aug.	1	S	Oct.	12
Nuveen Insured NY Tax-Free					
Advantage Municipal Fund	X-1 Aug.	1	S	Oct.	12
Nuveen MA Dividend					
Advantage Municipal Fund	X-1 Aug.	1	A	Oct.	12
Nuveen MD Dividend					
Advantage Municipal Fund	X-1 Aug.	1	A	Oct.	12
Nuveen MD Dividend					
Advantage Municipal Fund 2	X-1 Aug.	1	A	Oct.	12
Nuveen MD Dividend					
Advantage Municipal Fund 3	X-1 Aug.	1	A	Oct.	12
Nuveen MI Dividend					
Advantage Municipal Fund	X-1 Aug.	1	A	Oct.	12
Nuveen MO Premium Income					
Municipal Fund	X-1 Aug.	1	A	Oct.	12
Nuveen NC Dividend					
Advantage Municipal Fund	X-1 Aug.	1	A	Oct.	12
Nuveen NC Dividend					
Advantage Municipal Fund 2	X-1 Aug.	1	A	Oct.	12
Nuveen NC Dividend					
Advantage Municipal Fund 3	X-1 Aug.	1	A	Oct.	12
Nuveen NJ Dividend					
Advantage Municipal Fund	X-1 Aug.	1	A	Oct.	12
Nuveen NJ Dividend					
Advantage Municipal Fund 2	X-1 Aug.	1	A	Oct.	12
Nuveen NY Dividend					
Advantage Municipal Fund 2	X-1 Aug.	1	S	Oct.	12
Nuveen OH Dividend					
Advantage Municipal Fund	X-1 Aug.	1	A	Oct.	12

Nuveen OH Dividend						
Advantage Municipal Fund 2	X-1 Aug.	1		A	Oct.	12
Nuveen OH Dividend						
Advantage Municipal Fund 3	X-1 Aug.	1		A	Oct.	12
Nuveen PA Dividend						
Advantage Municipal Fund	X-1 Aug.	1		A	Oct.	12
Nuveen PA Dividend						
Advantage Municipal Fund 2	X-1 Aug.	1		A	Oct.	12
Nuveen Real Estate Income	X-1-2 (e) Aug.	1		S	Oct.	12
Nuveen VA Dividend						
Advantage Municipal Fund	X-1 Aug.	1		A	Oct.	12
Nuveen VA Dividend						
Advantage Municipal Fund 2	X-1 Aug.	1		A	Oct.	12
O2Diesel Corporation	V	Aug.	16	A	Sep.	20
Oilsands Quest Inc.	V	Aug.	17	A	Oct.	17
*ON2 Technologies Note: (3)	X-2-3-4-7	Jul.	30	A	Sep.	24
Orsus Xelent Technologies Inc.		Aug.	30	A		
PainCare Holdings, Inc.		Oct.	3	A	Oct.	26
*PowerShares DB Gold Fund	X	Aug.	30	S	Oct.	9
*PowerShares DB Silver Fund	X	Aug.	30	S	Oct.	9
*PowerShares DB						
Precious Metals Fund	X	Aug.	30	S	Oct.	9
R.G. Barry Corporation		Sep.	10	A	Nov.	8
Retractable Technologies, Inc.	V	Jul.	30	A	Sep.	28
*Rydex Russell Top 50	X	Aug.	6	S	Oct.	4
*Rydex S&P 500 Pure Growth	X	Aug.	6	S	Oct.	4
*Rydex S&P 500 Pure Value	X	Aug.	6	S	Oct.	4
*Rydex S&P Equal Weight						
Consumer Discretionary	X	Aug.	6	S	Oct.	4
*Rydex S&P Equal Weight						
Consumer Staples	X	Aug.	6	S	Oct.	4
*Rydex S&P Equal Weight Energy	X	Aug.	6	S	Oct.	4
*Rydex S&P Equal Weight	X	Aug.	6	S	Oct.	4
*Rydex S&P Equal Weight Financial	X	Aug.	6	S	Oct.	4
*Rydex S&P Equal Weight						
Health Care	X	Aug.	6	S	Oct.	4
*Rydex S&P Equal Weight Industrials	X	Aug.	6	S	Oct.	4
*Rydex S&P Equal Weight Materials	X	Aug.	6	S	Oct.	4
*Rydex S&P Equal Weight Tech	X	Aug.	6	S	Oct.	4
*Rydex S&P Equal Weight Utilities	X	Aug.	6	S	Oct.	4
*Rydex S&P Midcap 400 Pure Growth	X	Aug.	6	S	Oct.	4
*Rydex S&P Midcap 400 Pure Value	X	Aug.	6	S	Oct.	4
*Rydex S&P Smallcap 600 Pure						
Growth	X	Aug.	6	S	Oct.	4
*Rydex S&P Smallcap 600 Pure Value	X	Aug.	6	S	Oct.	4
Samaritan Pharmaceuticals, Inc.	V	Aug.	29	A	Oct.	23
Semotus Solutions, Inc.	X-5	Jul.	24	A	Sep.	20
Sinovac Biotech Ltd.		Jul.	17	A	Sep.	19
Spark Networks, Inc.	X-3	Aug.	21	A	Oct.	8
Stephan Co. (The)	V	Aug.	13	A	Sep.	17
SunLink Health Systems, Inc.		Sep.	18	A	Nov.	12
Tarpon Industries Inc.		Aug.	24	A		
Tri-Valley Corporation	X-2	Aug.	8	A	Oct.	6
Universal Security						
Instruments, Inc.	X-2	Aug.	6	A	Oct.	1
Vita Food Products, Inc.	Note: (2)	Apr.	13	A		
XELR8 Holdings, Inc.	X-2-3	Sep.	24	A	Nov.	12

*Additions to or change from previous Bulletins.

S Special Meeting.

A Annual Meeting.

Adj Adjourned Meeting.

† In Lieu of Annual Meeting.

(1) Meeting is both Annual and Special.

(2) Record date is for “Information Statement” only, no solicitation involved.

(3) Meeting date revised by the Company.

(4) The Board of Directors has set September 24, 2007 as the record date with respect to a consent solicitation. The consent cut-off date will be October 29, 2007.

(5) Meeting adjourned from September 13, 2007 to September 27, 2007.



Geraldine M. Brindisi
Corporate Secretary