



Membership Seat Prices (in 000s)

(As of 9:30 A.M., September 21, 2007)

	BID	OFFER	LAST CONTRACTED
Regular	320	335	330
Options Principal	25	None	320
Limited Trading Permits	None	6.5	25

Includes only those sales arranged pursuant to bids and offers filed with the Membership and Registration Department between the hours of 9:30 a.m. – 4:00 p.m.

Seat market information may be obtained by calling toll-free (877) Amex Seat (877-263-9732). Seat prices are updated intraday on www.amextrader.com.

Membership Lease Prices

(As of 9:30 A.M., September 21, 2007)

The following leases were received:

Includes monthly rental rate information only with respect to Exchange-approved negotiated leases. Not reported are arms length leases or leases for a nominal consideration.

REGULAR

<u>Price</u>	New	<u>Term</u>	<u>Price</u>	Renewal	<u>Term</u>
\$400		4 months	\$400		1 year
			3 @ \$400		6 months
			2 @ \$350		1 year
			2 @ \$375		6 months
			2 @ \$350		6 months

OPTIONS PRINCIPAL

<u>Price</u>	New	<u>Term</u>	<u>Price</u>	Renewal	<u>Term</u>
None			None		

LIMITED TRADING PERMIT

<u>Price</u>	New	<u>Term</u>	<u>Price</u>	Renewal	<u>Term</u>
None			None		

Transfers of Membership

Termination of Special Transfer Agreement – Regular (Effective September 14, 2007)

Nicholas Shangloo to **Neil Vaccaro**
Bluefin Trading LLC and Independent
Bluefin Specialists LLC 7 Whitwell Place
Badge No. 789-N Staten Island, NY 10304-1315
Seat No. 423-R

(Effective September 20, 2007)

Kevin J. Hover* to **LaBranche Financial Services Inc.**
Cohen Specialists LLC Attn: Tanya Allen Smith
Badge No. 896-E 120 Broadway, 34th Floor
New York, NY 10271
Seat No. 112-R

Joseph R. McMahon to **Manfred Rechtschaffen**
Cohen Specialists LLC Independent
Badge No. 896-M 1020 Park Avenue, #11A
New York, NY 10028
Seat No. 435-R

Evan Powers to **Estate of Jeffrey Granger**
Consolidated Trading LLC Ann S. Laszlo, Executrix
Badge No. 425-E Three Locust Lane
Glen Head, NY 11545
Seat No. 404-R

Cancellation of Nominee Authorization and Agreement - Regular (Effective September 20, 2007)

Bryan Manolakos to **Susquehanna Investment Group**
Susquehanna Investment Group and Susquehanna Attn: Paul Onderdonk
Securities 40 Wall Street, 3rd Floor
Badge No. 841-A New York, NY 10005
Seat No. 492-R

**Simultaneously transferring to another seat*

***Currently a member on another seat*

New Membership

Special Transfer Agreement - Regular (Effective September 20, 2007)

Manfred Rechtschaffen to **Kevin J. Hover**
Independent **Specialist**
Cohen Specialists LLC
2 Rector Street, 15th Floor
New York, NY 10006
Seat No. 435-R
Badge No. 896-E

Trading Status Change

(Effective September 5, 2007)

Andrew S. Losty
Trader/Specialist
Golden Anchor Trading II LLC
Badge # 614-L

James P. McCarthy
Trader/Specialist
Golden Anchor Trading II LLC
Badge # 614-M

Robert A. Wolfson
Trader/Specialist
Golden Anchor Trading II LLC
Badge # 614-W

Changes in Member Organizations

‡■ **First Albany Capital Inc.**

Name changed to Broadpoint Capital, Inc., effective September 14, 2007.

** **Jane Street Capital LLC**

Barry J. Kronemer became Chief Compliance Officer; amendment effective September 13, 2007.

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- * Regular Member
 - ** Regular Member Organization
 - Options Principal Member
 - Options Principal Member Organization
 - † Associate Member
 - ‡ Associate Member Organization
 - # CBOE Member Organization
 - NYSE Member Organization
 - Limited Trading Permit Holder
 - Limited Trading Permit Holder Organization
 - @ Also Employed By Another Firm
 - (S) Sole Proprietor ceased

Proposed Transfers of Membership

Termination of Special Transfer Agreement – Regular
(To Be Effective September 21, 2007)

Seth Cohen to **Arthur F. Bohner**
Kellogg Capital Group LLC Independent

David M. Marks to **Thomas M. Bausch**
LL Partners Inc. Independent

(To Be Effective September 27, 2007)

Joseph Daguanno to **Frost Gamma Limited Partnership**
Equitec Proprietary Markets LLC,
Compass Professional Services LLC and
Equitec New York LLC

(To Be Effective at the Opening of Business on October 1, 2007)

Robert Doelp to **Charles Sorsby**
LL Partners Inc. Independent

Donn J. Stobierski to **Frost Gamma Limited Partnership**
Citadel Derivatives Group LLC

(To Be Effective October 2, 2007)

Teddy Wheeler to **John D. Greene**
Kellogg Capital Group LLC Independent

Cancellation of Nominee Authorization & Agreement - Regular

(To Be Effective September 27, 2007)

Michael J. Bull to **Merrill Lynch Pierce, Fenner & Smith**
Merrill Lynch Pierce, Fenner & Smith Incorporated **Incorporated**

**Simultaneously transferring to another seat*

***Currently a member on another seat*

New Membership

Special Transfer Agreement - Regular

(To Be Effective September 27, 2007)

Joseph Bullaro to **Antonio B. Avila**
Independent **Trader of**
G.S. Trading Partners L.L.C.

Paris Securities Corporation to **Robert Iriarte**
Broker of
Compass Professional Services LLC

Stuyvesant Trading Group LLC to **Matthew J. Kiernan**
Trader of
Stuyvesant Trading Group LLC

Current Member – Firm Status Change

Special Transfer – Regular

(To Be Effective September 28, 2007)

Vincent P. Cicco to **Vincent P. Cicco**
Geronimo LLC **Trader of**
(Muro Partnership – Lessor) MOG Capital LLC

Steven A. Cohen to **Steven A. Cohen**
Geronimo LLC **Trader of**
(Steven Friedfertig – Lessor) MOG Capital LLC

Andrew Garnock to **Andrew Garnock**
Geronimo LLC **Trader of**
(M&K Partners GP – Lessor) MOG Capital LLC

Scott Jacobs to **Scott Jacobs**
Geronimo LLC **Trader of**
(Larkspur Securities Inc. – Lessor) MOG Capital LLC

Donald K. Jang to **Donald K. Jang**
Geronimo LLC **Trader of**
(Arnold Levinson – Lessor) MOG Capital LLC

Branislav Novak
Geronimo LLC
(George Mitro– Lessor)

to

Branislav Novak
Trader of
MOG Capital LLC

Robert S. DeSimone
Geronimo LLC
(John Jay Mann, Jr. & Co. – Lessor)

to

Robert S. DeSimone
Trader of
MOG Capital LLC

Proposed Allied Members

(To Be Effective September 27, 2007)

Phillip S. Boeding
Managing Member of
G.S. Trading Partners L.L.C.
2541 Shannon Road
Northbrook, IL 60062

Thomas J. Coogan, III
Managing Member of
G.S. Trading Partners L.L.C.
2541 Shannon Road
Northbrook, IL 60062

Kevin D.E. Rutherford
Managing Member of
G.S. Trading Partners L.L.C.
2541 Shannon Road
Northbrook, IL 60062

Christopher A. Salvado
Managing Member of
G.S. Trading Partners L.L.C.
2541 Shannon Road
Northbrook, IL 60062

Proposed Approved Person Organizations

(To Be Effective September 27, 2007)

BNY ConvergEx Group, LLC
Shareholder of
LiquidPoint LLC
311 South Wacker Drive, Ste. 4700
Chicago, IL 60606

Hamilton Wolcott Holdings, LLC
Sole Member of
Hamilton Executions LLC
71 Broadway, Suite 12J
New York, NY 10006

Proposed Member Organization

(To Be Effective September 27, 2007)

****G.S. Trading Partners L.L.C.**

To be admitted as a Regular Member Organization

(“LLC Member” does not signify Exchange Member)

Address: 2541 Shannon Road, Northbrook, IL 60062

Phillip S. Boeding, Managing Member

Thomas J. Coogan, III, Managing Member

Kevin D.E. Rutherford, Managing Member

Christopher A. Salvado, Managing Member

*Antonio B. Avila, Trader

Any information you may have which would be pertinent to consideration of the applicants by the Exchange should be promptly reported to the Membership and Registration Department at 212-306-1419.

Current Reports Made to the Exchange

Members attention is called to the fact that the following reports are made to the Exchange within the coming next week:

Report – To FINRA Amex Regulation Division - Financial Regulation Dept. Due on or Before

This request for reports is issued on behalf of the American Stock Exchange LLC (Amex) by the Financial Regulation Dept. of the FINRA Amex Regulation Division pursuant to a grant of authority to the FINRA. Accordingly, this constitutes a request for reports by the Amex.

Web FOCUS Report Part II Monthly - Member Organizations carrying customers and/or self-clearing are required to file by the 17th business day after month-end. Wed. Sept. 26

Web FOCUS Report Part IIA Monthly or Net Capital Computation - Member Organization and Sole Proprietor broker-dealers subject to SEC Rule 15c3-1 net capital requirements but not carrying customers and/or self-clearing are required to file by the 17th business day after month-end. Wed. Sept. 26

Equity Computation - Member Organizations subject to Exchange Rule 110 equity requirements **and exempt from SEC Rule 15c3-1 net capital requirements** are required to file by the 17th business day after month-end. **Amex market-makers in non-option products are subject to SEC Rule 15c3-1 net capital requirements and must file a Web FOCUS Report Part IIA or Net Capital Computation.** Wed. Sept. 26

All terminating Amex-designated Member Organizations and Sole Proprietor broker-dealers are required to file an SEC Rule 17a-5(b) Web FOCUS Report Part II Withdrawal or IIA Withdrawal. Use the Web FOCUS Blank Forms tab to access the appropriate form.

Web FOCUS Users experiencing access problems with Web FOCUS should contact the **Gateway Call Center @ 301-869-6699** for assistance.

Please contact the FINRA Amex Regulation Division - Financial Regulation Dept., One Liberty Plaza, 51st Floor – Robert Devine 212-858-4448 regarding Web FOCUS entitlement procedures, MO-14 statement, or MO-15 report. Other questions regarding financial reporting should be directed to your FRD examiner.

Please refer to Amex Notice REG 2006–55 dated Oct. 26, 2006, for additional information about filing reports with the Financial Regulation Dept. This Notice is posted on the website at: <http://www.amex.com/amextrader/tdrInfo/data/axNotices/2006/reg102606.html>.

Equities Mid-Month Short Interest Schedule 2007

Trade Date	Settlement Date	Report Due	Report Published
(Wednesday) October 10	(Monday) October 15	(Wednesday) October 17 1:00 p.m. EST	(Friday) October 19

Derivatives (End-of-Month) Short Interest Schedule 2007

Trade Date	Settlement Date	Report Due	Report Published
(Tuesday) September 25	(Friday) September 28	(Monday) October 1 1:00 p.m. EST	(Wednesday) October 3

The List

This information can be found on the Web. In order to retrieve this information, go to <http://www.amextrader.com> and from the home page click the Daily List button. At the Daily List home page scroll down to Amex issues and select the view link for the current date. Should you have any questions please call (212) 306-2438.

The Following Securities Have Been Approved for Listing:

Alexco Resource Corp 39,535,653 shares of Common Stock, Without Par Value

Citigroup Funding Inc. 4,688,000 shares of 8.75% ELKS Based Upon American Express Co, maturing September 25, 2008

Merrill Lynch 2,580,000 shares of 9% STRIDES Linked to Caterpillar Inc, maturing September 24, 2009

Procera Networks, Inc 94,445,023 shares Common stock, Par Value \$.001

Additional & Substitution Listings:

Advanced Photonix, Inc., 3,706,664 Shares of Common Stock, \$0.001 Par Value

Alexco Resource Corp., 140,000 Shares of Common Stock, No Par Value

CanArgo Energy Corporation, 46,277,778 Shares of Common Stock, \$0.10 Par Value

Central Fund of Canada Limited, 11,840,000 Shares of Common Stock, No Par Value

Fronteer Development Group, Inc., 17,218,162 Shares of Common Stock, No Par Value

Hersha Hospitality Trust, 1,487,497 Shares of common Stock, \$0.01 Par Value

Senesco Technologies, Inc., 10,663,888 Shares of Common Stock, \$0.01 Par Value

Westmoreland Coal Company, 700,000 Shares of Common Stock, \$2.50 Par Value

Corporate Actions and New Listings announced for September 14, 2007 – September 21, 2007 can be viewed on the Issues Daily list homepage on [amextrader.com](http://www.amextrader.com); click on [Issues Daily List](#) to view the Issues Daily List.

Registered Interest

<u>Name of Company</u>	<u>Record Date</u> <u>(New York unless stated otherwise)</u>
Interest due June 15	
Bond Trust Products LLC (Bond Trust Products Trust Pass-Through Trust Certificates, due 6/15/2010)	Jun. 14
Stater Bros. Holdings Inc. (Floating Rate Notes, due 6/15/10)	Jun. 1
(8 1/8% Senior Notes, due 6/15/12)	Jun. 1
Wachovia Corporation (.25% Basket of Common Stocks Exchangeable Notes, due 12/15/10)	May 31
Interest due June 20	
Citigroup Global Market Holdings (Dow Jones EURO STOXX 50 Index Principal-Protected Notes, due 12/20/07)	Jun.19
Interest due June 27	
Bank of America (1.25% Basket CYCLES Linked to a "70/30" Basket of Four Indices and 1 ETF, due 12/27/10)	Jun. 12
Interest due June 28	
Bank of America (S&P 400 MidCap Index CYCLES, due 12/28/10)	Jun. 13
(1.5% Healthcare Stocks Capital Protected Equity Performance Link Securities, due 12/20/11)	Jun. 13
Interest due July 2	
Hawk Corporation (8 3/4% Senior Notes, due 11/1/14)	Jun. 15
Morgan Stanley (2% 3M Co. Exchangeable Notes, due 12/30/10)	Jun. 15
(1.25% General Electric Exchangeable Notes, due 12/30/08)	Jun. 15
(1.5 % Wal-Mart Stores Exchangeable Notes, due 6/30/11)	Jun. 15
CIBC World Markets (10 Large-Cap U.S Stocks Yield Generator Notes, due 6/30/11)	Jun. 29
Interest due July 7	
Merrill Lynch & Co., Inc (.25% Wells Fargo & Co. Stock-Linked Notes –1/7/08)	Jun. 23
Interest due July 10	
Wachovia Corporation (19.25% General Motors Enhanced Yield Secs, due 7/10/07)	Jun. 25
Interest due July 16	
CBS Corporation. (7.625% Senior Debentures-2016)	Jul. 1
Wells Fargo Corporation. (S&P 500 Basket linked Notes, due 10/9/08)	Jul. 1
Interest due July 26	
Bank of America (0.25% Nasdaq-100 Cash Settled Exchangeable Notes, due 1/26/10)	Jul. 11

Interest due July 30

Bank of America (1% Healthcare Basket Index "CYCLES", due 1/28/11)	Jul. 1
 (1.50% Basket CYCLES linked to an "80/20" Basket of Four Indices & 1 ETF, due 7/29/11)	 Jul. 15
 Credit Suisse (14.25% Ford Motor Corp. Reverse Convertible Notes, due 4/29/2008)	 Jul. 15

Interest due August 1

Chandler (USA), Inc. (8 ¾% Senior Debentures, due 7/1/14)	Jul. 15
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Interest due August 15

Bank of America 0.25% Senior Notes Optionally Exchangeable, due 2/15/2012	Jul. 31
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Interest due August 16

Lehman Brothers 0.25% Medium-Term Notes Performance, due 2/16/2012	Aug. 1
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Interest due August 22

Citigroup Global Markets Holdings, Inc. (3% Pfizer Exchangeable Notes, due 8/22/08)	Aug. 21
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Interest due August 24

Bank of America (1.25% SPX Index CYCLES, due 2/24/10)	Aug. 9
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Interest due August 27

Bank of America (1.25% DJIA Index CYCLES, due 8/25/10)	Aug. 10
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Interest due August 31

CIBC World Markets (Ten Large-Cap U.S. Stocks Premium Yield Generator Notes, due 8/31/11)	Aug. 30
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Interest due September 1

Trans-Lux Corp. (8.25% Limited Conv Sr Subordinated Notes, due 3/1/12)	Aug. 15
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Interest due September 4

Lehman Brothers (2% Morgan Stanley link Notes, due 3/3/09)	Aug. 19
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Freedom Depository LLC/Amherst Securities (5.10% Cl A, USAuto Series 2004-1, Freedom Certificates, due 3/3/211)	Aug. 31
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Interest due September 13

Citigroup Global Market Holdings (0.25% Basket of Tech Stocks Cash Exchangeable Notes, due 09/13/07)	Aug. 29
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Interest due September 20

Citigroup Global Market Holdings (Dow Jones EURO STOXX 50 Index Principal-Protected Notes, due 12/20/07)	Sep. 5
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Interest due September 24

Bank of America (1.625% Nikkei 225 Index CYCLES, due 3/23/10)	Sep. 8
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Interest due September 27

Bank of America
(1.25% “100/0” Basket of 4 Indices CYCLES, due 9/27/11) Sep. 13

Interest due September 28

CIBC World Markets (Ten Large-Cap U.S. Stocks
Premium Yield Generator Notes, due 9/28/07) Sep. 27

Interest due October 29

Bank of America
(1.75% Basket of 3 Indices CYCLES, due 4/30/09) Oct. 14

CIBC World Markets
(2% DJIA Principal Protected Notes, due 4/29/10) Oct.. 26

Interest due October 30

Bank of America
(1.75% S&P 500 CYCLES, due 4/28/11) Oct. 15

Morgan Stanley
(2% Proctor & Gamble Exchangeable Notes, due 4/30/11) Oct. 15

List of Bonds Dealt in “Flat”

Traded Flat from Listing Date

ABN AMRO	SHH.A (S&P 500 Structured Hybrid Equity link Secs-1/16/14)
American Corporate Accruals, Inc.	(Callable Principal Receipts-2024)
Bank of America Corp.	BOA.D (Nasdaq-100 EAGLES-6/1/10)
Bank of America Corp.	BOA.E (S&P 500 EAGLES- 6/28/10)
Bank of America Corp.	BOA.F (Nikkei 225 6% Min Return linked Notes-6/24/10)
Bank of America Corp.	BOA.G (16 Energy Stk linked EAGLES-8/2/10)
Bank of America Corp.	BOA.H (Russell 2000 EAGLES-8/28/09)
Bank of America Corp.	BOA.I (DJIA Minimum Return EAGLES-9/25/09)
Bank of America Corp.	BOA.J (Nasdaq-100 EAGLES-10/29/10)
Bank of America Corp.	BOA.M (Nikkei 225 Return Linked Notes-6/28/10)
Bank of America Corp.	BOA.O (Russell 2000 EAGLES-1/28/11)
Bank of America Corp.	BOA.R (Nasdaq-100 EAGLES-3/24/09)
Bank of America Corp.	BOA.U (EAGLES linked to the DJIA-6/25/10)
Bank of America Corp.	BOA.W (Amex Exch Biotechnology Index EAGLES-8/28/09)
Bank of America Corp.	BOA.Z (Energy Stocks EAGLES-9/29/10)
Bank of America Corp.	BOR.A (S&P 500 EAGLES-10/29/10)
Bank of America Corp.	BOR.B (Nasdaq-100 Index EAGLES-11/23/10)
Bank of America Corp.	BOR.C (CBOE China Index EAGLES-11/24/10)
Bank of America Corp.	BOR.F (DJIA EAGLES-3/25/11)
Bank of America Corp.	BOR.I (Basket of 3 Indices Return Linked Notes-8/26/10)
Bank of America Corp.	BOR.J ("80/20" Basket of Four Indices Return Link Notes-6/27/11)
Bank of America Corp.	BOR.K (S&P 500 EAGLES-7/29/10)
Bank of America Corp.	BOR.L (Return link notes linked to a basket of two Indices-1/28/11)
Bank of America Corp.	BOR.M (Index EAGLES Linked to the DJIA-8/26/10)
Bank of America Corp.	BOR.N (Dow Jones EURO STOXX 50 Return Link Notes-8/25/11)
Bank of America Corp.	BOR.O (S&P 500 Index EAGLES-10/3/11)
Bank of America Corp.	BOR.P (Amex Biotechnology Index EAGLES-10/28/11)
Bank of America Corp.	BOR.Q (Basket of Three Indices Return Link Notes-10/27/11)
Bank of America Corp.	BOR.R (Basket of Two Indices Return Link Notes-11/22/10)
Bank of America Corp.	BOR.S (Basket of Five EAGLES-11/23/11)
Bank of America Corp.	BOR.T (DJIA Index EAGLES -12/27/11)
Bank of America Corp.	BOR.U (Basket of 3 Indices Return Link Notes-12/29/11)

Bear Stearns & Co. Inc.	BYF.A (U.S. Sector ETFs Principal Protect Notes-2/5/08)
Bear Stearns & Co. Inc.	BYF.B (S&P 500 Principal Protect Notes-10/1/08)
Bear Stearns & Co. Inc.	BYF.C (NASDAQ-100 Principal Protect Notes-12/22/09)
Bear Stearns & Co. Inc.	BYF.D (DJIA Principal Protect Notes-3/23/11)
Bear Stearns & Co. Inc.	BYF.F (Three Intl Indices Medium Term Notes-8/2/10)
Canadian Imperial Bank of Commerce	MRS.A (S&P 500 linked Notes-7/30/10)
Canadian Imperial Bank of Commerce	MRS.B (CIBC 10 U.S. Stks Optimizer Notes-1/30/09)
Canadian Imperial Bank of Commerce	MRS.C (CIBC 10 U.S. Stks Optimizer Notes-3/5/09)
Canadian Imperial Bank of Commerce	MRS.D (CIBC 10 U.S. Stks Optimizer Notes-3/31/09)
Canadian Imperial Bank of Commerce	MRS.E (CIBC 10 U.S. Stks Optimizer Notes-4/30/09)
Canadian Imperial Bank of Commerce	MRS.F (S&P 500 MERITS-6/30/11)
Canadian Imperial Bank of Commerce	MRS.G (CIBC 10 U.S. Stks Optimizer Notes-6/30/09)
Canadian Imperial Bank of Commerce	MRS.H (NASDAQ-100 MERITS-8/1/11)
Canadian Imperial Bank of Commerce	MRS.I (DJIA MERITS-8/31/11)
Canadian Imperial Bank of Commerce	MRS.J (S&P 500 Principal Protected Notes-3/31/09)
Canadian Imperial Bank of Commerce	MRS.K (DJIA MERITS-1/30/09)
Canadian Imperial Bank of Commerce	MRS.M (S&P 500 Index linked Notes-12/31/08)
Canadian Imperial Bank of Commerce	MRS.O (Global Indices Basket linked Notes-9/18/08)
Canadian Imperial Bank of Commerce	MRS.S (S&P 500 Principal Protected Notes-6/15/10)
Canadian Imperial Bank of Commerce	MRS.U (Global Basket of Three Equity Indices -7/29/10)
Citigroup Global Market Holdings Inc.	NDG.A (Nasdaq-100 Principal Protect Notes-12/4/07)
Citigroup Global Market Holdings Inc.	SCW.A (S&P 500 Principal Protect Notes-10/22/07)
Credit Suisse (USA), Inc.	IPJ.A (S&P 500 Five Year Contingent Protect Secs-9/30/08)
Credit Suisse (USA), Inc.	IPJ.B (S&P 500 Five Year Contingent Protect Secs-11/26/08)
Goldman Sachs Group, Inc. (The)	GSD.B (S&P 500 linked Notes-8/7/11)
Goldman Sachs Group, Inc. (The)	GSN.B (S&P 500 Medium Term Notes-2/28/13)
Goldman Sachs Group, Inc. (The)	GSN.C (S&P 500 Medium Term Notes-4/18/13)
Goldman Sachs Group, Inc. (The)	GSN.D (S&P 500 Medium Term Notes-5/30/13)
JP Morgan Chase & Co.	JPL.A (Consumer Price Index Secs-1/15/10)
JP Morgan Chase & Co.	JPL.A (S&P 500 linked Notes-11/26/07)
JP Morgan Chase & Co.	JPL.B (S&P 500 Market Participation Notes-3/12/08)
JP Morgan Chase & Co.	JPL.C (Cap Qtr Observation Notes-9/22/08)
JP Morgan Chase & Co.	JPL.D (S&P 500 Cap Qtr Observation Notes-10/30/08)
JP Morgan Chase & Co.	JPL.E (S&P 500 Cap Qtr Observation Notes-1/21/09)
JP Morgan Chase & Co.	JPL.F (S&P 500 Mkt Participation Notes-3/31/09)
JP Morgan Chase & Co.	JPL.G (S&P 500 Cap Qtr Observation Notes-7/7/09)
JP Morgan Chase & Co.	JPL.H (S&P 500 Cap Qtr Observation Notes-9/21/09)
JP Morgan Chase & Co.	JPL.I (S&P 500 Principal Protect Notes-9/30/10)
Lehman Brothers Holdings Inc.	LBM.C (General Electric Co. Medium Term Notes-5/15/10)
Lehman Brothers Holdings Inc.	LBN.A (Dow Jones EUROSTOXX 50 Index-7/7/08)
Lehman Brothers Holdings Inc.	LBN.B (Dow Jones EURO STOXX 50 Index-7/29/08)
Lehman Brothers Holdings Inc.	LSQ.C (DJIA SUNS-4/29/10)
Lehman Brothers Holdings Inc.	LSQ.D (Nikkei 225 SUNS-6/10/10)
Lehman Brothers Holdings Inc.	PSZ.C (S&P 500 SUNS-8/5/08)
Lehman Brothers Holdings Inc.	PSZ.D (S&P 500 SUNS-9/27/07)
Lehman Brothers Holdings Inc.	PSZ.E (S&P 500 Callable SUNS-11/6/09)
Lehman Brothers Holdings Inc.	PSZ.F (DJ Global Titans Index SUNS-2/9/10)
Lehman Brothers Holdings Inc.	RLN.D (Nasdaq-100 Rebound RANGERS-6/7/08)
Lehman Brothers Holdings Inc.	RLN.E (S&P 500 linked Notes-9/28/09)
Lehman Brothers Holdings Inc.	RLN.G (DJ STOXX 50 linked Notes-11/15/09)
Lehman Brothers Holdings Inc.	RLN.H (Russell 2000 Index linked Notes-12/23/09)
Lehman Brothers Holdings Inc.	RLN.I (S&P 500 Index-Plus Notes-3/3/10)
Merrill Lynch	COD.A (Coca-Cola Co Exchangeable Conv Secs-9/30/08)
Merrill Lynch	EXO.A (Exxon Mobile Exchangeable Conv Secs-10/3/08)
Morgan Stanley	BSN.A (3 stks Sr Exchangeable Debt-12/30/08)
Morgan Stanley	ITM.A (Intel Stk Participation Notes-12/30/10)
Morgan Stanley	JMS.A (S&P 500 Callable Notes-12/30/08)

Morgan Stanley	MRW.A (S&P 500 Mkt Participation Secs-12/30/10)
Morgan Stanley	MWT.A (Wal-Mart Stk Participation Notes-9/15/10)
PMA Capital Corporation	PMK (8.5% Monthly Income Sr Notes-6/15/18)
UBS AG	PPV.C (Russell 2000 Principal Protect Notes-4/30/09)
UBS AG	PPV.E (DJIA Principal Protect Notes-5/29/09)
UBS AG	PPV.G (S&P 500 Principal Protect Notes-11/7/07)
UBS AG	PPV.H (S&P 500 Principal Protect Notes-12/7/07)
UBS AG	PPV.I (U.S. Equity Indices Principal Protect Notes-10/7/11)
UBS AG	PPV.J (DJIA Principal Protect Notes-2/7/08)
UBS AG	PPV.K (S&P 500 Principal Protect Notes-9/8/10)
UBS AG	PPV.L (S&P 500 Principal Protect Notes-4/8/10)
UBS AG	PPV.M (S&P 500 Principal Protect Notes-10/7/09)
UBS AG	PPV.N (S&P 500 Principal Protect Notes-5/7/10)
UBS AG	PPV.O (S&P 500 Principal Protect Notes-6/7/10)
UBS AG	PPV.P (S&P 500 Principal Protect Notes-7/8/10)
UBS AG	PPV.Q (S&P 500 Principal Protect Notes-8/6/10)
UBS AG	PPV.R (S&P 500 Principal Protect Notes-9/8/09)
UBS AG	PPZ.A (S&P 500 Partial Protect Notes-11/05/07)
UBS AG	PPZ.B (S&P 500 Partial Principal Protect Notes-2/28/08)
UBS AG	PPZ.C (S&P 500 Partial Principal Protect Notes-11/5/10)
Wachovia Corporation	WDB.B (Nikkei 225 ASTROS-3/2/10)
Wachovia Corporation	WDB.D (S&P 500 linked to Trigger CAPITALS-12/8/08)
Wachovia Corporation	WDB.E (Global Basket of Indices ASTROS-2/2/10)
Wachovia Corporation	WDB.I (Global Equity Basket ASTROS-5/5/10)
Wachovia Corporation	WDB.M (Metals-China Basket ASTROS-1/28/09)
Wells Fargo & Company	WFG.A (S&P 500 linked Notes-1/4/08)
Wells Fargo & Company	WFG.B (Nasdaq-100 linked Notes-1/4/08)
Wells Fargo & Company	WFG.C (Basket linked Notes-4/15/09)
Wells Fargo & Company	WFG.D (DJIA High Point Average linked Notes-5/5/10)
Wells Fargo & Company	WFG.F (S&P 500 Callable Notes-8/25/09)

Halted Issues

Transactions in the following securities have been halted for a period exceeding five trading days. Members and member organizations are reminded of the provisions of Exchange Rule 462(d)1 when determining the value to place upon those securities for margin purposes.

Any purchase or sale of a security which has been halted by the Exchange may be subject to Federal regulation as well as the rules of the other market(s) where the purchase or sale is to take place.

Members are reminded that open orders on the books of the specialist for an issue which has been halted for 30 days are automatically canceled.

<u>Name of Company (Issue)</u>	<u>Date of Halt</u>
Dyadic International	April 23, 2007

a — Addition to previous Bulletin.

Dividends

Dividends announced for September 17, 2007 – September 21, 2007 can be viewed on the Dividend Daily list homepage on amextrader.com; click on [Dividends Daily List](#) to view the Dividends Daily List.

Please call the Corporate Actions/Proxy Rulings Department at 212-306-2438 with any questions.

Meetings of Stockholders

Members should, insofar as possible without additional expense, transfer certificates of stock held in persons or firms over whom they have no control into their own names, or those of persons over whom they have control, before the record date for determining stockholders entitled to vote.

As a guide to Member Organizations in giving proxies pursuant to Rule 577 to vote stock held for the account of customers the following symbols are used in the list of meetings below:

- V = Preceding Record Date indicates Members MAY vote the entire proxy under the Ten Day Rule.
 X = Together with numbers or letters indicates that Members MAY NOT vote the designated matters without specific instructions from owners. Numbers or letters shown are those used in the PROXY FORM.
 X = With no other designation indicates that Members MAY NOT vote the entire proxy.
 NP = Indicates that proxies are not being solicited.

The following abbreviations are used for items not numbered or lettered in the proxy form:

- SP = Stockholder Proposal(s) BY = By-Law Amendment
 OP = Option Plan CH = Charter Amendment
 STP = Stock Purchase Plan MP = Merger Proposal
 CP = Compensation Plan

Where no symbol appears, proxy material has not yet been received.

<u>Name of Company</u>		<u>Record</u>			<u>Meeting</u>	
Ableauctions.com Inc.	V	Jul.	19	A	Sep.	28
Allied Defense Group, Inc. (The)	X	Aug.	3	S	Oct.	1
Arizona Star Resource Corp.		Sep.	13	A	Oct.	23
Ascendia Brands, Inc. Note: (2)		Dec.	29			
Ascendia Brands, Inc. Note: (2)		Feb.	9			
Ascendia Brands, Inc. Note: (2)		Apr.	20			
Baldwin Technology Company, Inc.		Sep.	28	A	Nov.	13
BIW Limited	X-3	Aug.	9	S	Sep.	26
* Bolt Technology Corporation		Oct.	12	A	Nov.	20
Bovie Medical Corporation		Sep.	15	A	Oct.	30
Callisto Pharmaceuticals, Inc.	X-2	Aug.	17	A	Sep.	26
CardioTech International	X-2	Aug.	16	A	Oct.	16
* Chad Therapeutics, Inc.	V	Aug.	29	A	Oct.	24
Delaware Investments CO Insured						
Municipal Income Fund	X-2-3	Jun.	19	A	Sep.	27
Delta Apparel, Inc.		Sep.	14	A	Nov.	8
* Digital Angel Corporation		sep.	28	A	Nov.	27
* Dreams, Inc.		Oct.	11	A	Nov.	8
DRYCLEAN USA, Inc.		Sep.	28	A	Nov.	9
Dune Energy, Inc. Note: (2)		Apr.	25			
Empire Financial Holding Co.	X-2	Aug.	20	A	Sep.	28
Espey Manufacturing & Electronics Corp.		Oct.	9	A	Nov.	30
* Flight Safety Technologies, Inc. Note: (3)		Sep.	24	A		
Fountain Powerboat Industries, Inc.		Oct.	1	A	Nov.	13
Foxy Corp.	V	Jul.	25	A	Oct.	10
Franklin Templeton Limited						
Duration Income Trust	V	Jul.	24	A	Sep.	26
Frisch's Restaurants, Inc.	V	Aug.	2	A	Oct.	1
Global Income Fund Inc.	X-3	Jul.	25	A	Oct.	10
Halifax Corporation		Sep.	27	A		
Harbor Acquisition Corporation		Aug.	27	S		
Hi-Shear Technology Corporation	V	Aug.	31	A	Oct.	30

Idaho General Mines, Inc.	X-2-3-4	Aug.	20	A	Oct.	4
Information Services Group, Inc.		Sep.	28	S		
Insite Vision, Inc.	X-2-3	Aug.	17	A	Oct.	15
International Tower Hill Mines Ltd.		Oct.	9	A	Nov.	13
InterSearch Group Inc.	Note: (2)	Jul.	19			
ION Media Networks, Inc.	Note: (2)	Jul.	27			
Isolagen, Inc.	V	Sep.	5	A	Sep.	25
Ivivi Technologies, Inc.	X-2	Aug.	24	A	Oct.	1
Jaclyn, Inc.		Oct.	19	A	Nov.	28
Jinpan International Limited		Sep.	4	A	Oct.	12
Kitty Hawk, Inc.		Jul.	27	A		
LaBarge, Incorporated		Sep.	21	A	Nov.	14
*Lazare Kaplan International Inc.		Sep.	14	A	Nov.	8
Medicure, Inc.	Note: (1)	Aug.	15	A	Oct.	2
Midwest Air Group, Inc.		Sep.	24	S		
*National Health Realty, Inc.	X	Sep.	14	S	Oct.	25
*National HealthCare Corporation	X	Sep.	14	S	Oct.	25
NEON Communications Group, Inc.		Sep.	28	S	Nov.	6
New England Realty Associates LP	Note: (4)	Sep.	24			
Nevada Gold & Casinos Inc.	V	Aug.	23	A	Oct.	15
Northeast Bancorp		Sep.	21	A	Nov.	7
Nova Biosource Fuels, Inc.		Sep.	18	A	Oct.	30
Nuveen Dividend Advantage Municipal Fund 2	X-1	Aug.	1	S	Oct.	12
Nuveen Dividend Advantage Municipal Fund 3	X-1	Aug.	1	S	Oct.	12
Nuveen Insured Dividend Advantage Municipal Fund	X-1	Aug.	1	S	Oct.	12
Nuveen Insured Tax-Free Advantage Municipal Fund	X-1	Aug.	1	S	Oct.	12
Nuveen Municipal High Income Opportunity Fund	X-1	Aug.	1	S	Oct.	12
Nuveen AZ Dividend Advantage Municipal Fund	X-1	Aug.	1	A	Oct.	12
Nuveen AZ Dividend Advantage Municipal Fund 2	X-1	Aug.	1	A	Oct.	12
Nuveen AZ Dividend Advantage Municipal Fund 3	X-1	Aug.	1	A	Oct.	12
Nuveen CA Dividend Advantage Municipal Fund 3	X-1	Aug.	1	S	Oct.	12
Nuveen CA Dividend Advantage Municipal Fund 3	X-1	Aug.	1	S	Oct.	12
Nuveen CA Dividend Advantage Municipal Fund 3	X-1	Aug.	1	S	Oct.	12
Nuveen CA Premium Income Municipal Fund	X-1	Aug.	1	S	Oct.	12
Nuveen CT Dividend Advantage Municipal Fund	X-1	Aug.	1	A	Oct.	12
Nuveen CT Dividend Advantage Municipal Fund 2	X-1	Aug.	1	A	Oct.	12
Nuveen CT Dividend Advantage Municipal Fund 3	X-1	Aug.	1	A	Oct.	12
Nuveen GA Dividend Advantage Municipal Fund	X-1	Aug.	1	A	Oct.	12
Nuveen GA Dividend Advantage Municipal Fund 2	X-1	Aug.	1	A	Oct.	12
Nuveen GA Premium Income Municipal Fund	X-1	Aug.	1	A	Oct.	12
Nuveen Insured CA Dividend Advantage Municipal Fund	X-1	Aug.	1	S	Oct.	12

Nuveen Insured CA Tax-Free Advantage Municipal Fund	X-1 Aug.	1	S	Oct.	12
Nuveen Insured FL Tax-Free Advantage Municipal Fund	X-1 Aug.	1	A	Oct.	12
Nuveen Insured MA Tax-Free Advantage Municipal Fund	X-1 Aug.	1	A	Oct.	12
Nuveen Insured NY Dividend Advantage Municipal Fund	X-1 Aug.	1	S	Oct.	12
Nuveen Insured NY Tax-Free Advantage Municipal Fund	X-1 Aug.	1	S	Oct.	12
Nuveen MA Dividend Advantage Municipal Fund	X-1 Aug.	1	A	Oct.	12
Nuveen MD Dividend Advantage Municipal Fund	X-1 Aug.	1	A	Oct.	12
Nuveen MD Dividend Advantage Municipal Fund 2	X-1 Aug.	1	A	Oct.	12
Nuveen MD Dividend Advantage Municipal Fund 3	X-1 Aug.	1	A	Oct.	12
Nuveen MI Dividend Advantage Municipal Fund	X-1 Aug.	1	A	Oct.	12
Nuveen MO Premium Income Municipal Fund	X-1 Aug.	1	A	Oct.	12
Nuveen NC Dividend Advantage Municipal Fund	X-1 Aug.	1	A	Oct.	12
Nuveen NC Dividend Advantage Municipal Fund 2	X-1 Aug.	1	A	Oct.	12
Nuveen NC Dividend Advantage Municipal Fund 3	X-1 Aug.	1	A	Oct.	12
Nuveen NJ Dividend Advantage Municipal Fund	X-1 Aug.	1	A	Oct.	12
Nuveen NJ Dividend Advantage Municipal Fund 2	X-1 Aug.	1	A	Oct.	12
Nuveen NY Dividend Advantage Municipal Fund 2	X-1 Aug.	1	S	Oct.	12
Nuveen OH Dividend Advantage Municipal Fund	X-1 Aug.	1	A	Oct.	12
Nuveen OH Dividend Advantage Municipal Fund 2	X-1 Aug.	1	A	Oct.	12
Nuveen OH Dividend Advantage Municipal Fund 3	X-1 Aug.	1	A	Oct.	12
Nuveen PA Dividend Advantage Municipal Fund	X-1 Aug.	1	A	Oct.	12
Nuveen PA Dividend Advantage Municipal Fund 2	X-1 Aug.	1	A	Oct.	12
Nuveen Real Estate Income	X-1-2 (e) Aug.	1	S	Oct.	12
Nuveen VA Dividend Advantage Municipal Fund	X-1 Aug.	1	A	Oct.	12
Nuveen VA Dividend Advantage Municipal Fund 2	X-1 Aug.	1	A	Oct.	12
*Nuveen California Dividend Advantage Municipal Fund 2	Oct.	19	A	Dec.	18
*Nuveen California Dividend Advantage Municipal Fund 3	Oct.	19	A	Dec.	18
*Nuveen California Premium Income Municipal Fund	Oct.	19	A	Dec.	18
*Nuveen Insured California Dividend Advantage Municipal Fund	Oct.	19	A	Dec.	18
*Nuveen Insured California Tax-Free Advantage Municipal Fund	Oct.	19	A	Dec.	18
Oilsands Quest Inc.	V Aug.	17	A	Oct.	17
ON2 Technologies	X-2-3-4-7 Jul.	30	A	Sep.	24
*Orion HealthCorp, Inc.	Oct.	1	S	Oct.	23
Orsus Xelent Technologies Inc.	Aug.	30	A		
PainCare Holdings, Inc.	Oct.	3	A	Oct.	26

PowerShares DB Gold Fund	X	Aug.	30	S	Oct.	9
PowerShares DB Silver Fund	X	Aug.	30	S	Oct.	9
PowerShares DB Precious Metals Fund	X	Aug.	30	S	Oct.	9
*Prospect Medical Holdings, Inc.		Sep.	21	A	Nov.	14
R.G. Barry Corporation		Sep.	10	A	Nov.	8
Retractable Technologies, Inc.	V	Jul.	30	A	Sep.	28
Rydex Russell Top 50	X	Aug.	6	S	Oct.	4
Rydex S&P 500 Pure Growth	X	Aug.	6	S	Oct.	4
Rydex S&P 500 Pure Value	X	Aug.	6	S	Oct.	4
Rydex S&P Equal Weight Consumer Discretionary	X	Aug.	6	S	Oct.	4
Rydex S&P Equal Weight Consumer Staples	X	Aug.	6	S	Oct.	4
Rydex S&P Equal Weight Energy	X	Aug.	6	S	Oct.	4
Rydex S&P Equal Weight	X	Aug.	6	S	Oct.	4
Rydex S&P Equal Weight Financial	X	Aug.	6	S	Oct.	4
Rydex S&P Equal Weight Health Care	X	Aug.	6	S	Oct.	4
Rydex S&P Equal Weight Industrials	X	Aug.	6	S	Oct.	4
Rydex S&P Equal Weight Materials	X	Aug.	6	S	Oct.	4
Rydex S&P Equal Weight Tech	X	Aug.	6	S	Oct.	4
Rydex S&P Equal Weight Utilities	X	Aug.	6	S	Oct.	4
Rydex S&P Midcap 400 Pure Growth	X	Aug.	6	S	Oct.	4
Rydex S&P Midcap 400 Pure Value	X	Aug.	6	S	Oct.	4
Rydex S&P Smallcap 600 Pure Growth	X	Aug.	6	S	Oct.	4
Rydex S&P Smallcap 600 Pure Value	X	Aug.	6	S	Oct.	4
Samaritan Pharmaceuticals, Inc.	V	Aug.	29	A	Oct.	23
*Senesco Technologies, Inc.		Oct.	22	A	Dec.	13
*Sinovac Biotech Ltd. Note: (5)		Jul.	17	A	Sep.	19
Spark Networks, Inc.	X-3	Aug.	21	A	Oct.	8
*Sport Supply Group, Inc.		Oct.	15	A	Nov.	15
SunLink Health Systems, Inc.		Sep.	18	A	Nov.	12
*Tarpon Industries Inc.		Aug.	24	A	Oct.	18
Tri-Valley Corporation	X-2	Aug.	8	A	Oct.	6
Universal Security Instruments, Inc.	X-2	Aug.	6	A	Oct.	1
Vita Food Products, Inc.	Note: (2)	Apr.	13	A		
XELR8 Holdings, Inc.	X-2-3	Sep.	24	A	Nov.	12

*Additions to or change from previous Bulletins.

S Special Meeting.

A Annual Meeting.

Adj Adjourned Meeting.

† In Lieu of Annual Meeting.

(1) Meeting is both Annual and Special.

(2) Record date is for "Information Statement" only, no solicitation involved.

(3) Record date revised by the Company.

(4) The Board of Directors has set September 24, 2007 as the record date with respect to a consent solicitation. The consent cut-off date will be October 29, 2007.

(5) Record date rescinded and meeting date postponed by the Company.



Geraldine M. Brindisi
Corporate Secretary