



Membership Seat Prices (in 000s)

(As of 9:30 A.M., October 12, 2007)

	BID	OFFER	LAST CONTRACTED
Regular	300	330	320
Options Principal	25	None	320
Limited Trading Permits	None	6.5	25

Includes only those sales arranged pursuant to bids and offers filed with the Membership and Registration Department between the hours of 9:30 a.m. – 4:00 p.m.

Seat market information may be obtained by calling toll-free (877) Amex Seat (877-263-9732). Seat prices are updated intraday on www.amextrader.com.

Membership Lease Prices

(As of 9:30 A.M., October 12, 2007)

The following leases were received:

Includes monthly rental rate information only with respect to Exchange-approved negotiated leases. Not reported are arms length leases or leases for a nominal consideration.

REGULAR

<u>Price</u>	New	<u>Term</u>	<u>Price</u>	Renewal	<u>Term</u>
\$400		6 months	\$425		1 year
			\$400		1 year
			3 @ \$400		6 months
			2 @ \$350		6 months

OPTIONS PRINCIPAL

<u>Price</u>	New	<u>Term</u>	<u>Price</u>	Renewal	<u>Term</u>
None			None		

LIMITED TRADING PERMIT

<u>Price</u>	New	<u>Term</u>	<u>Price</u>	Renewal	<u>Term</u>
None			None		

Transfers of Membership

Termination of Special Transfer Agreement – Regular (Effective October 5, 2007)

John S. Murphy to **Albert Will**
HBH Specialists LLC Independent
Badge No. 608-J 3 Longview Lane
Savannah, GA 31411
Seat No. 582-R

(Effective at the Opening of Business on October 8, 2007)

Hwan Chang to **Mary Chrampanis**
Cutler Group LP Independent
Badge No. 599-H 2175 Richmond Avenue
Staten Island, NY 10314
Seat No. 374-R

(Effective October 11, 2007)

Joseph A. Schmittler to **Michael A. Williams**
Kellogg Capital Group LLC Independent
Badge No. 873-Y 12369 Homeport Drive
Maurepas, LA 70449
Seat No. 696-R

**Simultaneously transferring to another seat*

***Currently a member on another seat*

New Membership

Special Transfer Agreement – Regular (Effective October 5, 2007)

Parker Holding Company LP to **David M. Marks**
Broker
LL Partners Inc.
c/o MAC Consulting
2 Rector Street
New York, NY 10006
Seat No. 324-R
Badge No. 29

(Effective October 11, 2007)

Michael A. Williams to **Seth W. Cohen**
Independent **Trader/Specialist**
Kellogg Capital Group LLC
55 Broadway, 4th Floor
New York, NY 10006
Seat No. 696-R
Badge No. 973-B

Current Member – Firm Status Change

Special Transfer Agreement - Regular (Effective October 5, 2007)

William A. Kantor
WMT Associates, LLC
(William M. Toll – Lessor)

to

William A. Kantor
Independent Trader
16 Knollwood Drive
Larchmont, NY 10583
Seat No. 587-R
Badge No. 1185

Changes in Member Organizations

**Cutler Group LP

Ceased to be registered as a Regular Member Organization with the transfer of *Hwan Chang (Badge # 599-H), effective at the opening of business on October 8, 2007.

**WMT Associates, LLC

Ceased to be registered as a Regular Member with the transfer of *William A. Kantor (Badge #1185), effective October 5, 2007.

Changes of Address

- *Manfred A. Cardone, Pactolus River, LLC, 94 E. River Road, Rumson, NJ 07760
- *Paul F. Carozzo, On Point Executions, LLC, c/o Gettenberg Consulting, 40 Wall Street, 34th Floor, New York, NY 10005
- *Vincent P. Cicco, MOG Capital LLC, 2 Rector Street, 3rd Floor, New York, NY 10006
- *Steven A. Cohen, MOG Capital LLC, 2 Rector Street, 3rd Floor, New York, NY 10006
- *Christopher Cornette, Pactolus River, LLC, 94 E. River Road, Rumson, NJ 07760
- *Robert A. DeSimone, MOG Capital LLC, 2 Rector Street, 3rd Floor, New York, NY 10006
- *Andrew C. Garnock, MOG Capital LLC, 2 Rector Street, 3rd Floor, New York, NY 10006
- *Vincent D. Holmes, On Point Executions, LLC, c/o Gettenberg Consulting, 40 Wall Street, 34th Floor, New York, NY 10005
- *Scott Jacobs, MOG Capital LLC, 2 Rector Street, 3rd Floor, New York, NY 10006
- *Donald K. Jang, MOG Capital LLC, 2 Rector Street, 3rd Floor, New York, NY 10006
- *William A. Kantor, 16 Knollwood Drive, Larchmont, NY 10538
- *Taylor Lukof, MOG Capital LLC, 2 Rector Street, 3rd Floor, New York, NY 10006
- *Thomas Mierkiewicz, Easton Trading Group LLC, 511 Bloomfield Street, #2, Hoboken, NJ 07030
- *Branislav Novak, MOG Capital LLC, 2 Rector Street, 3rd Floor, New York, NY 10006
- *Craig G. Reilly, On Point Executions, LLC, c/o Gettenberg Consulting, 40 Wall Street, 34th Floor, New York, NY 10005
- *Steven Rodriguez, On Point Executions, LLC, c/o Gettenberg Consulting, 40 Wall Street, 34th Floor, New York, NY 10005
- *Brian M. Werner, On Point Executions, LLC, c/o Gettenberg Consulting, 40 Wall Street, 34th Floor, New York, NY 10005
- **Easton Trading Group LLC, 511 Bloomfield Street, #2, Hoboken, NJ 07030
- **MOG Capital LLC, 2 Rector Street, 3rd Floor, New York, NY 10006
- **On Point Executions, LLC, c/o Gettenberg Consulting, 40 Wall Street, 34th Floor, New York, NY 10005
- **Pactolus River, LLC, 94 E. River Road, Rumson, NJ 07760

-
- * Regular Member
 - ** Regular Member Organization
 - Options Principal Member
 - Options Principal Member Organization
 - † Associate Member

- ‡ Associate Member Organization
 - # CBOE Member Organization
 - NYSE Member Organization
 - Limited Trading Permit Holder
 - Limited Trading Permit Holder Organization
 - @ Also Employed By Another Firm
 - (§) Sole Proprietor ceased
-

Proposed Transfers of Membership

Termination of Special Transfer Agreement – Regular

(To Be Effective October 18, 2007)

Mark D. Fowler* to **Dominic Macchio**
Susquehanna Investment Group and
Susquehanna Securities Independent

(To Be Effective at the Opening of Business October 22, 2007)

Mark Chmiel to **Dennis Muro**
Kellogg Capital Group LLC Independent

**Simultaneously transferring to another seat*

***Currently a member on another seat*

New Membership

Sale

Regular

(To Be Effective October 18, 2007)

Dominic Macchio to **Irwin Strauss**
Independent Independent

Prudential Equity Group LLC to **Jay Nadelson**
Independent Independent

Albert E. Schlesinger to **Ralph DellaCamera**
Independent Independent

(To Be Effective October 19, 2007)

A.G. Edwards & Sons, Inc. to **Allan Kachel**
Independent Independent

Special Transfer Agreement – Regular

(To Be Effective October 18, 2007)

Larkspur Securities Inc. to **Michael J. Stavalone**
Trader of
ATP Trading LLC

Susquehanna Investment Group to **Mark D. Fowler**
Trader/Specialist of
Susquehanna Investment Group and
Susquehanna Securities

Proposed Approved Person Organizations

(To Be Effective October 18, 2007)

BNY ConvergEx Group, LLC

Shareholder of
LiquidPoint LLC
311 South Wacker Drive, Ste. 4700
Chicago, IL 60606

Hamilton Wolcott Holdings, LLC

Sole Member of
Hamilton Executions LLC
71 Broadway, Suite 12J
New York, NY 10006

Any information you may have which would be pertinent to consideration of the applicants by the Exchange should be promptly reported to the Membership and Registration Department at 212-306-1419.

Current Reports Made to the Exchange

Members attention is called to the fact that the following reports are made to the Exchange within the coming next week:

Report – To FINRA Amex Regulation Division - Financial Regulation Dept. Due on or Before

This request for reports is issued on behalf of the American Stock Exchange LLC (Amex) by the Financial Regulation Dept. of the FINRA Amex Regulation Division pursuant to a grant of authority to the FINRA. Accordingly, this constitutes a request for reports by the Amex.

Web FOCUS Report Part II Monthly - Member Organizations carrying customers and/or self-clearing are required to file by the 17th business day after month-end. Tues. Oct. 16

Web FOCUS Report Part IIA Monthly or Net Capital Computation - Member Organization and Sole Proprietor broker-dealers subject to SEC Rule 15c3-1 net capital requirements but not carrying customers and/or self-clearing are required to file by the 17th business day after month-end. Tues. Oct. 16

Equity Computation - Member Organizations subject to Exchange Rule 110 equity requirements **and exempt from SEC Rule 15c3-1 net capital requirements** are required to file by the 17th business day after month-end. **Amex market-makers in non-option products are subject to SEC Rule 15c3-1 net capital requirements and must file a Web FOCUS Report Part IIA or Net Capital Computation.** Tues. Oct. 16

All terminating Amex-designated Member Organizations and Sole Proprietor broker-dealers are required to file an SEC Rule 17a-5(b) Web FOCUS Report Part II Withdrawal or IIA Withdrawal. Use the Web FOCUS Blank Forms tab to access the appropriate form.

Web FOCUS Users experiencing access problems with Web FOCUS should contact the **Gateway Call Center @ 301-869-6699** for assistance.

Please contact the FINRA Amex Regulation Division - Financial Regulation Dept., One Liberty Plaza, 51st Floor – Robert Devine 212-858-4448 regarding Web FOCUS entitlement procedures, MO-14 statement, or MO-15 report. Other questions regarding financial reporting should be directed to your FRD examiner.

Please refer to Amex Notice REG 2006–55 dated Oct. 26, 2006, for additional information about filing reports with the Financial Regulation Dept. This Notice is posted on the website at:
<http://www.amex.com/amextrader/tdrInfo/data/axNotices/2006/reg102606.html>.

Equities Mid-Month Short Interest Schedule 2007

Trade Date	Settlement Date	Report Due	Report Published
(Wednesday) October 10	(Monday) October 15	(Wednesday) October 17 1:00 p.m. EST	(Friday) October 19

Derivatives (End-of-Month) Short Interest Schedule 2007

Trade Date	Settlement Date	Report Due	Report Published
(Friday) October 26	(Wednesday) October 31	(Thursday) November 1 1:00 p.m. EST	(Monday) November 5

The List

This information can be found on the Web. In order to retrieve this information, go to <http://www.amextrader.com> and from the home page click the Daily List button. At the Daily List home page scroll down to Amex issues and select the view link for the current date. Should you have any questions please call (212) 306-2438.

The Following Securities Have Been Approved for Listing:

Advanced Battery Technologies, Inc 49,968,998 shares of Common Stock, Par Value \$.001

Hicks Acquisition Company I, Inc 110,500,000 shares of Common Stock, Par Value \$.0001

Hicks Acquisition Company I, Inc 64,500,000 Warrants expiring September 28, 2011

NeoStem, Inc 635,000 Warrants expiring July 16, 2012

PowerShares Exchange-Traded Fund Trust II 400,000 PowerShares Emerging Markets Sovereign Debt Portfolio

PowerShares Exchange-Traded Fund Trust II 400,000 PowerShares 1-30 Laddered Treasury Portfolio

PowerShares Exchange-Traded Fund Trust II 100,000 PowerShares Insured California Municipal Bond Portfolio

PowerShares Exchange-Traded Fund Trust II 400,000 PowerShares Insured National Municipal Bond Portfolio

PowerShares Exchange-Traded Fund Trust II 100,000 PowerShares Insured New York Municipal Bond Portfolio

SP Acquisition Holdings, Inc 40,000,000 Units

Additional & Substitution Listings:

Ascendia Brands, Inc., 7,911,546 Shares of Common Stock, \$0.001 Par Value

Gasco Energy, Inc., 1,000,000 Shares of Common Stock, \$0.0001 Par Value

General Moly, Inc., 1,600,000 Shares of Common Stock, \$0.001 Par Value

IA Global, Inc., 7,841,075 Shares of Common Stock, \$0.01 Par Value

Iteris, Inc., 1,096,250 Shares of Common Stock, \$0.10 Par Value

Medicure, Inc., 19,286,956 Shares of Common Stock, No Par Value

MHI Hospitality Corp., 346,000 Shares of Common Stock, \$0.01 Par Value

Simulations Plus, Inc., 11,595,948 Shares of Common Stock, \$0.001 Par Value

Velocity Asset Management, 225,000 Shares of Common Stock, \$0.001 Par Value

Corporate Actions and New Listings announced for October 5, 2007 – October 12, 2007 can be viewed on the Issues Daily list homepage on amextrader.com; click on [Issues Daily List](#) to view the Issues Daily List.

Registered Interest

<u>Name of Company</u>	<u>Record Date</u> <u>(New York unless stated otherwise)</u>
Interest due August 1	
Chandler (USA), Inc. (8 ¾% Senior Debentures, due 7/1/14)	Jul. 15
Interest due August 15	
Bank of America 0.25% Senior Notes Optionally Exchangeable, due 2/15/2012	Jul. 31
Interest due August 16	
Lehman Brothers 0.25% Medium-Term Notes Performance, due 2/16/2012	Aug. 1
Interest due August 22	
Citigroup Global Markets Holdings, Inc. (3% Pfizer Exchangeable Notes, due 8/22/08)	Aug. 21
Interest due August 24	
Bank of America (1.25% SPX Index CYCLES, due 2/24/10)	Aug. 9
Interest due August 27	
Bank of America (1.25% DJIA Index CYCLES, due 8/25/10)	Aug. 10
Interest due August 31	
CIBC World Markets (Ten Large-Cap U.S. Stocks Premium Yield Generator Notes, due 8/31/11)	Aug. 30
Interest due September 1	
Trans-Lux Corp. (8.25% Limited Conv Sr Subordinated Notes, due 3/1/12)	Aug. 15
Interest due September 4	
Lehman Brothers (2% Morgan Stanley link Notes, due 3/3/09)	Aug. 19
Freedom Depository LLC/Amherst Securities (5.10% Cl A, USAuto Series 2004-1, Freedom Certificates, due 3/3/211)	Aug. 31
Interest due September 13	
Citigroup Global Market Holdings (0.25% Basket of Tech Stocks Cash Exchangeable Notes, due 09/13/07)	Aug. 29

Interest due September 20

Citigroup Global Market Holdings (Dow Jones EURO STOXX 50 Index Principal-Protected Notes, due 12/20/07) Sep. 5

Interest due September 24

Bank of America
(1.625% Nikkei 225 Index CYCLES, due 3/23/10) Sep. 8

Interest due September 27

Bank of America
(1.25% “100/0” Basket of 4 Indices CYCLES, due 9/27/11) Sep. 13

Interest due September 28

CIBC World Markets (Ten Large-Cap U.S. Stocks Premium Yield Generator Notes, due 9/28/07) Sep. 27

Interest due October 29

Bank of America
(1.75% Basket of 3 Indices CYCLES, due 4/30/09) Oct. 14

Credit Suisse
(14.25% Ford Motor Corp. Reverse Convertible Notes, due 4/29/2008) Oct. 14

CIBC World Markets
(2% DJIA Principal Protected Notes, due 4/29/10) Oct. 26

Interest due October 30

Bank of America
(1.75% S&P 500 CYCLES, due 4/28/11) Oct. 15

Morgan Stanley
(2% Proctor & Gamble Exchangeable Notes, due 4/30/11) Oct. 15

Interest due November 26

Bank of America
(1.5% S&P 500 Capital Protect Equity Performance Notes, due 11/26/2010) Nov. 1

Interest due November 27

Bank of America
(1% Basket CYCLES linked to a 70/30 Basket of Four Indices & 1 ETF, due 5/27/10) Nov. 12

Interest due November 28

Merrill Lynch & Co., Inc. (1% McDonald's Convertible Exchangeable Securities, due 5/28/09) Nov. 13

List of Bonds Dealt in “Flat”

Traded Flat from Listing Date

ABN AMRO	SHH.A (S&P 500 Structured Hybrid Equity link Secs-1/16/14)
American Corporate Accruals, Inc.	(Callable Principal Receipts-2024)
Bank of America Corp.	BOA.D (Nasdaq-100 EAGLES-6/1/10)
Bank of America Corp.	BOA.E (S&P 500 EAGLES- 6/28/10)
Bank of America Corp.	BOA.F (Nikkei 225 6% Min Return linked Notes-6/24/10)
Bank of America Corp.	BOA.G (16 Energy Stk linked EAGLES-8/2/10)
Bank of America Corp.	BOA.H (Russell 2000 EAGLES-8/28/09)

Bank of America Corp.	BOA.I (DJIA Minimum Return EAGLES-9/25/09)
Bank of America Corp.	BOA.J (Nasdaq-100 EAGLES-10/29/10)
Bank of America Corp.	BOA.M (Nikkei 225 Return Linked Notes-6/28/10)
Bank of America Corp.	BOA.O (Russell 2000 EAGLES-1/28/11)
Bank of America Corp.	BOA.R (Nasdaq-100 EAGLES-3/24/09)
Bank of America Corp.	BOA.U (EAGLES linked to the DJIA-6/25/10)
Bank of America Corp.	BOA.W (Amex Exch Biotechnology Index EAGLES-8/28/09)
Bank of America Corp.	BOA.Z (Energy Stocks EAGLES-9/29/10)
Bank of America Corp.	BOR.A (S&P 500 EAGLES-10/29/10)
Bank of America Corp.	BOR.B (Nasdaq-100 Index EAGLES-11/23/10)
Bank of America Corp.	BOR.C (CBOE China Index EAGLES-11/24/10)
Bank of America Corp.	BOR.F (DJIA EAGLES-3/25/11)
Bank of America Corp.	BOR.I (Basket of 3 Indices Return Linked Notes-8/26/10)
Bank of America Corp.	BOR.J ("80/20" Basket of Four Indices Return Link Notes-6/27/11)
Bank of America Corp.	BOR.K (S&P 500 EAGLES-7/29/10)
Bank of America Corp.	BOR.L (Return link notes linked to a basket of two Indices-1/28/11)
Bank of America Corp.	BOR.M (Index EAGLES Linked to the DJIA-8/26/10)
Bank of America Corp.	BOR.N (Dow Jones EURO STOXX 50 Return Link Notes-8/25/11)
Bank of America Corp.	BOR.O (S&P 500 Index EAGLES-10/3/11)
Bank of America Corp.	BOR.P (Amex Biotechnology Index EAGLES-10/28/11)
Bank of America Corp.	BOR.Q (Basket of Three Indices Return Link Notes-10/27/11)
Bank of America Corp.	BOR.R (Basket of Two Indices Return Link Notes-11/22/10)
Bank of America Corp.	BOR.S (Basket of Five EAGLES-11/23/11)
Bank of America Corp.	BOR.T (DJIA Index EAGLES -12/27/11)
Bank of America Corp.	BOR.U (Basket of 3 Indices Return Link Notes-12/29/11)
Bear Stearns & Co. Inc.	BYF.A (U.S. Sector ETFs Principal Protect Notes-2/5/08)
Bear Stearns & Co. Inc.	BYF.B (S&P 500 Principal Protect Notes-10/1/08)
Bear Stearns & Co. Inc.	BYF.C (NASDAQ-100 Principal Protect Notes-12/22/09)
Bear Stearns & Co. Inc.	BYF.D (DJIA Principal Protect Notes-3/23/11)
Bear Stearns & Co. Inc.	BYF.F (Three Intl Indices Medium Term Notes-8/2/10)
Canadian Imperial Bank of Commerce	MRS.A (S&P 500 linked Notes-7/30/10)
Canadian Imperial Bank of Commerce	MRS.B (CIBC 10 U.S. Stks Optimizer Notes-1/30/09)
Canadian Imperial Bank of Commerce	MRS.C (CIBC 10 U.S. Stks Optimizer Notes-3/5/09)
Canadian Imperial Bank of Commerce	MRS.D (CIBC 10 U.S. Stks Optimizer Notes-3/31/09)
Canadian Imperial Bank of Commerce	MRS.E (CIBC 10 U.S. Stks Optimizer Notes-4/30/09)
Canadian Imperial Bank of Commerce	MRS.F (S&P 500 MERITS-6/30/11)
Canadian Imperial Bank of Commerce	MRS.G (CIBC 10 U.S. Stks Optimizer Notes-6/30/09)
Canadian Imperial Bank of Commerce	MRS.H (NASDAQ-100 MERITS-8/1/11)
Canadian Imperial Bank of Commerce	MRS.I (DJIA MERITS-8/31/11)
Canadian Imperial Bank of Commerce	MRS.J (S&P 500 Principal Protected Notes-3/31/09)
Canadian Imperial Bank of Commerce	MRS.K (DJIA MERITS-1/30/09)
Canadian Imperial Bank of Commerce	MRS.M (S&P 500 Index linked Notes-12/31/08)
Canadian Imperial Bank of Commerce	MRS.O (Global Indices Basket linked Notes-9/18/08)
Canadian Imperial Bank of Commerce	MRS.S (S&P 500 Principal Protected Notes-6/15/10)
Canadian Imperial Bank of Commerce	MRS.U (Global Basket of Three Equity Indices -7/29/10)
Citigroup Global Market Holdings Inc.	NDG.A (Nasdaq-100 Principal Protect Notes-12/4/07)
Citigroup Global Market Holdings Inc.	SCW.A (S&P 500 Principal Protect Notes-10/22/07)
Credit Suisse (USA), Inc.	IPJ.A (S&P 500 Five Year Contingent Protect Secs-9/30/08)
Credit Suisse (USA), Inc.	IPJ.B (S&P 500 Five Year Contingent Protect Secs-11/26/08)
Goldman Sachs Group, Inc. (The)	GSD.B (S&P 500 linked Notes-8/7/11)
Goldman Sachs Group, Inc. (The)	GSN.B (S&P 500 Medium Term Notes-2/28/13)
Goldman Sachs Group, Inc. (The)	GSN.C (S&P 500 Medium Term Notes-4/18/13)
Goldman Sachs Group, Inc. (The)	GSN.D (S&P 500 Medium Term Notes-5/30/13)
JP Morgan Chase & Co.	JPL.A (Consumer Price Index Secs-1/15/10)
JP Morgan Chase & Co.	JPL.A (S&P 500 linked Notes-11/26/07)
JP Morgan Chase & Co.	JPL.B (S&P 500 Market Participation Notes-3/12/08)
JP Morgan Chase & Co.	JPL.C (Cap Qtr Observation Notes-9/22/08)

JP Morgan Chase & Co.	JPL.D (S&P 500 Cap Qtr Observation Notes-10/30/08)
JP Morgan Chase & Co.	JPL.E (S&P 500 Cap Qtr Observation Notes-1/21/09)
JP Morgan Chase & Co.	JPL.F (S&P 500 Mkt Participation Notes-3/31/09)
JP Morgan Chase & Co.	JPL.G (S&P 500 Cap Qtr Observation Notes-7/7/09)
JP Morgan Chase & Co.	JPL.H (S&P 500 Cap Qtr Observation Notes-9/21/09)
JP Morgan Chase & Co.	JPL.I (S&P 500 Principal Protect Notes-9/30/10)
Lehman Brothers Holdings Inc.	LBM.C (General Electric Co. Medium Term Notes-5/15/10)
Lehman Brothers Holdings Inc.	LBN.A (Dow Jones EUROSTOXX 50 Index-7/7/08)
Lehman Brothers Holdings Inc.	LBN.B (Dow Jones EURO STOXX 50 Index-7/29/08)
Lehman Brothers Holdings Inc.	LSQ.C (DJIA SUNS-4/29/10)
Lehman Brothers Holdings Inc.	LSQ.D (Nikkei 225 SUNS-6/10/10)
Lehman Brothers Holdings Inc.	PSZ.C (S&P 500 SUNS-8/5/08)
Lehman Brothers Holdings Inc.	PSZ.D (S&P 500 SUNS-9/27/07)
Lehman Brothers Holdings Inc.	PSZ.E (S&P 500 Callable SUNS-11/6/09)
Lehman Brothers Holdings Inc.	PSZ.F (DJ Global Titans Index SUNS-2/9/10)
Lehman Brothers Holdings Inc.	RLN.D (Nasdaq-100 Rebound RANGERS-6/7/08)
Lehman Brothers Holdings Inc.	RLN.E (S&P 500 linked Notes-9/28/09)
Lehman Brothers Holdings Inc.	RLN.G (DJ STOXX 50 linked Notes-11/15/09)
Lehman Brothers Holdings Inc.	RLN.H (Russell 2000 Index linked Notes-12/23/09)
Lehman Brothers Holdings Inc.	RLN.I (S&P 500 Index-Plus Notes-3/3/10)
Merrill Lynch	COD.A (Coca-Cola Co Exchangeable Conv Secs-9/30/08)
Merrill Lynch	EXO.A (Exxon Mobile Exchangeable Conv Secs-10/3/08)
Morgan Stanley	BSN.A (3 stks Sr Exchangeable Debt-12/30/08)
Morgan Stanley	ITM.A (Intel Stk Participation Notes-12/30/10)
Morgan Stanley	JMS.A (S&P 500 Callable Notes-12/30/08)
Morgan Stanley	MRW.A (S&P 500 Mkt Participation Secs-12/30/10)
Morgan Stanley	MWT.A (Wal-Mart Stk Participation Notes-9/15/10)
PMA Capital Corporation	PMK (8.5% Monthly Income Sr Notes-6/15/18)
UBS AG	PPV.C (Russell 2000 Principal Protect Notes-4/30/09)
UBS AG	PPV.E (DJIA Principal Protect Notes-5/29/09)
UBS AG	PPV.G (S&P 500 Principal Protect Notes-11/7/07)
UBS AG	PPV.H (S&P 500 Principal Protect Notes-12/7/07)
UBS AG	PPV.I (U.S. Equity Indices Principal Protect Notes-10/7/11)
UBS AG	PPV.J (DJIA Principal Protect Notes-2/7/08)
UBS AG	PPV.K (S&P 500 Principal Protect Notes-9/8/10)
UBS AG	PPV.L (S&P 500 Principal Protect Notes-4/8/10)
UBS AG	PPV.M (S&P 500 Principal Protect Notes-10/7/09)
UBS AG	PPV.N (S&P 500 Principal Protect Notes-5/7/10)
UBS AG	PPV.O (S&P 500 Principal Protect Notes-6/7/10)
UBS AG	PPV.P (S&P 500 Principal Protect Notes-7/8/10)
UBS AG	PPV.Q (S&P 500 Principal Protect Notes-8/6/10)
UBS AG	PPV.R (S&P 500 Principal Protect Notes-9/8/09)
UBS AG	PPZ.A (S&P 500 Partial Protect Notes-11/05/07)
UBS AG	PPZ.B (S&P 500 Partial Principal Protect Notes-2/28/08)
UBS AG	PPZ.C (S&P 500 Partial Principal Protect Notes-11/5/10)
Wachovia Corporation	WDB.B (Nikkei 225 ASTROS-3/2/10)
Wachovia Corporation	WDB.D (S&P 500 linked to Trigger CAPITALS-12/8/08)
Wachovia Corporation	WDB.E (Global Basket of Indices ASTROS-2/2/10)
Wachovia Corporation	WDB.I (Global Equity Basket ASTROS-5/5/10)
Wachovia Corporation	WDB.M (Metals-China Basket ASTROS-1/28/09)
Wells Fargo & Company	WFG.A (S&P 500 linked Notes-1/4/08)
Wells Fargo & Company	WFG.B (Nasdaq-100 linked Notes-1/4/08)
Wells Fargo & Company	WFG.C (Basket linked Notes-4/15/09)
Wells Fargo & Company	WFG.D (DJIA High Point Average linked Notes-5/5/10)
Wells Fargo & Company	WFG.F (S&P 500 Callable Notes-8/25/09)

Halted Issues

Transactions in the following securities have been halted for a period exceeding five trading days. Members and member organizations are reminded of the provisions of Exchange Rule 462(d)1 when determining the value to place upon those securities for margin purposes.

Any purchase or sale of a security which has been halted by the Exchange may be subject to Federal regulation as well as the rules of the other market(s) where the purchase or sale is to take place.

Members are reminded that open orders on the books of the specialist for an issue which has been halted for 30 days are automatically canceled.

<u>Name of Company (Issue)</u>	<u>Date of Halt</u>
Dyadic International	April 23, 2007

a — Addition to previous Bulletin.

Dividends

Dividends announced for October 8, 2007 – October 12, 2007 can be viewed on the Dividend Daily list homepage on amextrader.com; click on [Dividends Daily List](#) to view the Dividends Daily List.

Please call the Corporate Actions/Proxy Rulings Department at 212-306-2438 with any questions.

Meetings of Stockholders

Members should, insofar as possible without additional expense, transfer certificates of stock held in persons or firms over whom they have no control into their own names, or those of persons over whom they have control, before the record date for determining stockholders entitled to vote.

As a guide to Member Organizations in giving proxies pursuant to Rule 577 to vote stock held for the account of customers the following symbols are used in the list of meetings below:

- V = Preceding Record Date indicates Members MAY vote the entire proxy under the Ten Day Rule.
- X = Together with numbers or letters indicates that Members MAY NOT vote the designated matters without specific instructions from owners. Numbers or letters shown are those used in the PROXY FORM.
- X = With no other designation indicates that Members MAY NOT vote the entire proxy.
- NP = Indicates that proxies are not being solicited.

The following abbreviations are used for items not numbered or lettered in the proxy form:

- SP = Stockholder Proposal(s) BY = By-Law Amendment
- OP = Option Plan CH = Charter Amendment
- STP = Stock Purchase Plan MP = Merger Proposal
- CP = Compensation Plan

Where no symbol appears, proxy material has not yet been received.

<u>Name of Company</u>	<u>Record</u>	<u>Meeting</u>
* Adcare Health Systems Inc.	Oct. 15	A Nov. 16
Arizona Star Resource Corp.	Sep. 13	A Oct. 23
* AXS-One Inc.	Oct. 18	A Nov. 29
Baldwin Technology Company, Inc.	Sep. 28	A Nov. 13
Bolt Technology Corporation	Oct. 12	A Nov. 20
Bovie Medical Corporation X-3-4	Sep. 15	A Oct. 30
Brooke Capital Corporation	Oct. 11	S
CardioTech International X-2	Aug. 16	A Oct. 16
Chad Therapeutics, Inc. V	Aug. 29	A Oct. 24
Coinmach Service Corp.	Oct. 12	S

Contango Oil and Gas Company		Oct.	12		A	Nov.	15
* Cuisine Solutions, Inc.	X-2-3A-3B	Oct.	3		A	Oct.	25
Delaware Investments CO Insured							
Municipal Income Fund	X-2-3	Jun.	19		A	Oct.	18
Delta Apparel, Inc.	X-2	Sep.	14		A	Nov.	8
Digital Angel Corporation		Sep.	28		A	Nov.	27
* Digital Power Corporation	V	Sep.	20		A	Nov.	29
* Dreams, Inc.	V	Oct.	11		A	Nov.	8
DRYCLEAN USA, Inc.		Sep.	28		A	Nov.	9
Dune Energy, Inc.	Note: (2)	Apr.	25				
* Ecology and Environment, Inc.		Dec.	3		A	Jan.	17
* Energy Income and Growth Fund	Note: (5)	Oct.	15		S	Jan.	8
Espey Manufacturing & Electronics Corp.		Oct.	9		A	Nov.	30
Evergreen Utilities and High Income Fund		Oct.	12				
Evolution Petroleum Corporation		Oct.	15		A	Dec.	4
* EXX Inc.		Oct.	31		A	Dec.	10
FieldPoint Petroleum Corporation	V	Sep.	14		A	Oct.	19
First American Minnesota Municipal Income Fund II		Oct.	17		A	Dec.	4
Flight Safety Technologies, Inc.	X-2	Sep.	26		A		
* Fountain Powerboat Industries, Inc.	V	Oct.	1		A	Nov.	13
* Freedom Acquisition Holdings, Inc.	X	Oct.	12		S	Oct.	31
* Glencairn Gold Corporation		Oct.	15		S	Nov.	21
Global Entertainment Corporation	V	Aug.	28		A	Oct.	17
* Golf Trust of America, Inc.	X	Oct.	1		S	Nov.	8
* Golf Trust of America, Inc.		Nov.	6		A	Dec.	14
GSE Systems Inc.		Oct.	12		S	Dec.	13
Halifax Corporation	V	Sep.	27		A	Nov.	2
Harbor Acquisition Corporation		Aug.	27		S		
* HemoSense Inc.	X	Oct.	4		S	Nov.	6
Hi-Shear Technology Corporation	V	Aug.	31		A	Oct.	30
HQ Sustainable Maritime Industries	V	Oct.	1		A	Nov.	30
IMA Exploration, Inc.	Note: (1)	Oct.	25		A	Dec.	4
* ImageWare Systems, Inc.		Nov.	1		A	Dec.	12
Immtech Pharmaceuticals, Inc.		Oct.	16		A	Nov.	29
Information Services Group, Inc.		Oct.	5		S		
Insite Vision, Inc.	X-2-3	Aug.	17		A	Oct.	15
International Tower Hill Mines Ltd.		Oct.	9		A	Nov.	13
InterSearch Group Inc.	Note: (2)	Oct.	8				
ION Media Networks, Inc.	Note: (2)	Jul.	27				
Isolagen, Inc.	V	Sep.	5		A	Nov.	5
Jaclyn, Inc.		Oct.	19		A	Nov.	28
Kimber Resources Inc.	Note: (1)	Oct.	23		A	Dec.	12
Kitty Hawk, Inc.		Jul.	27		A		
LaBarge, Incorporated		Sep.	21		A	Nov.	14
Lazare Kaplan International Inc.	X-3	Sep.	14		A	Nov.	8
Memry Corporation		Oct.	5		A	Nov.	29
Midwest Air Group, Inc.		Sep.	24		S	Oct.	30
Minnesota Municipal Income Portfolio		Oct.	17		A	Dec.	4
National Health Realty, Inc.	X	Sep.	14		S	Oct.	25
National HealthCare Corporation	X	Sep.	14		S	Oct.	25
NEON Communications Group, Inc.	X	Sep.	28		S	Nov.	6
* Nephros, Inc.	Note: (2)	Sep.	18				

New England						
Realty Associates LP	Note: (4)	Sep.	24			
Nevada Gold & Casinos Inc.	V	Aug.	23	A	Oct.	15
Northeast Bancorp		Sep.	21	A	Nov.	7
*Northern Technologies International Corp.		Nov.	30	A	Jan.	24
Nova Biosource Fuels, Inc.	X-2	Sep.	18	A	Oct.	30
Nuveen California Dividend Advantage Municipal Fund 2		Oct.	19	A	Dec.	18
Nuveen California Dividend Advantage Municipal Fund 3		Oct.	19	A	Dec.	18
Nuveen California Premium Income Municipal Fund		Oct.	19	A	Dec.	18
Nuveen Insured California Dividend Advantage Municipal Fund		Oct.	19	A	Dec.	18
Nuveen Insured California Tax-Free Advantage Municipal Fund		Oct.	19	A	Dec.	18
Oilsands Quest Inc.	V	Aug.	17	A	Oct.	17
*Orion HealthCorp, Inc.	Note: (3)	Oct.	1	S	Oct.	23
Orleans Homebuilders, Inc.		Oct.	26	A	Dec.	6
Orsus Xelent Technologies Inc.		Aug.	30	A		
PainCare Holdings, Inc.	V	Oct.	3	A	Oct.	26
Palatin Technologies Inc.		Oct.	25	A	Dec.	7
*Pipex Pharmaceuticals, Inc.	X-2	Oct.	9	A	Nov.	2
PowerShares DB Gold Fund	X	Aug.	30	S	Oct.	30
PowerShares DB Silver Fund	X	Aug.	30	S	Oct.	30
PowerShares DB Precious Metals Fund	X	Aug.	30	S	Oct.	30
Prospect Medical Holdings, Inc.	X-2-4	Sep.	21	A	Nov.	14
*R.G. Barry Corporation	V	Sep.	10	A	Nov.	8
*Rydex Russell Top 50	Note: (6)	X Aug.	6	S	Nov.	1
*Rydex S&P 500 Pure Growth	Note: (6)	X Aug.	6	S	Nov.	1
*Rydex S&P 500 Pure Value	Note: (6)	X Aug.	6	S	Nov.	1
*Rydex S&P Equal Weight Consumer Discretionary	Note: (6)	X Aug.	6	S	Nov.	1
*Rydex S&P Equal Weight Consumer Staples	Note: (6)	X Aug.	6	S	Nov.	1
*Rydex S&P Equal Weight Energy	Note: (6)	X Aug.	6	S	Nov.	1
*Rydex S&P Equal Weight Financial	Note: (6)	X Aug.	6	S	Nov.	1
*Rydex S&P Equal Weight Health Care	Note: (6)	X Aug.	6	S	Nov.	1
*Rydex S&P Equal Weight Industrials	Note: (6)	X Aug.	6	S	Nov.	1
*Rydex S&P Equal Weight Materials	Note: (6)	X Aug.	6	S	Nov.	1
*Rydex S&P Equal Weight Tech	Note: (6)	X Aug.	6	S	Nov.	1
*Rydex S&P Equal Weight Utilities	Note: (6)	X Aug.	6	S	Nov.	1
*Rydex S&P Midcap 400 Pure Growth	Note: (6)	X Aug.	6	S	Nov.	1
*Rydex S&P Midcap 400 Pure Value	Note: (6)	X Aug.	6	S	Nov.	1
*Rydex S&P Smallcap 600 Pure Growth	Note: (6)	X Aug.	6	S	Nov.	1
*Rydex S&P Smallcap 600 Pure Value	Note: (6)	X Aug.	6	S	Nov.	1
Samaritan Pharmaceuticals, Inc.	V	Aug.	29	A	Oct.	23
*Senesco Technologies, Inc.	Note: (7)	Oct.	19	A	Dec.	13

SIFCO Industries, Inc.		Dec.	3	A	Jan.	29
* Singing Machine Company, Inc. (The)	V	Oct.	1	A	Nov.	5
Sport Supply Group, Inc.		Oct.	15	A	Nov.	15
SunLink Health Systems, Inc.	V	Sep.	18	A	Nov.	12
Symmetry Holdings Inc.	X	Oct.	2	S	Oct.	25
Target Logistics, Inc.	Note: (2)	Sep.	17			
Tarpon Industries Inc.	X-1-2	Aug.	24	A	Oct.	18
Tel Instrument Electronics Corp.		Oct.	29	A	Dec.	5
* Universal Insurance Holdings, Inc.		Mar.	31	A	May	16
* Versar, Inc.	V	Sep.	28	A	Nov.	14
XELR8 Holdings, Inc.	X-2-3	Sep.	24	A	Nov.	12
*YM BioSciences, Inc.		Oct.	22	A	Nov.	28

*Additions to or change from previous Bulletins.

S Special Meeting.

A Annual Meeting.

Adj Adjourned Meeting.

† In Lieu of Annual Meeting.

(1) Meeting is both Annual and Special.

(2) Record date is for "Information Statement" only, no solicitation involved.

(3) Meeting date postponed by the Company.

(4) The Board of Directors has set September 24, 2007 as the record date with respect to a consent solicitation. The consent cut-off date will be October 29, 2007.

(5) Record date and meeting type revised by the Company.

(6) Meeting adjourned, by the Company, from October 4th to November 1, 2007.

(7) Record date revised by the Company.



Geraldine M. Brindisi
 Corporate Secretary