



Weekly Bulletin

November 9, 2007

Notice

The American Stock Exchange will not be open for business on Thursday, November 22, 2007 in observance of Thanksgiving Day.

Early Closing

On Friday, November 23 2007, the American Stock Exchange will end trading (1) for those products that normally cease trading at 4:00 p.m. at 1:00 p.m.; and (2) for those products that normally cease trading at 4:15 p.m. at 1:15 p.m. Basket trades for the Amex After-Hours Trading program (corresponds to NYSE Crossing Session II) will be reported to the Consolidated Tape at 1:30 p.m.

Membership Seat Prices (in 000s)

(As of 9:30 A.M., November 9, 2007)

	BID	OFFER	LAST CONTRACTED
Regular	250	300	260
Options Principal	25	None	320
Limited Trading Permits	None	6.5	25

Includes only those sales arranged pursuant to bids and offers filed with the Membership and Registration Department between the hours of 9:30 a.m. – 4:00 p.m.

Seat market information may be obtained by calling toll-free (877) Amex Seat (877-263-9732). Seat prices are updated intraday on www.amextrader.com.

Membership Seat Sales

Regular

(Since November 1, 2007)

\$260,000 – November 8, 2007

\$270,000 – November 7, 2007

\$280,000 – November 2, 2007

Membership Lease Prices

(As of 9:30 A.M., November 9, 2007)

The following leases were received:

Includes monthly rental rate information only with respect to Exchange-approved negotiated leases. Not reported are arms length leases or leases for a nominal consideration.

REGULAR

<u>Price</u>	New	<u>Term</u>	<u>Price</u>	Renewal	<u>Term</u>
None			2 @ \$400		6 months
			2 @ \$375		6 months
			4 @ \$350		6 months
			\$350		1 year
			\$325		1 year

OPTIONS PRINCIPAL

<u>Price</u>	New	<u>Term</u>	<u>Price</u>	Renewal	<u>Term</u>
None			\$325		1 year

LIMITED TRADING PERMIT

<u>Price</u>	New	<u>Term</u>	<u>Price</u>	Renewal	<u>Term</u>
None			None		

Transfers of Membership

**Termination of Special Transfer Agreement – Regular
(Effective November 2, 2007)**

Spencer M. Meyers to **Charles Czaplinski**
DePaola Trading, Inc. Independent
Badge No. 441-M 138 Oakmont Road
Yarmouthport, MA 02675
Seat No. 27-R

(Effective November 8, 2007)

John P. English to **DeMaio Holdings, LLC**
PFTC Trading L.L.C. Attn: Carmine G. DeMaio
Badge No. 907-E 3302 Churchill Drive
Toms River, NJ 08753
Seat No. 834-R

Sean Feeney* to **Raymond James & Associates Inc.**
AGS Specialists LLC 880 Carillon Parkway
Badge No. 811-C P.O. Box 12749
St. Petersburg, FL 33733-2749
Seat No. 265-R

Steven S. Meranus to **Michael H. Schwartz**
PFTC Trading L.L.C. Independent
Badge No. 907-S 15 West 24th Street
New York, NY 10010
Seat No. 674-R

Bronson Plocus to **Dawn Kallman**
AGS Specialists LLC Independent
Badge No. 810-P 6 Tatem Way
Old Westbury, NY 11568
Seat No. 771-R

**Simultaneously transferring to another seat*

***Currently a member on another seat*

New Membership

Special Transfer Agreement – Regular (Effective November 6, 2007)

Neil Held
Independent

to

Harry Morad
Trader
Group One Trading, L.P.
2 Rector Street, Suite 1001
New York, NY 10006
Seat No. 565-R
Badge No. 826-M

(Effective November 8, 2007)

Dawn Kallman
Independent

to

Sean Feeney
Specialist
AGS Specialists LLC
One World Financial Center
200 Liberty Street, 26th Floor
New York, NY 10281
Seat No. 771-R
Badge No. 811-C

Current Member – Firm Status Change

Special Transfer - Regular (Effective November 8, 2007)

Christopher D. Clark
Amerivest Partners LLC
(Paris Securities Corporation – Lessor)
Badge No. 50

to

Christopher D. Clark
House Broker
Amerivest Partners LLC and
Jefferies Execution Services Inc.
40 Wall Street, 45th Floor
New York, NY 10005
Seat No. 622-R
Badge No. 50

Approved Person Organizations

(Effective November 8, 2007)

Bear Stearns Equity Finance Cayman, Ltd. **Bear Strategic Investments Corp.**

Under Common Control With
HBH Specialists LLC
40 Wall Street, 42nd Floor
New York, NY 10005

JSOF, LLC **JSOH, LLC**

Under Common Control With
Jane Street Capital LLC
One New York Plaza, 33rd Floor
New York, NY 10004

JSOF, LLC **JSOH, LLC**

Under Common Control With
Jane Street Specialists LLC
One New York Plaza, 33rd Floor
New York, NY 10004

JSOF, LLC
JSOH, LLC
Under Common Control With
Jane Street Trading LLC
One New York Plaza, 33rd Floor
New York, NY 10004

Changes in Member Organizations

****HBH Specialists LLC**

Bear Stearns Equity Finance Cayman, Ltd. and Bear Strategic Investments Corp. became Approved Person Organizations, effective November 8, 2007.

****Jane Street Capital LLC**

JSOF, LLC and JSOH, LLC became Approved Person Organizations, effective November 8, 2007.

****Jane Street Specialists LLC**

JSOF, LLC and JSOH, LLC became Approved Person Organizations, effective November 8, 2007.

****Jane Street Trading LLC**

JSOF, LLC and JSOH, LLC became Approved Person Organizations, effective November 8, 2007.

****PFTC Trading L.L.C.**

Ceased to be registered as a Regular Member Organization with the transfers of *John P. English (Badge #907-E and Steven S. Meranus (Badge #907-S, effective November 8, 2007.

-
- * Regular Member
 - ** Regular Member Organization
 - Options Principal Member
 - Options Principal Member Organization
 - † Associate Member
 - †† Associate Member Organization
 - # CBOE Member Organization
 - NYSE Member Organization
 - Limited Trading Permit Holder
 - Limited Trading Permit Holder Organization
 - @ Also Employed By Another Firm
 - (§) Sole Proprietor ceased
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Proposed Transfers of Membership

Termination of Special Transfer Agreement – Regular (To Be Effective November 15, 2007)

Matthew Hayes* Kellogg Capital Group LLC	to	Paul J. Andrie Independent
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(To Be Effective at the Opening of Business on November 18, 2007)

Seth B. Kanner Tag Team Trading LLC	to	Stacy J. Glass Independent
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Robert A. Wolfson** Golden Anchor Trading II L.L.C.	to	Lawrence Elow Independent
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**Simultaneously transferring to another seat*

***Currently a member on another seat*

New Membership

Sale

Regular

(To Be Effective November 15, 2007)

Paul J. Andrie

Independent

to

Andrie Trading LLC

Frank Attardo

Independent

to

Ralph DellaCamera

Independent

Special Transfer Agreement – Regular

(To Be Effective November 15, 2007)

Andrie Trading LLC

to

Matthew Hayes

Specialist of

Kellogg Capital Group LLC

Current Member – Firm Status Change

Special Transfer - Regular

(To Be Effective November 15, 2007)

James Anastas

Taylor Execution Service LLC and

Saratoga Capital LLC

(Joseph DeMarco – Lessor)

to

James Anastas

Commission Broker of

Taylor Execution Service LLC

Proposed Associate Member

(To Be Effective November 15, 2007)

Peter Bottini

OptionsXpress, Inc.

39 S. LaSalle Street, Suite 220

Chicago, IL 60603

Proposed Allied Members

(To Be Effective November 15, 2007)

John E. Andrie

Member of

Andrie Trading LLC

440 S. LaSalle Street, Suite 1910

Chicago, IL 60605

Paul J. Andrie

Member of

Andrie Trading LLC

440 S. LaSalle Street, Suite 1910

Chicago, IL 60605

Ned W. Bennett

Chief Executive Officer of

OptionsXpress, Inc.

39 S. LaSalle Street, Suite 220

Chicago, IL 60603

James A. Gray
Chairman of
OptionsXpress, Inc.
39 S. LaSalle Street, Suite 220
Chicago, IL 60603

Jeffrey Hipkins
Chief Executive Officer of
Bear Hunter Structured Products LLC
40 Wall Street, 42nd Floor
New York, NY 10005

Benjamin M. Morof
Chief Compliance Officer of
OptionsXpress, Inc.
39 S. LaSalle Street, Suite 220
Chicago, IL 60603

Thomas E. Stern
Chief Financial Officer
OptionsXpress, Inc.
39 S. LaSalle Street, Suite 220
Chicago, IL 60603

Proposed Approved Person Organization

(To Be Effective November 15, 2007)
OptionsXpress Holdings, Inc.
Owner of
OptionsXpress, Inc.
39 S. LaSalle Street, Suite 220
Chicago, IL 60603

Proposed Member Owner Organization

(To Be Effective November 15, 2007)
Andrie Trading LLC
440 S. LaSalle Street, Suite 1910
Chicago, IL 60605
John E. Andrie, Member
Paul J. Andrie, Member

Proposed Member Organization

(To Be Effective November 15, 2007)
‡OptionsXpress, Inc.
To be admitted as an Associate Member Organization.
Address: 39 S. LaSalle Street, Suite 220, Chicago, IL 60603
OptionsXpress Holdings, Inc., Owner
Ned W. Bennett, Chief Executive Officer
James A. Gray, Chairman
Benjamin M. Morof, Chief Compliance Officer
Thomas E. Stern, Chief Financial Officer
Peter Bottini, Associate Member

Any information you may have which would be pertinent to consideration of the applicants by the Exchange should be promptly reported to the Membership and Registration Department at 212-306-1419.

Current Reports Made to the Exchange

Please refer to Amex Notice REG 2006–55 dated Oct. 26, 2006, for information about filing reports with the Financial Regulation Department. This Notice is posted on the Amex webpage at: <http://www.amex.com/amextrader/tdrInfo/data/axNotices/2006/reg102606.html>. Please contact the Financial Regulation Department of FINRA Market Regulation - Amex Division, One Liberty Plaza, 51st Floor – Robert Devine at 212-858-4448 regarding Web FOCUS entitlement procedures, MO-14 statement, or MO-15 report. Other questions regarding financial reporting should be directed to your FRD examiner.

Amex Short Interest Schedule 2007

Please refer to Amex Short Interest Schedule 2007 posted on the Amex webpage at: http://www.amex.com/amextrader/tdrInfo/calendars/tdrInfo_calendars.html. Questions concerning short interest reporting requirements may be directed to James Turnbull, FINRA Market Regulation – Amex Division, at 212-306-1526 or James Alaimo, American Stock Exchange LLC, at 212-306-1540.

The List

This information can be found on the Web. In order to retrieve this information, go to <http://www.amextrader.com> and from the home page click the Daily List button. At the Daily List home page scroll down to Amex issues and select the view link for the current date. Should you have any questions please call (212) 306-2438.

The Following Securities Have Been Approved for Listing:

Capitol Acquisition Corp. 25,000,000 Units

Claymore Exchange-Traded Fund Trust 2, 200,000 Claymore/Clear Global Timber Index ETF

Enterprise Acquisition Corp. 25,000,000 Units

Golden Pond Healthcare, Inc 15,625,000 Units

Merrill Lynch 3,350,000 shares of Accelerated Return Bear Market Notes Linked to the Russell 3000 Index, maturing January 21, 2009

Merrill Lynch 5,900,000 shares of STARS Linked to the Dow Jones EURO STOXX 50 Index, maturing November 9, 2009

Merrill Lynch 1,251,000 shares of Accelerated Return Notes Linked to the PHLX Semiconductor Index, maturing January 21, 2009

Merrill Lynch 1,931,000 shares of Accelerated Return Notes Linked to the S&P MidCap 400 Index, maturing January 21/2009

Merrill Lynch 12,110,000 shares of Accelerated Return Notes Linked to the S&P 100 Index

Rydex ETF Trust 50,000 Rydex 2x S&P MidCap 400 ETF

Rydex ETF Trust 150,000 Rydex Inverse 2x S&P MidCap 400 ETF

Rydex ETF Trust 50,000 Rydex 2x Russell 2000 ETF

Rydex ETF Trust 50,000 Rydex Inverse 2x Russell 2000 ETF

Rydex ETF Trust 50,000 Rydex 2x S&P 500 ETF

Rydex ETF Trust 50,000 Rydex Inverse 2x S&P 500 ETF

ProShares Trust 150,000 UltraShort MSCI Japan ProShares

ProShares Trust 75,000 UltraShort FTSE/Xinhua China 25 ProShares

2020 ChinaCap Acquirco, Inc 7,500,000 Units

Western Goldfields Inc. 154,957,483 shares of Common Stock, Without Par Value

Additional & Substitution Listings

Austral Pacific Energy Ltd., 1,851,855 Shares of Common Stock, No Par Value

Cash Technologies, Inc., 541,667 Shares of Common Stock, \$0.01 Par Value

Cardero Resource Corp., 20,000 Shares of Common Stock, \$0.01 Par Value

Golden Star Resources Ltd., 46,647,321 Shares of Common Stock, No Par Value

InterOil Corp., 3,000,000 Shares of Common Stock, No Par Value

Inverness Medical Innovations, Inc., 4,358,634 Shares of Common Stock, \$0.001 Par Value

Lazare Kaplan International, Inc., 8,177,500 Shares of Common Stock, \$1.00 Par Value

Mines Management, Inc., 2,500,000 Shares of Common Stock, \$0.001 Par Value

OPKO Health, Inc., 30,000 Shares of Common Stock, \$0.001 Par Value

Tanzanian Royalty Exploration Corporation, 63,993 Shares of Common Stock, no Par Value

Taseko Mines Limited, 1,455,100 Shares of Common Stock, No Par Value

The Gorman-Rupp Company, 3,340,751 Shares of Common Stock, No Par Value

Westside energy Corporation, 2,456,140 Shares of Common Stock, \$0.01 Par Value

Corporate Actions and New Listings announced for November 2, 2007 – November 9, 2007 can be viewed on the Issues Daily list homepage on amextrader.com; click on [Issues Daily List](#) to view the Issues Daily List.

Registered Interest

<u>Name of Company</u>	<u>Record Date</u> <u>(New York unless stated otherwise)</u>
Interest due August 1	
Chandler (USA), Inc. (8 ¾% Senior Debentures, due 7/1/14)	Jul. 15
Interest due August 15	
Bank of America 0.25% Senior Notes Optionally Exchangeable, due 2/15/2012	Jul. 31
Interest due August 16	
Lehman Brothers 0.25% Medium-Term Notes Performance, due 2/16/2012	Aug. 1

Interest due August 22

Citigroup Global Markets Holdings, Inc.
(3% Pfizer Exchangeable Notes, due 8/22/08) Aug. 21

Interest due August 24

Bank of America
(1.25% SPX Index CYCLES, due 2/24/10) Aug. 9

Interest due August 27

Bank of America
(1.25% DJIA Index CYCLES, due 8/25/10) Aug. 10

Interest due August 31

CIBC World Markets (Ten Large-Cap U.S. Stocks Premium
Yield Generator Notes, due 8/31/11) Aug. 30

Interest due September 1

Trans-Lux Corp.
(8.25% Limited Conv Sr Subordinated Notes, due 3/1/12) Aug. 15

Interest due September 4

Lehman Brothers
(2% Morgan Stanley link Notes, due 3/3/09) Aug. 19

Freedom Depository LLC/Amherst Securities (5.10% Cl A,
USAuto Series 2004-1, Freedom Certificates, due 3/3/211) Aug. 31

Interest due September 13

Citigroup Global Market Holdings (0.25% Basket of Tech
Stocks Cash Exchangeable Notes, due 09/13/07) Aug. 29

Interest due September 20

Citigroup Global Market Holdings (Dow Jones EURO
STOXX 50 Index Principal-Protected Notes, due 12/20/07) Sep. 5

Interest due September 24

Bank of America
(1.625% Nikkei 225 Index CYCLES, due 3/23/10) Sep. 8

Interest due September 27

Bank of America
(1.25% "100/0" Basket of 4 Indices CYCLES, due 9/27/11) Sep. 13

Interest due September 28

CIBC World Markets (Ten Large-Cap U.S. Stocks
Premium Yield Generator Notes, due 9/28/07) Sep. 27

Interest due October 29

Bank of America
(1.75% Basket of 3 Indices CYCLES, due 4/30/09) Oct. 14

Credit Suisse
(14.25% Ford Motor Corp. Reverse Convertible Notes,
due 4/29/2008) Oct. 14

CIBC World Markets
(2% DJIA Principal Protected Notes, due 4/29/10) Oct. 26

Interest due October 30

Bank of America
(1.75% S&P 500 CYCLES, due 4/28/11) Oct. 15

Morgan Stanley
(2% Proctor & Gamble Exchangeable Notes, due 4/30/11) Oct. 15

Interest due November 26

Bank of America
(1.5% S&P 500 Capital Protect Equity
Performance Notes, due 11/26/2010) Nov. 1

Interest due November 27

Bank of America
(1% Basket CYCLES linked to a 70/30
Basket of Four Indices & 1 ETF, due 5/27/10) Nov. 12

Interest due November 28

Merrill Lynch & Co., Inc. (1% McDonald's Convertible
Exchangeable Securities, due 5/28/09) Nov. 13

Bear Stearns Companies, Inc.
(1.5% S&P 500 Principal Protected Notes, due 11/30/09) Nov. 15

Interest due November 29

Allstate Life Global Funding
(4.50% Secured Medium Term Notes, due 5/29/09) Nov. 14

List of Bonds Dealt in “Flat”

Traded Flat from Listing Date

ABN AMRO	SHH.A (S&P 500 Structured Hybrid Equity link Secs-1/16/14)
American Corporate Accruals, Inc.	(Callable Principal Receipts-2024)
Bank of America Corp.	BOA.D (Nasdaq-100 EAGLES-6/1/10)
Bank of America Corp.	BOA.E (S&P 500 EAGLES- 6/28/10)
Bank of America Corp.	BOA.F (Nikkei 225 6% Min Return linked Notes-6/24/10)
Bank of America Corp.	BOA.G (16 Energy Stk linked EAGLES-8/2/10)
Bank of America Corp.	BOA.H (Russell 2000 EAGLES-8/28/09)
Bank of America Corp.	BOA.I (DJIA Minimum Return EAGLES-9/25/09)
Bank of America Corp.	BOA.J (Nasdaq-100 EAGLES-10/29/10)
Bank of America Corp.	BOA.M (Nikkei 225 Return Linked Notes-6/28/10)
Bank of America Corp.	BOA.O (Russell 2000 EAGLES-1/28/11)
Bank of America Corp.	BOA.R (Nasdaq-100 EAGLES-3/24/09)
Bank of America Corp.	BOA.U (EAGLES linked to the DJIA-6/25/10)
Bank of America Corp.	BOA.W (Amex Exch Biotechnology Index EAGLES-8/28/09)
Bank of America Corp.	BOA.Z (Energy Stocks EAGLES-9/29/10)
Bank of America Corp.	BOR.A (S&P 500 EAGLES-10/29/10)
Bank of America Corp.	BOR.B (Nasdaq-100 Index EAGLES-11/23/10)
Bank of America Corp.	BOR.C (CBOE China Index EAGLES-11/24/10)
Bank of America Corp.	BOR.F (DJIA EAGLES-3/25/11)
Bank of America Corp.	BOR.I (Basket of 3 Indices Return Linked Notes-8/26/10)
Bank of America Corp.	BOR.J ("80/20" Basket of Four Indices Return Link Notes-6/27/11)
Bank of America Corp.	BOR.K (S&P 500 EAGLES-7/29/10)
Bank of America Corp.	BOR.L (Return link notes linked to a basket of two Indices-1/28/11)
Bank of America Corp.	BOR.M (Index EAGLES Linked to the DJIA-8/26/10)
Bank of America Corp.	BOR.N (Dow Jones EURO STOXX 50 Return Link Notes-8/25/11)
Bank of America Corp.	BOR.O (S&P 500 Index EAGLES-10/3/11)
Bank of America Corp.	BOR.P (Amex Biotechnology Index EAGLES-10/28/11)
Bank of America Corp.	BOR.Q (Basket of Three Indices Return Link Notes-10/27/11)
Bank of America Corp.	BOR.R (Basket of Two Indices Return Link Notes-11/22/10)
Bank of America Corp.	BOR.S (Basket of Five EAGLES-11/23/11)

Bank of America Corp. Bank of America Corp.	BOR.T (DJIA Index EAGLES -12/27/11) BOR.U (Basket of 3 Indices Return Link Notes-12/29/11)
Bear Stearns & Co. Inc. Bear Stearns & Co. Inc. Bear Stearns & Co. Inc. Bear Stearns & Co. Inc. Bear Stearns & Co. Inc.	BYF.A (U.S. Sector ETFs Principal Protect Notes-2/5/08) BYF.B (S&P 500 Principal Protect Notes-10/1/08) BYF.C (NASDAQ-100 Principal Protect Notes-12/22/09) BYF.D (DJIA Principal Protect Notes-3/23/11) BYF.F (Three Intl Indices Medium Term Notes-8/2/10)
Canadian Imperial Bank of Commerce Canadian Imperial Bank of Commerce Canadian Imperial Bank of Commerce Canadian Imperial Bank of Commerce Canadian Imperial Bank of Commerce Canadian Imperial Bank of Commerce Canadian Imperial Bank of Commerce Canadian Imperial Bank of Commerce Canadian Imperial Bank of Commerce Canadian Imperial Bank of Commerce Canadian Imperial Bank of Commerce Canadian Imperial Bank of Commerce Canadian Imperial Bank of Commerce Canadian Imperial Bank of Commerce Canadian Imperial Bank of Commerce Canadian Imperial Bank of Commerce	MRS.A (S&P 500 linked Notes-7/30/10) MRS.B (CIBC 10 U.S. Stks Optimizer Notes-1/30/09) MRS.C (CIBC 10 U.S. Stks Optimizer Notes-3/5/09) MRS.D (CIBC 10 U.S. Stks Optimizer Notes-3/31/09) MRS.E (CIBC 10 U.S. Stks Optimizer Notes-4/30/09) MRS.F (S&P 500 MERITS-6/30/11) MRS.G (CIBC 10 U.S. Stks Optimizer Notes-6/30/09) MRS.H (NASDAQ-100 MERITS-8/1/11) MRS.I (DJIA MERITS-8/31/11) MRS.J (S&P 500 Principal Protected Notes-3/31/09) MRS.K (DJIA MERITS-1/30/09) MRS.M (S&P 500 Index linked Notes-12/31/08) MRS.O (Global Indices Basket linked Notes-9/18/08) MRS.S (S&P 500 Principal Protected Notes-6/15/10) MRS.U (Global Basket of Three Equity Indices -7/29/10)
Citigroup Global Market Holdings Inc.	NDG.A (Nasdaq-100 Principal Protect Notes-12/4/07)
Credit Suisse (USA), Inc. Credit Suisse (USA), Inc.	IPJ.A (S&P 500 Five Year Contingent Protect Secs-9/30/08) IPJ.B (S&P 500 Five Year Contingent Protect Secs-11/26/08)
Goldman Sachs Group, Inc. (The) Goldman Sachs Group, Inc. (The) Goldman Sachs Group, Inc. (The) Goldman Sachs Group, Inc. (The)	GSD.B (S&P 500 linked Notes-8/7/11) GSN.B (S&P 500 Medium Term Notes-2/28/13) GSN.C (S&P 500 Medium Term Notes-4/18/13) GSN.D (S&P 500 Medium Term Notes-5/30/13)
JP Morgan Chase & Co. JP Morgan Chase & Co. JP Morgan Chase & Co. JP Morgan Chase & Co. JP Morgan Chase & Co. JP Morgan Chase & Co. JP Morgan Chase & Co. JP Morgan Chase & Co. JP Morgan Chase & Co. JP Morgan Chase & Co.	JPL.A (Consumer Price Index Secs-1/15/10) JPL.A (S&P 500 linked Notes-11/26/07) JPL.B (S&P 500 Market Participation Notes-3/12/08) JPL.C (Cap Qtr Observation Notes-9/22/08) JPL.D (S&P 500 Cap Qtr Observation Notes-10/30/08) JPL.E (S&P 500 Cap Qtr Observation Notes-1/21/09) JPL.F (S&P 500 Mkt Participation Notes-3/31/09) JPL.G (S&P 500 Cap Qtr Observation Notes-7/7/09) JPL.H (S&P 500 Cap Qtr Observation Notes-9/21/09) JPL.I (S&P 500 Principal Protect Notes-9/30/10)
Lehman Brothers Holdings Inc. Lehman Brothers Holdings Inc. Lehman Brothers Holdings Inc. Lehman Brothers Holdings Inc. Lehman Brothers Holdings Inc. Lehman Brothers Holdings Inc. Lehman Brothers Holdings Inc. Lehman Brothers Holdings Inc. Lehman Brothers Holdings Inc. Lehman Brothers Holdings Inc. Lehman Brothers Holdings Inc. Lehman Brothers Holdings Inc. Lehman Brothers Holdings Inc. Lehman Brothers Holdings Inc. Lehman Brothers Holdings Inc. Lehman Brothers Holdings Inc.	LBM.C (General Electric Co. Medium Term Notes-5/15/10) LBN.A (Dow Jones EUROSTOXX 50 Index-7/7/08) LBN.B (Dow Jones EURO STOXX 50 Index-7/29/08) LSQ.C (DJIA SUNS-4/29/10) LSQ.D (Nikkei 225 SUNS-6/10/10) PSZ.C (S&P 500 SUNS-8/5/08) PSZ.D (S&P 500 SUNS-9/27/07) PSZ.E (S&P 500 Callable SUNS-11/6/09) PSZ.F (DJ Global Titans Index SUNS-2/9/10) RLN.D (Nasdaq-100 Rebound RANGERS-6/7/08) RLN.E (S&P 500 linked Notes-9/28/09) RLN.G (DJ STOXX 50 linked Notes-11/15/09) RLN.H (Russell 2000 Index linked Notes-12/23/09) RLN.I (S&P 500 Index-Plus Notes-3/3/10)
Merrill Lynch Merrill Lynch	COD.A (Coca-Cola Co Exchangeable Conv Secs-9/30/08) EXO.A (Exxon Mobile Exchangeable Conv Secs-10/3/08)
Morgan Stanley Morgan Stanley	BSN.A (3 stks Sr Exchangeable Debt-12/30/08) ITM.A (Intel Stk Participation Notes-12/30/10)

Morgan Stanley	JMS.A (S&P 500 Callable Notes-12/30/08)
Morgan Stanley	MRW.A (S&P 500 Mkt Participation Secs-12/30/10)
Morgan Stanley	MWT.A (Wal-Mart Stk Participation Notes-9/15/10)
PMA Capital Corporation	PMK (8.5% Monthly Income Sr Notes-6/15/18)
UBS AG	PPV.C (Russell 2000 Principal Protect Notes-4/30/09)
UBS AG	PPV.E (DJIA Principal Protect Notes-5/29/09)
UBS AG	PPV.G (S&P 500 Principal Protect Notes-11/7/07)
UBS AG	PPV.H (S&P 500 Principal Protect Notes-12/7/07)
UBS AG	PPV.I (U.S. Equity Indices Principal Protect Notes-10/7/11)
UBS AG	PPV.J (DJIA Principal Protect Notes-2/7/08)
UBS AG	PPV.K (S&P 500 Principal Protect Notes-9/8/10)
UBS AG	PPV.L (S&P 500 Principal Protect Notes-4/8/10)
UBS AG	PPV.M (S&P 500 Principal Protect Notes-10/7/09)
UBS AG	PPV.N (S&P 500 Principal Protect Notes-5/7/10)
UBS AG	PPV.O (S&P 500 Principal Protect Notes-6/7/10)
UBS AG	PPV.P (S&P 500 Principal Protect Notes-7/8/10)
UBS AG	PPV.Q (S&P 500 Principal Protect Notes-8/6/10)
UBS AG	PPV.R (S&P 500 Principal Protect Notes-9/8/09)
UBS AG	PPZ.A (S&P 500 Partial Protect Notes-11/05/07)
UBS AG	PPZ.B (S&P 500 Partial Principal Protect Notes-2/28/08)
UBS AG	PPZ.C (S&P 500 Partial Principal Protect Notes-11/5/10)
Wachovia Corporation	WDB.B (Nikkei 225 ASTROS-3/2/10)
Wachovia Corporation	WDB.D (S&P 500 linked to Trigger CAPITALS-12/8/08)
Wachovia Corporation	WDB.E (Global Basket of Indices ASTROS-2/2/10)
Wachovia Corporation	WDB.I (Global Equity Basket ASTROS-5/5/10)
Wachovia Corporation	WDB.M (Metals-China Basket ASTROS-1/28/09)
Wells Fargo & Company	WFG.A (S&P 500 linked Notes-1/4/08)
Wells Fargo & Company	WFG.B (Nasdaq-100 linked Notes-1/4/08)
Wells Fargo & Company	WFG.C (Basket linked Notes-4/15/09)
Wells Fargo & Company	WFG.D (DJIA High Point Average linked Notes-5/5/10)
Wells Fargo & Company	WFG.F (S&P 500 Callable Notes-8/25/09)

Halted Issues

Transactions in the following securities have been halted for a period exceeding five trading days. Members and member organizations are reminded of the provisions of Exchange Rule 462(d)1 when determining the value to place upon those securities for margin purposes.

Any purchase or sale of a security which has been halted by the Exchange may be subject to Federal regulation as well as the rules of the other market(s) where the purchase or sale is to take place.

Members are reminded that open orders on the books of the specialist for an issue which has been halted for 30 days are automatically canceled.

<u>Name of Company (Issue)</u>	<u>Date of Halt</u>
Dyadic International	April 23, 2007
Interpharm Holdings, Inc.	October 16, 2007
Kitty Hawk, Inc.	October 15, 2007

a — Addition to previous Bulletin.

Dividends

Dividends announced for November 5, 2007 – November 9, 2007 can be viewed on the Dividend Daily list homepage on amextrader.com; click on [Dividends Daily List](#) to view the Dividends Daily List.

Please call the Corporate Actions/Proxy Rulings Department at 212-306-2438 with any questions.

Meetings of Stockholders

Members should, insofar as possible without additional expense, transfer certificates of stock held in persons or firms over whom they have no control into their own names, or those of persons over whom they have control, before the record date for determining stockholders entitled to vote.

As a guide to Member Organizations in giving proxies pursuant to Rule 577 to vote stock held for the account of customers the following symbols are used in the list of meetings below:

- V = Preceding Record Date indicates Members MAY vote the entire proxy under the Ten Day Rule.
- X = Together with numbers or letters indicates that Members MAY NOT vote the designated matters without specific instructions from owners. Numbers or letters shown are those used in the PROXY FORM.
- X = With no other designation indicates that Members MAY NOT vote the entire proxy.
- NP = Indicates that proxies are not being solicited.

The following abbreviations are used for items not numbered or lettered in the proxy form:

- SP = Stockholder Proposal(s)
- OP = Option Plan
- STP = Stock Purchase Plan
- CP = Compensation Plan
- BY = By-Law Amendment
- CH = Charter Amendment
- MP = Merger Proposal

Where no symbol appears, proxy material has not yet been received.

<u>Name of Company</u>	<u>Record</u>	<u>Meeting</u>
Accelr8 Technology Corporation	Nov. 9	A Dec. 13
Adcare Health Systems Inc.	V Oct. 15	A Nov. 16
Alexco Resource Corp.	Oct. 31	A Dec. 11
*Allied Defense Group, Inc. (The)	Nov. 26	A Jan. 22
American Israeli Paper Mills Ltd.	Oct. 25	S Nov. 25
AXS-One Inc.	V Oct. 18	S Nov. 29
Baldwin Technology Company, Inc.	X-2 Sep. 28	A Nov. 13
Bolt Technology Corporation	X-3 Oct. 12	A Nov. 20
Bowl America, Inc.	V Oct. 24	A Dec. 4
Cano Petroleum, Inc.	V Oct. 29	A Dec. 12
*Capital Alliance Income Trust Ltd.	V Oct. 25	A Nov. 27
*Castle Brands Inc. Note:(6)	Oct. 31	A Dec. 12
*Castle Convertible Fund, Inc.	V Oct. 31	A Dec. 12
Chase Corporation	Nov. 30	A Jan. 30
Consolidated-Tomoka Land Co.	Feb. 29	A Apr. 23
Contango Oil and Gas Company	V Oct. 12	A Nov. 15
Criticare Systems, Inc.	V Oct. 10	A Nov. 27
Delaware Investments CO Insured		
Municipal Income Fund	X-2-3 Jun. 19	A Nov. 16
*Digital Angel Corporation	X-1-3 Sep. 28	A Nov. 27
Digital Power Corporation	V Sep. 20	A Nov. 29
Dune Energy, Inc. Note: (2)	Apr. 25	
Ecology and Environment, Inc.	Dec. 3	A Jan. 17
Ellsworth Fund Ltd.	Nov. 14	A Jan. 11
*Emerson Radio Corp.	Nov. 9	A Dec. 13
*Endeavor Acquisition Corp.	Nov. 16	S Dec. 12

Energy Income and Growth Fund		Oct.	15	S	Jan.	8
Energy Infrastructure Acquisition Corp.		Nov.	9	A	Dec.	19
*Engex, Inc.		Nov.	20	A	Jan.	9
Espey Manufacturing & Electronics	X-3	Oct.	9	A	Nov.	30
Evergreen Utilities and High Income Fund	V	Oct.	12	A	Dec.	14
Evolution Petroleum Corporation	X-2	Oct.	15	A	Dec.	4
*EXX Inc.	V	Oct.	31	A	Dec.	10
First American Minnesota Municipal Income Fund II	V	Oct.	17	A	Dec.	4
Flight Safety Technologies, Inc.	X-2	Sep.	26	A		
Fountain Powerboat Industries, Inc.	V	Oct.	1	A	Nov.	13
Galaxy Energy Corporation		Nov.	1	S	Dec.	14
*Genesis Energy, L.P.	X	Nov.	2	A	Dec.	18
Glencairn Gold Corporation		Oct.	30	S	Nov.	29
Golf Trust of America, Inc.		Nov.	6	A	Dec.	14
GSE Systems Inc.		Oct.	12	S	Dec.	13
Harbor Acquisition Corp.		Nov.	9	S	Nov.	30
HQ Sustainable Maritime Industries	V	Oct.	1	A	Nov.	30
IMA Exploration, Inc.	Note: (1)	Oct.	25	A	Dec.	4
ImageWare Systems, Inc.		Nov.	1	A	Dec.	12
iMergent, Inc.	X-2	Oct.	5	A	Nov.	15
Immtech Pharmaceuticals, Inc.	X-2	Oct.	16	A	Nov.	29
Implant Sciences Corporation		Nov.	8	A	Dec.	12
*Income Opportunity Realty Investors	V	Nov.	2	A	Dec.	11
Information Services Group, Inc.	X	Oct.	5	S	Nov.	13
International Tower Hill Mines Ltd.		Oct.	9	A	Nov.	13
InterSearch Group Inc.	Note: (2)	Oct.	8			
*Inverness Medical Innovations, Inc.		Nov.	15	A	Dec.	20
ION Media Networks, Inc.	Note: (2)	Jul.	27			
Jaelyn, Inc.	X-3	Oct.	19	A	Nov.	28
Kimber Resources Inc.	Note: (1)	Oct.	23	A	Dec.	12
LaBarge, Incorporated	V	Sep.	21	A	Nov.	14
*Matritech, Inc.		Nov.	9	S	Dec.	12
Memry Corporation	V	Oct.	5	A	Nov.	29
Minnesota Municipal Income Portfolio	V	Oct.	17	A	Dec.	4
Nephros, Inc.	Note: (2)	Sep.	18			
New England Realty Associates LP	Note: (4)	Sep.	24			
Northern Technologies International Corp.		Nov.	30	A	Jan.	24
*Nuveen CA Dividend Advantage Municipal Fund 2	Note: (7) X-1	Aug.	1	S	Oct.	22
*Nuveen CA Dividend Advantage Municipal Fund 3	Note: (7) X-1	Aug.	1	S	Nov.	12
*Nuveen Insured CA Dividend Advantage Municipal Fund	Note: (7) X-1	Aug.	1	S	Nov.	12
*Nuveen Insured NY Dividend Advantage Municipal Fund	Note: (7) X-1	Aug.	1	S	Nov.	12
*Nuveen Insured NY Tax-Free Advantage Municipal Fund	Note: (7) X-1	Aug.	1	S	Nov.	12
*Nuveen NJ Dividend Advantage Municipal Fund	Note: (7) X-1	Aug.	1	A	Nov.	12
*Nuveen NY Dividend Advantage Municipal Fund 2	Note: (7) X-1	Aug.	1	S	Nov.	12

Nuveen California Dividend Advantage Municipal Fund 2		Oct.	19	A	Dec.	18
Nuveen California Dividend Advantage Municipal Fund 3		Oct.	19	A	Dec.	18
Nuveen California Premium Income Municipal Fund		Oct.	19	A	Dec.	18
Nuveen Insured California Dividend Advantage Municipal Fund		Oct.	19	A	Dec.	18
Nuveen Insured California Tax-Free Advantage Municipal Fund		Oct.	19	A	Dec.	18
Orion HealthCorp, Inc.		Oct.	1	S		
*Orleans Homebuilders, Inc.	X-2	Oct.	26	A	Dec.	6
Orsus Xelent Technologies Inc.		Nov.	12	A	Dec.	18
Palatin Technologies Inc.	X-3	Oct.	25	A	Dec.	7
PHC, Inc. Note: (3)	X-3	Oct.	10	A	Dec.	19
Prospect Medical Holdings, Inc.	X-2-4	Sep.	21	A	Nov.	14
*Senesco Technologies Note: (5)	X-2-3-4	Oct.	22	A	Dec.	13
SIFCO Industries, Inc.		Dec.	3	A	Jan.	29
Sport Supply Group, Inc.	V	Oct.	15	A	Nov.	30
*Star Maritime Acquisition Corp.	X	Nov.	5	S	Nov.	27
SunLink Health Systems, Inc.	V	Sep.	18	A	Nov.	12
SYS Technologies Inc.	X-3	Oct.	9	A	Dec.	7
Tarpon Industries Inc.	X-1-2	Aug.	24	A	Nov.	14
*Teche Holding Company		Dec.	10	A		
Tel Instrument Electronics Corp.	V	Oct.	29	A	Dec.	5
*Tiens Biotech Group (USA), Inc.	V	Nov.	1	A	Dec.	4
Trio-Tech International	X-2-3	Oct.	8	A	Dec.	3
Universal Insurance Holdings, Inc.		Mar.	31	A	May	16
Veri-Tek International Corp. Note: (9)		Nov.	21			
Versar, Inc.	V	Sep.	28	A	Nov.	14
XELR8 Holdings, Inc.	X-2-3	Sep.	24	A	Nov.	12
Xfone, Inc.		Oct.	25	A	Dec.	17
YM BioSciences, Inc.		Oct.	22	A	Nov.	28
Z-Trim Holdings, Inc.		Nov.	2	A	Dec.	19

*Additions to or change from previous Bulletins.

S Special Meeting.

A Annual Meeting.

Adj Adjourned Meeting.

† In Lieu of Annual Meeting.

(1) Meeting is both Annual and Special.

(2) Record date is for "Information Statement" only, no solicitation involved.

(3) Ruling based on proxy card.

(4) The Board of Directors has set September 24, 2007 as the record date with respect to a consent solicitation. The consent cut-off date will be October 29, 2007.

(5) Record revised by the Company.

(6) Notice rescinded, posted in error.

(7) Meeting adjourned, by the Company, from to November 8 to November 12, 2007.

(9) The Board of Directors has set November 21, 2007 as the record date with respect to a consent solicitation. The consent cut-off date is January 2, 2008.



Geraldine M. Brindisi
Corporate Secretary